

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**2018**

**Open to Public Inspection**

For calendar year **2018** or tax year beginning , **2018**, and ending , **20**

Name of foundation <b>DORIS DUKE CHARITABLE FOUNDATION</b>		<b>A Employer identification number</b> 13-7043679											
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	<b>B Telephone number (see instructions)</b>  (908) 243-3619											
City or town, state or province, country, and ZIP or foreign postal code  NEW YORK, NY 10019		<b>C</b> If exemption application is pending, check here. . . . . <input type="checkbox"/> <b>D</b> 1. Foreign organizations, check here. . . . . <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation . . . . . <input type="checkbox"/> <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here. . . . . <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. . . . . <input type="checkbox"/>											
<b>G</b> Check all that apply: <table style="display: inline-table; vertical-align: top;"> <tr><td><input type="checkbox"/></td><td>Initial return</td></tr> <tr><td><input type="checkbox"/></td><td>Final return</td></tr> <tr><td><input type="checkbox"/></td><td>Address change</td></tr> </table> <table style="display: inline-table; vertical-align: top; margin-left: 20px;"> <tr><td><input type="checkbox"/></td><td>Initial return of a former public charity</td></tr> <tr><td><input type="checkbox"/></td><td>Amended return</td></tr> <tr><td><input type="checkbox"/></td><td>Name change</td></tr> </table>			<input type="checkbox"/>	Initial return	<input type="checkbox"/>	Final return	<input type="checkbox"/>	Address change	<input type="checkbox"/>	Initial return of a former public charity	<input type="checkbox"/>	Amended return	<input type="checkbox"/>
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<input type="checkbox"/>	Name change												
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation													
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,773,173,370.		<b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)											

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)	904,806.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B. . . . .				
3 Interest on savings and temporary cash investments	108,452.	108,452.		
4 Dividends and interest from securities . . . .	13,732,004.	18,405,287.		
5a Gross rents . . . . .				
b Net rental income or (loss) _____				
6a Net gain or (loss) from sale of assets not on line 10	82,175,502.			
b Gross sales price for all assets on line 6a 1,030,783,794				
7 Capital gain net income (from Part IV, line 2)		67,802,254.		
8 Net short-term capital gain. . . . .				
9 Income modifications . . . . .				
10a Gross sales less returns and allowances . . . . .				
b Less: Cost of goods sold . . . . .				
c Gross profit or (loss) (attach schedule) . . . .				
11 Other income (attach schedule) <u>ATCH 1</u>	221,968.	12,561,673.		
12 <b>Total.</b> Add lines 1 through 11 . . . . .	97,142,732.	98,877,666.	0.	
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc. . .	325,000.			300,000.
14 Other employee salaries and wages . . . . .				
15 Pension plans, employee benefits . . . . .				
16a Legal fees (attach schedule) <u>ATCH 2</u>	81,006.			74,881.
b Accounting fees (attach schedule) . . . . .				
c Other professional fees (attach schedule) <u>[ 3 ]</u>	6,365,522.	6,298,288.		
17 Interest . . . . .				
18 Taxes (attach schedule) (see instructions) <u>[ 4 ]</u>	2,113,022.			
19 Depreciation (attach schedule) and depletion .				
20 Occupancy . . . . .				
21 Travel, conferences, and meetings . . . . .	29,011.			29,011.
22 Printing and publications . . . . .	30.			30.
23 Other expenses (attach schedule) <u>ATCH 5</u>	9,080,166.	18,095,590.		9,577,466.
24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23. . . . .	17,993,757.	24,393,878.		9,981,388.
25 Contributions, gifts, grants paid . . . . .	108,976,914.			95,886,090.
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	126,970,671.	24,393,878.	0.	105,867,478.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-29,827,939.			
b <b>Net investment income</b> (if negative, enter -0-)		74,483,788.		
c <b>Adjusted net income</b> (if negative, enter -0-)			0.	

# Application for Automatic Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**  
▶ **Go to [www.irs.gov/Form8868](http://www.irs.gov/Form8868) for the latest information.**

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits](http://www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits).

**Automatic 6-Month Extension of Time.** Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number, see instructions
<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.  DORIS DUKE CHARITABLE FOUNDATION	Employer identification number (EIN) or  13-7043679
	Number, street, and room or suite no. If a P.O. box, see instructions. 650 FIFTH AVENUE 19TH FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10019	

Enter the Return Code for the return that this application is for (file a separate application for each return) . . . . . 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

EILEEN OBERLANDER

• The books are in the care of ▶ 1112 DUKES PARKWAY WEST HILLSBOROUGH NJ 08844

Telephone No. ▶ 908 243-3619 Fax No. ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 6-month extension of time until 11/15, 2019, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶  calendar year 2018 or
- ▶  tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.

**2** If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b> \$ 2,054,010.
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b> \$ 3,012,703.
<b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b> \$ 0.

**Caution:** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing . . . . .	2,356,881.	5,310,418.	5,310,418.
	2	Savings and temporary cash investments . . . . .	29,669,844.	81,841,664.	81,841,664.
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .	3,374,204.	3,223,361.	3,223,361.
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	1,502,904.	378,483.	378,483.
	10a	Investments - U.S. and state government obligations (attach schedule). . .			
	b	Investments - corporate stock (attach schedule) ATCH 6 . . . . .	56,950,203.	43,626,546.	43,626,546.
	c	Investments - corporate bonds (attach schedule) ATCH 7 . . . . .	61,413,593.	37,341,125.	37,341,125.
	11	Investments - land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) . . . . . ATCH 8 . . . . .	1,717,668,536.	1,588,989,278.	1,588,989,278.
	14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____ ATCH 9 . . . . .)	1,560,253.	12,462,495.	12,462,495.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	1,874,496,418.	1,773,173,370.	1,773,173,370.	
Liabilities	17	Accounts payable and accrued expenses . . . . .	1,290,204.	1,575,790.	
	18	Grants payable . . . . .	57,770,466.	64,328,305.	
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____ ATCH 10 . . . . .)	30,913,270.	18,709,889.	
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	89,973,940.	84,613,984.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here . . . . . <input checked="" type="checkbox"/> X and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted . . . . .	1,784,522,478.	1,688,559,386.	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> _____ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . .			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	1,784,522,478.	1,688,559,386.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	1,874,496,418.	1,773,173,370.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1,784,522,478.
2	Enter amount from Part I, line 27a . . . . .	-29,827,939.
3	Other increases not included in line 2 (itemize) ▶ _____	
4	Add lines 1, 2, and 3 . . . . .	1,754,694,539.
5	Decreases not included in line 2 (itemize) ▶ _____ ATCH 11	66,135,153.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . .	1,688,559,386.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> SEE PART IV SCHEDULE				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b> 67,802,254.
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 }		<b>3</b> 0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	93,534,870.	1,775,422,397.	0.052683
2016	91,788,644.	1,674,009,429.	0.054832
2015	91,743,150.	1,768,665,149.	0.051871
2014	88,040,166.	1,754,808,008.	0.050171
2013	83,234,494.	1,645,344,824.	0.050588
<b>2</b>	<b>Total</b> of line 1, column (d)		<b>2</b> 0.260145
<b>3</b>	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		<b>3</b> 0.052029
<b>4</b>	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5		<b>4</b> 1,820,032,461.
<b>5</b>	Multiply line 4 by line 3.		<b>5</b> 94,694,469.
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b).		<b>6</b> 744,838.
<b>7</b>	Add lines 5 and 6.		<b>7</b> 95,439,307.
<b>8</b>	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		<b>8</b> 107,242,999.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, add lines 1 and 2, subtitle A tax, tax based on investment income, credits/payments, total credits, penalty, tax due, overpayment, and amount of line 10 to be credited to 2019 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about influencing legislation, political expenditures, Form 1120-POL filing, tax on political expenditures, reimbursement, IRS reporting, unrelated business gross income, liquidation, section 508(e) requirements, assets, states reported to, Form 990-PF distribution, private operating foundation status, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 regarding controlled entities, distributions, public inspection, website address, books in care, and foreign country interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements, including disqualifying acts, taxes on failure to distribute income, and business enterprise interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. . . . .
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?. . . . .
(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . .
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions . . . . .
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. . . . .
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .
6b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .
7b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? . . . . .

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (if not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: ATCH 13, 300,000, 0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: NONE.

Total number of other employees paid over \$50,000. . . . . 0.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 14		1,840,015.
<b>Total number of others receiving over \$50,000 for professional services . . . . .</b>		<b>7</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
<b>1</b>	SEE ATTACHMENTS 17 & 18	
		1,043,002.
<b>2</b>		
<b>3</b>		
<b>4</b>		

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
<b>1</b>	SOCIAL FINANCE-DDCF PARTNERED WITH SOCIAL FINANCE, INC. TO PROMOTE STABILITY FOR FAMILIES AND TO REDUCE OUT-OF-HOME PLACEMENTS FOR CHILDREN IMPACTED BY PARENTAL SUBSTANCE ABUSE	379,521.
<b>2</b>	ENVIRONMENT/CONSERVATION-DDCF PARTNERED WITH THE NATURE CONSERVANCY TO PROTECT CLIMATE-RESILIENT LANDSCAPES IN CENTRAL APPALACHIA.	996,000
	All other program-related investments. See instructions.	
<b>3</b>	NONE	
<b>Total. Add lines 1 through 3 . . . . .</b>		<b>1,375,521.</b>



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	1,814,427,541.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	33,321,150.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	1,847,748,691.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	1,847,748,691.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	27,716,230.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,820,032,461.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	91,001,623.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	91,001,623.
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5 . . . . .	<b>2a</b>	744,838.
<b>b</b>	Income tax for 2018. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	744,838.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	90,256,785.
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	431,624.
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	90,688,409.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	90,688,409.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26. . . . .	<b>1a</b>	105,867,478.
<b>b</b>	Program-related investments - total from Part IX-B. . . . .	<b>1b</b>	1,375,521.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	<b>4</b>	107,242,999.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	744,838.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	106,498,161.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7 . . . . .				90,688,409.
2 Undistributed income, if any, as of the end of 2018:				
a Enter amount for 2017 only. . . . .				
b Total for prior years: 20 16 ,20 15 ,20 14 . . . . .				
3 Excess distributions carryover, if any, to 2018:				
a From 2013 . . . . .	2,764,142.			
b From 2014 . . . . .	1,801,249.			
c From 2015 . . . . .	5,208,206.			
d From 2016 . . . . .	9,444,900.			
e From 2017 . . . . .	6,654,654.			
f Total of lines 3a through e . . . . .	25,873,151.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 107,242,999.				
a Applied to 2017, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required - see instructions). . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2018 distributable amount. . . . .				90,688,409.
e Remaining amount distributed out of corpus. . . . .	16,554,590.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).) . . . . .				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	42,427,741.			
b Prior years' undistributed income. Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .				
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .				
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019. . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . . . .	2,764,142.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a . . . . .	39,663,599.			
10 Analysis of line 9:				
a Excess from 2014 . . . . .	1,801,249.			
b Excess from 2015 . . . . .	5,208,206.			
c Excess from 2016 . . . . .	9,444,900.			
d Excess from 2017 . . . . .	6,654,654.			
e Excess from 2018 . . . . .	16,554,590.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

N/A

**b** The form in which applications should be submitted and information and materials they should include:

SEE ATTACHMENT 19

**c** Any submission deadlines:

SEE ATTACHMENT 19

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT 19

**Part XV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE ATTACHMENT 16				95,886,090.
<b>Total</b> .....				<b>▶ 3a</b> 95,886,090.
<b>b</b> Approved for future payment SEE ATTACHMENT 16A				39,424,840.
<b>Total</b> .....				<b>▶ 3b</b> 39,424,840.

