

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**2019**

**Open to Public Inspection**

For calendar year **2019** or tax year beginning , **2019**, and ending , **20**

Name of foundation <b>DORIS DUKE CHARITABLE FOUNDATION INC</b>		<b>A Employer identification number</b> 82-3691183
Number and street (or P.O. box number if mail is not delivered to street address) <b>650 FIFTH AVENUE, 19TH FLOOR</b>	Room/suite	<b>B Telephone number (see instructions)</b> (908) 243-3619
City or town, state or province, country, and ZIP or foreign postal code <b>NEW YORK, NY 10019</b>		<b>C</b> If exemption application is pending, check here. <input type="checkbox"/>
<b>G</b> Check all that apply:		<b>D</b> 1. Foreign organizations, check here. <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation. <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/>
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>1,930,470,080.</b>		
<b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d), must be on cash basis.)		

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				
<b>Revenue</b>				
<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	327,238.			
<b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
<b>3</b> Interest on savings and temporary cash investments	66,124.	66,124.		
<b>4</b> Dividends and interest from securities	12,227,398.	21,933,601.		
<b>5a</b> Gross rents				
<b>b</b> Net rental income or (loss)				
<b>6a</b> Net gain or (loss) from sale of assets not on line 10	100,595,169.			
<b>b</b> Gross sales price for all assets on line 6a <b>528,836,958.</b>				
<b>7</b> Capital gain net income (from Part IV, line 2)		64,940,600.		
<b>8</b> Net short-term capital gain				
<b>9</b> Income modifications				
<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold				
<b>c</b> Gross profit or (loss) (attach schedule)				
<b>11</b> Other income (attach schedule) <b>ATCH 1</b>	133,479.	10,693,177.		
<b>12 Total.</b> Add lines 1 through 11	113,349,408.	97,633,502.	0.	
<b>Operating and Administrative Expenses</b>				
<b>13</b> Compensation of officers, directors, trustees, etc.	250,000.			325,000.
<b>14</b> Other employee salaries and wages				
<b>15</b> Pension plans, employee benefits				
<b>16a</b> Legal fees (attach schedule) <b>ATCH 2</b>	108,732.			117,634.
<b>b</b> Accounting fees (attach schedule)				
<b>c</b> Other professional fees (attach schedule) <b>[3]</b>	7,127,431.	7,010,654.		
<b>17</b> Interest				
<b>18</b> Taxes (attach schedule) (see instructions) <b>[4]</b>	1,914,577.			
<b>19</b> Depreciation (attach schedule) and depletion				
<b>20</b> Occupancy				
<b>21</b> Travel, conferences, and meetings	18,982.			18,982.
<b>22</b> Printing and publications				
<b>23</b> Other expenses (attach schedule) <b>ATCH 5</b>	9,668,872.	15,376,432.		9,754,921.
<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23.	19,088,594.	22,387,086.		10,216,537.
<b>25</b> Contributions, gifts, grants paid	87,016,731.			92,502,509.
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	106,105,325.	22,387,086.	0.	102,719,046.
<b>27</b> Subtract line 26 from line 12:				
<b>a</b> Excess of revenue over expenses and disbursements	7,244,083.			
<b>b</b> Net investment income (if negative, enter -0-)		75,246,416.		
<b>c</b> Adjusted net income (if negative, enter -0-)			0.	

# Application for Automatic Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**  
► **Go to [www.irs.gov/Form8868](http://www.irs.gov/Form8868) for the latest information.**

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits](http://www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits).

**Automatic 6-Month Extension of Time.** Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.  DORIS DUKE CHARITABLE FOUNDATION INC	Taxpayer identification number (TIN)  82-3691183
	Number, street, and room or suite no. If a P.O. box, see instructions. 650 FIFTH AVENUE, 19TH FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10019	

Enter the Return Code for the return that this application is for (file a separate application for each return) . . . . .

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

EILEEN OBERLANDER

• The books are in the care of ► 1112 DUKES PARKWAY WEST HILLSBOROUGH NJ 08844

Telephone No. ► 908 243-3619 Fax No. ► \_\_\_\_\_

• If the organization does not have an office or place of business in the United States, check this box . . . . .

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box . . . . .  . If it is for part of the group, check this box . . . . .  and attach a list with the names and TINs of all members the extension is for.

**1** I request an automatic 6-month extension of time until 11/16, 2020, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

►  calendar year 2019 or  
►  tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.

**2** If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$ 2,250,000.
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$ 750,000.
<b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$ 1,500,000.

**Caution:** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .	5,310,418.	3,292,362.	3,292,362.
	2	Savings and temporary cash investments . . . . .	81,841,664.	23,948,460.	23,948,460.
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5	Grants receivable. . . . .	3,223,361.	3,958,250.	3,958,250.
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use. . . . .			
	9	Prepaid expenses and deferred charges . . . . .	378,483.	790,395.	790,395.
	10a	Investments - U.S. and state government obligations (attach schedule). .			
	b	Investments - corporate stock (attach schedule) <u>ATCH 6</u> . . . . .	43,626,546.	54,254,622.	54,254,622.
	c	Investments - corporate bonds (attach schedule) <u>ATCH 7</u> . . . . .	37,341,125.	90,242,876.	90,242,876.
	11	Investments - land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) . . . . . <u>ATCH 8</u>	1,588,989,278.	1,731,499,457.	1,731,499,457.
	14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____ <u>ATCH 9</u> )	12,462,495.	22,483,658.	22,483,658.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	1,773,173,370.	1,930,470,080.	1,930,470,080.	
Liabilities	17	Accounts payable and accrued expenses . . . . .	1,575,790.	3,187,930.	
	18	Grants payable. . . . .	64,328,305.	53,688,307.	
	19	Deferred revenue. . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons. .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____ <u>ATCH 10</u> )	18,709,889.	17,711,119.	
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	84,613,984.	74,587,356.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> <u>X</u> and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions . . . . .	1,688,559,386.	1,855,882,724.	
	25	Net assets with donor restrictions . . . . .			
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds . . . . .			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund. . . . .			
	28	Retained earnings, accumulated income, endowment, or other funds . .			
29	<b>Total net assets or fund balances</b> (see instructions) . . . . .	1,688,559,386.	1,855,882,724.		
30	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	1,773,173,370.	1,930,470,080.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return). . . . .	1,688,559,386.
2	Enter amount from Part I, line 27a. . . . .	7,244,083.
3	Other increases not included in line 2 (itemize) ▶ <u>ATCH 11</u>	160,079,255.
4	Add lines 1, 2, and 3 . . . . .	1,855,882,724.
5	Decreases not included in line 2 (itemize) ▶ _____	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 29 . . . .	1,855,882,724.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> SEE PART IV SCHEDULE						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))			
<b>a</b>						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.						
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))			
<b>a</b>						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
<b>2</b>	Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$			<b>2</b>	64,940,600.	
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 . . . . .			<b>3</b>	0.	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	106,498,161.	1,820,032,461.	0.058514
2017	93,534,870.	1,775,422,397.	0.052683
2016	91,788,644.	1,674,009,429.	0.054832
2015	91,743,150.	1,768,665,149.	0.051871
2014	88,040,166.	1,754,808,008.	0.050171
<b>2</b>	<b>Total</b> of line 1, column (d) . . . . .		<b>2</b> 0.268071
<b>3</b>	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . . . .		<b>3</b> 0.053614
<b>4</b>	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . . . .		<b>4</b> 1,798,647,656.
<b>5</b>	Multiply line 4 by line 3. . . . .		<b>5</b> 96,432,695.
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b). . . . .		<b>6</b> 752,464.
<b>7</b>	Add lines 5 and 6. . . . .		<b>7</b> 97,185,159.
<b>8</b>	Enter qualifying distributions from Part XII, line 4. . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		<b>8</b> 122,470,246.



Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', and 'Tax based on investment income'. Total amount owed is 1,497,536.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, unrelated business income, and substantial contributors. Marked with 'Yes' or 'No' in the columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 containing questions about controlled entities, distributions, public inspection requirements, and foreign country interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b containing questions about disqualifying acts, taxes on failure to distribute income, and business enterprise interests.

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b>	During the year, did the foundation pay or incur any amount to:		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>	X
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		<b>6b</b>	X
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>7b</b>	
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		325,000.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000.** 0.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 13		2,018,854.
<b>Total number of others receiving over \$50,000 for professional services</b> . . . . .		7

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> SEE ATTACHMENTS 19 & 20	
	1,625,742.
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b> SOCIAL FINANCE-DDCF PARTNERED WITH SOCIAL FINANCE, INC. TO PROMOTE STABILITY FOR FAMILIES AND TO REDUCE OUT-OF-HOME PLACEMENTS FOR CHILDREN IMPACTED BY PARENTAL SUBSTANCE ABUSE	385,200.
<b>2</b> ENVIRONMENT/CONSERVATION-DDCF PARTNERED WITH THE NATURE CONSERVANCY TO PROTECT CLIMATE-RESILIENT LANDSCAPES IN CENTRAL APPALACHIA.	19,366,000.
All other program-related investments. See instructions.	
<b>3</b> NONE	
<b>Total.</b> Add lines 1 through 3 . . . . .	19,751,200.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	1,767,809,508.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	58,228,721.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	1,826,038,229.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	1,826,038,229.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	27,390,573.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,798,647,656.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	89,932,383.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	89,932,383.
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5 . . . . .	<b>2a</b>	752,464.
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	752,464.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	89,179,919.
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	711,056.
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	89,890,975.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	89,890,975.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26. . . . .	<b>1a</b>	102,719,046.
<b>b</b>	Program-related investments - total from Part IX-B. . . . .	<b>1b</b>	19,751,200.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	<b>4</b>	122,470,246.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	752,464.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	121,717,782.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7 . . . . .				89,890,975.
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only. . . . .				
<b>b</b> Total for prior years: 20 <u>17</u> , 20 <u>16</u> , 20 <u>15</u>				
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014 . . . . .	1,801,249.			
<b>b</b> From 2015 . . . . .	5,208,206.			
<b>c</b> From 2016 . . . . .	9,444,900.			
<b>d</b> From 2017 . . . . .	6,654,654.			
<b>e</b> From 2018 . . . . .	16,554,590.			
<b>f</b> <b>Total</b> of lines 3a through e . . . . .	39,663,599.			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ <u>122,470,246.</u>				
<b>a</b> Applied to 2018, but not more than line 2a . . .				
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2019 distributable amount. . . . .				89,890,975.
<b>e</b> Remaining amount distributed out of corpus. . .	32,579,271.			
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).) . . . . .				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	72,242,870.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .	1,801,249.			
<b>9</b> <b>Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a . . . . .	70,441,621.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015 . . . . .	5,208,206.			
<b>b</b> Excess from 2016 . . . . .	9,444,900.			
<b>c</b> Excess from 2017 . . . . .	6,654,654.			
<b>d</b> Excess from 2018 . . . . .	16,554,590.			
<b>e</b> Excess from 2019 . . . . .	32,579,271.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4, for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon: . . . . .					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

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**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE ATTACHMENT 17				92,502,509.
<b>Total</b> . . . . . ▶ <b>3a</b>				92,502,509.
<b>b</b> Approved for future payment SEE ATTACHMENT 18				24,564,677.
<b>Total</b> . . . . . ▶ <b>3b</b>				24,564,677.







**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHMENT 22					64940600.	
TOTAL GAIN(LOSS) .....							<u>64940600.</u>	

# Schedule of Contributors

**2019**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.**

Name of the organization DORIS DUKE CHARITABLE FOUNDATION INC	Employer identification number 82-3691183
--	--

**Organization type** (check one):

**Filers of:**

**Section:**

- Form 990 or 990-EZ  501(c)( ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization
- Form 990-PF  501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **DORIS DUKE CHARITABLE FOUNDATION INC**

Employer identification number  
**82-3691183**

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE JOSEPHINE R. SIMPSON FAMILY TRUST  C/O BANK OF AMERICA, 1 TOWN CENTER RD.  BOCA RATON, FL 33486	\$ 327,238.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization **DORIS DUKE CHARITABLE FOUNDATION INC**

**Employer identification number**

82-3691183

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization **DORIS DUKE CHARITABLE FOUNDATION INC**

Employer identification number

82-3691183

**Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ \_\_\_\_\_  
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____ _____ _____		_____ _____ _____	

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

## TRANSITION STATEMENT - FEDERAL FORM 990-PF

DORIS DUKE CHARITABLE FOUNDATION ("TRUST"), FEDERAL EIN#13-7043679 WAS RECOGNIZED BY THE INTERNAL REVENUE SERVICE AS A SECTION 501(C)(3) PRIVATE NON-OPERATING FOUNDATION. THE FOUNDATION'S BOARD OF DIRECTORS ELECTED TO DISSOLVE THE TRUST AND TRANSFER ALL OF ITS ASSETS TO A SUCCESSOR SECTION 501(C)(3) PRIVATE FOUNDATION, THE DORIS DUKE CHARITABLE FOUNDATION INC ("CORPORATION"), FEDERAL EIN#82-3691183, EFFECTIVE JANUARY 2, 2019. THE SAME BOARD OF DIRECTORS AND OFFICERS, WHO GOVERNED THE TRUST, ARE NOW GOVERNING THE CORPORATION.

THUS, NOTHING CHANGED IN THE TRANSITION OTHER THAN THE LEGAL STRUCTURE OF THE ENTITY, A CONVERSION FROM A TRUST TO A CORPORATION. PURSUANT TO REVENUE RULING 78-387, THE CORPORATION SUCCEEDS TO THE TAX ATTRIBUTES OF THE TRUST, INCLUDING ITS EXISTING NET OPERATING LOSSES AND \$39,663,599 IN EXCESS DISTRIBUTIONS CARRYOVER WHICH IS REFLECTED IN PART XIII LINE 3F.



ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
ORDINARY INCOME FROM INVESTMENTS IN PASSIVE INVESTMENT COMPANIES		1,065,057.	
PROGRAM-RELATED INVESTMENT INCOME	133,417.	133,417.	
FLOW THROUGH INCOME FROM INVESTMENTS IN LIMITED PARTNERSHIPS		7,579,944.	
ADDBACK INVESTMENT LOSS REPORTED AS UNRELATED BUSINESS LOSS ON 990-T		1,914,697.	
MISCELLANEOUS INCOME	62.	62.	
TOTALS	<u>133,479.</u>	<u>10,693,177.</u>	

ATTACHMENT 2FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
GUNDERSON DETTMER STOUGH VILLENEUVE FRANKLIN & HACHIGIAN LLP	1,613.			1,613.
KIRKLAND & ELLIS LLP	2,500.			5,715.
SIMPSON THACHER & BARTLETT LLP	7,168.			15,666.
GOODWIN PROCTER LLP	5,897.			5,897.
NEW ENTERPRISES ASSOCIATES INC	9,738.			9,738.
PROSKAUER ROSE LLP	23,035.			23,035.
AKIN GUMP STRAUSS HAUER & FELD LLP	5,620.			5,620.
EFG WEALTH SOLUTIONS	2,517.			2,517.
5AM VENTURE MANAGEMENT LLC	1,820.			1,820.
ENERGY SPECTRUM PARTNERS VII LLP	4,125.			4,125.
K&L GATES LLP	34,858.			34,858.
DENHAM CAPITAL MANAGEMENT LP	5,629.			5,629.
MAYFIELD FUND LLC	4,212.			1,401.
TOTALS	<u>108,732.</u>			<u>117,634.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CUSTODY FEES	568,491.	568,491.		
MANAGERS BASE FEES	1,767,325.	1,767,325.		
INVESTMENT ADVISORY FEES	525,388.	525,388.		
OTHER INVESTMENT EXPENSES NET OF INVESTMENT MGMT FEES ALLOCATED TO DDF (ON ITS HOLDINGS)	4,030,802.	4,030,802.		
SECURITIES LENDING EXPENSE	26,443.	26,443.		
INVESTMENT DATABASE ACCESS FEE LESS ALLOCATED INVESTMENT FEES REPORTED ON 990T	208,982.	92,205.		
TOTALS	<u>7,127,431.</u>	<u>7,010,654.</u>		

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CURRENT & DEFERRED FEDERAL EXCISE TAX AND INCOME TAX PROVISION	1,914,577.			
TOTALS	<u>1,914,577.</u>			

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
WIRE TRANSFER FEES (GRANTS)	11,896.			11,896.
PROGRAMMATIC & ADMINISTRATIVE EXPENSES INCURRED BY DDCF (BUT PAID BY A RELATED PARTY DDMF)	9,570,887.			9,657,487.
INSURANCE	80,007.			80,378.
FILING FEES	1,545.			1,545.
MISCELLANEOUS OFFICE EXPENSES	3,615.			3,615.
PENALTIES	922.			
FLOW-THROUGH EXPENSES FROM INVESTMENTS IN LIMITED PARTNERSHIPS		15,376,432.		
TOTALS	<u>9,668,872.</u>	<u>15,376,432.</u>		<u>9,754,921.</u>

ATTACHMENT 6FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITY INVESTMENTS	43,626,546.	54,254,622.	54,254,622.
TOTALS	<u>43,626,546.</u>	<u>54,254,622.</u>	<u>54,254,622.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIXED INCOME INVESTMENTS LESS FIXED INCOME INVESTMENT ALLOCATED TO DORIS DUKE FOUNDATION	37,341,125.	90,242,876.	90,242,876.
TOTALS	<u>37,341,125.</u>	<u>90,242,876.</u>	<u>90,242,876.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MULTI-STRATEGY ALTERNATIVES	274,771,527.	262,275,971.	262,275,971.
PRIVATE EQUITY INVESTMENTS	331,784,472.	402,885,522.	402,885,522.
DISTRESSED/HIGH YIELD	110,992,083.	108,073,226.	108,073,226.
FUND OF FUNDS	47,715,184.	37,148,462.	37,148,462.
BUYOUTS/GROWTH NON-MARKETABLE	115,599,672.	97,462,118.	97,462,118.
VENTURE CAPITAL	277,798,782.	358,790,710.	358,790,710.
DISTRESSED NON-MARKETABLE ALT	1,978,934.	5,257,025.	5,257,025.
SPECIAL SITUATIONS	6,510,705.	10,628,329.	10,628,329.
REAL ASSETS	95,510,572.	80,453,105.	80,453,105.
COMMINGLED INVESTMENTS	326,327,347.	368,524,989.	368,524,989.
TOTALS	<u>1,588,989,278.</u>	<u>1,731,499,457.</u>	<u>1,731,499,457.</u>



ATTACHMENT 9FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DUE FROM BROKER PROGRAM RELATED INVESTMENTS	9,059,846.	125,426.	125,426.
- SOCIAL FINANCE PROGRAM RELATED INVESTMENTS	888,736.	1,273,935.	1,273,935.
- ENVIRONMENTAL INVESTMENT RECEIVABLES	634,000.	19,366,000.	19,366,000.
COLLECTIBLES	715,388.	553,772.	553,772.
DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART	354,950.	354,950.	354,950.
DUE FROM DORIS DUKE MANAGEMENT FOUNDATION	24,034.	24,034.	24,034.
DUE FROM DORIS DUKE FOUNDATION	783,309.	783,309.	783,309.
DUE FROM DORIS DUKE FOUNDATION	2,232.	2,232.	2,232.
TOTALS	<u>12,462,495.</u>	<u>22,483,658.</u>	<u>22,483,658.</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DUE TO DORIS DUKE MANAGEMENT FOUNDATION	7,206,665.	7,468,396.
DUE TO DUKE FARMS FOUNDATION	156,960.	156,960.
DEFERRED FEDERAL & STATE EXCISE TAXES	11,341,087.	10,084,487.
SECURITIES LENDING PAYABLE	5,177.	1,276.
TOTALS	<u>18,709,889.</u>	<u>17,711,119.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
NET UNREALIZED GAIN ON SECURITIES	159,344,366.
CHANGE IN VALUE OF BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	734,889.
TOTAL	<u>160,079,255.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
EDWARD P. HENRY 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	CEO/PRESIDENT 26.00	0.	0.	0.
PETER SIMMONS 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	COO/TREASURER 14.00	0.	0.	0.
ERICA DZIEDZIC 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	SECRETARY/COUNSEL 11.00	0.	0.	0.
PETER A. NADOSKY 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	CHAIRPERSON (THRU 06/2019) 1.50	0.	0.	0.
ANTHONY S. FAUCI 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	VICE CHAIRPERSON 2.50	0.	0.	0.
WILLIAM WRIGHT 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	DIR./CHAIRPERSON (AS OF 07/19) 2.50	50,000.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
ANGELA MWANZA 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	DIRECTOR 2.50	50,000.	0.	0.
VISHAKHA N. DESAI 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	DIRECTOR 2.00	50,000.	0.	0.
MARIE LYNN MIRANDA 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	DIRECTOR 2.00	25,000.	0.	0.
CLIVE GILLINSON 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	DIRECTOR 2.00	0.	0.	0.
NANNERL O. KEOHANE 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	DIRECTOR 2.00	50,000.	0.	0.
AFSANEH BESCHLOSS 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	DIRECTOR 2.50	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
MARY SCHMIDT CAMPBELL 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	DIRECTOR 2.00	50,000.	0.	0.
CATHERINE MARRON 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	DIRECTOR (AS OF 10/2019) 1.50	0.	0.	0.
JOHN TALTY 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	DIRECTOR (AS OF 10/2019) 1.50	0.	0.	0.
KATHY HALBREICH 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 0.	50,000.	0.	0.
*BOARD OF TRUSTEES MEMBER KATHY HALBREICH LEFT THE BOARD AS OF JUNE 30, 2018, AND RECEIVED A PAYOUT OF \$50,000 IN JANUARY 2019 FOR HER SERVICE IN 2018.				
GRAND TOTALS		<u>325,000.</u>	<u>0.</u>	<u>0.</u>

**Doris Duke Charitable Foundation Inc**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2019**  
**Attachment 12A**

Notes:

(1) All Board of Directors members and officers provide services to the five related entities (Doris Duke Charitable Foundation Inc, Doris Duke Management Foundation, Duke Farms Foundation, Doris Duke Foundation for Islamic Art, Doris Duke Foundation), and may be reached at the Doris Duke Charitable Foundation Inc's corporate address at 650 Fifth Avenue, 19th Floor, NY, NY, 10019.