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		<b>(e)</b> Related or exempt function income (See instructions.)
	<b>(a)</b> Business code	<b>(b)</b> Amount	<b>(c)</b> Exclusion code	<b>(d)</b> Amount	
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments . . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	108,452.	
<b>4</b> Dividends and interest from securities . . . . .			14	13,732,004.	
<b>5</b> Net rental income or (loss) from real estate:					
<b>a</b> Debt-financed property . . . . .					
<b>b</b> Not debt-financed property . . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income . . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory	525990	1,073,816.	18	82,917,059.	
<b>9</b> Net income or (loss) from special events . . . . .					
<b>10</b> Gross profit or (loss) from sales of inventory . . . . .					
<b>11</b> Other revenue: <b>a</b> _____					
<b>b</b> ATCH 15		-1,815,373.		221,968.	
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .		-741,557.		96,979,483.	0.
<b>13 Total.</b> Add line 12, columns (b), (d), and (e) . . . . . <b>13</b>					96,237,926.

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Line No.** Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

▼

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Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Includes a table for line 1d.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

Table for 2b: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of Edward P. Henry, Date 11/4/2019, Title President & CEO. Includes a box for 'May the IRS discuss this return with the preparer shown below?' with Yes checked.

Paid Preparer Use Only: Print/Type preparer's name SCOTT THOMPSETT, Preparer's signature Scott Thompson, Date 11/1/2019, Firm's name GRANT THORNTON LLP, Firm's address 757 THIRD AVENUE, 3RD FLOOR NEW YORK, NY, Firm's EIN 36-6055558, Phone no. 212-599-0100.

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHMENT 20					67802254.	
TOTAL GAIN(LOSS) .....							<u>67802254.</u>	

**Schedule of Contributors**

**2018**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
 ▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Name of the organization DORIS DUKE CHARITABLE FOUNDATION	Employer identification number 13-7043679
--	--

Organization type (check one):

**Filers of:**

**Section:**

- Form 990 or 990-EZ  501(c)( ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization
- Form 990-PF  501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).



Name of organization **DORIS DUKE CHARITABLE FOUNDATION**

Employer identification number  
13-7043679

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE JOSEPHINE R. SIMPSON FAMILY TRUST  C/O BANK OF AMERICA, 1 TOWN CENTER RD.  BOCA RATON, FL 33486	\$ 904,806.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization **DORIS DUKE CHARITABLE FOUNDATION**

**Employer identification number**

13-7043679

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____

Name of organization **DORIS DUKE CHARITABLE FOUNDATION**

Employer identification number

13-7043679

**Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ \_\_\_\_\_  
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
_____ _____ _____		_____ _____ _____	
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
_____ _____ _____		_____ _____ _____	

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES</u>	<u>NET INVESTMENT</u>	<u>ADJUSTED NET</u>
ORDINARY INCOME FROM INVESTMENTS IN PASSIVE INVESTMENT COMPANIES		3,797,448.	
FEDERAL UNRELATED BUSINESS INCOME TAX REFUND	200,000.		
PROGRAM-RELATED INVESTMENT INCOME SUBPART F INCOME INCLUSION	21,968.	21,968.	4,928,049.
IRC SECTION 965(A) INCLUSION		57,765.	
FLOW THROUGH INCOME FROM INVESTMENTS IN LIMITED PARTNERSHIPS		1,941,070.	
ADDBACK INVESTMENT LOSS REPORTED AS UNRELATED BUSINESS LOSS ON 990-T		1,815,373.	
TOTALS	<u>221,968.</u>		

ATTACHMENT 2FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
GUNDERSON DETTMER STOUGH VILLENEUVE FRANKLIN & HACHIGIAN LLP	15,589.			15,589.
KIRKLAND & ELLIS LLP	12,381.			9,166.
SIMPSON THACHER & BARTLETT LLP	10,551.			7,641.
SAUL EWING ARNSTEIN & LEHR LLP	7,128.			7,128.
COOLEY LLP	6,050.			6,050.
WILLKIE FARR & GALLAGHER LLP	5,712.			5,712.
ASIA ALTERNATIVES MANAGEMENT LLC	4,000.			4,000.
LATHAM & WATKINS LLP	3,500.			3,500.
GOODWIN PROCTER LLP	3,120.			3,120.
THOMPSON & KNIGHT LLP	3,065.			3,065.
PAUL WEISS RIFKIND WHARTON & GARRISON LLP	3,030.			3,030.
SCHULTE ROTH & ZABEL LLP	2,580.			2,580.
MOORE & VAN ALLEN PLLC	2,500.			2,500.
LOWENSTEIN SANDLER LLP	1,800.			1,800.
TOTALS	<u>81,006.</u>			<u>74,881.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CUSTODY FEES	642,906.	642,906.		
MANAGERS BASE FEES	2,061,271.	2,061,271.		
INVESTMENT ADVISORY FEES	586,258.	586,258.		
OTHER INVESTMENT EXPENSES	3,050,081.	3,050,081.		
INVESTMENT MGMT FEES ALLOCATED TO DDF (ON ITS HOLDINGS)	-28,428.	-28,428.		
SECURITIES LENDING EXPENSE	43,374.	43,374.		
INVESTMENT DATABASE ACCESS FEE	10,060.	10,060.		
LESS ALLOCATED INVESTMENT FEES REPORTED ON 990T		-67,234.		
TOTALS	<u>6,365,522.</u>	<u>6,298,288.</u>		

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CURRENT & DEFERRED FEDERAL EXCISE TAX PROVISION	2,000,000.			
STATE UNRELATED BUSINESS INCOME TAX PROVISION	12,001.			
FEDERAL UNRELATED BUSINESS INCOME TAX PROVISION	101,021.			
TOTALS	<u>2,113,022.</u>			

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
WIRE TRANSFER FEES (GRANTS)	19,429.			19,429.
PROGRAMMATIC & ADMINISTRATIVE EXPENSES INCURRED BY DDCF (BUT PAID BY A RELATED PARTY DDMF)	8,978,156.			9,475,334.
INSURANCE	78,010.			78,010.
FILING FEES	2,172.			3,080.
MISCELLANEOUS OFFICE EXPENSES	1,612.			1,613.
PENALTIES	787.			
FLOW-THROUGH EXPENSES FROM INVESTMENTS IN LIMITED PARTNERSHIPS		18,095,590.		
TOTALS	<u>9,080,166.</u>	<u>18,095,590.</u>		<u>9,577,466.</u>



ATTACHMENT 6FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITY INVESTMENTS	43,626,546.	43,626,546.
TOTALS	<u>43,626,546.</u>	<u>43,626,546.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIXED INCOME INVESTMENTS	41,385,559.	41,385,559.
LESS FIXED INCOME INVESTMENT ALLOCATED TO DORIS DUKE FOUNDATION	-4,044,434.	-4,044,434.
TOTALS	<u>37,341,125.</u>	<u>37,341,125.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MULTI-STRATEGY ALTERNATIVES	274,771,527.	274,771,527.
PRIVATE EQUITY INVESTMENTS	331,784,472.	331,784,472.
DISTRESSED/HIGH YIELD	110,992,083.	110,992,083.
FUND OF FUNDS	47,715,184.	47,715,184.
BUYOUTS/GROWTH NON-MARKETABLE	115,599,672.	115,599,672.
VENTURE CAPITAL	277,798,782.	277,798,782.
DISTRESSED NON-MARKETABLE ALT	1,978,934.	1,978,934.
SPECIAL SITUATIONS	6,510,705.	6,510,705.
REAL ASSETS	95,510,572.	95,510,572.
COMMINGLED INVESTMENTS	326,327,347.	326,327,347.
TOTALS	<u>1,588,989,278.</u>	<u>1,588,989,278.</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DUE FROM BROKER	9,059,846.	9,059,846.
PROGRAM RELATED INVESTMENTS - SOCIAL FINANCE	888,736.	888,736.
PROGRAM RELATED INVESTMENTS - ENVIRONMENTAL	634,000.	634,000.
INVESTMENT RECEIVABLES	715,388.	715,388.
COLLECTIBLES	354,950.	354,950.
DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART	24,034.	24,034.
DUE FROM DORIS DUKE MANAGEMENT FOUNDATION	783,309.	783,309.
DUE FROM DORIS DUKE FOUNDATION	2,232.	2,232.
TOTALS	<u>12,462,495.</u>	<u>12,462,495.</u>

ATTACHMENT 10

FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DUE TO DORIS DUKE MANAGEMENT FOUNDATION	7,206,665.
DUE TO DUKE FARMS FOUNDATION	156,960.
DEFERRED FEDERAL & STATE EXCISE TAXES	11,341,087.
SECURITIES LENDING PAYABLE	5,177.
TOTALS	<u>18,709,889.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
NET UNREALIZED LOSS ON SECURITIES	65,984,310.
CHANGE IN VALUE OF BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	150,843.
TOTAL	<u>66,135,153.</u>

**Doris Duke Charitable Foundation  
Form 990-PF - Return of Private Foundation  
FYE: 12/31/2018  
Attachment 12**

**The Doris Duke Charitable Foundation maintained expenditure responsibility for multiple grants to the following grantees:**

**Grantees**

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**Doris Duke Foundation for Islamic Art**

**Duke Farms Foundation**

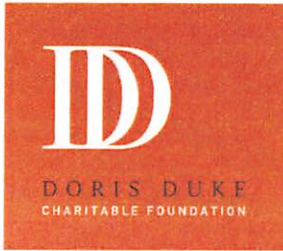
**Doris Duke Foundation**

**Eureka Foundation Inc**

**Merce Cunningham Trust**

The expenditure responsibility reports follow this attachment 12 cover sheet.

The Doris Duke Charitable Foundation also maintained expenditure responsibility for various grants that were approved in 2018, but were not actually disbursed until the following year.



October 02, 2019

Mr. Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation for Islamic Art  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to the Doris Duke Foundation for Islamic Art in 2018 totaling \$10,809,507 to be used for the Doris Duke Foundation for Islamic Art's general operating expenses (referred to collectively as the "Grant"). Because the Doris Duke Foundation for Islamic Art is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Doris Duke Foundation for Islamic Art before making payment of the Grant funds. By signing this letter, you agree on behalf of the Doris Duke Foundation for Islamic Art to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which



we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
  - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
  - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
  - c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
  - d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Doris Duke Foundation for Islamic Art also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus,

Mr. Peter Simmons

Page 3

October 02, 2019

as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Doris Duke Foundation for Islamic Art agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which the Doris Duke Foundation for Islamic Art elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Doris Duke Foundation for Islamic Art were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

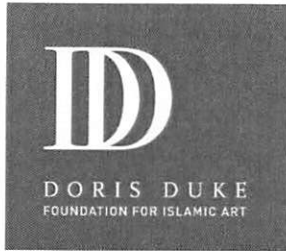
Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry  
Edward P. Henry, President

Agreed to and accepted on behalf of the  
Doris Duke Foundation for Islamic Art this 7<sup>th</sup>  
day of October, 2019

By: Peter Simmons  
Peter Simmons, Chief Operating Officer



October 04, 2019

Mr. Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

Dear Mr. Henry:

In 2018, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$10,809,507 to the Doris Duke Foundation for Islamic Art ("DDFIA"). By a letter dated October 02, 2019, I agreed on behalf of DDFIA to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2018 the year in which they were granted, this is both a first and final report.

Name and address of grantee:  
Doris Duke Foundation for Islamic Art  
4055 Papu Circle  
Honolulu, HI 96816

Purpose of Grants:

To be used for DDFIA's general operating expenses. Property owned by DDFIA is used for a charitable purpose since DDFIA facilitates the study and understanding of Middle Eastern Art and Culture.