(2) Compensation reported for the trustees disclosed on this schedule reflects payment for each individual's board service in 2018. The actual cash payments were made in January of 2019 (and the amounts have been reported on the Form 990-PF in Part I, Line 13 (d)) as part of the Foundation's current year charitable disbursements. Board of Trustees Member Kathy Halbreich left the board as of June 30, 2018, and received a payout of \$50,000 in January 2019 for her service in 2018.

(3) The officers are paid compensation by the Doris Duke Management Foundation (DDMF). The hours shown on the Form 990-PF are based on an allocation of time spent on matters related to the Doris Duke Charitable Foundation Inc (DDCF Inc) and are for informational purposes only. These officers provide services to other related entities Doris Duke Foundation For Islamic Art (DDFIA), Doris Duke Management Foundation (DDMF), Duke Farms Foundation (DFF) and Doris Duke Foundation (DDF), and in the aggregate, hours worked on matters related to these entities equal or exceed 40 hours a week. The allocation of compensation paid by the Doris Duke Management Foundation and allocated to other related entities is based on an annual survey and is included in the management fee shown on Line 23, Part I, Other Expenses.

(4) The trustees who receive compensation are paid by the Doris Duke Charitable Foundation Inc, eventhough they provide services to each of the five foundations (DDCF Inc, DDMF, DDF, DFF, and DDFIA). The hours reported on Form 990-PF represent an allocation of the trustees' time spent on matters related to DDCF Inc including attendance at Board meetings and various foundation-related events and discussions. These hour allocations are reported to comply with the Form 990-PF reporting requirement, and are for informational purposes only. Trustees have oversight responsibilities and perform multiple tasks across all five foundations; and thus, significant additional hours are reported on the DDMF, DFF, DDFIA and DDF tax returns.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
THE NORTHERN TRUST COMPANY 50 LASALLE STREET CHICAGO, IL 60675	INVESTMENT MGMT FEES	642,906.
OBERWEIS ASSET MANAGEMENT 333 WARRENVILLE RD. LISLE, IL 60532	INVESTMENT MGMT FEES	489,646.
SILCHESTER INTERNATIONAL 780 THIRD AVENUE, 42ND FLOOR NEW YORK, NY 10017	INVESTMENT MGMT FEES	395,198.
WESTWOOD GLOBAL IVNESTMENTS LLC ONE FINANCIAL CENTER, SUITE 1620 BOSTON, MA 02111	INVESTMENT MGMT FEES	281,153.
CAPITAL GUARDIAN TRUST CO P.O. BOX 659518 SAN ANTONIO, TX 78625	INVESTMENT MGMT FEES	209,951.
	TOTAL COMPENSATION	<u>2,018,854.</u>



FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

DORIS DUKE CHARITABLE FDN INC  
650 FIFTH AVE, 19TH FL.  
NEW YORK, NY 10019  
908-243-3619

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY  
SHOULD INCLUDE:

SEE ATTACHMENT 21 FOR MORE INFORMATION

SUBMISSION DEADLINES:

SEE ATTACHMENT 21 FOR MORE INFORMATION

RESTRICTIONS OR LIMITATIONS ON AWARDS:

SEE ATTACHMENT 21 FOR MORE INFORMATION

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUEATTACHMENT 15

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
PROGRAM-RELATED INVESTMENT INCOME			01	133,417.	
MISCELLANEOUS INCOME			01	62.	
PORTFOLIO LOSS FROM INVESTMENTS IN LPS	525990	-1,914,697.			
TOTALS		<u>-1,914,697.</u>		<u>133,479.</u>	

**Doris Duke Charitable Foundation Inc**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2019**  
**Attachment 16**

**The Doris Duke Charitable Foundation Inc maintained expenditure responsibility for multiple grants to the following grantees:**

**Grantees**

---

**Doris Duke Foundation for Islamic Art**

**Duke Farms Foundation**

**Doris Duke Foundation**

**Eureka Foundation Inc**

The expenditure responsibility reports follow this attachment cover sheet.

The Doris Duke Charitable Foundation Inc also maintained expenditure responsibility for various grants that were approved in 2019, but were not actually disbursed until the following year.



October 13, 2020

Mr. Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation for Islamic Art  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

Dear Mr. Simmons:

The Doris Duke Charitable Foundation, Inc. has made grants to the Doris Duke Foundation for Islamic Art in 2019 totaling \$9,904,545 to be used for the Doris Duke Foundation for Islamic Art's general operating expenses (referred to collectively as the "Grant"). Because the Doris Duke Foundation for Islamic Art is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Doris Duke Foundation for Islamic Art before making payment of the Grant funds. By signing this letter, you agree on behalf of the Doris Duke Foundation for Islamic Art to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which

Mr. Peter Simmons  
Page 2  
October 13, 2020

we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
  - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
  - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
  - c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
  - d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Doris Duke Foundation for Islamic Art also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus,

Mr. Peter Simmons

Page 3

October 13, 2020

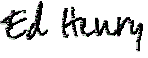
as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Doris Duke Foundation for Islamic Art agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which the Doris Duke Foundation for Islamic Art elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Doris Duke Foundation for Islamic Art were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation, Inc.

By:

DocuSigned by:  
  
3038C19ED1C245A

Edward P. Henry, President

Agreed to and accepted on behalf of the  
Doris Duke Foundation for Islamic Art this 14th  
day of October, 2020

By:

DocuSigned by:  


Peter Simmons, Chief Operating Officer



October 15, 2020

Mr. Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

Dear Mr. Henry:

In 2019, the Doris Duke Charitable Foundation, Inc. ("DDCF") made grants totaling \$9,904,545 to the Doris Duke Foundation for Islamic Art ("DDFIA"). By a letter dated October 13, 2020, I agreed on behalf of DDFIA to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2019 the year in which they were granted, this is both a first and final report.

Name and address of grantee:  
Doris Duke Foundation for Islamic Art  
4055 Papu Circle  
Honolulu, HI 96816

Purpose of Grants:

To be used for DDFIA's general operating expenses. Property owned by DDFIA is used for a charitable purpose since DDFIA facilitates the study and understanding of Middle Eastern Art and Culture.

Mr. Edward P. Henry  
Page 2  
October 15, 2020

The following table contains the date of payment of each part of the grant that was spent in 2017, and a description of how the grant was spent by DDFIA:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
01/31/19	\$770,538	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
02/28/19	\$337,975	" "
03/31/19	\$1,032,729	" "
04/28/19	\$438,786	" "
05/31/19	\$1,191,281	" "
06/30/19	\$1,256,999	" "
07/31/19	\$658,009	" "
08/31/19	\$591,704	" "
09/29/19	\$694,753	" "
10/31/19	\$766,458	" "
11/30/19	\$774,125	" "
12/31/19	\$1,391,188	" "


All of the grant funds have been spent for DDFIA's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated October 13, 2020 I represented that before the end of 2019, DDFIA would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$9,904,545 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DDFIA's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2019.

Since DDFIA has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DDFIA were not a private operating foundation.

Very truly yours,  
Doris Duke Foundation for Islamic Art

By:   
Peter Simmons, Chief Operating Officer





October 13, 2020

Mr. Peter Simmons  
Chief Operating Officer  
Duke Farms Foundation  
1112 Dukes Parkway West  
Hillsborough, New Jersey 08844

Dear Mr. Simmons:

The Doris Duke Charitable Foundation, Inc. has made grants to Duke Farms Foundation in 2019 totaling \$12,500,166 to be used for Duke Farms Foundation's general operating expenses (referred to collectively as the "Grant"). Because Duke Farms Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from Duke Farms Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of Duke Farms Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising

Mr. Peter Simmons

Page 2

October 13, 2020

therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
  - a. To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
  - b. To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
  - c. To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
  - d. To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

Duke Farms Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in

Mr. Peter Simmons

Page 3

October 13, 2020

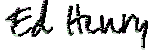
section 4942 of the Code, equal to the value of the Grant, and, within such time period, Duke Farms Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which Duke Farms Foundation elects pursuant to Treas. Reg. 53.4942(A) 3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if Duke Farms Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation, Inc.

By:

DocuSigned by:  


Edward P. Henry, President

Agreed to and accepted on behalf of  
Duke Farms Foundation this 14th  
day of October, 2020.

By:

DocuSigned by:  


Peter Simmons, Chief Operating Officer

650 Fifth Avenue 19<sup>th</sup> Floor New York NY 10019 • tel 212 974 7000 fax 212 974 7590 • www.ddcf.org



October 15, 2020

Mr. Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

Dear Mr. Henry:

In 2019, the Doris Duke Charitable Foundation, Inc. ("DDCF") made grants totaling \$12,500,166 to Duke Farms Foundation ("DFF"). By a letter dated October 13, 2020, I agreed on behalf of DFF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2019 the year in which they were granted, this is both a first and final report.

Name and address of grantee:

Duke Farms Foundation  
1112 Dukes Parkway West  
Hillsborough, NJ 08844

Purpose of Grants:

To be used for DFF's general operating expenses. Property owned by DFF is used for a charitable purpose since DFF is a regional center for environmental stewardship. DFF provides educational programs to visitors as well as serving as a critical habitat for protecting endangered species of all kinds, both flora and fauna.

The following table contains the date of payment of each part of the grant that was spent in 2019 and a description of how the grant was spent by DFF:


<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/19	\$1,094,714	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
2/28/19	\$619,319	" "
3/31/19	\$1,105,758	" "
4/28/19	\$904,831	" "
5/31/19	\$667,011	" "
6/30/19	\$1,688,879	" "
7/31/19	\$1,112,609	" "
8/31/19	\$684,226	" "
9/30/19	\$981,804	" "
10/31/19	\$1,048,250	" "
11/30/19	\$726,951	" "
12/31/19	\$1,865,814	" "

All of the grant funds have been spent for DFF's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated October 13, 2020, I represented that before the end of 2019, DFF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$12,500,166 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made. As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DFF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2019.

Since DFF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DFF were not a private operating foundation.

Very truly yours,  
Duke Farms Foundation

DocuSigned by:  
  
By: \_\_\_\_\_  
F5D63F08AB9147E...  
Peter Simmons, Chief Operating Officer



October 5, 2020

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2018236

Dear Mr. Henry:

In November 2018, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$1,650,000 (the “Grant”).

By grant agreement executed December 20, 2018 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the Doris Duke Artists Award recipients, to be selected for funding through a peer review process in 2019.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2019. DDF received payments from DDCF totaling \$357,500 in 2019. The grant funds were fully expended in 2019 toward the 2019 cohort of Doris Duke Artist Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Peter Simmons', is written over a horizontal line.

Peter Simmons (Oct 6, 2020 15:34 PDT)

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

<b>Doris Duke Artist Awards - 2019 Cohort (6 Artists): Grants Paid in 2019 from Grant No. 2018236</b>				
<b>Recipient*</b>	<b>Award</b>	<b>Purpose</b>	<b>Tax Status and Relationship to Any Foundation Manager or Substantial Contributor</b>	<b>Amount Paid 2019</b>
Byrd, Donald	Doris Duke Artist Award 2019	Unrestricted	Individual/None	\$62,500.00
Carrington, Terri Lyne	Doris Duke Artist Award 2019	Unrestricted	Individual/None	\$62,500.00
Ellsworth, Michelle	Doris Duke Artist Award 2019	Unrestricted	Individual/None	\$45,000.00
Gardley, Marcus	Doris Duke Artist Award 2019	Unrestricted	Individual/None	\$62,500.00
Lewis, George	Doris Duke Artist Award 2019	Unrestricted	Individual/None	\$62,500.00
Yee, Lauren	Doris Duke Artist Award 2019	Unrestricted	Individual/None	\$62,500.00
			<b>Total Paid to Individuals</b>	<b>\$357,500.00</b>
			<b>Total Paid to Organizations</b>	<b>\$0</b>
			<b>Total Paid in 2019 from DDCF Grant 2018236</b>	<b>\$357,500</b>



October 5, 2020

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2017141

Dear Mr. Henry:

In September 2017, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$1,650,000 (the "Grant").

By grant agreement executed December 19, 2017 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2018.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2019. DDF received payments from DDCF totaling \$381,250 in 2019. The grant funds were fully expended in 2019 toward the 2018 cohort of Doris Duke Artist Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

  
Peter Simmons (Oct 6, 2020 15:34 PDT)

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation



<b>Doris Duke Artist Awards - 2018 Cohort (8 Artists): Grants Paid in 2019 from Grant No. 2017141</b>				
<b>Recipient*</b>	<b>Award</b>	<b>Purpose</b>	<b>Tax Status and Relationship to Any Foundation Manager or Substantial Contributor</b>	<b>Amount Paid 2019</b>
Bridgewater, Dee Dee	Doris Duke Artist Award 2018	Unrestricted	Individual/None	\$62,500.00
Carter-Garnett, Regina	Doris Duke Artist Award 2018	Unrestricted	Individual/None	\$62,500.00
Carter-Garnett, Regina	Doris Duke Artist Award 2018	Incentive	Individual/None	\$6,250.00
Harris, Stefon	Doris Duke Artist Award 2018	Unrestricted	Individual/None	\$62,500.00
Miguel, Muriel	Doris Duke Artist Award 2018	Unrestricted	Individual/None	\$62,500.00
Okpokwasili, Okwui	Doris Duke Artist Award 2018	Unrestricted	Individual/None	\$62,500.00
Rolon, Rosalba	Doris Duke Artist Award 2018	Unrestricted	Individual/None	\$62,500.00
			<b>Total Paid to Individuals</b>	<b>\$381,250.00</b>
			<b>Total Paid to Organizations</b>	<b>\$0</b>
			<b>Total Paid in 2019 from DDCF Grant 2017141</b>	<b>\$381,250</b>



October 5, 2020

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2015302

Dear Mr. Henry:

In November 2015, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$5,500,000 (the "Grant").

By grant agreement executed December 23, 2015 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the fifth cohort of Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2016.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2019. DDF received payments from DDCF totaling \$1,238,146.75 in 2019. The grant funds were fully expended in 2019 toward the 2016 cohort of Doris Duke Artist Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a blue horizontal line.

Peter Simmons (Oct 6, 2020 15:35 PDT)

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

<b>Doris Duke Artist Awards - 2016 Cohort (21 Artists): Grants Paid in 2019 from Grant No. 2015302</b>				
<b>Recipient*</b>	<b>Award</b>	<b>Purpose</b>	<b>Tax Status and Relationship to Any Foundation Manager or Substantial Contributor</b>	<b>Amount Paid 2019</b>
Abraham, Kyle	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
Abraham, Kyle	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$25,000.00
Bridgforth, Sharon	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$7,500.00
Douglas, Dave	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$25,000.00
Driscoll, Faye	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
Driscoll, Faye	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$25,000.00
Geiser, Janie	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$25,000.00
Gutierrez, Miguel	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$25,000.00
Hersch, Fred	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
Hersch, Fred	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$25,000.00
Horvitz, Wayne	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
Horvitz, Wayne	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$25,000.00
Mac, Taylor	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
Mac, Taylor	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$25,000.00
McIntyre, Dianne	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
McIntyre, Dianne	Doris Duke Artist Award 2016	Audience Development	Individual/None	\$15,000.00
Morris, Mark	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
Nottage, Lynn	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
Nottage, Lynn	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$6,500.00
Nottage, Lynn	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$403.00
Phillips, Thaddeus	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
Phillips, Thaddeus	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$9,000.00
Shyu, Jennifer Lay	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$58,000.00
Shyu, Jennifer Lay	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$11,000.00
Smith, Wadada Leo	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
Thorson, Morgan	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$25,000.00
Threadgill, Henry	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
Wylie, William (Will Power)	Doris Duke Artist Award 2016	Unrestricted	Individual/None	\$75,000.00
Wylie, William (Will Power)	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	\$5,743.75
			<b>Total Paid to Individuals</b>	<b>\$1,238,146.75</b>
			<b>Total Paid to Organizations</b>	<b>\$0</b>
			<b>Total Paid in 2019 from DDCF Grant 2015302</b>	<b>\$1,238,146.75</b>



October 5, 2020

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014207

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,225,000 (the “Grant”).

By grant agreement executed December 19, 2014 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the fourth cohort of Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2019. DDF received payments from DDCF totaling \$549,279 in 2019. The grant funds were fully expended in 2019 toward the fourth cohort of Doris Duke Artist Award recipients and for one artist selected in the 2018 cohort, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

  
Peter Simmons (Oct 6, 2020 15:35 PDT)

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

<b>Doris Duke Artist Awards - 2015 Cohort (20 Artists): Grants Paid in 2019 from Grant No. 2014207</b>				
<b>Recipient*</b>	<b>Award</b>	<b>Purpose</b>	<b>Tax Status and Relationship to Any Foundation Manager or Substantial Contributor</b>	<b>Amount Paid 2019</b>
Akinmusire, Ambrose	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$53,000.00
Argue, Darcy James	Doris Duke Artist Award 2015	Incentive	Individual/None	\$4,945.00
Brown, Camille	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$50,000.00
Carlson, Ann	Doris Duke Artist Award 2015	Incentive	Individual/None	\$25,000.00
Chipaumire, Nora	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000.00
Coleman, Steven D	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$34,000.00
Coleman, Steven D	Doris Duke Artist Award 2015	Incentive	Individual/None	\$5,000.00
Jones, Daniel Alexander	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$60,000.00
Jones, Daniel Alexander	Doris Duke Artist Award 2015	Incentive	Individual/None	\$13,000.00
Jones, Daniel Alexander	Doris Duke Artist Award 2015	Audience Development	Individual/None	\$10,000.00
Lee, Ok Kyung	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000.00
Parris-Bailey, Linda	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$5,000.00
Parris-Bailey, Linda	Doris Duke Artist Award 2015	Incentive	Individual/None	\$1,834.00
Sides, Shawn	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$50,000.00
Terry, Yosvany	Doris Duke Artist Award 2015	Audience Development	Individual/None	\$15,000.00
Varone, Doug	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000.00
Dorrance, Michelle	Doris Duke Artist Award 2018	Unrestricted	Individual/None	\$62,500.00
			<b>Total Paid to Individuals</b>	<b>\$524,279.00</b>
<b>From Ronald Brown's Audience Development Funds (\$25,000), Grant No. 2015020B</b>				
<b>Joyce Theater Foundation, Inc.</b> 175 8th Avenue New York, NY 10011	<i>To support Audience Development/Arts Education project entitled, "Connecting with Audiences at Home and Abroad," in which Ronald K. Brown and his company Evidence will conduct dance workshops and audience engagement activities abroad in Leicester, England, and in Brooklyn, New York.</i>		<b>PC</b>	<b>\$25,000</b>
			<b>Total Paid to Organizations</b>	<b>\$25,000</b>
			<b>Total Paid in 2019 from DDCF Grant 2014207</b>	<b>\$549,279</b>



October 5, 2020

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014206

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$1,600,000 (the “Grant”).

By grant agreement executed December 19, 2014 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the second cohort of Doris Duke Impact Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2019. DDF received payments from DDCF totaling \$10,000 in 2019. The grant funds were fully expended in 2019 toward the second cohort of Doris Duke Impact Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Peter Simmons', is positioned above a blue horizontal line.

Peter Simmons (Oct 6, 2020 15:36 PDT)

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

<b>Doris Duke Impact Awards - 2015 Cohort (20 Artists): Grants Paid in 2019 from Grant No. 2014206</b>				
<b>Recipient*</b>	<b>Award</b>	<b>Purpose</b>	<b>Tax Status and Relationship to Any Foundation Manager or Substantial Contributor</b>	<b>Amount Paid 2019</b>
McIntyre, Dianne	Doris Duke Impact Award 2015	Audience Development	Individual/None	\$10,000.00
			<b>Total Paid to Individuals</b>	<b>\$10,000.00</b>
			<b>Total Paid to Organizations</b>	<b>\$0</b>
<b>Total Paid in 2019 from DDCF Grant 2014206</b>				<b>\$ 10,000</b>



October 6, 2020

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013222

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$1,600,000 (the “Grant”).

By grant agreement executed December 16, 2013 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the first cohort of Doris Duke Impact Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2019. DDF received no payments from DDCF and no grant funds were expended in 2019 toward the first cohort of Impact Award recipients in 2019.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

  
Peter Simmons (Oct 6, 2020 15:45 PDT)

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation





October 5, 2020

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013221

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,500,000 (the “Grant”).

By grant agreement executed December 16, 2013 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the third cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2019. DDF received payments from DDCF totaling \$280,994 in 2019. The grant funds were fully expended in 2019 toward the second cohort of Doris Duke Artist Award recipients and for one artist selected in the 2015 cohort, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

  
Peter Simmons (Oct 6, 2020 15:37 PDT)

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

<b>Doris Duke Artist Awards - 2014 Cohort 19 Artists): Grants Paid in 2019 from Grant No. 2013221</b>				
<b>Recipient*</b>	<b>Award</b>	<b>Purpose</b>	<b>Tax Status and Relationship to Any Foundation Manager or Substantial Contributor</b>	<b>Amount Paid 2019</b>
Collins, John Charles	Doris Duke Artist Award 2014	Incentive	Individual/None	\$2,500.00
Haigood, Joanna	Doris Duke Artist Award 2014	Incentive	Individual/None	\$3,500.00
Jasperse, John R.	Doris Duke Artist Award 2014	Incentive	Individual/None	\$25,000.00
Johnson, Emily	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$7,000.00
Jones, William T.	Doris Duke Artist Award 2014	Incentive	Individual/None	\$5,000.00
Keystone, Nancy	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$40,000.00
Keystone, Nancy	Doris Duke Artist Award 2014	Incentive	Individual/None	\$25,000.00
King, Alonzo	Doris Duke Artist Award 2015	Unrestricted	Individual/None	\$45,000
Lehman, Stephen Hart	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$20,000
Lehman, Stephen Hart	Doris Duke Artist Award 2014	Incentive	Individual/None	\$3,494
Parkins, Elizabeth	Doris Duke Artist Award 2014	Unrestricted	Individual/None	\$40,000
Parkins, Elizabeth	Doris Duke Artist Award 2014	Incentive	Individual/None	\$10,000
Parkins, Elizabeth	Doris Duke Artist Award 2014	Audience Development	Individual/None	\$15,000
Ramaswamy, Ranee	Doris Duke Artist Award 2014	Incentive	Individual/None	\$4,500
Taborn, Craig	Doris Duke Artist Award 2014	Incentive	Individual/None	\$10,000
Taborn, Craig	Doris Duke Artist Award 2014	Audience Development	Individual/None	\$25,000
			<b>Total Paid to Individuals</b>	<b>\$280,994</b>
			<b>Total Paid to Organizations</b>	<b>\$0</b>
			<b>Total Paid in 2019 from DDCF Grant 2013221</b>	<b>\$280,994</b>



October 6, 2020

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013030

Dear Mr. Henry:

In February 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,500,000 (the “Grant”).