Mr. Edward P. Henry  
Page 2  
October 04, 2019

The following table contains the date of payment of each part of the grant that was spent in 2017, and a description of how the grant was spent by DDFIA:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
01/31/18	\$560,314	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
02/28/18	\$787,919	" "
03/31/18	\$1,001,875	" "
04/28/18	\$577,636	" "
05/31/18	\$1,630,993	" "
06/30/18	\$1,251,739	" "
07/31/18	\$611,135	" "
08/31/18	\$536,278	" "
09/29/18	\$892,277	" "
10/31/18	\$724,667	" "
11/30/18	\$1,331,641	" "
12/31/18	\$903,033	" "

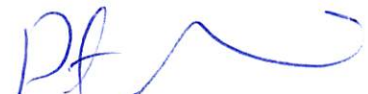
All of the grant funds have been spent for DDFIA's operating expenses, and no portion of the grant funds has been diverted from such purpose.

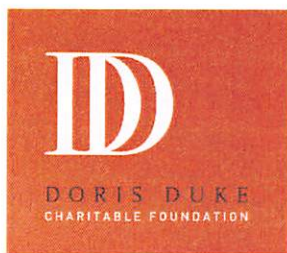
In addition, in the letter dated October 02, 2019 I represented that before the end of 2018, DDFIA would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$10,809,507 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DDFIA's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2018.

Since DDFIA has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DDFIA were not a private operating foundation.

Very truly yours,  
Doris Duke Foundation for Islamic Art

By:   
Peter Simmons, Chief Operating Officer



October 2, 2019

Mr. Peter Simmons  
Chief Operating Officer  
Duke Farms Foundation  
1112 Dukes Parkway West  
Hillsborough, New Jersey 08844

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to Duke Farms Foundation in 2018 totaling \$7,985,681 to be used for Duke Farms Foundation's general operating expenses (referred to collectively as the "Grant"). Because Duke Farms Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from Duke Farms Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of Duke Farms Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising

Mr. Peter Simmons

Page 2

October 2, 2019

therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
  - a. To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
  - b. To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
  - c. To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
  - d. To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

Duke Farms Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in

Mr. Peter Simmons

Page 3

October 02, 2019

section 4942 of the Code, equal to the value of the Grant, and, within such time period, Duke Farms Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which Duke Farms Foundation elects pursuant to Treas. Reg. 53.4942(A) 3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if Duke Farms Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry  
Edward P. Henry, President

Agreed to and accepted on behalf of  
Duke Farms Foundation this 7<sup>th</sup>  
day of October, 2019

By: Peter Simmons  
Peter Simmons, Chief Operating Officer



**Duke Farms**  
Living Habitats

October 04, 2019

Mr. Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, New York 10019

Dear Mr. Henry:

In 2018, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$7,985,681 to Duke Farms Foundation ("DFF"). By a letter dated October 02, 2019, I agreed on behalf of DFF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2018 the year in which they were granted, this is both a first and final report.

Name and address of grantee:  
Duke Farms Foundation  
1112 Dukes Parkway West  
Hillsborough, NJ 08844

Purpose of Grants:

To be used for DFF's general operating expenses. Property owned by DFF is used for a charitable purpose since DFF is a regional center for environmental stewardship. DFF provides educational programs to visitors as well as serving as a critical habitat for protecting endangered species of all kinds, both flora and fauna.



Mr. Edward P. Henry  
Page 2  
October 04, 2019

The following table contains the date of payment of each part of the grant that was spent in 2018 and a description of how the grant was spent by DFF:

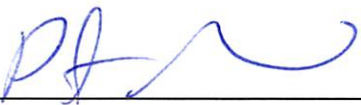
<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/18	\$940,266	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Capital Expenditures.
2/28/18	\$626,128	" "
3/31/18	\$1,132,642	" "
4/28/18	\$774,630	" "
5/31/18	\$652,712	" "
6/30/18	\$1,849,836	" "
7/31/18	\$888,825	" "
8/31/18	\$589,581	" "
9/30/18	\$413,239	" "
10/31/18	\$804,937	" "
11/30/18	\$635,066	" "
12/31/18	(\$1,322,181)	" "

All of the grant funds have been spent for DFF's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated October 02, 2019, I represented that before the end of 2018, DFF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$7,985,681 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made. As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DFF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2018.

Since DFF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DFF were not a private operating foundation.

Very truly yours,  
Duke Farms Foundation

By:   
Peter Simmons, Chief Operating Officer



October 8, 2019

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2012015

Dear Mr. Henry:

In 2012, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$5,775,000 (the "Grant").

By grant agreement executed April 3, 2012 and Amendment executed May 18, 2012 (collectively, the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those payments, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the first cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program (the "Awards Program")

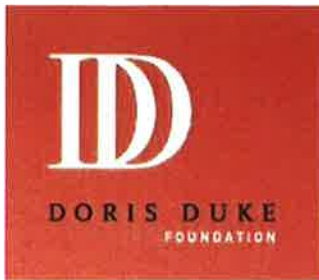
**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2018. DDF received no grant payments from DDCF and no grant funds were expended in 2018 toward the first cohort of Awards Program recipients.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a horizontal line.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation



October 8, 2019

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013030

Dear Mr. Henry:

In February 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$5,500,000 (the "Grant").

By grant agreement executed February 27, 2013 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the second cohort of Doris Duke Artist Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2013 (the "Awards Program")

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2018. DDF received payments from DDCF totaling \$261,509 in 2018. The grant funds were fully expended in 2018 toward the second cohort of Doris Duke Artist Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

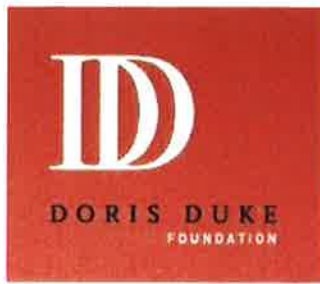
Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons'.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

**Doris Duke Artist Awards - 2013 Cohort (20 Artists): Grants Paid in 2018 from Grant No. 2013030**

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2018
Copper, Kelly	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	6,199
D'Amour, Lisa	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	25,000
Dorvillier, Deanna	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	3,400
ElSaffar, Amir	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	2,475
Lang, David	Doris Duke Artist Award 2013	Unrestricted	Individual/None	45,000
Liska, Pavol	Doris Duke Artist Award 2013	Unrestricted	Individual/None	90,000
	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	9,298
Mahanthappa, Rudresh	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	2,555
	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	1,545
Malpede, John	Doris Duke Artist Award 2013	Unrestricted	Individual/None	55,000
Masaoka, Miya	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	11,438
O'Connor, Tere	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	6,100
Parker, William	Doris Duke Artist Award 2013	Incentive Funding	Individual/None	3,500
<b>Total Grants Paid to Individuals</b>				<b>\$261,509</b>
<b>Total Grants Paid to Organizations</b>				<b>\$0</b>
<b>Total Grants Paid in 2018 from DDCF Grant #2013030</b>				<b>\$261,509</b>



October 8, 2019

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013221

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$5,500,000 (the "Grant").

By grant agreement executed December 16, 2013 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the third cohort of Doris Duke Artists Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2018. DDF received payments from DDCF totaling \$452,855 in 2018. The grant funds were fully expended in 2018 toward the second cohort of Doris Duke Artist Award recipients and for one artist selected in the 2015 cohort, as shown on the following pages.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

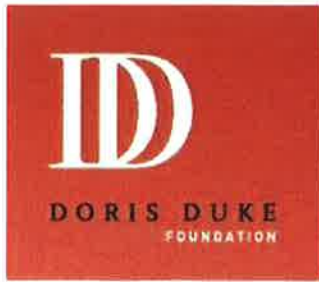
Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a horizontal line.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

**Doris Duke Artist Awards - 2014 Cohort (19 Artists) and One 2015 Cohort Artist: Grants Paid in 2018 from Grant No. 2013221**

<b>Recipient*</b>	<b>Award</b>	<b>Purpose</b>	<b>Tax Status and Relationship to Any Foundation Manager or Substantial Contributor</b>	<b>Amount Paid 2018</b>
Haigood, Joanna	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	3,000
Jasperse, John R.	Doris Duke Artist Award 2014	Unrestricted	Individual/None	46,250
Johnson, Emily	Doris Duke Artist Award 2014	Unrestricted	Individual/None	65,000
	Doris Duke Artist Award 2014	Audience Development	Individual/None	11,000
Jones, William T.	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	5,000
Joseph, Melanie	Doris Duke Artist Award 2014	Unrestricted	Individual/None	52,500
Keystone, Nancy	Doris Duke Artist Award 2014	Unrestricted	Individual/None	60,000
Lehman, Stephen Hart	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	1,506
Parkins, Elizabeth	Doris Duke Artist Award 2014	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2014	Audience Development	Individual/None	10,000
Ramaswamy, Raneer	Doris Duke Artist Award 2014	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	5,000
Taborn, Craig	Doris Duke Artist Award 2014	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2014	Incentive Funding	Individual/None	5,000
King, Alonzo	Doris Duke Artist Award 2015	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	8,599
<b>Total Grants Paid to Individuals</b>				<b>\$452,855</b>
<b>Total Grants Paid to Organizations</b>				<b>\$0</b>
<b>Total Grants Paid in 2018 from DDCF Grant #2013221</b>				<b>\$452,855</b>



October 8, 2019

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2013222

Dear Mr. Henry:

In November 2013, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$1,600,000 (the "Grant").

By grant agreement executed December 16, 2013 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the first cohort of Doris Duke Impact Award recipients selected for funding through the Doris Duke Performing Artists Awards Program in 2014.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2018. DDF received payments from DDCF totaling \$3,000 in 2018. The grant funds were fully expended in 2018 toward the first cohort of Doris Duke Impact Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

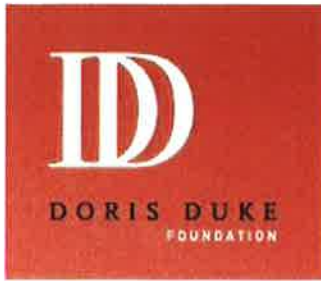
A handwritten signature in black ink, appearing to read 'Peter Simmons', with a stylized flourish at the end.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

**Doris Duke Impact Awards - 2014 Cohort (20 Artists): Grants Paid in 2018 from Grant No. 2013222**

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2018
Shyu, Jennifer Lay	Doris Duke Impact Award 2014	Incentive Funding	Individual/None	3,000
<b>Total Grants Paid to Individuals</b>				<b>\$3,000</b>
<b>Total Grants Paid to Organizations</b>				<b>\$0</b>
<b>Total Grants Paid in 2018 from DDCF Grant #2013222</b>				<b>\$3,000</b>





October 8, 2019

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014207

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$5,225,000 (the "Grant").