By grant agreement executed February 27, 2013 (the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**


Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the second cohort of Doris Duke Artist Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2013 (the “Awards Program”)

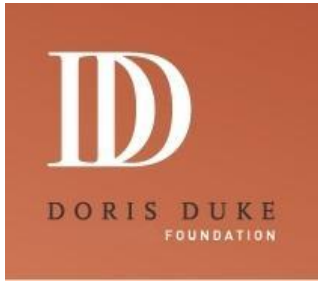
**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2019. DDF received no payments from DDCF and no grant funds were expended in 2019 toward the second cohort of Awards Program recipients in 2019.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

  
[Peter Simmons \(Oct 6, 2020 15:46 PDT\)](#)

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation



October 6, 2020

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2012015

Dear Mr. Henry:

In 2012, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$5,775,000 (the “Grant”).

By grant agreement executed April 3, 2012 and Amendment executed May 18, 2012 (collectively, the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those payments, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the first cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (the “Awards Program”)

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2019. DDF received no grant payments from DDCF and no grant funds were expended in 2019 toward the first cohort of Awards Program recipients.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Peter Simmons', is written over a horizontal blue line.

Peter Simmons (Oct 6, 2020 15:46 PDT)

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation



October 6, 2020

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2019216

Dear Mr. Henry:

In 2019, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation (“DDF”) totaling \$1,650,000 (the “Grant”).

By grant agreement executed April 3, 2012 and Amendment executed May 18, 2012 (collectively, the “Grant Agreement”), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF’s fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those payments, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the Doris Duke Artists Award recipients, to be selected for funding through a peer review process in 2020.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2019. DDF received no grant payments from DDCF and no grant funds were expended in 2019 toward the 2020 Doris Duke Artist Awards.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in blue ink, appearing to read 'Peter Simmons', is written over a horizontal blue line.

Peter Simmons (Oct 6, 2020 15:33 PDT)

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation



December 4, 2019

***DDCF Grant No. 2019216***

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation  
650 Fifth Avenue  
New York, NY 10019

Dear Mr. Simmons:

At a meeting on November 11, 2019, the Trustees of the Doris Duke Charitable Foundation (“DDCF”) approved a 4-year grant (“Grant”) to the Doris Duke Foundation (“DDF”) totaling \$1,650,000 to support the eighth cohort of Doris Duke Artist Award recipients, to be selected for funding in 2020 by the Doris Duke Artist Awards Program (the “Awards Program”).

This letter describes the terms and conditions for the Grant, including the specific "expenditure responsibility" obligations arising because DDF is a private foundation rather than a publicly supported charity.

By signing this letter, you agree on behalf of DDF to comply with the following obligations with respect to this Grant:

### **Grant Requirements**

#### **Grants to Artists**

- (1) DDF will provide grants to the individual artists selected to receive funding by the 2020 selection panels of the Awards Program. Each grant will total up to \$275,000, including:
  - **\$250,000 of unrestricted funding**, to be used flexibly by the artist over four years starting July 1, 2020. The intent of this funding is to allow the artists to take creative risks, explore new ideas, and pay for important needs.
  - **Up to \$25,000 as an incentive** for the artist to save for his/her artistic future.
- (2) Each grant awarded shall have a grant agreement detailing the terms and conditions for use of the grant funds, including annual reporting on use of the grants. DDF agrees to submit a draft template of the grant agreement to DDCF for review and comment. In addition to other terms and conditions, the agreement template must also include the following language “[Grant Recipient] shall comply with all applicable state, city, and federal laws, ordinances, codes, and regulations. In addition, you shall comply with not-for-profit rules forbidding the carrying on of propaganda or otherwise attempting to influence legislation or intervention in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for

public office. [Grant Recipient] shall obtain all permits, licenses and approvals required and shall comply with all laws in connection therewith.”

- (3) DDF will make payments to each individual artist in accordance with the grant agreement and the approved grant payout schedule determined for each artist.
- (4) DDF will maintain files on each grant awarded and monitor the programmatic and financial progress of each Artist Award recipient.

**Payment of Grant Funds**

- (5) DDCF grant payments to DDF will be scheduled to facilitate the artists’ payout schedules determined by DDF. Approximately ten days prior the scheduled payments to artists, DDF will provide DDCF with projections of its cash needs. Upon receipt and approval of the cash projections, DDCF will schedule a payment to DDF. In the event that additional cash is needed during the year, DDF may request additional payments from DDCF; DDCF will evaluate each such request within the context of its overall payout goals for the year and the Grant.

**Expenditure Responsibility Requirements**

- (6) DDF agrees to repay to DDCF any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (7) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, DDF agrees to submit full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of DDF or a certified public accountant, within a reasonable period of time after the close of DDF’s annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant); including a listing of each grant awarded describing the grant recipient, amount awarded, amount paid during the reporting period, and future payout scheduled by year.
- (8) DDF agrees to report the Grant separately on its books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (9) DDF agrees to maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make DDF’s books, records and other information available for DDCF’s inspection at reasonable times, which DDCF believes necessary to keep DDCF fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");
- (10) DDF agrees to keep these records of receipts and expenditures and copies of reports submitted to DDCF for at least four years after completion of the use of the Grant funds; and
- (11) DDF agrees not to use any of the Grant or income arising therefrom for any of the following purposes:
  - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of Section 4945(d)(1) of the Code),
  - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of Section 4945(d)(2) of the Code),

- c) To make any grant which does not comply with the individual grant requirements of Section 4945(d)(3) of the Code or the organization grant requirements of Section 4945(d)(4) of the Code, or
- d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in Section 170(c)(2)(B) of the Code.

**Qualifying Distribution**

DDF also agrees that no later than the end of its first taxable year after the taxable year in which it receives a Grant payment from DDCF, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant payment, and, within such time period, DDF agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which DDF elects pursuant to Treas. Reg. 53.4942(A)3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if DDF were not an operating foundation.

In the event that DDF violates the terms of this Letter Agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, DDF agrees that it will refund to DDCF the full amount of the Grant and pay over to DDCF any additional amount which may be necessary to effect a correction under section 4945 of the Code.


The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require DDCF to ask that more detailed reports be submitted or that other steps be taken. The DDCF will promptly inform DDF of any such changes, and by signing this letter you indicate DDF's agreement to provide such additional information and comply with such requests.

If this letter correctly sets forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Sincerely,

  
Edward P. Henry  
President

Accepted and agreed for **Doris Duke Foundation:**

  
Peter Simmons (Dec 4, 2019)  
Peter Simmons, Chief Operating Officer

Dec 4, 2019  
Date



# EUREKA FOUNDATION

March 24, 2020

Adrienne A. Fisher  
Director of Grants Management & Evaluation  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2016225

Dear Ms. Fisher:

In 2016, the Doris Duke Charitable Foundation (DDCF) made a grant to Eureka Foundation, Inc. totaling \$75,000 (the "Grant").

According to the agreement dated December 15, 2016, ("Grant Agreement") Eureka Foundation, Inc. agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent, and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, Eureka Foundation, Inc. represented that before the end of the grant: July 30, 2019, it would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of the Grant, and that it would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Eureka Foundation, Inc.  
12 East 12<sup>th</sup> Street #10  
New York, NY 10003

**Purpose of Grant:** Great Museums: Masterworks of Islamic Art (w/t)

**Use of Qualifying Distribution Grant funds by Eureka Foundation, Inc.:**

A final financial report for the reporting year is attached.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Eureka Foundation, Inc. made a qualifying distribution out of corpus as defined in IRC §4942, equal to \$75,000, and has provided DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

Sincerely,

Michael D. McCarthy  
Chairman  
Eureka Foundation, Inc.

**DDCF / DDFIA  
Cross Program Fund  
Grant Proposal Budget**

<b>Organization:</b> <i>Eureka Foundation for the "Great Museums: Art of Islam"</i>					
<i>Add lines as necessary for budget detail</i>	<b>Funds Requested (enter dates)</b>		<b>NON-DDCF Budget</b>		<b>TOTAL PROJECT 01/01/17 - 07/30/19</b>
	<b>DDCF (up to \$75,000)</b>	<b>DDFIA (up to \$25,000)</b>	<b>In-Kind Support</b>	<b>Other Funding</b>	
<b>BUDGET CATEGORIES</b>	<b>01/01/17 - 07/30/19</b>	<b>01/01/17 - 07/30/19</b>			
<b>A. PERSONNEL (grantee only)</b>					
Staff Positions (add additional rows, if more space is needed)					
Full-time staff	\$28,000				\$ 28,000
Consultants					\$ -
Interns					\$ -
					\$ -
Total Salaries	\$ 28,000		\$ -		\$ 28,000
Fringe benefits (Rate 31 %)					\$ -
<b>TOTAL SALARIES &amp; BENEFITS</b>	<b>\$ 28,000</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ 28,000</b>
<b>B. OTHER THAN PERSONNEL SERVICES (OTPS) (add rows as needed)</b>					
Temporary Staffing (Dir of Photography)	\$2,555				\$ 2,555
Office Supplies					\$ -
Meals / Business Meetings (in house)					\$ -
Telephone / Conference Calls					\$ -
Printing					\$ -
Space Rental	\$1,500				\$ 1,500
Equipment Rental or Purchase					\$ -
Postage/Mailing					\$ -
Books and Publications (DVDs)	\$4,562				\$ 4,562
Travel		\$11,024			\$ 11,024
Meals/Lodging (Event Catering)		\$2,423			\$ 2,423
Non-Staff Travel					\$ -
Non-Staff Meals/Lodging	\$359				\$ 359
Registration Fees - Conferences					\$ -
					\$ -
					\$ -
Professional fees / Consulting - including web services (itemize: Station Relations, Museum Relations/Marketing/PR, Education Consultant)	\$28,040	\$11,100			\$ 39,140
Honoraria (itemize)					\$ -
Gifts/Promotional Items					\$ -
Other (List below; add rows if needed) Educational Materials	\$1,225				\$ 1,225
Artist/Speaker Fees, Travel and Per Diem					\$ -
Marketing/PR	\$8,759	402.17			\$ 9,161.17
Production (daytime and evening)					\$ -
Onscreen Content, Recording, Live Streams					\$ -
					\$ -
<b>OTPS</b>	<b>\$ 47,000</b>	<b>\$ 24,949.17</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 71,949.17</b>
<b>SUBTOTAL</b>	<b>\$ 75,000</b>	<b>\$ 24,949.17</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 99,949.17</b>
Indirect Costs (Max 12% of Direct Cost subtotal)					\$ -
<b>D. GRAND TOTAL</b>	<b>\$ 75,000</b>	<b>\$ 24,949.17</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 99,949.17</b>

**Date:** **03/24/20**

(Include Budget Narrative in Proposal)

**DDCF / DDFIA**  
**Cross Program PROPOSAL BUDGET**

The Foundation requires the applicant to provide detailed budget information on the proposed project. Dates and budget narrative in the application should complement this budget.

**Proposal Budget**

The total cost of the project should be divided into:

1. Amount requested from DDCF and DDFIA separately.
2. In-kind contributions for the project.
3. Other funding for the project.

Use only those budget categories that are applicable. Eliminate and/or add categories as you see fit.

**DDCF Indirect Costs** are capped at 12% of Direct Costs unless otherwise instructed. Includes rent, mortgage, support equipment, support service departments (e.g., general administration, development, finance, or other organizational costs applicable to all programs and activities, including this project.

**Budget Narrative**

Please include a budget narrative for any line items that require further explanation.

Doris Duke Charitable Foundation Inc  
 Form 990-PF - Return of Private Foundation  
 FYE: 12/31/2019  
 Attachment 17

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID

<u>RECIPIENT NAME AND ADDRESS</u>	<u>Tax Status</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>GRANTS PAID</u>
PROGRAM GRANTS	See Attachment 17 Detail	No Relationship	\$72,709,928
DUKE UNIVERSITY	PC	No Relationship	\$74,600
NEWPORT RESTORATION FOUNDATION(NRF)	PC	No Relationship	\$2,631,120
DUKE FARMS FOUNDATION	PF	Related Entity	\$12,500,166
DORIS DUKE FOUNDATION FOR ISLAMIC ART	PF	Related Entity	\$9,904,545
DORIS DUKE FOUNDATION FOR ISLAMIC ARTS BUILDING BRIDGES GRANTS	PF	Related Entity	-\$2,500,680
LESS: DORIS DUKE FOUNDATION ARTISTS' AWARDS	N/A	Related Entity	-\$2,817,170
<b>TOTAL</b>			<b><u><u>\$92,502,509</u></u></b>

**PART XV - GRANTS AND CONTRIBUTIONS PAID DURING  
2019**

<b>SUMMARY BY PROGRAM AREA</b>	<b>Amount Paid 2019</b>
<b>TOTAL AFRICAN HEALTH INITIATIVE</b>	<b>3,997,907</b>
<b>TOTAL ARTS</b>	<b>15,875,000</b>
<b>TOTAL ARTS INITIATIVE</b>	<b>3,422,765</b>
<b>TOTAL CHILD WELL-BEING</b>	<b>9,395,000</b>
<b>TOTAL ENVIRONMENT</b>	<b>22,172,500</b>
<b>TOTAL MEDIA FUND</b>	<b>406,059</b>
<b>TOTAL MEDICAL RESEARCH</b>	<b>16,195,997</b>
<b>TOTAL CROSS PROGRAM GRANTS</b>	<b>465,000</b>
<b>TOTAL PHILANTHROPIC SECTOR/OTHER</b>	<b>779,700</b>
<b>GRAND TOTAL</b>	<b>72,709,928</b>

## PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2019

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b><u>African Health Phase II</u></b>				
<b>The Aspen Institute, Inc. 2300 N Street NW Suite 700 Washington, DC 20037-1122</b>	To support the Aspen Management Partnership for Health (AMP Health) to strengthen leadership and management capabilities in the Ghana Health Service	100,000 2019	PC	100,000
<b>Columbia University 615 W 131 St Mc8741 New York, NY 10027-7922</b>	A National Program for Strengthening the Implementation of the Community-based Health Planning and Services (CHPS) Initiative in Ghana: CHPS+	8,100,000 2016	PC	1,288,300
<b>Health Alliance International 1107 NE 45th Street, Suite 350 Seattle, WA 98105-4631</b>	To support the Mozambique team's cross country research activities focused on data use for decision-making and quality of care	23,070 2019	PC	23,070
<b>Health Alliance International 1107 NE 45th Street, Suite 350 Seattle, WA 98105-4631</b>	To support Spreading IDEAs: The Integrated District Evidence to Action program to Improve Maternal, Newborn and Child Health	8,800,000 2016	PC	1,789,050
<b>JSI Research &amp; Training Institute, Inc. 44 Farnsworth Street Boston, MA 02210-1209</b>	To support the Ethiopia team's cross country research activities focused data use for decision-making and quality of care	48,571 2019	PC	48,571
<b>JSI Research &amp; Training Institute, Inc. 44 Farnsworth Street Boston, MA 02210-1209</b>	To support implementation of the Ethiopia Data Use Partnership to strengthen and scale-up the Ethiopian health information system to improve the use of data for decision-making in 4 regions of Ethiopia	5,000,000 2017	PC	748,916
<i>Total African Health Phase II</i>				3,997,907
<b><u>Arts</u></b>				
<b>Afro Latin Jazz Alliance of New York Inc Minisink Townhouse, 646 Malcolm X Blvd, Suite 205 New York, NY 10037</b>	Leadership Grants for Arts Organizations 2018	300,000 2018	PC	200,000
<b>Alternate ROOTS, Inc. 1270 Caroline St Box D120353 Atlanta, GA 30307-2758</b>	Core Support for National Arts Service 2019	350,000 2019	PC	245,000
<b>Alvin Ailey Dance Foundation The Joan Weill Center for Dance 405 West 55th Street New York, NY 10019-4402</b>	To support the "Dancing Forward" Campaign: to advance scholarships and recruitment efforts for young men of color; the Resident Choreographer Program; and the Digital Workplace Initiative	500,000 2019	PC	500,000
<b>Appalshop, Inc. 91 Madison Ave Whitesburg, KY 41858-9317</b>	To further support the project, Performing Our Future	112,500 2017	PC	12,500
<b>Appalshop, Inc. 91 Madison Ave Whitesburg, KY 41858-9317</b>	To support Appalshop's programmatic capacity and financial stability by providing lead support for establishment of a Working Capital Reserve Fund and an Artistic Reserve Fund	200,000 2018	PC	50,000
<b>Arthur Aviles Typical Theatre 2474 Westchester Avenue Bronx, NY 10461-3510</b>	To support BAAD! Bronx Academy of Arts and Dance during its 20th anniversary year	20,000 2019	PC	20,000
<b>Association of Performing Arts Professionals, Inc. 919 18th St. NW, Suite 650 Washington, DC 20006</b>	Core Support for National Arts Service 2019	360,000 2019	PC	252,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
Association of Performing Arts Professionals, Inc. 919 18th St. NW, Suite 650 Washington, DC 20006	Core Support for National Arts Service 2018	350,000 2018	PC	50,000
AXIS Dance Company 1428 Alice St., Ste. 200 Oakland, CA 94612	Leadership Grants Program for Dance	250,000 2015	PC	37,500
Ballet Hispanico of New York 167 W 89Th St New York, NY 10024-1901	Leadership Grants Program for Dance	550,000 2015	PC	166,375
Brooklyn Academy of Music, Inc. 30 Lafayette Avenue Brooklyn, NY 11217-1430	To support the presentation of national and international dance in the BAM Fisher and BAM Harvey Theaters in the 2018 and 2019 seasons	500,000 2018	PC	250,000
CapitalBop 2101 Connecticut Ave Nw Washington, DC 20008-1728	Leadership Grants for Arts Organizations	100,000 2018	PC	20,000
Center for Cultural Innovation 244 S San Pedro Street, Suite 401 Los Angeles, CA 90012-3860	To support AmbitioUS, an exploration of promising models for supporting artists' livelihoods	100,000 2019	PC	100,000
Center for Performance Research 361 Manhattan Avenue Unit 1 Brooklyn, NY 11211-2744	To support a Strategic Facilities Business Plan	25,000 2019	PC	25,000
Center Stage Associates, Inc. 700 North Calvert Street Baltimore, MD 21202-3602	To advance change in the theater field through support for a shared learning agenda among artistic directors of four theaters, new and reimagined artistic programming at each venue, and general operating support	200,000 2019	PC	120,000
Chamber Music America 12 West 32nd Street 7th Floor New York, NY 10001-0802	To support jazz musicians and presenters through project grants that fund new works, touring collaborations, and performing and recording opportunities	3,177,500 2018	PC	850,000
Chamber Music America 12 West 32nd Street 7th Floor New York, NY 10001-0802	Core Support for National Arts Service 2019	360,000 2019	PC	252,000
Community Funds, Inc. 909 Third Avenue, Floor 22 New York, NY 10022-4731	To support The Mosaic Fund, promoting an arts ecosystem that values racial equity; a donor collaborative of The New York Community Trust	500,000 2018	PC	250,000
Connecticut Players Foundation, Inc. 222 Sargent Drive New Haven, CT 06511-5919	To advance change in the theater field through support for a shared learning agenda among artistic directors of four theaters, new and reimagined artistic programming at each venue, and general operating support	100,000 2019	PC	60,000
Creative Capital Foundation 15 Maiden Lane 18th Floor New York, NY 10038-4003	To support Creative Capital grants and advisory services to Performing Artists	1,260,000 2016	PC	500,000
Creative Capital Foundation 15 Maiden Lane 18th Floor New York, NY 10038-4003	Core Support for National Arts Service 2018	262,500 2018	PC	62,500