By grant agreement executed December 19, 2014 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the fourth cohort of Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2018. DDF received payments from DDCF totaling \$716,960 in 2018. The grant funds were fully expended in 2018 toward the fourth cohort of Doris Duke Artist Award recipients and one 2018 Doris Duke Artist Award recipient, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

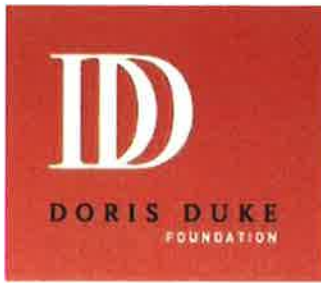
Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a white background.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

**Doris Duke Artist Awards - 2015 Cohort (19 Artists): Grants Paid in 2018 from Grant No. 2014207**

Recipient*	Award	Purpose	Tax Status and	2018
Argue, Darcy James	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	4,210
Brown, Camille	Doris Duke Artist Award 2015	Unrestricted	Individual/None	57,500
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	2,750
Chipaumire, Nora	Doris Duke Artist Award 2015	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	2,500
Coleman, Steven D	Doris Duke Artist Award 2015	Unrestricted	Individual/None	34,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	10,000
Flores, Paul	Doris Duke Artist Award 2015	Unrestricted	Individual/None	40,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	5,000
Jones, Daniel Alexander	Doris Duke Artist Award 2015	Unrestricted	Individual/None	60,000
Lee, Ok Kyung	Doris Duke Artist Award 2015	Unrestricted	Individual/None	45,000
	Doris Duke Artist Award 2015	Audience Development	Individual/None	25,000
Parris-Bailey, Linda	Doris Duke Artist Award 2015	Unrestricted	Individual/None	40,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	8,500
Ruiz Sapp, Mildred	Doris Duke Artist Award 2015	Unrestricted	Individual/None	35,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	5,000
Sapp, Steven	Doris Duke Artist Award 2015	Unrestricted	Individual/None	35,000
	Doris Duke Artist Award 2015	Incentive Funding	Individual/None	5,000
Sides, Shawn	Doris Duke Artist Award 2015	Unrestricted	Individual/None	50,000
Terry, Yosvany	Doris Duke Artist Award 2015	Unrestricted	Individual/None	90,000
	Doris Duke Artist Award 2015	Audience Development	Individual/None	10,000
Varone, Doug	Doris Duke Artist Award 2015	Unrestricted	Individual/None	45,000
Dorrance, Michelle	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
			<b>TOTAL</b>	<b>\$716,960</b>
			<b>Total Grants Paid to Organizations</b>	<b>\$0</b>
			<b>Total Grants Paid in 2018 from DDCF Grant #2014207</b>	<b>\$716,960</b>



October 8, 2019

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2014206

Dear Mr. Henry:

In November 2014, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$1,600,000 (the "Grant").

By grant agreement executed December 19, 2014 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the second cohort of Doris Duke Impact Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2015.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2018. DDF received payments from DDCF totaling \$369,818 in 2018. The grant funds were fully expended in 2018 toward the second cohort of Doris Duke Impact Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a light blue horizontal line.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

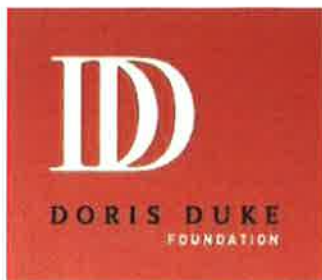
**Doris Duke Impact Awards - 2015 Cohort (20 Artists): Grants Paid in 2018 from Grant No. 2014206**

Recipient*	Award	Purpose	Tax Status and Relationship to Any Foundation Manager or Substantial Contributor	Amount Paid 2018
Blackwell, Becca	Doris Duke Impact Award 2015	Unrestricted	Individual/None	20,000
Blackwell, Becca	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	4,500
deBessonnet, Lear	Doris Duke Impact Award 2015	Unrestricted	Individual/None	20,000
Dresser, Mark	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	5,000
Ellsworth, Michelle	Doris Duke Impact Award 2015	Unrestricted	Individual/None	20,000
Ellsworth, Michelle	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	10,000
Ellsworth, Michelle	Doris Duke Impact Award 2015	Audience Development	Individual/None	10,000
Gill, Beth	Doris Duke Impact Award 2015	Unrestricted	Individual/None	30,000
Graves, Milford	Doris Duke Impact Award 2015	Unrestricted	Individual/None	15,000
Graves, Milford	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	5,000
Graves, Milford	Doris Duke Impact Award 2015	Audience Development	Individual/None	10,000
Houston Jones, Ishmael	Doris Duke Impact Award 2015	Unrestricted	Individual/None	24,000
Houston Jones, Ishmael	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	6,500
Jonassen, Heide (Jenness, Morgan)	Doris Duke Impact Award 2015	Unrestricted	Individual/None	5,000
Kravas, Heather	Doris Duke Impact Award 2015	Unrestricted	Individual/None	10,000
Kravas, Heather	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	4,750
Lee, Dohee	Doris Duke Impact Award 2015	Unrestricted	Individual/None	10,000
Lee, Dohee	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	7,225
Mitchell, Matthew	Doris Duke Impact Award 2015	Unrestricted	Individual/None	24,000
Mitchell, Matthew	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	7,205
Murillo, Carlos	Doris Duke Impact Award 2015	Unrestricted	Individual/None	20,000
Murillo, Carlos	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	834
Murillo, Carlos	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	9,166
O'Harra, Brooke	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	2,638
Rethorst, Susan	Doris Duke Impact Award 2015	Unrestricted	Individual/None	30,000
Workman, Reginald	Doris Duke Impact Award 2015	Unrestricted	Individual/None	24,000
Workman, Reginald	Doris Duke Impact Award 2015	Incentive Funding	Individual/None	5,000
Z, Pamela	Doris Duke Impact Award 2015	Unrestricted	Individual/None	20,000
<b>Total Grants Paid to Individuals</b>				<b>\$359,818</b>

**From Lear deBessonnet's Audience Development Funds (\$25,000), Grant No. 2015078B**

<b>Theatre Development Fund Inc</b> 520 Eighth Avenue, Suite 801 New York, NY 10018	<i>To support the Audience Development/Arts Education project to provide a special matinee performance of MISS YOU LIKE HELL for teenagers who are immigrants or have immigrant parents and to engage students via pre-show workshops in their high schools hand post-show conversations.</i>	PC	10,000
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<b>Total Grants Paid to Organizations</b>			<b>\$10,000</b>
<b>Total Grants Paid in 2018 from DDCF Grant #2014206</b>			<b>\$369,818</b>



October 8, 2019

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2015302

Dear Mr. Henry:

In November 2015, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$5,500,000 (the "Grant").

By grant agreement executed December 23, 2015 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the fifth cohort of Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2016.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2018. DDF received payments from DDCF totaling \$1,806,783 in 2018. The grant funds were fully expended in 2018 toward the fifth cohort of Doris Duke Artist Award recipients, as shown on the following page.

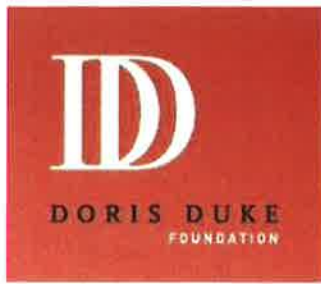
No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a white background.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

<b>Doris Duke Artist Awards - 2016 Cohort (21 Artists): Grants Paid in 2018 from Grant No. 2015302</b>				
<b>Recipient*</b>	<b>Award</b>	<b>Purpose</b>	<b>Tax Status and Relationship to Any Foundation Manager or Substantial Contributor</b>	<b>Amount Paid 2018</b>
Abraham, Kyle	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Bridgforth, Sharon	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	17,500
Douglas, Dave	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Driscoll, Faye	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Geiser, Janie	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Gutierrez, Miguel	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Audience Development	Individual/None	25,000
Hersch, Fred	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Horvitz, Wayne	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Audience Development	Individual/None	25,000
Mac, Taylor	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
McIntyre, Dianne	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	12,500
Moran, Jason	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	10,660
	Doris Duke Artist Award 2016	Audience Development	Individual/None	25,000
Morris, Mark	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	13,750
Nottage, Lynn	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	11,338
Phillips, Thaddeus	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	9,000
Ramaswamy, Aparna	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Roberts, Matana	Doris Duke Artist Award 2016	Unrestricted	Individual/None	58,334
Shyu, Jennifer Lay	Doris Duke Artist Award 2016	Unrestricted	Individual/None	58,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	4,000
Smith, Wadada Leo	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Thorson, Morgan	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Audience Development	Individual/None	25,000
Threadgill, Henry	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
Wylie, William (Will Power)	Doris Duke Artist Award 2016	Unrestricted	Individual/None	75,000
	Doris Duke Artist Award 2016	Incentive Funding	Individual/None	11,702
<b>Total Grants Paid to Individuals</b>				<b>\$1,731,784</b>
<b>From Kyle Abraham's Audience Development Funds (\$25,000), Grant No. 2016056B</b>				
<b>Kyle Abraham/ Abraham.In.Motion Inc</b> 219 W 19th St New York, NY 10011-4001	<i>To support Audience Development/Arts Education projects related to community engagement in developing a new work, the Untitled D'Angelo Project Creation Film (DUP)</i>		<b>PC</b>	<b>\$25,000</b>
<b>From Faye Driscoll's Audience Development Funds (\$25,000), Grant No. 2016059B</b>				
<b>Foundation For Independent Artists Inc</b> 75 Broad Street, Suite 304 New York, NY 10004	<i>To support the Audience Development/Arts Education project entitled, "Reframing for New Audiences and New Activations."</i>		<b>PC</b>	<b>\$25,000</b>
<b>From Fred Hersh's Audience Development Funds (\$25,000), Grant No. 2016062B</b>				
<b>Carnegie Hall Corporation</b> 881 Seventh Avenue New York, NY 10019	<i>To support the Audience Development/Arts Education project enabling a Doris Duke Artist's participation in Carnegie's Future Music Project Ensemble.</i>		<b>PC</b>	<b>\$25,000</b>
<b>Total Grants Paid to Organizations</b>				<b>\$75,000</b>
<b>Total Grants Paid in 2018 from DDCF Grant #2015302</b>				<b>\$1,806,784</b>



October 8, 2019

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2017141

Dear Mr. Henry:

In September 2017, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$1,650,000 (the "Grant").

By grant agreement executed December 19, 2017 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the Doris Duke Artists Award recipients, to be selected for funding through the Doris Duke Performing Artists Awards Program in 2018.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2018. DDF received payments from DDCF totaling \$375,000 in 2018. The grant funds were fully expended in 2018 toward the 2018 cohort of Doris Duke Artist Award recipients, as shown on the following page.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Peter Simmons', written over a white background.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

**Doris Duke Artist Awards - 2018 Cohort (7 Artists): Grants Paid in 2018 from Grant No. 2017141**

<b>Recipient*</b>	<b>Award</b>	<b>Purpose</b>	<b>Tax Status and Relationship to Any Foundation Manager or Substantial Contributor</b>	<b>Amount Paid 2018</b>
Bridgewater, Dee Dee	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
Carter-Garnett, Regina	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
Harris, Stefon	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
Miguel, Muriel	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
Okpokwasili, Okwui	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
Rolon, Rosalba	Doris Duke Artist Award 2018	Unrestricted	Individual/None	62,500
<b>Total Grants Paid to Individuals</b>				<b>\$375,000</b>
<b>Total Grants Paid to Organizations</b>				<b>\$0</b>
<b>TOTAL Grants Paid in 2018 from DDCF Grant No. 2017141</b>				<b>\$375,000</b>





December 20, 2018

**DDCF Grant No. 2018236**

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation  
650 Fifth Avenue  
New York, NY 10019

Dear Mr. Simmons:

At a meeting on November 12, 2018, the Trustees of the Doris Duke Charitable Foundation (“DDCF”) approved a 4-year grant (“Grant”) to the Doris Duke Foundation (“DDF”) totaling \$1,650,000 to support the seventh cohort of Doris Duke Artist Award recipients, to be selected for funding in 2019 by the Doris Duke Artist Awards Program (the “Awards Program”).

This letter describes the terms and conditions for the Grant, including the specific "expenditure responsibility" obligations arising because DDF is a private foundation rather than a publicly supported charity.

By signing this letter, you agree on behalf of DDF to comply with the following obligations with respect to this Grant:

### **Grant Requirements**

#### **Grants to Artists**

- (1) DDF will provide grants to the individual artists selected to receive funding by the 2019 selection panels of the Awards Program. Each grant will total up to \$275,000, including:
  - **\$250,000 of unrestricted funding**, to be used flexibly by the artist over four years starting July 1, 2019. The intent of this funding is to allow the artists to take creative risks, explore new ideas, and pay for important needs.
  - **Up to \$25,000 as an incentive** for the artist to save for his/her artistic future.
- (2) Each grant awarded shall have a grant agreement detailing the terms and conditions for use of the grant funds, including annual reporting on use of the grants. DDF agrees to submit a draft template of the grant agreement to DDCF for review and comment. In addition to other terms and conditions, the agreement template must also include the following language “[Grant Recipient] shall comply with all applicable state, city, and federal laws, ordinances, codes, and regulations. In addition, you shall comply with not-for-profit rules forbidding the carrying on of propaganda or otherwise attempting to influence legislation or intervention in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for

public office. [Grant Recipient] shall obtain all permits, licenses and approvals required and shall comply with all laws in connection therewith.”

- (3) DDF will make payments to each individual artist in accordance with the grant agreement and the approved grant payout schedule determined for each artist.
- (4) DDF will maintain files on each grant awarded and monitor the programmatic and financial progress of each Artist Award recipient.

#### **Payment of Grant Funds**

- (5) DDCF grant payments to DDF will be scheduled to facilitate the artists’ payout schedules determined by DDF. Approximately ten days prior the scheduled payments to artists, DDF will provide DDCF with projections of its cash needs. Upon receipt and approval of the cash projections, DDCF will schedule a payment to DDF. In the event that additional cash is needed during the year, DDF may request additional payments from DDCF; DDCF will evaluate each such request within the context of its overall payout goals for the year and the Grant.

#### **Expenditure Responsibility Requirements**

- (6) DDF agrees to repay to DDCF any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (7) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, DDF agrees to submit full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of DDF or a certified public accountant, within a reasonable period of time after the close of DDF’s annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant); including a listing of each grant awarded describing the grant recipient, amount awarded, amount paid during the reporting period, and future payout scheduled by year.
- (8) DDF agrees to report the Grant separately on its books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (9) DDF agrees to maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make DDF’s books, records and other information available for DDCF’s inspection at reasonable times, which DDCF believes necessary to keep DDCF fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");
- (10) DDF agrees to keep these records of receipts and expenditures and copies of reports submitted to DDCF for at least four years after completion of the use of the Grant funds; and
- (11) DDF agrees not to use any of the Grant or income arising therefrom for any of the following purposes:
  - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of Section 4945(d)(1) of the Code),
  - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of Section 4945(d)(2) of the Code),

- c) To make any grant which does not comply with the individual grant requirements of Section 4945(d)(3) of the Code or the organization grant requirements of Section 4945(d)(4) of the Code, or
- d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in Section 170(c)(2)(B) of the Code.

**Qualifying Distribution**

DDF also agrees that no later than the end of its first taxable year after the taxable year in which it receives a Grant payment from DDCF, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant payment, and, within such time period, DDF agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which DDF elects pursuant to Treas. Reg. 53.4942(A)3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if DDF were not an operating foundation.

In the event that DDF violates the terms of this Letter Agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, DDF agrees that it will refund to DDCF the full amount of the Grant and pay over to DDCF any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require DDCF to ask that more detailed reports be submitted or that other steps be taken. The DDCF will promptly inform DDF of any such changes, and by signing this letter you indicate DDF's agreement to provide such additional information and comply with such requests.

If this letter correctly sets forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Sincerely,

  
Edward P. Henry (Dec 20, 2018)

Edward P. Henry  
President

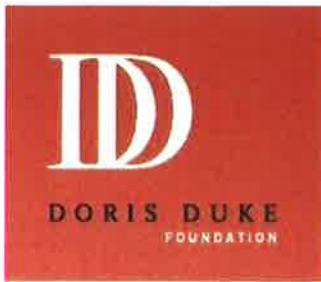
Accepted and agreed for **Doris Duke Foundation:**

  
Peter Simmons (Dec 20, 2018)

Peter Simmons, Chief Operating Officer

Dec 20, 2018

Date



October 8, 2019

Edward P. Henry  
President  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2018236

Dear Mr. Henry:

In November 2018, the Doris Duke Charitable Foundation (DDCF) made a grant to the Doris Duke Foundation ("DDF") totaling \$1,650,000 (the "Grant").

By grant agreement executed December 20, 2018 (the "Grant Agreement"), DDF agreed to submit an expenditure responsibility report (as required by Treas. Reg. §53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, DDF represented that before the end of DDF's fiscal year following the year in which grant payments were received, DDF would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of those, and that DDF would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Doris Duke Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

**Purpose of Grant:** To support the Doris Duke Artists Award recipients, to be selected for funding through a peer review process in 2019.

**Use of Qualifying Distribution Grant funds by DDF:** This is a report for calendar year 2018. No payments were received from DDCF and no funds were expended in 2018.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

Very truly yours,

A handwritten signature in black ink, appearing to read 'PS', followed by a long, sweeping horizontal line.

Peter Simmons  
Chief Operating Officer  
Doris Duke Foundation

# EUREKA

Foundation

5/3/19

Adrienne A. Fisher  
Director of Grants Management & Evaluation  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19<sup>th</sup> Floor  
New York, NY 10019

Re: Doris Duke Charitable Foundation Grant No. 2016225

Dear Ms. Fisher:

In 2016, the Doris Duke Charitable Foundation (DDCF) made a grant to Eureka Foundation Inc. totaling \$75,000. (the "Grant").

According to the agreement dated December 15, 2016 ("Grant Agreement") Eureka Foundation Inc. agreed to submit an expenditure responsibility report (as required by Treas. Reg. 53.4945-5(c)) to DDCF for each year during which any Grant funds remained unspent, and a final report for the year in which all of the Grant funds were spent. In addition, in the Grant Agreement, Eureka Foundation Inc. represented that before the end of the grant: July 30, 2019, it would make a qualifying distribution out of corpus as defined in IRC §4942, equal to the value of the Grant, and that it would provide DDCF with adequate records or sufficient other evidence to demonstrate that this distribution has been made.

**Name and address of grantee:**

Eureka Foundation Inc.  
#10  
12 East 12<sup>th</sup> Street  
New York, New York 10003

**Purpose of Grant: Great Museums: Masterworks of Islamic Art (w/t)**

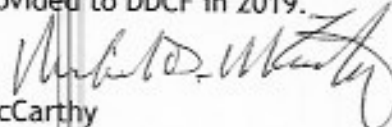
**Use of Qualifying Distribution Grant funds by Eureka Foundation Inc.:**

This is a report for calendar year 2018. \$44,275. of the grant funds were expended in the project, as described in the narrative report and financial report submitted to DDCF on 11/30/18.

No portion of the grant funds has been diverted from the approved purpose of the Grant.

An expenditure responsibility report on project expenditures in 2019 from Grant No. 2016225 will be provided to DDCF in 2019.

Sincerely,

  
Michael McCarthy  
Chairman  
Eureka Foundation Inc.



August 31, 2018

Kenneth Tabachnick  
Executive Director  
Merce Cunningham Trust  
130 West 56th Street  
Suite 707  
New York, NY 10019-3962

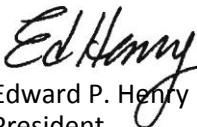
Dear Mr. Tabachnick:

It gives me great pleasure to inform you that the Doris Duke Charitable Foundation (DDCF) has approved a 16-month grant in the amount of \$100,000 to the Merce Cunningham Trust. The purpose of the grant is to support the Merce Cunningham Centennial Celebration Community Programs.

Since the Merce Cunningham Trust and DDCF are both private foundations, we must enter into an expenditure responsibility agreement, the terms and conditions of which are described in the attached grant agreement. We request that you carefully review the enclosed grant agreement and sign it. If there are no questions related to the grant, please enter your electronic signature in the designated areas and return. Please retain a copy of the fully executed agreement for your records. The Electronic Funds Transfer Instructions form will be sent separately for electronic signature.

We are pleased to provide this grant and wish you every success in the activities it supports.

Sincerely,

  
Edward P. Henry  
President

**Doris Duke Charitable Foundation**  
**GRANT AGREEMENT**  
**(Expenditure Responsibility)**

---

This Grant Agreement sets forth the terms of the 16-month \$100,000 grant (the "Grant") by the Doris Duke Charitable Foundation ("DDCF") to Merce Cunningham Trust ("GRANTEE") to cover the period September 1, 2018 through December 31, 2019. References to the "Grant Agreement" shall include this Agreement as well as the proposal and budget dated July 11, 2018 ("the Grant Documentation"). The purpose of the Grant is to support the Merce Cunningham Centennial Celebration Community Programs.

The Grant, which will be considered approved only after final execution of this document, is made subject to the following terms and conditions:

**Program and Administrative Requirements**

1. The Grant is to be used by GRANTEE in accordance with the Grant Agreement and may not be used for any other purpose or program of GRANTEE without the prior written approval of DDCF.
2. DDCF, through its designated program director, must be consulted in advance in the event that any aspect of the program is changed or redesigned.
3. GRANTEE shall ensure that the terms and conditions of all sub-agreements to support work with funds from this Grant (e.g., memoranda of understanding, major subcontract, re-granting agreements, etc.) are in accordance with the terms of this Grant Agreement. GRANTEE further agrees to provide DDCF with copies of such sub-agreements should DDCF request them for its monitoring purposes. Advance notice of all major meetings, seminars and workshops relating to this project must be provided to DDCF.
4. GRANTEE agrees that not later than the end of its first taxable year after the taxable year in which it receives the DDCF grant payment, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Internal Revenue Code (IRC), equal to the value of the Grant, and within such time period the GRANTEE agrees to provide adequate records or other sufficient evidence to DDCF to demonstrate that this distribution has been made. Such records or evidence will also state that the qualifying distribution was a distribution out of corpus under section 4942 of the IRC.
5. No funds may be used to:
  - a) Carry on propaganda, or otherwise attempt to influence legislation (as defined by Section 4945 of the IRC);
  - b) influence the outcome of any specific public election, or carry on, directly or indirectly, any voter registration drive (as defined in IRC Section 4945);
  - c) make an individual grant or re-grant funds to another organization unless the requirements of IRC 4945 are met; or
  - d) advance a purpose that is not specified in Section 170(c)(2)(B) of the Internal Revenue Code.
6. GRANTEE agrees to ensure that the Grant will be used in compliance with all applicable financing and asset control laws, regulations, rules, and executive orders.
7. Advance notice of all major meetings, seminars and workshops relating to this project must be provided to DDCF.
8. GRANTEE agrees to inform DDCF in advance (if possible) or as soon as is practical of any material organizational changes (leadership changes, legal issues, etc.).

9. DDCF is committed to safe work environments free from discrimination and harassment. DDCF expects GRANTEE to maintain a safe nondiscriminatory harassment-free work environment at all times.
10. The DDCF contact for all communications regarding this Grant is Valerie Bailey, Program Associate for the Arts, vbailey@ddcf.org.

#### **Tax Exempt Status**

1. DDCF is making the Grant in reliance on the statements in the Determination Letters that GRANTEE is exempt from federal income taxation as an organization described in section 501(c)(3) of the Internal Revenue Code of 1986, as amended (the "Code") and that it is a private operating foundation. Accordingly, GRANTEE agrees to notify DDCF immediately in writing of any changes to its tax-exempt status or of any change to the information contained in the Determination Letters.
2. No part of the Grant will be used for a purpose that is not specified in Section 170(c)(2)(B) of the Internal Revenue Code, and no part of the Grant will be used for any political lobbying activity.