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>Creative Capital Foundation</b> 15 Maiden Lane 18th Floor New York, NY 10038-4003	Core Support for National Arts Service 2019	360,000 2019	PC	252,000
<b>Dance/NYC</b> 218 East 18th Street, Garden Floor New York, NY 10003-3605	To provide FY19 general operating support for Dance/NYC	10,000 2019	PC	10,000
<b>Dance/USA</b> 1029 Vermont Avenue, NW Washington, DC 20005-3517	Core Support for National Arts Service 2018	350,000 2018	PC	50,000
<b>Dance/USA</b> 1029 Vermont Avenue, NW Washington, DC 20005-3517	Core Support for National Arts Service 2019	360,000 2019	PC	252,000
<b>Dance/USA</b> 1029 Vermont Avenue, NW Washington, DC 20005-3517	To support the Dance Artists and Social Practice regranting Initiative	1,900,000 2018	PC	600,000
<b>DC Jazz Festival</b> 515 M Street, SE, Suite 102-B Washington, DC 20003	Leadership Grants for Arts Organizations	225,000 2018	PC	75,000
<b>Earshot Jazz Society of Seattle</b> 3429 Fremont Pl N Ste 309 Seattle, WA 98103-8650	Leadership Grants for Arts Organizations 2017	375,000 2017	PC	125,000
<b>Emerson College</b> 120 Boylston St Boston, MA 02116-4611	Core Support for National Arts Service 2019: HowlRound	360,000 2019	PC	252,000
<b>Emerson College</b> 120 Boylston St Boston, MA 02116-4611	Core Support for National Arts Service 2019: Latinx Theatre Commons	128,300 2019	PC	89,810
<b>Filmmakers Collaborative</b> 6 Eastman Place Suite 202 Melrose, MA 02176-3933	To support Starfish, a pilot accelerator lab for professional artists of color, to nurture the development of media projects that expand the cultural narratives presented in popular culture	75,000 2019	PC	75,000
<b>First Peoples Fund</b> 706 West Blvd Rapid City, SD 57709-2977	To support a convening of Native arts leaders, knowledge holders and research experts to plan a comprehensive field scan and assessment of the Native performing arts landscape	25,000 2019	PC	25,000
<b>Fractured Atlas</b> 248 West 35th Street, 10th Floor New York, NY 10001-2505	Core Support for National Arts Service 2018	350,000 2018	PC	50,000
<b>Fractured Atlas</b> 248 West 35th Street, 10th Floor New York, NY 10001-2505	Core Support for National Arts Service 2019	360,000 2019	PC	252,000
<b>Fresh Meat Productions</b> 375 27th Street #A San Francisco, CA 94146-0670	Leadership Grants for Arts Organizations 2018	225,000 2018	PC	75,000
<b>Gina Gibney Dance Inc</b> 890 Broadway, Fifth Floor New York, NY 10003-1211	Leadership Grants for Arts Organizations 2017	325,000 2017	PC	100,000
<b>Golden Thread Productions</b> 1695 18th St C101 Annex San Francisco, CA 94107-0000	To support the ReOrient Festival of Short Plays 2019	5,000 2019	PC	5,000



## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>Gotham Dance, Inc.</b> 2666 Summit St Columbus, OH 43202-0484	To support Vault 2020, an artist-driven convening of artists, curators and scholars	10,000 2019	PC	10,000
<b>Grantmakers in the Arts</b> 522 Courtlandt Ave Bronx, NY 10451-5008	To support the GIA 2020 New York Conference	100,000 2019	PC	100,000
<b>H.T. Dance Company, Inc.</b> PO Box 777 New York, NY 10108	Leadership Grants for Arts Organizations 2018	150,000 2018	PC	54,000
<b>HERE Arts Center</b> 145 Sixth Avenue New York, NY 10013	To support HERE's Artists' Programs: HERE Artist Residency Program and HERE & Back	50,000 2019	PC	50,000
<b>Jazz at Lincoln Center</b> 3 Columbus Circle, Suite 1200 New York, NY 10019-8760	To support the 2020 Jazz Congress	50,000 2019	PC	50,000
<b>Jazz St. Louis</b> The Harold & Dorothy Steward Center for Jazz 3536 Washington Ave St. Louis, MO 63103-1019	Leadership Grants for Arts Organizations 2018	350,000 2018	PC	78,375
<b>Joyce Theater Foundation, Inc.</b> 175 Eighth Avenue New York, NY 10011-1694	To support Joyce Theater Productions and its partnerships with large-scale dance companies that result in Extraordinary Presentations	500,000 2019	PC	250,000
<b>Joyce Theater Foundation, Inc.</b> 175 Eighth Avenue New York, NY 10011-1694	Leadership Grants for Arts Organizations - Evidence, Inc. Ron Brown	250,000 2016	PC	60,000
<b>Junebug Productions Inc.</b> 1010 N. Galvez Street Suite 111 New Orleans, LA 70119	Leadership Grants for Arts Organizations	200,000 2019	PC	100,400
<b>Kyle Abraham Abraham in Motion</b> 219 West 19th Street, 2nd Floor New York, NY 10011-4001	Leadership Grants for Arts Organizations	200,000 2019	PC	150,000
<b>MAP Fund Inc</b> 577 Grand Street #1801 New York, NY 10002-3688	To support contemporary performance makers that propose original projects with a deep exploration of art form or content	2,860,000 2018	PC	1,430,000
<b>Miami Light Project, Inc.</b> 404 NW 26 Street Miami, FL 33127	Leadership Grants for Arts Organizations	250,000 2019	PC	100,000
<b>National Asian American Theatre Festival</b> 520 8th Avenue, Suite 308 New York, NY 10018-6507	Core Support for National Arts Service 2019	99,750 2019	PC	69,825
<b>National Association of Latino Arts and Culture</b> 1208 Buena Vista St San Antonio, TX 78207-4301	Core Support for National Arts Service 2019	360,000 2019	PC	252,000
<b>National New Play Network</b> 641 D Street NW Washington, DC 20004-2904	Core Support for National Arts Service 2019	270,000 2019	PC	189,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
National New Play Network 641 D Street NW Washington, DC 20004-2904	Core Support for National Arts Service 2018	190,000 2018	PC	40,000
National Performance Network 8121 Fig Street New Orleans, LA 70156-6698	Core Support for National Arts Service 2019	360,000 2019	PC	252,000
National Performance Network 8121 Fig Street New Orleans, LA 70156-6698	Core Support for National Arts Service 2018	262,500 2018	PC	33,216
National Performance Network 8121 Fig Street New Orleans, LA 70156-6698	To support cross-disciplinary performing artists and presenting organizations to partner on the creation and development of new works	3,000,000 2018	PC	850,000
Network of Ensemble Theaters Po Box 83526 Portland, OR 97283-0526	Core Support for National Arts Service 2019	148,730 2019	PC	104,111
New England Foundation for the Arts 145 Tremont Street 7th Floor Boston, MA 02111-1214	To support the National Theater Project, which creates opportunities for the development and performance of new work and provides access to a national touring network of performing arts presenting organizations	1,725,000 2019	PC	753,975
New England Foundation for the Arts 145 Tremont Street 7th Floor Boston, MA 02111-1214	Core Support for National Arts Service 2019	360,000 2019	PC	252,000
New England Foundation for the Arts 145 Tremont Street 7th Floor Boston, MA 02111-1214	Core Support for National Arts Service 2018	262,500 2018	PC	37,500
New England Foundation for the Arts 145 Tremont Street 7th Floor Boston, MA 02111-1214	To support the National Dance Project, which enables artists to create, present and tour new dance work	5,444,100 2018	PC	520,000
New York City Ballet, Inc. 20 Lincoln Center Plaza New York, NY 10023-6913	To support the NYCB New Combinations Fund in the 2019-20 and 2020-21 seasons	200,000 2019	PC	200,000
Newark Public Radio 54 Park Place Newark, NJ 07102-4302	Leadership Grants for Arts Organizations	200,000 2019	PC	115,000
Pangea World Theater 711 W Lake St Ste 102 Minneapolis, MN 55408-3357	Core Support for National Arts Service 2019	253,240 2019	PC	177,268
Paul Taylor Dance Company 551 Grand Street New York, NY 10002-4282	To support Taylor Company Commissions	250,000 2019	PC	125,000
Penumbra Theatre 270 Kent St Saint Paul, MN 55102-1744	To support expansion of artEquity's Facilitator Training Program focused on diversity training in the arts	82,150 2018	PC	31,950
Performance Zone, Inc 75 Maiden Lane, Suite 906 New York, NY 10038-4810	To provide programmatic support and honoraria to the nominees of The New York Dance and Performance Awards aka The Bessies	85,000 2019	PC	85,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>Philadanco</b> 1528 Walnut Street, Ste. 2020 Philadelphia, PA 19102	To support PHILADANCO! at 50: Leadership & Capacity Building	90,000 2019	PC	90,000
<b>Portland Institute for Contemporary Art</b> 15 NE Hancock St. Portland, OR 97212-3936	To support the Global First Nations Performance Network to increase the quantity, impact, and capacity for Indigenous performance locally and internationally	50,000 2019	PC	50,000
<b>Propel Nonprofits</b> 1 SE Main Street Minneapolis, MN 55414-1036	To support strategic planning for member theaters of the Twin Cities Theatres of Color Coalition (TCTOCC)	255,000 2019	PC	106,250
<b>Ragamala Dance</b> 711 West Lake Street, Suite 309 Minneapolis, MN 55408	Leadership Grants Program for Dance	250,000 2015	PC	53,125
<b>The Repertory Theatre of St Louis</b> 130 Edgar Road Saint Louis, MO 63119-3228	To advance change in the theater field through support for a shared learning agenda among artistic directors of four theaters, new and reimagined artistic programming at each venue, and general operating support	100,000 2019	PC	60,000
<b>San Francisco Jazz Organization</b> 201 Franklin Street San Francisco, CA 94102-5108	Leadership Grants for Arts Organizations	350,000 2019	PC	256,000
<b>Signature Theatre Company</b> The Pershing Square Signature Center 480 West 42nd Street New York, NY 10036-6805	Leadership Grants for Arts Organizations	500,000 2019	PC	160,000
<b>South Arts, Inc.</b> 1800 Peachtree Street NW Suite 808 Atlanta, GA 30309-0000	To support the Jazz Road regranting program	3,500,000 2018	PC	1,000,000
<b>Stephen Petronio Company</b> 140 Second Avenue, Suite 504 New York, NY 10003-8364	Leadership Grants for Arts Organizations	150,000 2019	PC	100,000
<b>Theatre Communications Group</b> 520 8th Ave, 24th floor New York, NY 10018-6507	Core Support for National Arts Service 2018	350,000 2018	PC	50,000
<b>Theatre Communications Group</b> 520 8th Ave, 24th floor New York, NY 10018-6507	Core Support for National Arts Service 2019	360,000 2019	PC	252,000
<b>True Colors Theatre Company</b> 887 West Marietta Street, J-102 Atlanta, GA 30318-5266	Leadership Grants for Arts Organizations	250,000 2019	PC	100,000
<b>University of California, Los Angeles</b> 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505	Leadership Grants for Arts Organizations	300,000 2019	PC	96,320
<b>Urban Bush Women</b> 138 South Oxford Street, 4B Brooklyn, NY 11217-1694	Leadership Grants Program for Dance	250,000 2015	PC	10,000
<b>Urban Bush Women</b> 138 South Oxford Street, 4B Brooklyn, NY 11217-1694	To support planning activities to develop "Legacies of Change: Prospective," an initiative examining and documenting the legacies of Liz Lerman and Jawole Willa Jo Zollar	50,000 2019	PC	50,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
Vail Valley Foundation Inc Po Box 6550 Vail, CO 81658-0309	Leadership Grants for Arts Organizations 2017	400,000 2017	PC	200,000
Walker Art Center, Inc. 725 Vineland Pl Minneapolis, MN 55403-1139	To expand production residency support for a new commissioned jazz work, "In These Times" created by Makaya McCraven.	35,000 2019	PC	35,000
Western Alliance of Arts Administrators Foundation 715 SW Morrison Street, Suite 600 Portland, OR 97205-3102	To support the Advancing Indigenous Performance initiative	50,000 2019	PC	50,000
Woolly Mammoth Theatre Company 641 D Street NW Washington, DC 20004-2904	To advance change in the theater field through support for a shared learning agenda among artistic directors of four theaters, new and reimagined artistic programming at each venue, and general operating support	100,000 2019	PC	60,000
Yard Inc 1 The Yard Chilmark, MA 02535-0405	Leadership Grants for Arts Organizations	350,000 2018	PC	115,000
Yerba Buena Center for the Arts 701 Mission Street San Francisco, CA 94103-3138	Leadership Grants for Arts Organizations	200,000 2019	PC	150,000
			<i>Total Arts</i>	15,875,000
<b>Arts Initiative</b>				
651 Arts 1000 Dean Street #232 Brooklyn, NY 11238-3381	To host Najee Omar at 651 ARTS to imagine and explore new approaches to demand building for live performance in poetry, theatrical installations, music and dance in and with our local communities.	62,376 2019	PC	46,782
Casita Maria 928 Simpson Street Bronx, NY 10459-4402	To host Bronx World Arts Ensemble	100,000 2019	PC	75,000
Childsplay, Inc. 900 S. Mitchell Dr Tempe, AZ 85281-5592	To host Zarco Guerrero at Childsplay to imagine and explore new approaches to demand building for theatre for young audiences and families.	94,365 2019	PC	70,775
CounterPulse 80 Turk St. San Francisco, CA 94102-2808	To host Tiare Ribeaux at CounterPulse, to imagine and explore new approaches to demand building for among tech creatives for contemporary dance and performance.	82,900 2019	PC	62,175
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2018	1,650,000 2017	PF	381,250
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2019	1,650,000 2018	PF	357,500
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2014	5,500,000 2013	PF	280,994
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2015	5,225,000 2014	PF	549,279
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2016	5,500,000 2015	PF	1,238,147

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Impact Awards 2015	1,600,000 2014	PF	10,000
Ellis Marsalis Center for Music 1901 Bartholomew Street New Orleans, LA 70117	To host Derek Douget at the Ellis Marsalis Center for Music to imagine and explore new approaches to demand building for modern jazz music, specifically, the Silverbook.	100,000 2019	PC	75,000
Emerson College 120 Boylston St Boston, MA 02116-4611	To host Toshi Reagon at ArtsEmerson to imagine and explore new approaches to demand building for theater.	100,000 2019	PC	75,000
Interact 302 S. Hicks Street Philadelphia, PA 19102-4905	To host resident artist Rick Shiomi at InterAct Theatre Company in an effort to develop and engage audiences for an Asian American theatre festival in 2021.	100,000 2019	PC	75,000
Mixed Blood Theatre Company 1501 S 4th Street Minneapolis, MN 55454-1106	To host Mark Valdez at Mixed Blood Theatre to imagine and explore new approaches to demand building for theatre.	100,000 2019	PC	75,000
Trinity Repertory Company 201 Washington St Providence, RI 02903-3226	To host Laurie Woolery at Trinity Repertory Company to imagine and explore new approaches to demand building for theater.	67,818 2019	PC	50,863
			<i>Total Arts Initiative</i>	<u>3,422,765</u>
<b>Child Well-being</b>				
The Aspen Institute, Inc. 2300 N Street NW Suite 700 Washington, DC 20037-1122	To support and strengthen the third cohort of the Ascend fellowship program and to provide general operating support for Ascend.	800,000 2018	PC	500,000
The Aspen Institute, Inc. 2300 N Street NW Suite 700 Washington, DC 20037-1122	To support the Aspen Forum on Women and Girls Leadership series	287,500 2019	PC	287,500
Bluehub Capital, Inc. Malcom X Boulevard Boston, MA 02119-1775	To support expansion of the Working Cities Challenge model to small- and mid-sized cities in New England and other Federal Reserve districts	1,500,000 2015	PC	375,000
Bluehub Capital, Inc. Malcom X Boulevard Boston, MA 02119-1775	To pilot the Working Communities Challenge to advance cross-sector collaboration and improve well-being for low-income residents of small cities and rural communities in Vermont, Maine, and New Hampshire	600,000 2019	PC	200,000
Bluehub Capital, Inc. Malcom X Boulevard Boston, MA 02119-1775	To expand the Working Communities Challenge in rural communities in Vermont and Maine	400,000 2019	PC	400,000
The Brotherhood/Sister Sol 512 West 143rd Street New York, NY 10031-0000	To expand place-based programming activities for youth ages 8-22 as well as partnerships with other nonprofit organizations and the New York City school system.	1,000,000 2018	PC	250,000
Chapin Hall Center for Children 1313 E. 60th Street Chicago, IL 60637-2830	To strengthen the Doris Duke Fellows for the Promotion of Child Well-being early career researchers network and support research partnerships among current and past fellows and policy or practice partners	700,000 2018	PC	472,403
Chapin Hall Center for Children 1313 E. 60th Street Chicago, IL 60637-2830	To support the 2017 and 2018 cohorts of the Doris Duke Fellowships for the Promotion of Child Well-being	2,500,000 2016	PC	723,535

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>Child Welfare Innovation, Inc.</b> 1452 Dorchester Ave Ste 4 Dorchester, MA 02122-1386	To expand the Foster America Fellowship Program to improve outcomes for children and families at risk of entering, or presently engaged with the foster care system	1,000,000 2018	PC	300,000
<b>Children and Family Futures, Inc.</b> 25371 Commercentre Drive, Suite 140 Lake Forest, CA 92630	To support Prevention and Family Recovery (PFR) -- Phase 2 Continuation and Expansion	3,000,000 2016	PC	551,750
<b>Children's Bureau of Southern California</b> 1910 Magnolia Ave Los Angeles, CA 90007-1220	To support the Population Change Learning Community, strengthening the measurement capacity of place-based organizations	460,000 2018	PC	100,000
<b>Childrens Outing Assn</b> 909 E North Ave Milwaukee, WI 53212-3447	To strengthen a widespread community effort to engage families, community-based organizations, and government systems in a coordinated effort to improve health and well-being for children and families in three Milwaukee neighborhoods	300,000 2019	PC	122,207
<b>City Harvest</b> 6 East 32nd Street New York, NY 10016-5415	General operating support for FY 2020	150,000 2019	PC	150,000
<b>Community Funds, Inc.</b> 909 Third Avenue, Floor 22 New York, NY 10022-4731	To support the Foster Care Excellence Fund	100,000 2019	PC	100,000
<b>Community Resource Exchange</b> 42 Broadway, 20th Floor New York, NY 10004-1732	For fiscal year 2020 General Operating Support	25,000 2019	PC	25,000
<b>Dallas Foundation</b> 3963 Maple Ave Ste 390 Dallas, TX 75219-3239	To pilot the Texas Inclusive Economy Accelerator to strengthen cross-sector partnerships that advance the economic mobility and resilience of Texas residents living in low- and moderate-income communities	500,000 2019	PC	150,000
<b>Fund for Public Health in New York</b> 22 Cortlandt Street, Suite 802 New York, NY 10007-3107	To increase access to comprehensive reproductive health services at NYC public high schools and foster care agencies to reduce unintended pregnancies among at-risk teens	750,000 2017	PC	400,000
<b>Hawaii People's Fund</b> 949 Kapiolani Blvd #107 Honolulu, HI 96814-2128	To support the activities of People's Fund (dba Hawaii People's Fund)	50,000 2019	PC	50,000
<b>The Institute for Family Health</b> 2006 Madison Ave New York, NY 10035-1217	To support the Kingston Child Wellness Initiative	100,000 2019	PC	100,000
<b>Kokua Kalihi Valley Comprehensive Family Services</b> 2239 North School Street Honolulu, HI 96819-2539	To support the "Fostering Health and Resilience Through 'Āina" project, which will provide comprehensive clinical, preventive, and social services to improve the health outcomes of children and families living in the Kalihi community of Hawaii	300,000 2019	PC	125,000
<b>Mount Sinai Hospital</b> One Gustave L Levy Place New York, NY 10029-6504	To support Mount Sinai Adolescent Health Center's provision of free, high quality comprehensive medical services for 300 low-income adolescents with foster care experience and pilot an assessment tool to better target high need clients to relevant services	1,500,000 2016	PC	500,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>National Black Child Development Institute, Inc.</b> 8455 Colesville Rd Suite 910 Silver Spring, MD 20910-3319	To provide general operating support to National Black Child Development Institute (NBCDI) and launch the NBCDI Fellowship Program	800,000 2018	PC	500,000
<b>National Center for Youth Law</b> 1212 Broadway, Suite 600 Oakland, CA 94612	To support the FosterEd initiative to improve education outcomes of youth in foster care, the juvenile justice system, or homeless in two areas of California, and develop a California state policy agenda for these vulnerable youth	600,000 2017	PC	250,490
<b>National Indian Child Welfare Association</b> 5100 SW Macadam Avenue, Suite 300 Portland, OR 97239-6102	To support the Positive Indian Parenting Pilot Evaluation Study	100,000 2019	PC	100,000
<b>National League of Cities Institute Inc.</b> 660 North Capitol Street NW Suite 450 Washington, DC 20001-1644	To provide municipal leaders in Mississippi, Arkansas, and Louisiana with technical assistance and peer learning opportunities to develop and implement city-led strategies that will improve outcomes for children and families	600,000 2018	SO I	295,000
<b>NDN Collective, Inc.</b> 317 Main Street Rapid City, SD 57772-0459	To support and strengthen Native-led community development organizations and develop and launch the NDN Collective Changemakers Fellowship	2,500,000 2018	PC	600,000
<b>New Venture Fund</b> 1201 Connecticut Avenue NW, Suite 300 Washington, DC 20036-2656	To support the 2020 Census Project	200,000 2019	PC	200,000
<b>New York Academy of Medicine</b> 1216 Fifth Avenue New York, NY 10029-5202	To launch the East Harlem Action Collaborative and develop a coordinated, resident driven approach to reduce health inequalities and improve the health and well-being of children and families who live in East Harlem	300,000 2019	PC	100,000
<b>New Yorkers For Children Inc</b> 450 Seventh Ave Suite 403 New York, NY 10123	To create and launch the Nicholas Scoppetta Scholarship Fund (Nick's Scholars)	1,187,000 2016	PC	252,115
<b>San Antonio Area Foundation</b> 303 Pearl Parkway, Suite 114 San Antonio, TX 78215	To support the Neighborhood Inequality Narrative Change workshop and audience engagement strategy	50,000 2019	PC	50,000
<b>Social Finance, Inc.</b> 10 Milk St Ste 1010 Boston, MA 02108-4600	To provide general operating support for the development and launch of Pay for Success projects that advance positive outcomes for children, families, and communities and deliver energy efficiency retrofit solutions	1,750,000 2019	PC	250,000
<b>Thunder Valley Community Development Corporation</b> 290 Empowerment Drive Porcupine, SD 57772-0290	To support Ecosystem of Opportunity: Creating a Model for Pathways to Resilience; enhancing and evaluating the Thunder Valley CDC model for replication in other communities	1,700,000 2015	PC	225,000
<b>United Way Of San Antonio &amp; Bexar County</b> 700 South Alamo St. San Antonio, TX 78205-3404	To support the San Antonio Dual Generation Initiative, which integrates high-quality early childhood education programs with job skills, financial literacy, and parenting skills training for families living in the Eastside of San Antonio	750,000 2019	PC	275,000



## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
Valley Hospital Association dba Mat-Su Health Foundation 950 E. Bogard Rd. Ste 218 Wasilla, AK 99654-7172	To strengthen and expand the prevention and support services available to children and families in the Mat-Su region of Southcentral Alaska	1,000,000 2018	PC	415,000
<i>Total Child Well-being</i>				9,395,000
<b>Cross Program</b>				
CEC ArtsLink 291 Broadway Rm 1405 New York, NY 10007-2081	To support the ArtsLink International Fellowships	15,000 2019	PC	15,000
Filmmakers Collaborative 6 Eastman Place Suite 202 Melrose, MA 02176-3933	To support the Secret Life of Muslims, Season 3	75,000 2019	PC	75,000
PBS Foundation 2100 Crystal Drive Arlington, VA 22202-3784	To support PBS ArtsWalk Across America (wt)	100,000 2019	PC	100,000
Pinyon Foundation 2021 Ocean Ave, #204 Santa Monica, CA 90405-1048	To support the development, communication and evaluation of conservation-focused, Spanish-language media programming	100,000 2019	PC	100,000
Spoletto Festival USA 14 George Street Charleston, SC 29401-1524	To support the development, production and presentation support for The Life of Omar Ibn Said, an opera commissioned by Spoleto Festival USA	75,000 2019	PC	75,000
University of Southern California University Park Los Angeles, CA 90089-0001	To support the Public Health Data Journalism Fellowship	100,000 2019	PC	100,000
<i>Total Cross Program</i>				465,000
<b>Environment</b>				
American Farmland Trust (AFT) 1150 Connecticut Ave, NW Suite 600 Washington, DC 20036-4104	To renew support for the Hudson Valley Farmlink Network	450,000 2017	PC	100,000
American Forests 1220 L Street, NW Suite 750 Washington, DC 20005	To support a comprehensive set of research, finance, policy, and state-wide demonstration activities that will build capacity across the urban forest movement and advance an integrated climate mitigation and health approach to urban environments	1,750,000 2019	PC	1,150,000
American Forests 1220 L Street, NW Suite 750 Washington, DC 20005	To expand U.S. forest sector interest in natural climate solutions (NCS), accelerate NCS uptake and by U.S. states, and improve federal policies that would help unlock NCS potential in federal spending and land stewardship	300,000 2018	PC	100,000
Climate Central, Inc. 1 Palmer Square East, Suite 402 Princeton, NJ 08542-3718	To increase public attention to the issue of sea level rise and adaptation of coastal wetland ecosystems, and public understanding of the climate-weather connection	450,000 2019	PC	450,000
Climate Conservation 303 West Mendenhall, Suite 3 Bozeman, MT 59715	To support The Campaign for State-based Wildlife Corridor Protection	50,000 2019	PC	50,000
Consensus Building Institute 100 CambridgePark Drive Suite 302 Cambridge, MA 02140-2330	To support the development of a new field of practice focused on facilitating community-led managed retreat from sea level rise and other climate change risks	400,000 2019	PC	300,000



## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>Consultative Group On Biological Diversity, Dba Biodiversity Funders Group</b> 1016 Torney Avenue Suite 206 San Francisco, CA 94129-0361	To renew membership and support the Climate and Energy Funders Group	45,000 2019	PC	45,000
<b>The Corbin Hill Food Project</b> 475 Riverside Drive Suite 243 New York, NY 10115-0014	To implement a planning process for transferring the Corbin Hill Road Farm to the community	50,000 2019	PC	50,000
<b>Defenders of Wildlife</b> 1130 17th Street NW Washington, DC 20036	To renew support for a project that expedites the sensitive siting of renewable energy projects through the development of federal and state energy and land management policies	4,000,000 2018	PC	1,300,000
<b>Earth Island Institute</b> 2150 Allston Way Ste 460 Berkeley, CA 94704-1375	To support mentorships and regional gatherings of People of the Global Majority in the Outdoors, Nature and Environment (PGM ONE)	15,000 2019	PC	15,000
<b>Ecoadapt</b> 649 Moji Lane NW Bainbridge Island, WA 98110	To support building resilient communities and habitats through the National Adaptation Forum	25,000 2019	PC	25,000
<b>Foodshed Alliance</b> 27 Main Street, 2nd fl. Blairstown, NJ 07825-0713	To provide general support of local food system work, specifically the "Growing Farmers" and "Distribution" areas	50,000 2019	PC	50,000
<b>Georgetown University</b> 2115 Wisconsin Ave Nw Ste 601 Washington, DC 20007-2265	The purpose of the Grant is to support the Georgetown Climate Center's work to increase the capacity of jurisdictions considering managed retreat as an adaptation strategy to sea level rise or other climate change risks	200,000 2019	PC	200,000
<b>Institute for Strategic and Equitable Development</b> 600 West 116th Street, #7D New York, NY 10027	To support InDEEP: Inclusion, Diversity, and Racial Equity in Environmental Philanthropy	50,000 2019	PC	50,000
<b>Land Trust Alliance, Inc.</b> 1250 H Street NW Ste 600 Washington, DC 20005	To support a new Land Trust Climate Change Initiative that will provide land trusts across all 50 states with information, strategies, training, and tools they need to both adapt to and combat climate change in their land conservation work	1,000,000 2016	PC	350,000
<b>Trust for Conservation Innovation (DBA Multiplier)</b> 405 14th Street Oakland, CA 94612	To support planning for the Doris Duke Conservation Scholars Program Collaborative	34,700 2019	PC	34,700
<b>Trust for Conservation Innovation (DBA Multiplier)</b> 405 14th Street Oakland, CA 94612	To support the Doris Duke Conservation Scholars Program Collaborative	2,699,260 2019	PC	1,481,200
<b>National Audubon Society Inc</b> 225 Varick Street 7th Floor New York, NY 10014	To build the case for strong federal coastal resiliency policies and habitat protections	300,000 2019	PC	300,000
<b>National Wildlife Federation</b> 11100 Wildlife Center Dr Reston, VA 20190	To significantly scale up the use of "living shorelines" that provide habitat and wildlife benefits in coastal protection and adaptation efforts	400,000 2017	PC	100,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>National Wildlife Federation</b> 11100 Wildlife Center Dr Reston, VA 20190	To renew support for a project focused on reducing the impacts of bioenergy development on wildlife, wildlife habitat and climate emissions	1,162,000 2017	PC	250,000
<b>National Wildlife Federation</b> 11100 Wildlife Center Dr Reston, VA 20190	To support a joint effort of NWF, American Forests (AF) and The Nature Conservancy (TNC) to increase the pace and scale of restoration and reforestation on the US National Forests	1,200,000 2019	PC	800,000
<b>Natural Areas Conservancy</b> 1234 Fifth Avenue New York, NY 10029-4418	To support restoration and conservation of New York City's forests and wetlands	400,000 2017	PC	100,000
<b>Natural Resources Defense Council</b> 40 W20th St., 11th Fl. New York, NY 10011-4211	To support the City Energy Project Phase III conducted jointly with the Institute for Market Transformation (IMT)	500,000 2019	PC	350,000
<b>The Nature Conservancy</b> 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606	To support Natural Climate Solutions Phase III: Expanding Our Impact	3,450,000 2019	PC	2,350,000
<b>The Nature Conservancy</b> 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606	To support the development of resilient and connected landscape science for Alaska and Hawaii and develop tools to facilitate the conservation of resilient landscape networks across the US	650,000 2019	PC	650,000
<b>The Nature Conservancy</b> 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606	To generate measurable growth in global natural climate solutions (NCS) implementation and policy support, and build a larger coalition of public, private and nonprofit organizations promoting NCS pathways in the U.S and internationally	3,521,000 2018	PC	1,421,000
<b>New York Harbor Foundation</b> 10 South Street Slip 7 New York, NY 10004-0000	To support a bagged shell oyster reef at Bush Terminal Park, Brooklyn, New York	70,000 2019	PC	70,000
<b>North Star Fund, Inc.</b> 520 8th Ave, suite 1800 New York, NY 10018-4170	To support the Community Food Funders philanthropic network	50,000 2019	PC	50,000
<b>Northern Arizona University Foundation</b> 624 S. Knoles Flagstaff, AZ 86011-0103	To support completion of The Doris Duke Conservation Scholars Program at Northern Arizona University	106,431 2019	PC	106,431
<b>Open Space Institute Land Trust</b> 1350 Broadway, Suite 201 New York, NY 10018-0983	To support research and due diligence to guide the establishment of a capital fund to protect resilient sites in the eastern US (the "Appalachian Resilience Fund")	50,000 2019	SO	50,000
<b>Oregon Community Foundation</b> 440 E BROADWAY SUITE 160 Eugene, OR 97401	Advancing Climate-Smart Land Conservation in the Pacific Northwest – a partnership between The Oregon Community Foundation, Seattle Foundation, Idaho Community Foundation, and the Land Trust Alliance	5,323,000 2018	PC	2,350,000
<b>Pivot Point A Nonprofit Corporation</b> 690 E Colonel Wright Rd. Shelton, WA 98584-8424	To strengthen and diversify the Climate Land Ambition and Rights Alliance and work to elevate the profile of natural climate solutions within the UN Framework Convention on Climate Change and other international negotiations and funding mechanisms	350,000 2019	PC	220,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
Scenic Hudson 1 Civic Center Plaza, Suite 200 Poughkeepsie, NY 12601	To support "Making it Work on the Ground," an initiative for solar siting in the Hudson River Valley	50,000 2019	PC	50,000
Tides Center 1014 Torney Avenue San Francisco, CA 94129-1755	To support updating and expanding the Northeast Sustainable Agriculture Working Group (NESAWG) working paper on regional food systems	9,000 2019	PC	9,000
The Trust for Public Land 3 Shipman Place Montpelier, VT 05602	To renew support for the Conservation Finance Initiative, a joint effort of The Trust for Public Land and The Nature Conservancy that aims to increase public funding for wildlife habitat conservation in the United States	3,500,000 2017	PC	1,160,000
United Nations Foundation 1750 Pennsylvania Ave NW, Suite 300 Washington, DC 20006-4500	To support the US Climate Alliance (USCA) to work in partnership with the USCA Impact Partners in strengthening state capacity to mitigate and sequester carbon through natural and working lands	2,306,500 2019	PC	1,831,500
University of California, Santa Cruz 1156 High St. Santa Cruz, CA 95064-1077	The Doris Duke Conservation Scholars Program	2,633,960 2019	PC	1,415,900
University of California, Santa Cruz 1156 High St. Santa Cruz, CA 95064-1077	To support a gathering of Native American conservation scholars	100,000 2019	PC	100,000
University of Connecticut 2390 Alumni Drive Unit 3206 Storrs Center, CT 06269-3600	To support research in the Ecology and Evolutionary Biology Department that will examine The Insect Decline Phenomenon: Drivers, Research Needs, and Solutions	38,631 2019	PC	38,631
University of Michigan 3003 S. State Street Ann Arbor, MI 48109-0000	Doris Duke Conservation Scholars Program at the University of Michigan	1,282,721 2019	PC	1,282,721
University of Washington 407 Gerberding Hall Seattle, WA 98195-1210	Doris Duke Conservation Scholars Program at the University of Washington Renewal 2019-2021	1,066,417 2019	PC	1,066,417
US Green Building Council New York Chapter 55 Broad Street, 9th floor New York, NY 10004	To support the Urban Green Council Blueprint for Efficiency Phase II	100,000 2019	PC	100,000
World Resources Institute 10 G St. NE Washington, DC 20002-4213	To increase the inclusion of Natural Climate Solutions strategies within countries' Nationally Determined Contributions to the Paris Agreement	700,000 2018	PC	200,000
			<i>Total Environment</i>	<b>22,172,500</b>
<b>Media Fund</b>				
Communications Network 1717 N Naper Blvd Ste 102 Naperville, IL 60563-8837	General operating support for fiscal year 2020	10,000 2019	PC	10,000
Community Tampa Bay, Inc. 2727 Ulmerton Rd, Ste 200 Clearwater, FL 33762-2284	To support Pathways to Understanding: Creating Community Through Allyship - a partnership between Community Tampa Bay and the Tampa Bay Times Newspaper in Education program	40,000 2019	PC	40,000
East-West Center 1601 East West Road Honolulu, HI 96848-1601	To support the 2019 Senior Journalists Seminar	20,000 2019	PC	20,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
Media Impact Funders 200 W Washington Sq Ste 220 Philadelphia, PA 19106-3513	General operating support	8,000 2019	PC	8,000
National Public Radio 1111 North Capitol St NE Washington, DC 20002-7502	To provide general operating support for NPR programming that advances DDCF programmatic goals and supports credible, high-quality professional journalism about issues important to DDCF's work	1,000,000 2017	PC	237,500
Rare Inc 1310 N Courthouse Road, Suite 110 Arlington, VA 22201-2508	To support Phase 1 of Make It Personal, a project to accelerate a major social movement to address climate change in the United States	50,000 2019	PC	50,000
University Of Florida Foundation Inc 1938 W University Ave. Gainesville, FL 32604-2425	To support The Center for Public Interest Communications' 2020 frank gathering	40,559 2019	PC	40,559
<i>Total Media Fund</i>				<b>406,059</b>
<b>Medical Research</b>				
Albert Einstein College of Medicine 1300 Morris Park Avenue Bronx, NY 10461-1900	Gene therapy for sickle cell disease	71,500 2019	PC	71,500
Albert Einstein College of Medicine 1300 Morris Park Avenue Bronx, NY 10461-1900	Characterization of the Stem and Progenitor Cell Compartment in Sickle Cell Disease and Optimization of Gene Transfer at AAVS1 Site in CD49f+ LT-HSCs	986,050 2017	PC	329,479
American Medical Women's Association, Inc. 1100 E Woodfield Road Suite 350 Schaumburg, IL 60173-5121	To support the Centennial Congress of the Medical Women's International Association	5,000 2019	PC	5,000
Arthur Ashe Institute for Urban Health 450 Clarkson Avenue, 1232 Brooklyn, NY 11203	To support the Arthur Ashe Institute for Urban Health Clinical Research Continuum: High School to College	557,000 2016	PC	69,980
Baylor College of Medicine One Baylor Plaza Houston, TX 77030-3411	Systematic search for targeted therapeutic entry points for SYNGAP1 haploinsufficiency - implications for neurodevelopmental disorder therapies	495,000 2017	PC	82,500
Beth Israel Deaconess Medical Center 330 Brookline Ave Boston, MA 02215-5400	A new approach to patient safety: identifying risky clinical states to reduce preventable harms for hospitalized patients.	495,000 2017	PC	82,500
Borealis Philanthropy 126 N Third Street Suite 500 Minneapolis, MN 55401-1653	To support the Disability Inclusion Fund	200,000 2019	PC	200,000
Boston Medical Center Corporation 85 E Concord Street Room 2212 Boston, MA 02118-2335	Inhibition of LSD1 by small molecule inhibitors stimulates fetal hemoglobin synthesis for sickle cell disease	900,000 2019	PC	450,000
Boston University 715 Albany Street Boston, MA 02215-1390	Resting metabolic signature of non-alcoholic fatty liver disease and the metabolic response to acute exercise in a community based sample.	495,000 2019	PC	247,500

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>Bradley University</b> 1501 W Bradley Ave Peoria, IL 61625	To support the Clinical Research (CREST) Internships for High School Students and Undergraduate Mentors	367,000 2016	PC	46,550
<b>Brigham &amp; Women's Hospital</b> 75 Francis Street Somerville, MA 02145-1465	Cellular immunophenotypes to predict treatment response in rheumatoid arthritis	495,000 2019	PC	247,500
<b>CDC Foundation</b> 600 Peachtree Street NE, Suite 1000 Atlanta, GA 30308-2219	To support the Sickle Cell Data Collection (SCDC) program	75,000 2019	PC	75,000
<b>Center For Biomedical Research Transparency Inc</b> New York, NY 10014	To support the 2020 US - Europe Biomedical Transparency Summit Series	5,000 2019	PC	5,000
<b>Center for Medical Technology Policy</b> 410 E. Pratt St. Suite 631 Baltimore, MD 21202-3107	To support coreSCD: Core Outcomes in Sickle Cell Disease	25,000 2019	PC	25,000
<b>Charles Drew University of Medicine &amp; Science</b> 1731 E. 120th St Los Angeles, CA 90059	To support Project STRIDE (Students Training in Research Involving Disparity Elimination)	497,500 2016	PC	62,450
<b>Children's Hospital &amp; Research Center at Oakland</b> 747 52nd St Oakland, CA 94609-1809	To support the Children's Hospital Oakland Research Institute (CHORI) Summer Student Research Program	405,000 2016	PC	50,800
<b>Children's Hospital Boston</b> 1295 Boylston St Boston, MA 02215-3407	Structure-based small molecules for HbF reactivation	990,000 2019	PC	495,000
<b>Children's Hospital Boston</b> 1295 Boylston St Boston, MA 02215-3407	Effects of Sex Steroids on Cardiovascular Risk Factors in Transgender Adolescents	220,000 2019	PC	176,000
<b>Children's Hospital Boston</b> 1295 Boylston St Boston, MA 02215-3407	Ecology-driven vaginal microbiome interventions for reproductive health	220,000 2019	PC	176,000
<b>Children's Hospital Boston</b> 1295 Boylston St Boston, MA 02215-3407	Enhanced nuclease delivery for therapeutic gene editing of hematopoietic stem cells in sickle cell disease	990,000 2019	PC	495,000
<b>Children's Hospital Boston</b> 1295 Boylston St Boston, MA 02215-3407	Small molecule targeted reactivation of HbF expression for sickle cell disease	810,000 2017	PC	270,000
<b>Children's Hospital Los Angeles</b> 4650 Sunset Blvd Los Angeles, CA 90027	To support The Samuels Family LA-HIP Clinical Research Continuum:High School to College	588,500 2016	PC	75,210
<b>Children's Hospital of Philadelphia</b> 3401 Civic Center Blvd Philadelphia, PA 19104-4319	Clinical significance of subclonal driver mutations in high-risk neuroblastoma	220,000 2019	PC	176,000
<b>Children's Hospital of Philadelphia</b> 3401 Civic Center Blvd Philadelphia, PA 19104-4319	Longitudinal Microbiome Data and Biorepository of Pediatric Cystic Fibrosis Samples	16,500 2019	PC	16,500
<b>Children's Research Institute</b> 111 Michigan Ave Nw Washington, DC 20010-2916	Minimizing toxicity in HLA-identical sibling transplantation for children with sickle cell disease	553,550 2017	PC	162,222

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>Cincinnati Children's Hospital</b> 3333 Burnet Ave MI 4900 Cincinnati, OH 45229-3026	Reducing Error-Prone Repair for Therapeutic Correction of Sickle Cell Anemia	990,000 2019	PC	495,000
<b>Cincinnati Children's Hospital</b> 3333 Burnet Ave MI 4900 Cincinnati, OH 45229-3026	Maximizing Fetal Hemoglobin Responses to Hydroxyurea using Precision Medicine	990,000 2017	PC	435,663
<b>Columbia University</b> 615 W 131 St Mc8741 New York, NY 10027-7922	Developing novel clinical approaches to reduce childhood obesity risk factors during the first 1,000 days	495,000 2018	PC	165,000
<b>Columbia University</b> 615 W 131 St Mc8741 New York, NY 10027-7922	Programming tRNA Modifications in the Human Malaria Parasite Plasmodium falciparum: A New Paradigm for Artemisinin Resistance in Asian Isolates	220,000 2019	PC	176,000
<b>Joan &amp; Sanford I. Weill Medical College of Cornell University</b> 377 Pine Tree Rd Ithaca, NY 14850-2820	Longitudinal Study of the Effect of Praziquantel Treatment of Schistosoma mansoni on the Female Genital Mucosal Immune System and Microbiome	495,000 2017	PC	82,500
<b>Joan &amp; Sanford I. Weill Medical College of Cornell University</b> 377 Pine Tree Rd Ithaca, NY 14850-2820	Dynamics of the HIV reservoir and Mycobacterium tuberculosis burden in people with HIV-TB coinfection	495,000 2019	PC	247,500
<b>Joan &amp; Sanford I. Weill Medical College of Cornell University</b> 377 Pine Tree Rd Ithaca, NY 14850-2820	Epigenetic landscapes of rearranged driver-negative cancers	495,000 2017	PC	82,500
<b>Critical Path Institute</b> 1730 E River Rd Ste 200 Tucson, AZ 85718-5893	To support a workshop to kick off a Regulatory Science Consortium for Sickle Cell Disease	50,000 2019	PC	50,000
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Therapeutic targeting of the acute myeloid leukemia stem cell	495,000 2017	PC	82,500
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Dissecting the mechanisms underlying response and resistance to immune checkpoint blockade in Richter's transformation	220,000 2019	PC	176,000
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Uncovering the Oncogenic Role of Ubiquitin Depletion During B Lymphocyte Differentiation	495,000 2019	PC	247,500
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Therapeutic targeting of splicing in cohesin mutant myeloid malignancies	495,000 2019	PC	247,500
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Understanding Therapeutic Efficacy and Resistance in Patients with Metastatic Pancreatic Ductal Adenocarcinoma	495,000 2017	PC	82,500
<b>Duke University</b> 324 Blackwell St Ste 850 Durham, NC 27701-3659	Sharing Comprehensive 'Omics in Sickle Cell Disease Through the NHLBI TOPMed Network	16,500 2019	PC	16,500
<b>Emory University</b> 1599 Clifton Road 3Rd Floor Suite Atlanta, GA 30322-4250	Arboviral Antibody Signatures on a Nanoscale Plasmonic Gold Platform to Predict Severe Dengue in Symptomatic Cases	495,000 2019	PC	247,500
<b>Health Research Alliance Inc.</b> 65 TW Alexander Drive Unit 13605 Rtp, NC 27709-0872	Membership renewal and general operating support	10,000 2019	PC	10,000



## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>Icahn School of Medicine at Mount Sinai</b> One Gustave L Levy Place New York, NY 10029-6504	Quantitative modulation of an erythroid regulator as a novel genetic target for sickle cell disease	990,000 2019	PC	495,000
<b>Indiana University</b> 355 N Jordan Avenue Bloomington, IN 47405	To support a study to optimize hydroxyurea therapy in children with sickle cell anemia in Uganda	300,000 2016	PC	50,000
<b>Indiana University</b> 355 N Jordan Avenue Bloomington, IN 47405	Development of data sharing and visualization tools for a systems analysis of immune responses to an attenuated sporozoite malaria vaccine in African infants	16,500 2019	PC	16,500
<b>Indiana University</b> 355 N Jordan Avenue Bloomington, IN 47405	Systems analysis to understand protective immunity induced by an attenuated sporozoite malaria vaccine in African infants	495,000 2018	PC	165,000
<b>Indiana University</b> 355 N Jordan Avenue Bloomington, IN 47405	Prolonged use of hydroxyurea in children with sickle cell anemia in sub-Saharan Africa: the NOHARM Long-Term (LT) Study	200,000 2019	PC	200,000
<b>Johns Hopkins University</b> 300 East Joppa Road, 5th floor Baltimore, MD 21286	Defining mechanisms of innate immune programming and dysfunction in HIV disease	495,000 2018	PC	165,000
<b>Johns Hopkins University</b> 300 East Joppa Road, 5th floor Baltimore, MD 21286	Quantifying the risk and survival benefit of incompatible live donor kidney transplantation in the modern era	495,000 2018	PC	165,000
<b>Loyola University of Chicago</b> 820 N Michigan Ave Chicago, IL 60611	To support a working conference of the AfroSickleNet (Africa Sickle Cell Research Network)	50,000 2019	PC	50,000
<b>Loyola University of Chicago</b> 820 N Michigan Ave Chicago, IL 60611	Data on risk stratification and fixed low-dose hydroxyurea therapy in Nigerian adults with sickle cell anemia	16,500 2019	PC	16,500
<b>Massachusetts General Hospital</b> 399 Revolution Dr Ste 645 Somerville, MA 02145-1444	Comprehensive assessment of the evolution of acquired drug resistance in EGFR mutant non-small cell lung cancer	495,000 2017	PC	82,500
<b>Massachusetts General Hospital</b> 399 Revolution Dr Ste 645 Somerville, MA 02145-1444	Microbial Determinants of Clinical Heterogeneity in Lyme Disease	220,000 2019	PC	176,000
<b>Massachusetts General Hospital</b> 399 Revolution Dr Ste 645 Somerville, MA 02145-1444	Sleep Disruption as a Driver of Neuronal Dysfunction and Tau Accumulation in Preclinical Alzheimer's Disease	495,000 2019	PC	247,500
<b>Medical University Hospital Authority</b> 169 Ashley Avenue Suite 203 Charleston, SC 29425-8905	Epigenetic Modulators for the Treatment of Sickle Cell Disease	753,100 2017	PC	244,210
<b>New York Academy of Sciences</b> 7 World Trade Center New York, NY 10007-0000	Organizational Membership	2,500 2019	PC	2,500
<b>Northwestern University</b> 1800 Sherman Ave, 3rd Floor Evanston, IL 60201	Identification of the genetic basis of altered T cell receptor signaling in cutaneous T cell lymphoma	16,500 2019	PC	16,500

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>Physician Scientist Support Foundation</b> 5520 Alta Vista Rd Bethesda, MD 20814-1610	To support PSSF's 2020 National Academy of Medicine Meeting that aims to develop a plan to increase public awareness of the critical need for physician scientists in our biomedical workforce, and raise funds to support the careers of young physician scien	36,000 2019	PC	36,000
<b>St. Jude Children's Research Hospital</b> 262 Danny Thomas Pl Memphis, TN 38105-3678	Genome Editing of Bone Marrow and Plerixafor-mobilized CD34+ Cells to Raise Fetal Hemoglobin Levels in Sickle Cell Disease	990,000 2017	PC	112,588
<b>St. Jude Children's Research Hospital</b> 262 Danny Thomas Pl Memphis, TN 38105-3678	To support a conference on Hematopoietic Stem Cell Transplantation and Gene Therapy for Sickle Cell Disease: Researcher, Clinician and Patient Perspectives	35,000 2019	PC	35,000
<b>Stanford University</b> 3145 Porter Drive Redwood City, CA 94063-3136	Pulmonary neuroendocrine cell signaling in the developing lung and in disease	495,000 2018	PC	165,000
<b>Stanford University</b> 3145 Porter Drive Redwood City, CA 94063-3136	Optimization of Gene Correction using Genome Editing in Human Hematopoietic Stem Cells	878,000 2019	PC	439,000
<b>Stanford University</b> 3145 Porter Drive Redwood City, CA 94063-3136	Immune Sensors of Preterm Labor: A Systems Immunology Analysis with Mass Cytometry	495,000 2018	PC	165,000
<b>Stanford University</b> 3145 Porter Drive Redwood City, CA 94063-3136	Regulators of Skin Cancer Progression	495,000 2018	PC	165,000
<b>Stanford University</b> 3145 Porter Drive Redwood City, CA 94063-3136	Epigenetic Modulation of Chromatin Architecture in Human Fibroblasts as a Novel Therapeutic Approach for Wound Healing and Regeneration	495,000 2018	PC	165,000
<b>Stanford University</b> 3145 Porter Drive Redwood City, CA 94063-3136	To support the Stanford SIMR Program- Clinical Research Experiences	304,000 2016	PC	38,350
<b>Tufts University</b> 62R Talbot Ave Medford, MA 02155-5813	Finding and retaining India's "missing" tuberculosis patients: informing large-scale active case-finding strategies through analyses of the care cascade and geospatial mapping	495,000 2018	PC	165,000
<b>Union of Concerned Scientists</b> 2 Brattle Sq Ste 6 Cambridge, MA 02138-3756	To support Building the Enduring Capacity to Promote Science for the Public Good	300,000 2018	PC	75,000
<b>University of Alabama at Birmingham</b> Po Box 870136 Tuscaloosa, AL 35401-0000	Adherence to periconception HIV risk-reduction among HIV-exposed uninfected women in rural Uganda	495,000 2016	PC	82,500
<b>University of Alabama at Birmingham</b> Po Box 870136 Tuscaloosa, AL 35401-0000	PrEP use during periconception and pregnancy among uninfected women in rural Uganda-Data Sharing	16,500 2019	PC	16,500
<b>University of California, Los Angeles</b> 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505	Optimizing Gene Editing for Sickle Cell Disease	934,650 2017	PC	313,115



## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>University of California, Los Angeles</b> 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505	Mechanisms of intestinal dysbiosis and inflammation in substance use and HIV	495,000 2019	PC	247,500
<b>University of California, San Diego</b> 9500 Gilman Drive La Jolla, CA 92093-0952	Re-Analysis and Re-Discovery of the Human Exposome	16,500 2019	PC	16,500
<b>University of California, San Diego</b> 9500 Gilman Drive La Jolla, CA 92093-0952	Noncoding Genetic Regulators of Skin Diseases	495,000 2019	PC	247,500
<b>University of California, San Francisco</b> Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Mechanisms of incomplete response and primary resistance to osimertinib in EGFR-mutant lung cancer	330,000 2018	PC	110,000
<b>University of California, San Francisco</b> Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Blockchain-Authenticated Sharing and Analyzing Platform for Cancer Patient Genomic and Clinical Outcomes Data	16,500 2019	PC	16,500
<b>University of California, San Francisco</b> Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Probing the Type I Interferon Response in Juvenile Dermatomyositis using Multi-modal Single-Cell Sequencing and Integrative Computational Methods	220,000 2019	PC	176,000
<b>University of California, San Francisco</b> Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Genomic determinants and biomarkers of Barrett's esophagus progression	495,000 2018	PC	165,000
<b>University of California, San Francisco</b> Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Elucidating the role of commensals in atopic dermatitis	495,000 2017	PC	82,500
<b>University of Colorado</b> 1800 N Grant St Ste 600 Denver, CO 80203-1148	Use of essential amino acid supplementation to decrease metabolic disease in high risk obese girls with polycystic ovarian syndrome	495,000 2018	PC	165,000
<b>University of Colorado</b> 1800 N Grant St Ste 600 Denver, CO 80203-1148	To support an online curriculum in practical research management for early career physician scientists	100,000 2019	PC	100,000
<b>University of Colorado</b> 1800 N Grant St Ste 600 Denver, CO 80203-1148	Precursor ribosomal RNA as a novel marker of treatment efficacy in tuberculosis	495,000 2017	PC	82,500
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	To support follow-up survey measures for the Evaluation of the Fund to Retain Clinical Scientists	30,110 2019	PC	30,110
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	Developing Tools for Personalized Care of Patients with Primary Aldosteronism	495,000 2019	PC	247,500
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	Epigenetic Regulation of Diabetic Wounds Promotes Chronic Inflammation and Prevents Healing	485,000 2017	PC	72,500
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	A new paradigm in cutaneous lupus: dissecting the interplay between interferons and Staphylococcus aureus	495,000 2017	PC	82,500

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>University of Pennsylvania</b> 3451 Walnut Street Philadelphia, PA 19104-6205	Identifying biomarkers of treatment response in insomnia and depression with a metabolomics platform	495,000 2017	PC	82,500
<b>University of Pennsylvania</b> 3451 Walnut Street Philadelphia, PA 19104-6205	Development and implementation of statistical methods and informatics tools that leverage clinical data for decision support to improve screening for primary aldosteronism	495,000 2019	PC	247,500
<b>University of Pittsburgh</b> 116 Atwood St Ste 201 Scaife Hall Pittsburgh, PA 15213-3402	To support the University of Pittsburgh Cancer Institute and Doris Duke Charitable Foundation Academy	506,000 2016	PC	63,250
<b>University of Texas Foundation</b> 9011 Mountain Ridge Dr, Suite 150 Austin, TX 78759	A biomarker-based, combination therapy with immune checkpoint blockade and pemetrexed for MTAP-deficient metastatic bladder cancer	495,000 2018	PC	165,000
<b>University of Washington</b> 407 Gerberding Hall Seattle, WA 98195-1210	Genomic and quorum sensing adaptation of Pseudomonas aeruginosa in cystic fibrosis	495,000 2017	PC	82,500
<b>University of Washington</b> 407 Gerberding Hall Seattle, WA 98195-1210	Data sharing of genomes, genetic variants, and transcriptomes from cystic fibrosis isolates of Pseudomonas aeruginosa	16,500 2019	PC	16,500
<b>University of Wisconsin Foundation</b> 1848 University Ave Madison, WI 53726	Clonal Selection to Targeted Therapeutics in Colorectal Cancer Spheroids for Clinical Prediction	220,000 2019	PC	176,000
<b>University of Wisconsin Foundation</b> 1848 University Ave Madison, WI 53726	To support the University of Wisconsin Surgery Clinical Research Experiences for Underrepresented Students	551,500 2016	PC	69,020
<b>University of Wisconsin Foundation</b> 1848 University Ave Madison, WI 53726	Impairment of Neutrophil Function by Biofilms on Medical Devices	495,000 2017	PC	82,500
<b>Vanderbilt University Medical Center</b> 1161 21St Ave S Suite D3300 Mcn Nashville, TN 37232-0011	Racial differences in vagal control of glucose homeostasis	16,500 2019	PC	16,500
<b>Vanderbilt University Medical Center</b> 1161 21St Ave S Suite D3300 Mcn Nashville, TN 37232-0011	Phase 2 study of theophylline for the treatment of pseudohypoparathyroidism	495,000 2018	PC	165,000
<b>Vanderbilt University Medical Center</b> 1161 21St Ave S Suite D3300 Mcn Nashville, TN 37232-0011	Mechanisms of disease initiation and propagation in pulmonary fibrosis	495,000 2018	PC	165,000
<b>Vanderbilt University Medical Center</b> 1161 21St Ave S Suite D3300 Mcn Nashville, TN 37232-0011	New Approaches to Precision Dosing for Special Populations	495,000 2017	PC	82,500
<b>Washington University</b> 700 Rosedale Ave Saint Louis, MO 63112-1408	Cross-reactive antigenemia and treatment-related adverse events in loiasis	495,000 2019	PC	247,500

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Transmission and development of the human infant virome	495,000 2017	PC	82,500
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Modeling human hepatocytes to translate glucose-fasting mimetics as novel therapies against non-alcoholic fatty liver disease.	495,000 2019	PC	247,500
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	The role of Human Matrix Remodeling Associated 8 (MXRA8) genetic polymorphisms in Chikungunya virus infection	220,000 2019	PC	176,000
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Identifying epigenetic drivers in acute myeloid leukemia	495,000 2018	PC	165,000
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Role of Anti-FimH antibodies in modulating Uropathogenic E. coli gut colonization and urinary tract infection	495,000 2019	PC	247,500
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	To convene Doris Duke Fund to Retain Clinical Scientists (DDFRCS) program directors, DDFRCS Scholars, and outside experts in discussion and activities that will benefit the early-career physician-scientist community at large	30,000 2019	PC	30,000
Yale University Office of Sponsored Projects 25 Science Park, 3rd Floor 150 Munson Street New Haven, CT 06511	Dissecting the epithelial-mesenchymal interactions at the interface of human skin regeneration and cancer	495,000 2018	PC	165,000
Yale University Office of Sponsored Projects 25 Science Park, 3rd Floor 150 Munson Street New Haven, CT 06511	Genetics and Pathogenesis of Cholestatic Liver Disease	495,000 2019	PC	247,500
Yale University Office of Sponsored Projects 25 Science Park, 3rd Floor 150 Munson Street New Haven, CT 06511	Developing and Testing the Effect of an Integrated Patient-Centered HIV Prevention Decision Aid on PrEP Uptake among Women who use Drugs Entering Treatment	495,000 2017	PC	82,500
Yale University Office of Sponsored Projects 25 Science Park, 3rd Floor 150 Munson Street New Haven, CT 06511	Combination Strategies to Enhance the Efficacy of Targeted Therapies	495,000 2019	PC	247,500
			<i>Total Medical Research</i>	<b>16,195,997</b>
<b>Philanthropic Sector</b>				
BoardSource 750 9Th Street Nw Washington, DC 20001-4524	Philanthropic Sector Support 2019	10,000 2019	PC	10,000
Candid 32 Old Slip New York, NY 10005-3500	Philanthropic Sector Support 2019 - FC	25,000 2019	PC	25,000
Candid 32 Old Slip New York, NY 10005-3500	Philanthropic Sector Support 2019 - GuideStar Philanthropic Partnership	5,000 2019	PC	5,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
Center for Effective Philanthropy 675 Massachusetts Ave., 7th fl Cambridge, MA 02139-3309	Philanthropic Sector Support 2019	10,000 2019	PC	10,000
Communications Network 1717 N Naper Blvd Ste 102 Naperville, IL 60563-8837	Philanthropic Sector Support	1,500 2019	PC	1,500
Council on Foundations 1255 23rd St NW, Suite 200 Washington, DC 20037-1152	Philanthropic Sector Support 2019	25,000 2019	PC	25,000
Independent Sector 1602 L St Nw Ste 900 Washington, DC 20036-5682	Philanthropic Sector Support 2019	15,000 2019	PC	15,000
Media Impact Funders 200 W Washington Sq Ste 220 Philadelphia, PA 19106-3513	Philanthropic Sector Support 2019	1,000 2019	PC	1,000
PEAK Grantmaking 1666 K St Nw Ste 440 Washington, DC 20006-1242	Philanthropic Sector Support 2019	7,000 2019	PC	7,000
Philanthropy New York 320 East 43rd St New York, NY 10017-4801	Philanthropic Sector Support 2019	28,000 2019	PC	28,000
Technology Association of Grantmakers 1 N State St Ste 1500 Chicago, IL 60602-3206	Philanthropic Sector Support 2019	2,200 2019	PC	2,200
			<i>Total Philanthropic Sector</i>	<u>129,700</u>
<b>Other</b>				
2041 Foundation Incorporated Po Box 508 Truckee, CA 96160-0508	General support	10,000 2019	PC	10,000
AFS Intercultural Programs 71 W 23rd St 6th Floor New York, NY 10010-4102	General Support	20,000 2019	PC	20,000
Asia Society 725 Park Avenue New York, NY 10021-5025	To support Asia Society performing arts	5,000 2019	PC	5,000
The Broadway Dance Lab, Inc. 433 W 34th St Ste 19 New York, NY 10001-1512	General Support	2,500 2019	PC	2,500
Carnegie Hall 881 Seventh Avenue New York, NY 10019-3210	To support NYO Jazz	100,000 2019	PC	100,000
Center for Global Development 2055 L St Nw Fifth Fl Washington, DC 20036-4983	General support	10,000 2019	PC	10,000
Central Park Conservancy 14 East 60th Street New York, NY 10022-7122	General support	5,000 2019	PC	5,000
Columbia University 615 W 131 St Mc8741 New York, NY 10027-7922	To support Columbia University Press	2,500 2019	PC	2,500

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
Columbia University 615 W 131 St Mc8741 New York, NY 10027-7922	To support the Committee on Global Thought	5,000 2019	PC	5,000
Conductors Retreat at Medomak 8 Westbury Ct Ann Arbor, MI 48105-1411	General support	2,500 2019	PC	2,500
Council on Foreign Relations 58 E 68th St New York, NY 10065-5953	General support	50,000 2019	PC	50,000
Environmental Defense Fund, Incorporated 257 Park Avenue South, 17th Floor New York, NY 10010	General support	20,000 2019	PC	20,000
Environmental Defense Fund, Incorporated 257 Park Avenue South, 17th Floor New York, NY 10010	General Support	10,000 2019	PC	10,000
Fayette Alliance Foundation Inc 603 W Short St Lexington, KY 40508	General support	10,000 2019	PC	10,000
Grassroot Soccer Inc Po Box 712 Hanover, NH 03755-2194	General support	10,000 2019	PC	10,000
Harlem School of the Arts, Inc. 645 St. Nicholas Ave. New York, NY 10030-1001	General support	5,000 2019	PC	5,000
An Infinite Mind 7413 Wynnewood Sq Winter Park, FL 32792-6559	General Support	2,500 2019	PC	2,500
KIPP Texas Public Schools 8509 Fm 969 Ste 513 Houston, TX 77099-2675	General support	15,000 2019	PC	15,000
MasterVoices Inc 1441 Broadway Suite 3024 New York, NY 10018-1905	General Support	25,000 2019	PC	25,000
Médecins Sans Frontières USA, Inc. 40 Rector Street 16Th Floor New York, NY 10006-1751	General Support	10,000 2019	PC	10,000
Memorial Sloan Kettering Cancer Center 1275 York Avenue New York, NY 10065-6007	To support the MSK Integrative Medicine Service	15,000 2019	PC	15,000
Metropolitan Museum of Art 1000 Fifth Avenue New York, NY 10028-0113	To support Met LiveArts	25,000 2019	PC	25,000
Nest Inc 40 Hickory Road Katonah, NY 10536	General support	10,000 2019	PC	10,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2019
<b>New York City Ballet, Inc.</b> 20 Lincoln Center Plaza New York, NY 10023-6913	To support the New Combinations Fund	15,000 2019	PC	15,000
<b>New York Public Library</b> 445 Fifth Avenue 8Th Fl New York, NY 10016-0133	To support the Jerome Robbins Dance Division of the Performing Arts Library	7,500 2019	PC	7,500
<b>New York-Presbyterian Fund</b> 525 E 68Th St Box 156 New York, NY 10065-4870	General support	40,000 2019	PC	40,000
<b>Oxfam America</b> 226 Causeway Street, 5th Floor Boston, MA 02114-2155	General Support	10,000 2019	PC	10,000
<b>Partners In Health a Nonprofit Corporation</b> 800 Boylston St Ste 300 Boston, MA 02199-7032	General support	10,000 2019	PC	10,000
<b>Peconic Land Trust Incorporated</b> 296 Hampton Road Southampton, NY 11968-5030	General support	2,500 2019	PC	2,500
<b>Public Broadcasting Service</b> 2100 Crystal Drive Arlington, VA 22202-3784	General support	30,000 2019	PC	30,000
<b>Red Hook Initiative</b> 767 Hicks St. Brooklyn, NY 11231	General support	10,000 2019	PC	10,000
<b>Salk Institute for Biological Studies</b> 10010 N Torrey Pines Rd La Jolla, CA 92037-1002	General support	5,000 2019	PC	5,000
<b>Smithsonian Institution</b> 1000 Jefferson Drive SW Washington, DC 20560	To support the Asia Pacific American Center (APAC)	5,000 2019	PC	5,000
<b>Spelman College</b> 350 Spelman Ln Sw Atlanta, GA 30314-4395	To support the Division of the Arts	25,000 2019	PC	25,000
<b>Studio Museum In Harlem Inc</b> 144 W 125th St New York, NY 10027-4423	General Support	20,000 2019	PC	20,000
<b>Teach For All</b> 25 Broadway 12Th Fl New York, NY 10004-1056	General Support	5,000 2019	PC	5,000
<b>Triangle Land Conservancy Inc</b> 514 S. Duke St. Durham, NC 27701	General Support	10,000 2019	PC	10,000
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	To support the Center for Sustainable Systems	15,000 2019	PC	15,000
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	To support the pediatric oncology discretionary research fund of Dr. Rama Jasty-Rao in the Division of Hematology-Oncology of CS Mott Hospital	5,000 2019	PC	5,000

**GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR**

<b>Organization</b>	<b>Purpose</b>	<b>Amount/Year</b>	<b>Tax Status</b>	<b>Paid 2019</b>
University of Notre Dame Controllers Office 724 Grace Hall Notre Dame, IN 46556-0000	To support the Department of Civil & Environmental Engineering & Earth Sciences Graduate Program	10,000 2019	PC	10,000
Urban Institute 2100 M Street NW Washington, DC 20024-2274	General support	10,000 2019	PC	10,000
WACO Theater Center 5144 Lankershim Blvd N Hollywood, CA 91601-3719	General support	10,000 2019	PC	10,000
William Marsh Rice University Po Box 1892 Houston, TX 77251-1892	To support the Emerging Scholars Program	35,000 2019	PC	35,000
			<i>Total Other</i>	650,000
Eureka Foundation Inc. 12 east 12th Street, #10 New York, NY 10003	To support educational programming in connection with GREAT MUSEUMS: MASTERWORKS of Islamic Art	75,000 2016	PF	0
			<b>Grand Total</b>	<b>72,709,928</b>

<b>PART XV - GRANTS APPROVED FOR FUTURE PAYMENT</b>	
<b>SUMMARY BY PROGRAM AREA</b>	
<b>Recipient and/or Purpose</b>	<b>Approved for Future Payment</b>
<b>TOTAL ARTS</b>	<b>4,422,061</b>
<b>TOTAL ARTS INITIATIVE</b>	<b>2,322,399</b>
<b>TOTAL CHILD WELL-BEING</b>	<b>5,177,793</b>
<b>TOTAL ENVIRONMENT</b>	<b>5,391,120</b>
<b>TOTAL MEDICAL RESEARCH</b>	<b>7,225,000</b>
<b>TOTAL AFRICAN HEALTH INITIATIVE</b>	<b>26,304</b>
<b>GRAND TOTAL</b>	<b>24,564,677</b>



# PART XV, 3b - GRANTS APPROVED IN 2019 FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
<b>Arts</b>			
<b>Alternate ROOTS, Inc.</b> 1270 Caroline St Box D120353 Atlanta, GA 30307-2758	Core Support for National Arts Service 2019	PC	\$105,000
<b>Association of Performing Arts Professionals, Inc.</b> 919 18th St. NW, Suite 650 Washington, DC 20006	Core Support for National Arts Service 2019	PC	\$108,000
<b>Center Stage Associates, Inc.</b> 700 North Calvert Street Baltimore, MD 21202-3602	To advance change in the theater field through support for a shared learning agenda among artistic directors of four theaters, new and reimagined artistic programming at each venue, and general operating support	PC	\$80,000
<b>Chamber Music America</b> 12 West 32nd Street 7th Floor New York, NY 10001-0802	Core Support for National Arts Service 2019	PC	\$108,000
<b>Connecticut Players Foundation, Inc.</b> 222 Sargent Drive New Haven, CT 06511-5919	To advance change in the theater field through support for a shared learning agenda among artistic directors of four theaters, new and reimagined artistic programming at each venue, and general operating support	PC	\$40,000
<b>Creative Capital Foundation</b> 15 Maiden Lane 18th Floor New York, NY 10038-4003	Core Support for National Arts Service 2019	PC	\$108,000
<b>Dance/USA</b> 1029 Vermont Avenue, NW Washington, DC 20005-3517	Core Support for National Arts Service 2019	PC	\$108,000
<b>Emerson College</b> 120 Boylston St Boston, MA 02116-4611	Core Support for National Arts Service 2019: Latinx Theatre Commons	PC	\$38,490
<b>Emerson College</b> 120 Boylston St Boston, MA 02116-4611	Core Support for National Arts Service 2019: HowlRound	PC	\$108,000
<b>Fractured Atlas</b> 248 West 35th Street, 10th Floor New York, NY 10001-2505	Core Support for National Arts Service 2019	PC	\$108,000
<b>Joyce Theater Foundation, Inc.</b> 175 Eighth Avenue New York, NY 10011-1694	To support Joyce Theater Productions and its partnerships with large-scale dance companies that result in Extraordinary Presentations	PC	\$250,000
<b>Junebug Productions Inc.</b> 1010 N. Galvez Street Suite 111 New Orleans, LA 70119	Leadership Grants for Arts Organizations	PC	\$99,600
<b>Kyle Abraham Abraham in Motion</b> 219 West 19th Street, 2nd Floor New York, NY 10011-4001	Leadership Grants for Arts Organizations	PC	\$50,000
<b>Miami Light Project, Inc.</b> 404 NW 26 Street Miami, FL 33127	Leadership Grants for Arts Organizations	PC	\$150,000
<b>National Asian American Theatre Festival</b> 520 8th Avenue, Suite 308 New York, NY 10018-6507	Core Support for National Arts Service 2019	PC	\$29,925

**GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT**

<b>Organization</b>	<b>Purpose</b>	<b>Tax Status</b>	<b>Amount</b>
<b>National Association of Latino Arts and Culture</b> 1208 Buena Vista St San Antonio, TX 78207-4301	Core Support for National Arts Service 2019	PC	\$108,000
<b>National New Play Network</b> 641 D Street NW Washington, DC 20004-2904	Core Support for National Arts Service 2019	PC	\$81,000
<b>National Performance Network</b> 8121 Fig Street New Orleans, LA 70156-6698	Core Support for National Arts Service 2019	PC	\$108,000
<b>Network of Ensemble Theaters</b> Po Box 83526 Portland, OR 97283-0526	Core Support for National Arts Service 2019	PC	\$44,619
<b>New England Foundation for the Arts</b> 145 Tremont Street 7th Floor Boston, MA 02111-1214	Core Support for National Arts Service 2019	PC	\$108,000
<b>New England Foundation for the Arts</b> 145 Tremont Street 7th Floor Boston, MA 02111-1214	To support the National Theater Project, which creates opportunities for the development and performance of new work and provides access to a national touring network of performing arts presenting organizations	PC	\$971,025
<b>Newark Public Radio</b> 54 Park Place Newark, NJ 07102-4302	Leadership Grants for Arts Organizations	PC	\$85,000
<b>Pangea World Theater</b> 711 W Lake St Ste 102 Minneapolis, MN 55408-3357	Core Support for National Arts Service 2019	PC	\$75,972
<b>Paul Taylor Dance Company</b> 551 Grand Street New York, NY 10002-4282	To support Taylor Company Commissions	PC	\$125,000
<b>Propel Nonprofits</b> 1 SE Main Street Minneapolis, MN 55414-1036	To support strategic planning for member theaters of the Twin Cities Theatres of Color Coalition (TCTOCC)	PC	\$148,750
<b>The Repertory Theatre of St Louis</b> 130 Edgar Road Saint Louis, MO 63119-3228	To advance change in the theater field through support for a shared learning agenda among artistic directors of four theaters, new and reimagined artistic programming at each venue, and general operating support	PC	\$40,000
<b>San Francisco Jazz Organization</b> 201 Franklin Street San Francisco, CA 94102-5108	Leadership Grants for Arts Organizations	PC	\$94,000
<b>Signature Theatre Company</b> The Pershing Square Signature Center 480 West 42nd Street New York, NY 10036-6805	Leadership Grants for Arts Organizations	PC	\$340,000
<b>Stephen Petronio Company</b> 140 Second Avenue, Suite 504 New York, NY 10003-8364	Leadership Grants for Arts Organizations	PC	\$50,000
<b>Theatre Communications Group</b> 520 8th Ave, 24th floor New York, NY 10018-6507	Core Support for National Arts Service 2019	PC	\$108,000
<b>True Colors Theatre Company</b> 887 West Marietta Street, J-102 Atlanta, GA 30318-5266	Leadership Grants for Arts Organizations		\$150,000

## GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
University of California, Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505	Leadership Grants for Arts Organizations	PC	\$203,680
Woolly Mammoth Theatre Company 641 D Street NW Washington, DC 20004-2904	To advance change in the theater field through support for a shared learning agenda among artistic directors of four theaters, new and reimagined artistic programming at each venue, and general operating support	PC	\$40,000
Yerba Buena Center for the Arts 701 Mission Street San Francisco, CA 94103-3138	Leadership Grants for Arts Organizations	PC	\$50,000
<i>Total Arts</i>			\$4,422,061
<b><u>Environment</u></b>			
American Forests 1220 L Street, NW Suite 750 Washington, DC 20005	To support a comprehensive set of research, finance, policy, and state-wide demonstration activities that will build capacity across the urban forest movement and advance an integrated climate mitigation and health approach to urban environments	PC	\$600,000
Consensus Building Institute 100 CambridgePark Drive Suite 302 Cambridge, MA 02140-2330	To support the development of a new field of practice focused on facilitating community-led managed retreat from sea level rise and other climate change risks	PC	\$100,000
Trust for Conservation Innovation (DBA Multiplier) 405 14th Street Suite 164 Oakland, CA 94612-2705	To support the Doris Duke Conservation Scholars Program Collaborative	PC	\$1,218,060
National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190-5361	To support a joint effort of NWF, American Forests (AF) and The Nature Conservancy (TNC) to increase the pace and scale of restoration and reforestation on the US National Forests	PC	\$400,000
Natural Resources Defense Council 40 W20th St., 11th Fl. New York, NY 10011-4211	To support the City Energy Project Phase III conducted jointly with the Institute for Market Transformation (IMT)	PC	\$150,000
The Nature Conservancy 4245 Fairfax Dr Ste 100 Arlington, VA 22203-1637	To support Natural Climate Solutions Phase III: Expanding Our Impact	PC	\$1,100,000
Pivot Point A Nonprofit Corporation 690 E Colonel Wright Rd. Shelton, WA 98584-8424	To strengthen and diversify the Climate Land Ambition and Rights Alliance and work to elevate the profile of natural climate solutions within the UN Framework Convention on Climate Change and other international negotiations and funding mechanisms	PC	\$130,000
United Nations Foundation 1750 Pennsylvania Ave NW, Suite 300 Washington, DC 20006-4500	To support the US Climate Alliance (USCA) to work in partnership with the USCA Impact Partners in strengthening state capacity to mitigate and sequester carbon through natural and working lands	PC	\$475,000
University of California, Santa Cruz 1156 High St. Santa Cruz, CA 95064-1077	The Doris Duke Conservation Scholars Program: A proposal for project renewal from the University of California-Santa Cruz	PC	\$1,218,060
<i>Total Environment</i>			\$5,391,120
<b><u>Medical Research</u></b>			
Boston Medical Center Corporation 85 E Concord Street Room 2212 Boston, MA 02118-2908	Inhibition of LSD1 by small molecule inhibitors stimulates fetal hemoglobin synthesis for sickle cell disease	PC	\$450,000

## GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
<b>Boston University</b> 715 Albany Street Boston, MA 02215-1390	Resting metabolic signature of non-alcoholic fatty liver disease and the metabolic response to acute exercise in a community based sample.	PC	\$247,500
<b>Brigham &amp; Women's Hospital</b> 75 Francis Street Somerville, MA 02145-1465	Cellular immunophenotypes to predict treatment response in rheumatoid arthritis	PC	\$247,500
<b>Children's Hospital Boston</b> 1295 Boylston St Boston, MA 02215-3407	Structure-based small molecules for HbF reactivation	PC	\$495,000
<b>Children's Hospital Boston</b> 1295 Boylston St Boston, MA 02215-3407	Effects of Sex Steroids on Cardiovascular Risk Factors in Transgender Adolescents	PC	\$44,000
<b>Children's Hospital Boston</b> 1295 Boylston St Boston, MA 02215-3407	Enhanced nuclease delivery for therapeutic gene editing of hematopoietic stem cells in sickle cell disease	PC	\$495,000
<b>Children's Hospital Boston</b> 1295 Boylston St Boston, MA 02215-3407	Ecology-driven vaginal microbiome interventions for reproductive health	PC	\$44,000
<b>Children's Hospital of Philadelphia</b> 3401 Civic Center Blvd Philadelphia, PA 19104-4319	Clinical significance of subclonal driver mutations in high-risk neuroblastoma	PC	\$44,000
<b>Cincinnati Children's Hospital</b> 3333 Burnet Ave MI 4900 Cincinnati, OH 45229-3026	Reducing Error-Prone Repair for Therapeutic Correction of Sickle Cell Anemia	PC	\$495,000
<b>Columbia University</b> 615 W 131 St Mc8741 New York, NY 10027-0000	Programming tRNA Modifications in the Human Malaria Parasite Plasmodium falciparum: A New Paradigm for Artemisinin Resistance in Asian Isolates	PC	\$44,000
<b>Joan &amp; Sanford I. Weill Medical College of Cornell University</b> 377 Pine Tree Rd Ithaca, NY 14850-2820	Dynamics of the HIV reservoir and Mycobacterium tuberculosis burden in people with HIV-TB coinfection	PC	\$247,500
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Therapeutic targeting of splicing in cohesin mutant myeloid malignancies	PC	\$247,500
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Uncovering the Oncogenic Role of Ubiquitin Depletion During B Lymphocyte Differentiation	PC	\$247,500
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Dissecting the mechanisms underlying response and resistance to immune checkpoint blockade in Richter's transformation	PC	\$44,000
<b>Emory University</b> 1599 Clifton Road 3Rd Floor Suite Atlanta, GA 30322-4250	Arboviral Antibody Signatures on a Nanoscale Plasmonic Gold Platform to Predict Severe Dengue in Symptomatic Cases	PC	\$247,500
<b>Icahn School of Medicine at Mount Sinai</b> One Gustave L Levy Place New York, NY 10029-6504	Quantitative modulation of an erythroid regulator as a novel genetic target for sickle cell disease	PC	\$495,000
<b>Massachusetts General Hospital</b> 399 Revolution Dr Ste 645 Somerville, MA 02145-1444	Sleep Disruption as a Driver of Neuronal Dysfunction and Tau Accumulation in Preclinical Alzheimer's Disease	PC	\$247,500

**GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT**

<b>Organization</b>	<b>Purpose</b>	<b>Tax Status</b>	<b>Amount</b>
Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1444	Microbial Determinants of Clinical Heterogeneity in Lyme Disease	PC	\$44,000
Stanford University 3145 Porter Drive Redwood City, CA 94063-3136	Optimization of Gene Correction using Genome Editing in Human Hematopoietic Stem Cells	PC	\$439,000
University of California, Los Angeles 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505	Mechanisms of intestinal dysbiosis and inflammation in substance use and HIV	PC	\$247,500
University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093-0952	Noncoding Genetic Regulators of Skin Diseases	PC	\$247,500
University of California, San Francisco Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Probing the Type I Interferon Response in Juvenile Dermatomyositis using Multi-modal Single-Cell Sequencing and Integrative Computational Methods	PC	\$44,000
University of Michigan 3003 S. State Street Ann Arbor, MI 48109-0000	Developing Tools for Personalized Care of Patients with Primary Aldosteronism	PC	\$247,500
University of Pennsylvania 3451 Walnut Street Philadelphia, PA 19104-6205	Development and implementation of statistical methods and informatics tools that leverage clinical data for decision support to improve screening for primary aldosteronism	PC	\$247,500
University of Wisconsin Foundation 1848 University Ave Madison, WI 53726-4090	Clonal Selection to Targeted Therapeutics in Colorectal Cancer Spheroids for Clinical Prediction	PC	\$44,000
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Modeling human hepatocytes to translate glucose-fasting mimetics as novel therapies against non-alcoholic fatty liver disease.	PC	\$247,500
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Cross-reactive antigenemia and treatment-related adverse events in loiasis	PC	\$247,500
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Role of Anti-FimH antibodies in modulating Uropathogenic E. coli gut colonization and urinary tract infection	PC	\$247,500
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	The role of Human Matrix Remodeling Associated 8 (MXRA8) genetic polymorphisms in Chikungunya virus infection	PC	\$44,000
Yale University Po Box 208356 New Haven, CT 06520-8356	Combination Strategies to Enhance the Efficacy of Targeted Therapies	PC	\$247,500
Yale University Po Box 208356 New Haven, CT 06520-8356	Genetics and Pathogenesis of Cholestatic Liver Disease	PC	\$247,500
<i>Total Medical Research</i>			\$7,225,000
<b><u>Child Well-being</u></b>			
Bluehub Capital, Inc. Malcom X Boulevard Boston, MA 02119-1775	To pilot the Working Communities Challenge to advance cross-sector collaboration and improve well-being for low-income residents of small cities and rural communities in Vermont, Maine, and New Hampshire	PC	\$400,000
Boston Medical Center Corporation 85 E Concord Street Room 2212 Boston, MA 02118-2908	To support the Vital Village Network, a network of residents and cross-sector community-based organizations collaborating to reduce childhood adversities and improve child and family well-being in three neighborhoods in Boston	PC	\$300,000

## GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
<b>Children's Bureau of Southern California</b> 1910 Magnolia Ave Los Angeles, CA 90007-1220	To support the Magnolia Community Initiative, an approach to improving child and community well-being by promoting family engagement, school readiness, good health, and economic stability	PC	\$300,000
<b>Childrens Outing Assn</b> 909 E North Ave Milwaukee, WI 53212-3447	To strengthen a widespread community effort to engage families, community-based organizations, and government systems in a coordinated effort to improve health and well-being for children and families in three Milwaukee neighborhoods	PC	\$177,793
<b>Dallas Foundation</b> 3963 Maple Ave Ste 390 Dallas, TX 75219-3239	To pilot the Texas Inclusive Economy Accelerator to strengthen cross-sector partnerships that advance the economic mobility and resilience of Texas residents living in low- and moderate-income communities	PC	\$350,000
<b>Kokua Kalihi Valley Comprehensive Family Services</b> 2239 North School Street Honolulu, HI 96819-2539	To support the "Fostering Health and Resilience Through 'Āina" project, which will provide comprehensive clinical, preventive, and social services to improve the health outcomes of children and families living in the Kalihi community of Hawaii	PC	\$175,000
<b>New York Academy of Medicine</b> 1216 Fifth Avenue New York, NY 10029-5202	To launch the East Harlem Action Collaborative and develop a coordinated, resident driven approach to reduce health inequalities and improve the health and well-being of children and families who live in East Harlem	PC	\$200,000
<b>Social Finance, Inc.</b> 10 Milk St Ste 1010 Boston, MA 02108-4600	To provide general operating support for the development and launch of Pay for Success projects that advance positive outcomes for children, families, and communities and deliver energy efficiency retrofit solutions	PC	\$1,500,000
<b>Thunder Valley Community Development Corporation</b> 290 Empowerment Drive Porcupine, SD 57772-0290	To support a comprehensive, grassroots approach to collaborating with and empowering Lakota youth and families to improve the health, culture, and environment of the Pine Ridge Indian Reservation community	PC	\$300,000
<b>Tides Center</b> 1014 Torney Avenue San Francisco, CA 94129-1755	To support Project Evident to strengthen and expand a technical assistance platform that builds the capacity of social sector organizations and leaders to prove their effectiveness and build evidence for further funding for replication and scale	PC	\$1,000,000
<b>United Way Of San Antonio &amp; Bexar County</b> 700 South Alamo St. San Antonio, TX 78205-3404	To support the San Antonio Dual Generation Initiative, which integrates high-quality early childhood education programs with job skills, financial literacy, and parenting skills training for families living in the Eastside of San Antonio	PC	\$475,000
<i>Total Child Well-being</i>			\$5,177,793
<b><u>Arts Initiative</u></b>			
<b>651 Arts</b> 1000 Dean Street #232 Brooklyn, NY 11238-3381	To host Najee Omar at 651 ARTS to imagine and explore new approaches to demand building for live performance in poetry, theatrical installations, music and dance in and with our local communities.	PC	\$15,594
<b>Actors Theatre of Louisville</b> 316 West Main Street Louisville, KY 40202-3059	To host Idris Goodwin at Actors Theatre of Louisville to imagine and explore new approaches to demand building for the Greater Louisville African-American community and all communities-in-struggle.	PC	\$90,735
<b>Casita Maria</b> 928 Simpson Street Bronx, NY 10459-4402	To host Bronx World Arts Ensemble	PC	\$25,000

## GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
Childsplay, Inc. 900 S. Mitchell Dr Tempe, AZ 85281-5592	To host Zarco Guerrero at Childsplay to imagine and explore new approaches to demand building for theatre for young audiences and families.	PC	\$23,590
Cornerstone Theater Company 708 Traction Ave Los Angeles, CA 90032-4145	To host Larissa FastHorse at Cornerstone Theater Company to imagine and explore new approaches to demand building for contemporary Native theater with both Indigenous and non-Indigenous communities and audiences.	PC	\$100,000
CounterPulse 80 Turk St. San Francisco, CA 94102-2808	To host Tiare Ribeaux at CounterPulse, to imagine and explore new approaches to demand building for among tech creatives for contemporary dance and performance.	PC	\$20,725
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2020	PF	\$1,650,000
Eastside Arts Alliance P.O. Box 17008 Oakland, CA 94601-7008	To host Amara Tabor-Smith at EastSide Arts Alliance to imagine and explore new approaches to demand building for dance and interdisciplinary performance art.	PC	\$97,300
Ellis Marsalis Center for Music 1901 Bartholomew Street New Orleans, LA 70117	To host Derek Douget at the Ellis Marsalis Center for Music to imagine and explore new approaches to demand building for modern jazz music, specifically, the Silverbook.	PC	\$25,000
Emerson College 120 Boylston St Boston, MA 02116-4611	To host Toshi Reagon at ArtsEmerson to imagine and explore new approaches to demand building for theater.	PC	\$25,000
Interact 302 S. Hicks Street Philadelphia, PA 19102-4905	To host resident artist Rick Shiomi at InterAct Theatre Company in an effort to develop and engage audiences for an Asian American theatre festival in 2021.	PC	\$25,000
Mixed Blood Theatre Company 1501 S 4th Street Minneapolis, MN 55454-1106	To host Mark Valdez at Mixed Blood Theatre to imagine and explore new approaches to demand building for theatre.	PC	\$25,000
Studio Theatre 1501 14th Street NW Washington, DC 20005-3706	To host Psalmayene 24 at Studio Theatre to imagine and explore new approaches to demand building for theatre.	PC	\$82,500
Su Teatro 721 Santa Fe Dr. Denver, CO 80204-4428	Encuentros and Intercambios: To host Daniel Valdez at Su Teatro to imagine and explore new approaches to demand-building for theater.	PC	\$100,000
Trinity Repertory Company 201 Washington St Providence, RI 02903-3226	To host Laurie Woolery at Trinity Repertory Company to imagine and explore new approaches to demand building for theater.		\$16,955
<i>Total Arts Initiative</i>			\$2,322,399
<b><u>African Health Phase II</u></b>			
Columbia University 615 W 131 St Mc8741 New York, NY 10027-0000	To support Ghana CHPS+ team's cross country research activities focused on data use for decision-making and quality of care	PC	\$26,304
<i>Total African Health Phase II</i>			\$26,304
<b>Grand Totals</b>			<b>\$24,564,677</b>

**Doris Duke Charitable Foundation Inc**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2019**  
**Direct Charitable Activities**  
**Attachment 19**

	<u>2019</u>
LEAP FORUM-LEARNING ENGAGING & ADVOCATING POLICY	\$654,800
DORIS DUKE ARTIST AWARD ORIENTATION - JUNE 2019	\$12,547
DORIS DUKE ARTIST AWARD FINAL PANEL MARCH 2019	\$14,012
NATIONAL SERVICE ORGANIZATIONS MEETING	\$976
AHI ANNUAL GRANTEE MEETING	\$104,296
AHI SITE VISITS	\$6,811
ARTS PROGRAM SITE VISITS	\$20
CWP ADVISORY BOARD MEETING 6/18-6/19	\$9,639
CWP LEADERSHIP SUMMIT 6/18-6/20	\$38,646
CHILD WELL BEING SITE VISITS	\$2,889
DORIS DUKE CONSERVATION SCHOLARS PROGRAM	\$421,473
EP DIVERSITY FELLOWSHIPS CONVENING 10/11/19	\$9,976
LTA RALLY SIDEBAR-REGRANTOR CONVENING 10/17/19	\$10,589
2019 CLINICAL RESEARCH MENTORSHIP MEETING	\$3,056
2019 CSDA COMPETITION REVIEWS	\$35,607
2019 CLINICAL SCIENTIST MEETING	\$165,881
2019 FELLOWSHIP COMPETITION REVIEW	\$21,000



ICRF MEETING CHAPEL HILL NC	\$102,905
2019 SCD/AC COMPETITION REVIEW	\$8,000
MEDICAL RESEARCH PROGRAM SITE VISITS	\$2,620

**\$1,625,742**

All expenditures associated with the Foundation's direct charitable activities are paid by the Foundation's related party, Doris Duke Management Foundation. These expenses are reimbursed by the Foundation and are included as part of Programmatic and Administrative Expenses on Part I, Line 23.