#### **Payment and Expenditure of Grant Funds**

An ACH transfer for the full amount of the Grant will be made to GRANTEE's bank upon receipt by DDCF of the Grant Agreement signed by a duly authorized representative of GRANTEE.

All interest income earned on the investment of the Grant from the time of the award to expenditure is to be used for the purposes described in the Grant Documentation.

These grant funds are restricted to the uses as described above and are to be used as represented in the approved budget included in the Grant Documentation. These grant monies are not to be used in any other way or for any other purposes without the prior written approval of DDCF. *Budget revisions of 10% or more of the total approved budget for the Grant and/or any significant changes in the original program plan must be reported and approved in writing in advance by DDCF.*

#### **Reporting and Record Keeping Requirements**

1. Expenditure Responsibility Reports. GRANTEE shall submit to DDCF full and complete annual written Expenditure Responsibility reports on: (a) the use of the grant funds, (b) compliance with the terms of the Grant, and (c) the progress made by the GRANTEE toward achieving the purposes for which the Grant was made. Expenditure Responsibility reports must be provided for the year of receipt of the Grant, due February 1, 2019, and for all subsequent years on February 1 until the Grant funds are expended in full or the Grant is otherwise terminated. A final Expenditure Responsibility report is due to DDCF by February 1 of the year following final Grant expenditures.
2. A final report on the Grant, containing the following components, will be due to DDCF by February 1, 2020:
  - a. narrative and description of accomplishments, challenges and future plans of the funded project;
  - b. assessment of the project to date with any changes in the original plan suggested by these experiences;
  - c. copies of representative materials, brochures, reports, and other materials relating to the project, as well as representative media coverage, if any;
  - d. GRANTEE's most recent audited financial statements *that have not already been submitted to DDCF*. If the audited statement is not available at the time of the report's submission, GRANTEE should submit a copy of the unaudited statement or 990PF tax return and follow up by submitting the audited statement as soon as it is available; and



- e. separate accounting for the project funding. The accounting should include a detailed comparison of actual expenses to the approved line-item budget. Include an explanation for any overages and/or unspent funds and any revised budget and budget narrative for the following year, where appropriate. Please note: if the approved budget indirect costs as a percent of direct program costs, the indirect rate should be applied to actual expenditures during each period covered by the report.
3. The GRANTEE is expected to maintain complete books and records of revenues and expenditures relating to the Grant, together with appropriate supporting documentation. These books and records are to be made available for inspection at reasonable times if deemed necessary by DDCF. DDCF, at its expense, may audit or have audited the records of the GRANTEE insofar as they relate to the disposition of the funds granted by DDCF, and the GRANTEE shall provide all necessary assistance in connection therewith. Records on the Grant must be kept for at least four (4) years after completion of the Grant.
4. Please include the grant number (#2018140) on all correspondence.
5. Instructions to submit reports online will be sent in advance of the due date.

### **Acknowledgment**

Acknowledgment for the Grant should include:

1. A general acknowledgment of DDCF among GRANTEE's list of annual funders in all appropriate programs, publications and public announcements for the grant period.
2. Use of the complete Foundation name, "Doris Duke Charitable Foundation," in any public documents pertaining to the Grant. Prominence of acknowledgment should be commensurate with level of funding relative to other funding sources.

### **Publicity and Announcement**

**DDCF Communications Strategy Overview:** With respect to communications, DDCF's primary aims are to create transparency about its operations, illuminate issues pertaining to the field it supports, promote and support the work of its grantees, and clearly communicate the strategies of its grant-making programs. DDCF accomplishes these goals through varied communications channels, including website content, media announcements, social media and e-newsletters. DDCF, given its status as a private foundation that relies entirely on an endowment from its deceased namesake, does not participate in any activities related to fundraising, commercial advertising or retail marketing. DDCF is particularly sensitive to issues of intellectual property, trademark and copyright and, as such, will not release any detailed, nonpublic information about the funded project or use the GRANTEE's logo without explicit permission. All inquiries regarding DDCF's communications strategy, publicity and announcements about this Grant, as well as requests to use DDCF's logo shall be directed to DDCF's Communications Director, Kristin Roth-Schrefer ([kschrefer@ddcf.org](mailto:kschrefer@ddcf.org)).

1. DDCF reserves the right to announce the Grant publicly in a manner and at a time of its choosing. DDCF further reserves the right to include information relating to the Grant in materials issued by or on behalf of DDCF, including on its website. When practicable, DDCF will provide GRANTEE with an opportunity to review press releases prior to publication.
2. DDCF (through its Communications Director, above) must be informed in advance of any public announcement about the Grant and must be given at least three business days prior to public release to review and approve a draft of each proposed announcement. Public announcement includes press releases, newsletters, website content, invitations to DDCF-funded events or events in which work funded by the Grant will be presented. If requested, DDCF will work with the GRANTEE to design a strategy for announcing the Grant.

3. Any press releases about the Grant must conform to the following guidelines:
  - a. DDCF should be named in the first paragraph, and provision should be made in the release for a quote from a senior representative of DDCF.
  - b. The following description of the DDCF should be included in the announcement:

"The mission of the Doris Duke Charitable Foundation is to improve the quality of people's lives through grants supporting the performing arts, environmental conservation, medical research and child well-being, and through preservation of the cultural and environmental legacy of Doris Duke's properties."
4. Any marketing and educational materials (excluding academic publications and presentations) that include mention of DDCF must be provided to DDCF for review and approval at least three business days prior to public release.

**Conditions Necessitating a Refund to DDCF or Withholding of Grant Funds**

If any of the following circumstances occur, DDCF may, at its discretion, in addition to other remedies available to it, require that GRANTEE repay, upon 30-days written notice, the full amount of the Grant funds which were unspent or inappropriately or reversibly committed as of the date of the occurrence, and DDCF shall have no further obligation to distribute any unpaid portion of the Grant to GRANTEE:

1. The purpose of the Grant has been fully completed or the period of time indicated in the Grant Agreement for the completion of the purpose of the Grant has expired (without being extended with the consent of DDCF).
2. GRANTEE is no longer exempt from federal taxation as an organization described in Section 501(c)(3) of the Internal Revenue Code. GRANTEE agrees to notify DDCF immediately upon the occurrence of this circumstance.
3. GRANTEE fails to comply with any law, rule or regulation applicable to GRANTEE, to DDCF or this Grant.
4. If, in DDCF's judgment, GRANTEE, for any reason, becomes unable to carry out the purpose of the Grant stated in the Grant Agreement, or otherwise violates the terms of the Grant Agreement.
5. DDCF conveys to GRANTEE in writing any concerns about the stability of GRANTEE's operations or organization or its compliance with the terms of this Grant Agreement and the concerns are not addressed to DDCF's satisfaction within a 45-day period after notice.


**No Assignment or Delegation**

GRANTEE may not assign or otherwise transfer any of its rights or delegate any of its obligations under the Grant Agreement or with respect to the Grant.

Acceptance by the **Doris Duke Charitable Foundation:**

By:   
Edward P. Henry, President

Accepted and agreed for **Merce Cunningham Trust:**

By:   
Ken Tabachnick  
Executive Director  
Sep 12, 2018

# MERCE CUNNINGHAM TRUST

130 WEST 56TH STREET  
SUITE N° 707  
NEW YORK, NY 10019

## TRUSTEES

TREVOR CARLSON  
LAURENCE GETFORD  
LAURA KUHN  
PATRICIA LENT  
RASHAUN MITCHELL  
JEFF SEROY  
ALLAN G. SPERLING  
ROBERT SWINSTON

## EXECUTIVE DIRECTOR

KENNETH TABACHNICK

Ms. Maurine Knighton  
Program Director for the Arts  
Doris Duke Charitable Foundation  
650 Fifth Avenue, 19th Floor  
New York, NY 10019

RE: Expenditure Responsibility Report for Grant # 2018140  
Merce Cunningham Centennial Community Programs

Dear Maurine,

This letter is intended to serve as our Expenditure Responsibility Report on the above referenced grant for the four months of the grant in 2018. The grant, in support of our Centennial Community Programs, runs from September 1, 2018 through December 31, 2019. This interim report covers the initial grant period and we will file a final report at the end of the grant term.

As we noted in our proposal to the Doris Duke Charitable Foundation (DDCF), we consider the Community Programs to be essential to expanding public access to the work of Merce Cunningham. The generous grant from DDCF allows us to actively and aggressively pursue this strategy, which relies on local partners to work with the Trust.

During the initial period (September – December 2018), the Trust supported 15 community programs. They included, amongst others, workshops and screenings in Bogota (Columbia), workshops in Palo Alto (California), Greenville (North Carolina), Toulouse (France), and Cincinnati (Ohio), and screenings and historical contextualization in Rome (Italy).

In some cases, the Trust support was limited to providing resources. In others, the Trust made grants to support the specific program in question. We believe that all the grants we made meet the requirements of qualified distributions under section 4942 of the Internal Revenue Code (IRC). In total, the Trust distributed \$11,618.47 of the grant funds during this initial period on which this letter reports. We can confirm that, to the best of our knowledge, all distributions were consistent with the terms of the grant agreement between the Trust and DDCF, none of them were made or used for prohibited activity as set forth in the IRC, and all distributions were in compliance with applicable financing and asset control laws, regulations, rules, and executive orders.

T 212 255 8240

[INFO@MERCECUNNINGHAM.ORG](mailto:INFO@MERCECUNNINGHAM.ORG)

[WWW.MERCECUNNINGHAM.ORG](http://WWW.MERCECUNNINGHAM.ORG)

# MERCE CUNNINGHAM TRUST

130 WEST 56TH SREET  
SUITE N° 707  
NEW YORK, NY 10019

## TRUSTEES

TREVOR CARLSON  
LAURENCE GETFORD  
LAURA KUHN  
PATRICIA LENT  
RASHAUN MITCHELL  
JEFF SEROY  
ALLAN G. SPERLING  
ROBERT SWINSON

## EXECUTIVE DIRECTOR

KENNETH TABACHNICK

The distributions we made break down as follows:

Personnel costs:	\$6,840.34
Royalties:	\$1,000.00
Studio Rental:	\$ 130.00
Travel/Per Diem Costs:	\$3,648.12

Each program is detailed below, along with the support the Trust supplied and the amount and details of any distribution of grant funds made.

We are extraordinarily grateful for the support and commitment of DDCF to our program and, specifically to this important strategic effort of the Trust. There is no doubt that the grant funds are already permitting us to have a broader reach and, therefore, a great impact with our Centennial program.

On behalf of all of the Trustees, I thank you for joining us in this important work. Please feel free to contact me if you need any additional information.

Warmest regards,



Ken Tabachnick  
Executive Director

Encl.: List of Community Programs Sept.-Dec. 2018

# MERCE CUNNINGHAM TRUST

## Merce Cunningham Centennial Community Programs Grant Distributions September – December 2018 DDCF Grant #2018140

Canberra, Australia	Sept. 4-16, 2018	Repertory and Technique Classes culminating in presentation in association with Museum Exhibition; creative process mentoring of 3 Australian artists	No Grant Distribution Made	200 Attendees
Sheldrake, NY	Sept. 13 & 29, 2018	Chance procedure workshop and lecture to local book club	Space Rental - \$40.00 2 Days Leader - \$571.42 <b>Total Distribution: \$611.42</b>	20 Attendees
Miami, FL	Sept. 27, 2018	Film Screening and conversation with Cunningham collaborator Charles Atlas	No Grant Distribution Made	70 Attendees
Sitges, Spain	Oct. 1-6, 2018	DanceForms and chance procedure workshops with students from 3 local schools culminating in showing of student created work using the software. Three of the films are being submitted to European film festivals.	7 Day Leader - \$2240.83 Travel for Leader - \$27.15 Hotel/Per Diem - \$1398.39 Music Royalty - \$1000.00 <b>Total Distribution: \$4666.37</b>	30 Attendees
Valencia, CA	Oct. 11, 2018	Master Class, Workshops, Open Rehearsal at CalArts Parents' Day	No Grant Distribution Made	60 Attendees
Lyon, France	Oct. 20, 2018	Master Class at local dance school	No Grant Distribution Made	20 Attendees
Palo Alto, CA	Oct. 22 & 23, 2018	Screening/discussion with dancers of RainForest and demonstration of dancing with clouds; Master class for dancers.	No Grant Distribution Made	70 Attendees

# MERCE CUNNINGHAM TRUST

## Merce Cunningham Centennial Community Programs Grant Distributions September – December 2018 DDCF Grant #2018140

Palo Alto, CA	Oct. 24, 2018	A studio class investigating chance procedures for dance minors and open to the public.	Workshop Leader - \$272.88 <b>Total Distribution: \$272.88</b>	30 Attendees
Miami, FL	Oct. 26-27, 2018	Professional development workshops with Miami Dade County Public School teachers	2 Days Leader - \$666.42 Travel for Leader – \$342.40 <b>Total Distribution: \$1008.82</b>	
Bogota, Columbia	Nov. 19-21, 2018	2 Public workshop using the software Cunningham used at the Festival Danza en la Ciudad. Participants had the opportunity to use Cunningham techniques to create and critique work.	3 Days Leader - \$1754.79 Leader Per Diem - \$423.28 <b>Total Distribution: \$2178.07</b>	55 Attendees
	Nov. 21, 2018	Conference for the public on the Cunningham legacy and history of its planning at the Festival Danza en las Ciudad		50 Attendees
Cincinnati, OH	Nov. 20, 2018	Cunningham Technique Class presented to Conservatory of Music dance students	Leader Fee - \$250.00 <b>Total Distribution: \$250.00</b>	30 Attendees
Greenville, NC	Nov. 26-28, 2018	Residency including: Masterclasses in Cunningham Technique for conservatory students from multiple areas; Introduction to Cunningham for high school students; Composition class on chance procedure; Lecture on film and dance integration; Interactive movement class for 5 <sup>th</sup> graders based on Cunningham/Cage concepts.	Leader Fee - \$538.25 Prep Studio Rental - \$90.00 <b>Total Distribution: \$628.25</b>	202 total Attendees

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# MERCE CUNNINGHAM TRUST

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**Merce Cunningham Centennial Community Programs  
Grant Distributions September – December 2018  
DDCF Grant #2018140**

Toulouse, France	Dec. 10-29, 2018	Stager teaching Cunningham Technique and repertory to children in Toulouse. Performance to be in June 2019	Teacher Travel - \$978.50 <b>Total Distribution: \$978.50</b>	25 Students
Seattle, WA	Dec. 15, 2018	Chance Operations workshop for local artists as part of Merce Cunningham Day.	Leader Fee - \$545.75 Leader Travel - \$368.40 Leader Per Diem - \$110.00 <b>Total Distribution: \$1024.15</b>	11 Attendees
<b>TOTAL GRANT DISTRIBUTIONS SEPT-DEC 2018</b>			<b>\$11,618.46</b>	
<b>TOTAL NUMBER OF PEOPLE REACHED</b>				<b>873 People</b>

**Doris Duke Charitable Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2018**  
**Attachment 13**

**Form 990-PF, Part VIII - List of Officers, Directors and Trustees**

<b>Name</b>	<b>Title</b>	<b>Hrs Worked</b>	<b>Comp</b>	<b>Employee Benefits</b>	<b>Expense Accounts</b>
Peter A. Nadosy	Chairperson	136 hours per year	NONE	NONE	NONE
Anthony S. Fauci	Vice Chairperson	122 hours per year	NONE	NONE	NONE
Kathy Halbreich	Trustee*	52 hours per year	\$100,000	NONE	NONE
Nannerl O. Keohane	Trustee	114 hours per year	\$50,000	NONE	NONE
Angela K. Mwanza	Trustee	124 hours per year	\$50,000	NONE	NONE
Vishakha N. Desai	Trustee	106 hours per year	\$50,000	NONE	NONE
Marie Lynn Miranda	Trustee	100 hours per year	\$25,000	NONE	NONE
Clive Gillinson	Trustee	94 hours per year	NONE	NONE	NONE
William H. Wright II	Trustee	130 hours per year	\$25,000	NONE	NONE
Afsaneh Beschloss	Trustee	122 hours per year	NONE	NONE	NONE
Mary Schmidt Campbell	Trustee	94 hours per year	NONE	NONE	NONE
Edward P. Henry	Chief Executive Officer/President	28 hours per week	NONE	NONE	NONE
Peter Simmons	Chief Operating Officer/Treasurer	15 hours per week	NONE	NONE	NONE
Erica Dziedzic	Secretary/Counsel	12 hours per week	NONE	NONE	NONE
<b>Total Compensation</b>			<b>\$ 300,000</b>	-	-

\*Board of Trustees Member Kathy Halbreich left the board as of June 30, 2018, and received a payout of \$100,000 in January 2018 for her service in 2017.

All trustees and officers provide services to five related entities (Doris Duke Charitable Foundation, Doris Duke Management Foundation, Duke Farms Foundation, Doris Duke Foundation for Islamic Art, Doris Duke Foundation), and may be reached at the Doris Duke Charitable Foundation's corporate address at 650 Fifth Avenue, 19th Floor, NY, NY, 10019. See Attachment 13(a) Note (1), Note (2) and Note (3) for further information.



**Doris Duke Charitable Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2018**  
**Attachment 13A**

Notes:

(1) Compensation reported for the trustees disclosed on this schedule reflects payment for each individual's board service in 2017. The actual cash payments were made in January of 2018 (and the amounts have been reported on the Form 990-PF in Part I, Line 13 (d)) as part of the Foundation's current year charitable disbursements. Compensation reported for past Board of Trustees Member, Kathy Halbreich, includes a payment made in January of 2018 for board service rendered in 2017.

(2) The officers are paid compensation by the Doris Duke Management Foundation (DDMF). The hours shown on the Form 990-PF are based on an allocation of time spent on matters related to the Doris Duke Management Foundation (DDMF) and are for informational purposes only. These officers provide services to other related entities (Doris Duke Foundation (DDF), Doris Duke Management Foundation (DDMF), Duke Farms Foundation (DFF), and Doris Duke Foundation for Islamic Art (DDFIA)) and in the aggregate, hours worked on matters related to these entities equal or exceed 40 hours a week. The allocation of compensation paid by the Doris Duke Management Foundation and allocated to other related entities is based on an annual survey and is included in the management fee shown on Line 23, Part I, Other Expenses.

(3) The trustees who receive compensation are paid by the Doris Duke Charitable Foundation, even though they provide services to each of the five foundations (DDCF, DDMF, DDF, DFF, and DDFIA). The hours reported on Attachment 13 of the Form 990-PF represent an allocation of the trustees' time spent on matters related to DDCF including attendance at Board meetings and various foundation-related events and discussions. These hour allocations are reported to comply with the Form 990-PF reporting requirement, and are for informational purposes only. Trustees have oversight responsibilities and perform multiple tasks across all five foundations; and thus, significant additional hours are reported on the DDMF, DDF, DFF, DDFIA tax returns.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
OBERWEIS ASSET MANAGEMENT 3333 WARRENVILLE RD. LISLE, IL 60532	INVESTMENT MGMT FEES	562,057.
SILCHESTER INTERNATIONAL 780 THIRD AVENUE, 42ND FLOOR NEW YORK, NY 10017	INVESTMENT MGMT FEES	413,600.
THE NORTHERN TRUST COMPANY 50 LASALLE STREET CHICAGO, IL 60675	INVESTMENT MGMT FEES	306,302.
WESTWOOD GLOBAL INVESTMENTS LLC ONE FINANCIAL CENTER, SUITE 1620 BOSTON, MA 02111	INVESTMENT MGMT FEES	305,756.
CAPITAL GUARDIAN TRUST CO P.O. BOX 659518 SAN ANTONIO, TX 78625	INVESTMENT MGMT FEES	252,300.
	TOTAL COMPENSATION	<u>1,840,015.</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUEATTACHMENT 15

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
FEDERAL UNRELATED BUSINESS INCOME TAX REFUND			01	200,000.	
PROGRAM-RELATED INVESTMENT INCOME			01	21,968.	
PORTFOLIO LOSS FROM INVESTMENTS IN LPS	525990	-1,815,373.			
TOTALS		<u>-1,815,373.</u>		<u>221,968.</u>	

Doris Duke Charitable Foundation  
 Form 990-PF - Return of Private Foundation  
 FYE: 12/31/2018  
 Attachment 16

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	GRANTS PAID
PROGRAM GRANTS	No Relationship	\$81,245,707
NEWPORT RESTORATION FOUNDATION(NRF)	No Relationship	\$2,631,120
DUKE FARMS FOUNDATION	Related Entity	\$7,985,681
DORIS DUKE FOUNDATION FOR ISLAMIC ART	Related Entity	\$10,809,507
DORIS DUKE FOUNDATION FOR ISLAMIC ARTS BUILDING BRIDGES GRANTS	Related Entity	-\$2,800,000
LESS: DORIS DUKE FOUNDATION ARTISTS' AWARDS	Related Entity	-\$3,985,926
<b>TOTAL</b>		<b><u><u>\$95,886,090</u></u></b>

## **PART XV - GRANTS AND CONTRIBUTIONS PAID DURING 2018**

<b>SUMMARY BY PROGRAM AREA</b>	<b>Amount Paid 2018</b>
<b>TOTAL AFRICAN HEALTH INITIATIVE</b>	<b>3,249,906</b>
<b>TOTAL ARTS</b>	<b>25,762,500</b>
<b>TOTAL ARTS INITIATIVE</b>	<b>5,444,296</b>
<b>TOTAL CHILD WELL-BEING</b>	<b>9,310,000</b>
<b>TOTAL ENVIRONMENT</b>	<b>18,785,881</b>
<b>TOTAL MEDIA FUND</b>	<b>550,000</b>
<b>TOTAL MEDICAL RESEARCH</b>	<b>16,784,624</b>
<b>TOTAL CROSS PROGRAM GRANTS</b>	<b>612,500</b>
<b>TOTAL PHILANTHROPIC SECTOR/OTHER</b>	<b>746,000</b>
<b>GRAND TOTAL</b>	<b>81,245,707</b>