**Doris Duke Charitable Foundation**  
**Form 990-PF**  
**Part IX-A: Summary of Direct Charitable Activities**  
**FYE: 12/31/2019**

***Environment Activities***

Doris Duke was a lifelong environmentalist with a keen interest in conservation. In her will, she expressed her interest in "the preservation of wildlife, both flora and fauna" and in supporting "ecological endeavors."

The mission of the Environment Program is *to ensure a thriving resilient environment for wildlife and people, and foster an inclusive, effective conservation movement*. It supports environmental initiatives across the nation, and strives to accomplish its mission through the following strategies:

- Accelerate land conservation in an era of climate change through the protection of the most climate-resilient landscapes and stewardship strategies that help wildlife adapt to the impacts of climate change.
- Reduce impacts on the landscape from increased energy development and energy demand by facilitating the sensitive siting of energy infrastructure and deploying urban forests to reduce energy demand in the built environment.
- Encourage land stewardship and sustainability in the Tri-State area by supporting local efforts to manage wildlife habitat and create efficient, healthy, and vibrant built and natural environments in the greater New York City area.
- Strengthen the conservation field by increasing diversity in the conservation workforce and inclusion of underrepresented communities in the conservation movement. Grow the capacity of the community focused on the permanent protection of high-priority wildlife habitat through support of science, public funding and collaborative conservation.

***Medical Research Activities***

Doris Duke was a significant supporter of medical research, making contributions to hospitals and research centers throughout her life. In her will, she expressed her interest in supporting "medical research designed to effectuate cures of major diseases."

The mission of the Medical Research Program is to advance the prevention, diagnosis and treatment of human disease by strengthening and supporting clinical research. The Medical Research Program strives to accomplish its mission through the following strategies:

- Encourage and develop clinical research careers that build the clinical research career ladder by supporting physician scientists in the United States at different stages of their career.
- Advance biomedical research and innovation through the support of innovative approaches to clinical research in targeted disease areas.

***African Health Activities***

The foundation seeks to spur significant advances in strengthening health systems in sub-Saharan Africa through a special grant-making initiative spanning from 2008 - 2023. The African Health Initiative (AHI) supports partnerships based at U.S. institutions that design, implement and evaluate large-scale models of care that link implementation research and workforce training directly to the delivery of primary healthcare in sub-Saharan Africa.

Now in its second phase, the African Health Initiative is currently supporting three programs:

- The Ghana Community-based Health Planning and Services (CHPS+) Program expands the work supported through AHI Phase 1 from three pilot districts to three regions and includes training in district and facility health systems leadership and management; routine use of data for decision-making; and the use of implementation science to improve the quality of health service delivery.
- The Mozambique Integrated Data and Evidence Action (IDEA) Program is based in two provinces and supports research that both improves implementation of national health policy and increases the capacity of district and facility management to better use data for decision-making and improved service delivery.
- The Ethiopia Data Use Partnership (DUP) improves the collection and use of high-quality routine health information at all levels of the health system, including the rollout of a new electronic health system, to improve the quality and availability of primary healthcare and nutrition services in the four most populous regions in Ethiopia.

### ***Child Well-Being Activities***

Doris Duke took a special interest in the needs of children, supporting nearly 85 child welfare organizations during her life. Her support included contributions to orphanages, camps, mentorship programs, little league teams, hospitals, and boys and girls clubs. In her will, Doris Duke expressed her interest in "the prevention of cruelty to children."

The mission of the Child Well-being Program is to promote children's healthy development and protect them from abuse and neglect. To accomplish this mission, the program favors a public health approach and is especially interested in place-based, whole-community approaches that seek to engage a range of participants from various disciplines to ensure that family well-being is supported by strong communities. It uses the following strategies to do so:

- Support place-based approaches to well-being by investing in coordinated, resident-informed, neighborhood-level efforts that aim to make measurable improvements in the health and well-being of children and families.
- Strengthen & coordinate service systems by supporting the expansion of the capacity of social service systems that are collaborative and provide culturally appropriate, evidence-based, and context-specific prevention and treatment programs to parents and children.
- Build a pipeline of diverse social service leaders by supporting a portfolio programs that promote the production and use of research and evidence, fostering narrative change efforts, and investing in leadership and professional development opportunities for mid- and senior-level professionals who reflect the diversity and lived experience of the children, families and communities they serve.

### ***Performing Arts Activities***

Doris Duke, in her will, expressed an interest in assisting “actors, dancers, singers, musicians and other artists of the entertainment world in fulfilling their ambitions and providing opportunities for the public presentation of their arts and talents.” The Arts Program supports this interest by focusing its support on contemporary dance, jazz and theater artists, and the organizations that nurture, present and produce them.

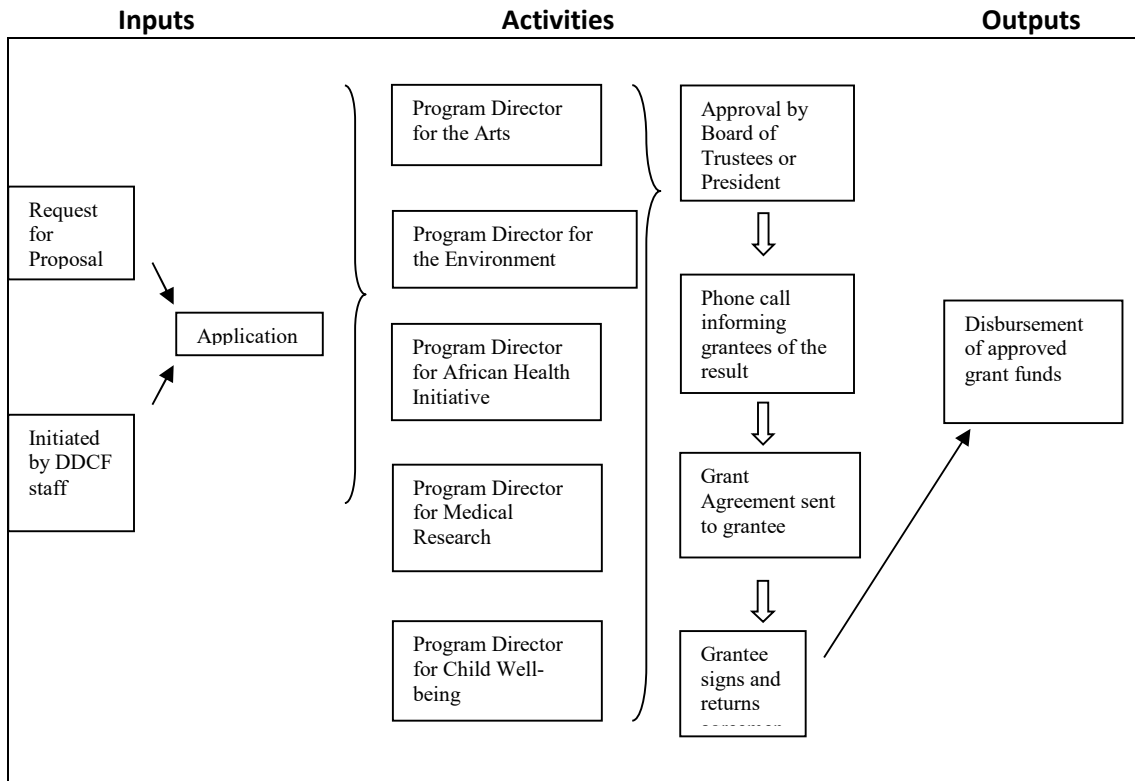
The mission of the Arts Program is to support performing artists with the creation and public performance of their work. The Arts Program accomplishes this mission through the following strategies:

- Support artistic creation and distribution through national competitive initiatives, mainly administered by intermediary organizations that support the commissioning, production, and presentation of new work in dance, jazz and theater. Typically, these re-granting programs offer additional benefits to supported artists, including professional development, networking opportunities, and participation in conferences and other meetings.
- Strengthen organizations that serve performing artists through grants that support their planning, capacity building, sustainability, technical assistance and implementation of bold, innovative approaches addressing current issues affecting the performing arts.
- Build the national sector by supporting activities that strengthen organizations critical to the health of the national dance, jazz, presenting and/or theater fields, as well as projects that have the potential to improve the stability and vitality of those fields.
- Empower, invest in and celebrate artists by offering flexible, multi-year and unrestricted funding for artists in contemporary dance, theater, jazz and related interdisciplinary work.

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The \$1,625,742 in direct charitable expenditures listed in Part IX-A represent amounts paid by the Doris Duke Charitable Foundation to support various meetings, conferences, seminars and other climate, conservation and/or medical research endeavours. Further information about each individual program listed in the attached schedule is available upon request.

**Doris Duke Charitable Foundation  
Grants Process  
December 31, 2019**



The mission of the Doris Duke Charitable Foundation (DDCF) is to improve the quality of people’s lives through grants supporting the performing arts, environmental conservation, medical research and child well-being, and through preservation of the cultural and environmental legacy of Doris Duke’s properties.

Grant Development and Approval

Grants are either competitively awarded through Request for Proposal (RFP) processes or are initiated by the DDCF staff. Grants and proposed grant competitions are presented to the Board of Trustees at one of the four meetings that take place during the year. All grants must be approved by the Board of Trustees with the exception of the Program Fund, Cross-Program Fund, Trustee recommended grants, and grants selected through competitive, peer-reviewed program initiatives. In these cases, the Board approves a total amount for the program initiative or fund and delegates to DDCF’s President Edward Henry authority to approve grants. Authority for Program Fund and Cross-Program Fund grants is sought from the Board each November for the following year. Program Fund and Cross-Program Fund grants must be for purposes that are in keeping with DDCF’s mission; the amount of these grants is limited both on a per-grant basis (\$100,000 or less) and cumulative annual basis for each program (up to 5% of each Program’s annual payout budget may be awarded as Program Fund grants). Additionally, the Board authorized the President to approve up to \$300,000 in Program Fund grants for the African Health Initiative and up to five percent of the Climate Change Initiative allocation over five years (for both, individual grants can be up to \$100,000 ), with funding to come from the initiatives’ annual grants budgets. All Program Fund and Cross-Program Fund grants are developed or

invited by staff, undergo routine due diligence review, and are approved by the President. Cross-Program Fund grant and Program Fund grants that total more than \$50,000 are discussed at a Staff Program Review meeting prior to approval. Finally, in 2019, eleven Trustees recommended grants totaling \$650,000; the grant purpose and organizations are vetted by staff and approved by the Board Chair prior to grant award.

**Grants solicited by DDCF Staff.** Edward Henry (President) is actively involved in the entire grants cycle. Prior to performing all the research on a potential grantee, the Program Director discusses the organization or proposed project with Mr. Henry. Based on this discussion, the research will begin or the project will be set aside.

All grant applications are submitted to DDCF via on-line forms, the links to which are emailed to invited applicants. Completed grant applications are retrieved electronically into DDCF's grants management system (which creates relevant organization, contact, and request records for each application).<sup>1,2</sup> Program staff then review the application to ensure that the organization meets DDCF requirements and that the program description is in line with DDCF's mission and program objectives. The application is also reviewed by the Program Associate for Grants Management to ensure that all the proper documentation has been received and the organization is a qualified not-for-profit.

Once the submitted application has been reviewed, the Program Director compiles a docket for presentation to the Board of Directors that describes the potential grantee organization and its proposed use of funds. Prior to the Board meeting, the Program Director presents the information to senior management and program staff at a Program Review session and at a "practice" session for the Board meeting. During these meetings, participating staff critique the written summary and the presentation, and appropriate changes are made, as necessary.

At the next Board meeting, the docket will be presented to the Board for their approval. The Board's decisions regarding proposed grants are documented in the Board of Director Minutes. After a grant has been approved by the board, Grants Management staff records the grant as "Approved" in DDCF's grants management system and assigns a grant number. Grants Management sends a monthly report detailing grants approved to Finance and the Accounting Manager prepares a journal entry to record the liability.

**Grants awarded through competitive Requests for Proposals.** Once the Board approves funds for a grant competition and delegates authority to approve specific grants that will be identified through that competition to the DDCF President, program staff release a Request for Proposals (RFP). The RFP specifies the purpose of the funding available through the award program, the timeline for application, the selection process, and expectations of projects selected. Competitions may involve one or two stages of application (i.e., letter of interest followed by a full proposal or just a full proposal) with staff and/or panel review at the first stage and panel review at the second stage. Applications are brought into the grants management system via on-line forms. Review panels score applications in accordance with the criteria specific to the grant program and recommend a roster of grants to DDCF. With authority delegated from the Board, the President approves grants to support the projects selected via the competition. Grants Management staff conducts a standard pre-grant agreement due diligence of each institution approved for funding.

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<sup>1</sup> See the "Computer Information Systems" section on the last page of this document for additional details about the grants management system.

<sup>2</sup> DDCF's grant records are maintained electronically in the grants management system.

### Grant Award

Once the Board or President approves a grant, the respective DDCF program staff notify the organization by telephone. The Program Associate for Grants Management generates a draft grant agreement using the appropriate template (templates are stored in grants management system and merged with the grant data to create the grant agreement). The Program Director and Director of Grants Management review and refine the grant agreement until they are satisfied that it is complete and includes terms that are appropriate for the funded project. Once the Director of Grants Management has approved the grant agreement and award letter and verified that all grant documentation is in order, the Director of Grants Management notifies the President via e-mail that the grant is ready for approval and requests the President's approval to affix his signature (jpeg) to the agreement and award letter.

The President's email indicating that the grants are approved for addition of his jpeg signature is stored in the grant record and the agreement is sent to the grantee organization for electronic signature using Adobe's AdobeSign product. The grant agreement specifies the total funds awarded, payment schedule, use of the funds, performance and financial reporting requirements, and various other disclosures regarding DDCF's rights (for example, to publicly announce the grant). If the grantee organization agrees to the terms of the agreement, an authorized representative of the organization will sign electronically and return the agreement to the DDCF via AdobeSign. When the fully executed grant agreement is received by DDCF, the first grant payment is initiated by Grants Management and forwarded to Finance for processing.

### Grant Payment Process

The grant payment process is initiated after Grants Management staff has determined that all requirements for a grant payment have been met.

The Grants Associate confirms that ACH instructions are still correct and updates the grant record if necessary. The grantee data from the grants management system is exported to an Excel spreadsheet (via an automated download) which is emailed to the Accounting Manager for upload to Great Plains (GP). The information is uploaded into GP where it is reviewed and approved as per the signing authority below. Once the approvals have been made, an ACH encrypted file is generated and transmitted to Bank of America via a secured transmission website. The transmission is then verified with the bank via a phone call to an ACH automated phone system. Once the payment has been sent, the grantee and appropriate program staff are alerted by email that the ACH has been executed.

#### *Grant signing authority requirements:*

<u>Grant Payment Amount</u>	<u>Requirements</u>	<u>Signatories</u>
between \$0 and \$9,999.99	Require any one signer listed	Peter Simmons Eileen Oberlander Edward Henry Jeffrey Heil
between \$10,000 and \$99,999.99	Require any two signers listed	Peter Simmons Eileen Oberlander Edward Henry Jeffrey Heil

Grant Payment Amount	Requirements	Signatories
Equal to or over \$100,000	Require two of the following individuals	Peter Simmons Edward Henry Eileen Oberlander

### Grant Monitoring

Within each grant agreement, the grantee agrees to provide DDCF with certain interim and final financial and program performance information. The time period for this submission varies from grant to grant. To ensure that the DDCF funds are being used in accordance with the grant agreement, the grantee is required to submit the following information:

- A narrative describing program accomplishments, challenges, and future plans
- An assessment of the project to date (have the original goals been achieved, were any program refinements necessary?)
- Copies of any literature, reports, or media coverage that was generated as a result of the grant
- A copy of the most recent audited financial statements
- A budget to actual comparison of use of the grant funds (indicating any budget modifications and explanations for budget variances)
- If a multi-year grant, a revised budget and budget narrative for the upcoming year (if warranted)
- Notification to DDCF of any changes to the organization’s board, senior staff, or tax-exempt status.

This information is required whether the grant period is one year or multi-year. If the grant is multi-year, the subsequent disbursements will not be made until this information has been received, reviewed, and approved by DDCF staff.

The grantee submits the required information and documentation to DDCF via on-line reporting forms. Once received, Program staff and Grants Management staff review the reports to ensure that the grantee has been using DDCF’s funds for the purpose outlined in their grant agreement. This includes determining if certain project milestones have been met, whether funds have been spent reasonably and within program guidelines, and whether the project has benefited the items/population targeted in the agreement. Additionally, the financial information is reviewed by the Director of Grants Management or Program Associate for Grants Management, and either the Program Director or Program Officer – and, as necessary, by a member of the Finance Department – to ensure that the grantee is not experiencing any financial difficulties.

Once this information has been evaluated and approved by DDCF, the payment request is prepared for multi-year grants or, for single year grants, the file will be closed.

### Computer information systems

In January 2017, DDCF transitioned from GIFTS, an on-site server-based grants management database system to Blackbaud Grantmaking (formerly named GIFTS Online), a cloud-based



system hosted by the same vendor.<sup>3</sup> The system is used track detailed information about each application for funding and each grant approved (payment schedules, reporting requirements, contacts, organizational information). Applications are received through an internet grants application module and retrieved into the system and their status is tracked according to their progress through the review, approval or declination, and disbursement and reporting process. The system is also used to generate grant-related documentation, including (but not limited to) grant award letters and grant agreements, payment request memoranda, and reporting review checklists, and internal grant processing emails. The system also provides reports that enable the user to project upcoming disbursements, determine when financial or other reporting information is required, track grant expiration, and track activities related to each grant.

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<sup>3</sup> The GIFTS and GIFTS Online systems were originally products of MicroEdge, Inc., which was subsequently acquired by BlackBaud, Inc. In 2018, the GIFTS Online product was re-branded as “Blackbaud Grantmaking,”

Doris Duke Charitable Foundation  
 Form 990-PF  
 FYE 12/31/2019

Form 990-PF, Part I, Line 6(a) & Part IV Statement  
 Attachment 22

<b>Asset Class</b>	<b>Realized Gains</b>	
Short Term Investments	30,922	
Fixed Income Investments	7,424,314	
Marketable Equity Alternative Investments	(4,572,368)	
Marketable Commingled Equity Investments	2,789,073	
Marketable Commingled Fixed Investments	-	
Marketable Distressed/High Yield Alternatives	948,035	
Marketable Long/Short Equity Alternatives	971,419	
Marketable Multi-Strategy Alternatives	26,869,171	
Non-Exchange traded Buyout/Growth Funds	36,840,589	
Non-Exchange Traded Distressed Funds	266,089	
Non-Exchange Traded Fund of Funds	5,007,509	
Non-Exchange Traded Real Assets	8,225,692	
Non-Exchange Traded Venture Capital Funds	16,026,449	
Allocation to Doris Duke Foundation (DDF)	(231,725)	
<b>Capital Gains/Losses Per Books - Part I, Line 6a</b>	<b>\$100,595,169</b>	-
Limited Partnership Investments	(33,939,748)	
Less: Short-Term Capital Loss from UBTI	1,599,332	
Less: Long-Term Capital Loss from UBTI	292,784	
Less: 1231 Gain from UBTI	(3,606,935)	
<b>Total Capital Gains - Part I, Line 7b</b>	<b>\$64,940,600</b>	-

A detailed schedule of the Foundation's capital gains and losses by specific funds held is available on request.