# PART XV, 3a - GRANTS AND CONTRIBUTIONS PAID DURING 2018

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b><u>African Health Phase II</u></b>				
The Aspen Institute, Inc. 2300 N Street NW, Suite 700 Washington, DC 20037-1122	To support the Aspen Management Partnership for Health (AMP Health) to strengthen leadership in the Ghana health service	100,000 2018	PC	100,000
Columbia University 615 W 131 St Mc8741 New York, NY 10027-7922	A National Program for Strengthening the Implementation of the Community-based Health Planning and Services (CHPS) Initiative in Ghana: CHPS+	8,100,000 2016	PC	634,000
Health Alliance International 1107 NE 45th Street, Suite 350 Seattle, WA 98105	To support Spreading IDEAs: The Integrated District Evidence to Action program to Improve Maternal, Newborn and Child Health	8,800,000 2016	PC	957,800
JSI Research & Training Institute, Inc. 44 Farnsworth Street Boston, MA 02210-1209	To support implementation of the Ethiopia Data Use Partnership to strengthen and scale-up the Ethiopian health information system to improve the use of data for decision-making in 4 regions of Ethiopia	5,000,000 2017	PC	1,558,106
			<i>Total African Health Phase II</i>	<b>3,249,906</b>
<b><u>Arts</u></b>				
651 Arts 1000 Dean Street #232 Brooklyn, NY 11238-3381	To enable 651 ARTS to finalize the legal structure for its merger with the Museum of Contemporary African Diaspora Art	15,000 2018	PC	15,000
651 Arts 1000 Dean Street #232 Brooklyn, NY 11238-3381	To develop and operate the Jazz Accelerator program, a pilot project designed to support the career advancement of women jazz musicians	300,000 2018	PC	206,250
Afro Latin Jazz Alliance of New York Inc Minisink Townhouse, 646 Malcolm X Blvd, Suite 205 New York, NY 10037	Leadership Grants for Arts Organizations 2018	300,000 2018	PC	100,000
Appalshop, Inc. 91 Madison Ave Whitesburg, KY 41858-9317	To support Appalshop's programmatic capacity and financial stability by providing lead support for establishment of a Working Capital Reserve Fund and an Artistic Reserve Fund	200,000 2018	PC	100,000
Association of Performing Arts Professionals Inc. 919 18th St. NW, Suite 650 Washington, DC 20036-2716	Core Support for National Arts Service 2018	350,000 2018	PC	300,000
Association of Performing Arts Professionals Inc. 919 18th St. NW, Suite 650 Washington, DC 20036-2716	To support broad participation in the 2019 APAP conference	15,000 2018	PC	15,000
AXIS Dance Company 1428 Alice St., Ste. 200 Oakland, CA 94612	Leadership Grants Program for Dance	250,000 2015	PC	50,000
Ballet Hispanico of New York 167 W 89Th St New York, NY 10024-1901	Leadership Grants Program for Dance	550,000 2015	PC	111,000
Brooklyn Academy of Music, Inc. 30 Lafayette Avenue Brooklyn, NY 11217-1430	To support the presentation of national and international dance in the BAM Fisher and BAM Harvey Theaters in the 2018 and 2019 seasons	500,000 2018	PC	250,000
Brooklyn Arts Exchange Inc 421 Fifth Ave Brooklyn, NY 11215-3315	To support the leadership transition at BAX	30,000 2018	PC	30,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
CapitalBop 2101 Connecticut Ave Nw Washington, DC 20008-1728	Leadership Grants for Arts Organizations	100,000 2018	PC	50,000
Chamber Music America 12 West 32nd Street 7th Floor New York, NY 10001	Core Support for National Arts Service 2018	250,000 2018	PC	250,000
Chamber Music America 12 West 32nd Street 7th Floor New York, NY 10001	To support jazz musicians and presenters through project grants that fund new works, touring collaborations, and performing and recording opportunities	3,177,500 2018	PC	1,477,500
City Lore Inc 56 East 1st Street New York, NY 10003	To support the first stage of an investigation and celebrator of the CETA Artists Project	10,000 2018	PC	10,000
Community Funds, Inc. 909 Third Avenue New York, NY 10022-4731	To support The Mosaic Fund, promoting an arts ecosystem that values racial equity; a donor collaborative of The New York Community Trust	500,000 2018	PC	250,000
Creative Capital Foundation 15 Maiden Lane 18th Floor New York, NY 10038-4003	To support Creative Capital grants and advisory services to Performing Artists	1,260,000 2016	PC	522,500
Creative Capital Foundation 15 Maiden Lane 18th Floor New York, NY 10038-4003	Core Support for National Arts Service 2018	262,500 2018	PC	200,000
Dance/NYC 218 East 18th Street, Garden Floor New York, NY 10003-3605	General operating support	10,000 2018	PC	10,000
Dance/USA 1029 Vermont Avenue, NW Suite 400 Washington, DC 20005-3517	Core Support for National Arts Service 2018	350,000 2018	PC	300,000
Dance/USA 1029 Vermont Avenue, NW Suite 400 Washington, DC 20005-3517	To support the Dance Artists and Social Practice regranting Initiative	1,900,000 2018	PC	1,300,000
Danspace Project Inc. St. Mark's Church in-the-Bowery New York, NY 10003-0000	Leadership Grants Program for Dance	250,000 2015	PC	37,500
Danspace Project Inc. St. Mark's Church in-the-Bowery New York, NY 10003-0000	To support a celebration of the life of Sam Miller	5,000 2018	PC	5,000
DC Jazz Festival 515 M Street, SE, Suite 102-B Washington, DC 20003	Leadership Grants for Arts Organizations	225,000 2018	PC	150,000
Disalced, Inc, dba Mark Morris Dance Group 3 Lafayette Ave Brooklyn, NY 11217-1415	Leadership Grants Program for Dance	1,000,000 2015	PC	79,200
Double Edge Theatre Productions Incorporated 948 Conway Rd Ashfield, MA 01330-9772	To support the Art & Survival Project	25,000 2018	PC	25,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>Earshot Jazz Society of Seattle</b> 3429 Fremont Pl N Ste 309 Seattle, WA 98103-8650	Leadership Grants for Arts Organizations 2017	375,000 2017	PC	105,000
<b>Emerson College</b> 120 Boylston St Boston, MA 02116-4611	Core Support for National Arts Service 2018 - HowlRound	76,842 2018	PC	76,842
<b>Firelight Media</b> 324 Convent Avenue New York, NY 10031-6300	To support the production and distribution of a new documentary about groundbreaking jazz figure and cultural icon, Miles Davis	100,000 2018	PC	100,000
<b>First Peoples Fund</b> 706 West Boulevard Rapid City, SD 57709-2977	To support funding and professional development opportunities for Native performing artists to strengthen their ability to advance their artistic expression, contribute to local economies, and serve as strong leaders within their communities	300,000 2018	PC	200,000
<b>Fractured Atlas Inc</b> 248 West 35th Street, 10th Floor New York, NY 10001-2505	Core Support for National Arts Service 2018	350,000 2018	PC	300,000
<b>Fractured Atlas Inc</b> 248 West 35th Street, 10th Floor New York, NY 10001-2505	To support the Black Theatre Commons, a network of theatre practitioners that works to amplify, nurture and support the work of Black theaters and practitioners	50,000 2018	PC	50,000
<b>Fresh Meat Productions</b> 375 27th Street #A San Francisco, CA 94146-0670	Leadership Grants for Arts Organizations 2018	225,000 2018	PC	75,000
<b>Gina Gibney Dance Inc</b> 890 Broadway, Fifth Floor New York, NY 10003-1211	Leadership Grants for Arts Organizations 2017	325,000 2017	PC	50,000
<b>Grantmakers in the Arts</b> 522 Courtlandt Ave Bronx, NY 10451-5008	To provide general operating support in 2019	47,000 2018	PC	47,000
<b>H.T. Dance Company, Inc.</b> 70 Mulberry St 2Nd Fl New York, NY 10013-4477	Leadership Grants for Arts Organizations 2018	150,000 2018	PC	96,000
<b>Hubbard Street Dance Chicago Inc</b> 1147 W Jackson Blvd Chicago, IL 60607	Leadership Grants Program for Dance	500,000 2015	PC	100,000
<b>The International Association of Blacks In Dance Inc</b> 8730 Georgia Avenue, Ste. 606 Silver Spring, MD 20910	To provide general support in 2018 for ensuring the vitality of Black dance	75,000 2018	PC	75,000
<b>Intersection for the Arts</b> 901 Mission St. Suite 306 San Francisco, CA 94103-3067	Leadership Grants for Arts Organizations support for Dancing Earth Creations, an indigenous contemporary dance ensemble	150,000 2018	PC	62,500
<b>Jazz St. Louis</b> The Harold & Dorothy Steward Center for Jazz 3536 Washington Ave St. Louis, MO 63103-1019	Leadership Grants for Arts Organizations 2018	350,000 2018	PC	227,950
<b>Latino Theater Company</b> 514 S Spring St Los Angeles, CA 90013-2304	General operating support for the Fall 2018 season.	10,000 2018	PC	10,000
<b>LINES Ballet</b> 26 Seventh St. San Francisco, CA 94103	Leadership Grants Program for Dance	550,000 2015	PC	63,750



## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>MAP Fund Inc</b> 577 Grand Street #1801 New York, NY 10002-3688	To support two rounds of The Multi-Arts Production Fund regranting program.	2,860,000 2016	PC	1,072,500
<b>Margaret Jenkins Dance Company</b> 507 Polk Street Suite 320 San Francisco, CA 94102	Leadership Grants Program for Dance	250,000 2015	PC	50,000
<b>Merce Cunningham Trust</b> 130 West 56th Street Suite 707 New York, NY 10019-3962	To support the Merce Cunningham Centennial Celebration Community Programs	100,000 2018	POF	100,000
<b>National Arts Strategies Inc</b> 2000 Duke St Ste 115 Alexandria, VA 22314-6116	To support The Learning Magnet, a collaboration with the International Association of blacks in Dance (IABD) to strengthen the field by supporting and highlighting the work of Black Dance organizations; and to provide general operating support for IABD	22,500 2018	PC	22,500
<b>National Asian American Theatre Festival Inc</b> 520 8th Avenue, Suite 308 New York, NY 10018	To support a leaders of color convening at the 2018 CAATA ConFest	40,000 2018	PC	40,000
<b>National Association of Latino Arts and Culture</b> 1208 Buena Vista St San Antonio, TX 78207-4301	To support Years 2 and 3 of the Intercultural Leadership Institute (ILI), a rigorous, yearlong personal and leadership development program for artists, culture bearers, and other arts professionals	100,000 2018	PC	100,000
<b>National New Play Network</b> 641 D Street NW Washington, DC 20004-2904	Core Support for National Arts Service 2018	190,000 2018	PC	150,000
<b>National Performance Network</b> 8121 Fig Street New Orleans, LA 70118	Core Support for National Arts Service 2018	262,500 2018	PC	229,284
<b>National Performance Network</b> 8121 Fig Street New Orleans, LA 70118	To support cross-disciplinary performing artists and presenting organizations to partner on the creation and development of new works	3,000,000 2018	PC	716,709
<b>Network of Ensemble Theaters</b> Po Box 83526 Portland, OR 97283-0526	To further develop the Connector program which empowers ensembles and collaborative artists in the creative marketplace	70,350 2018	PC	40,270
<b>New England Foundation for the Arts</b> 145 Tremont Street 7th Floor Boston, MA 02111-1214	To support the National Dance Project	3,629,400 2016	PC	221,545
<b>New England Foundation for the Arts</b> 145 Tremont Street 7th Floor Boston, MA 02111-1214	Core Support for National Arts Service 2018	262,500 2018	PC	225,000
<b>New England Foundation for the Arts</b> 145 Tremont Street 7th Floor Boston, MA 02111-1214	To support the National Dance Project, which enables artists to create, present and tour new dance work	5,444,100 2018	PC	2,100,000
<b>New Orleans Jazz Orchestra Inc</b> 1436 Oretha Castle Haley Blvd. New Orleans, LA 70130-5319	To provide general operating support for performance, services, community engagement and education programs	25,000 2018	PC	25,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>New York City Center, Inc.</b> 130 W. 56th Street New York, NY 10019-3962	To support New York City Center's Choreography Fellowship, Commissioning Initiative & Arts Administration Apprenticeship	250,000 2018	PC	250,000
<b>PA'I Foundation</b> 904 Kohou Street, Suite 102 Honolulu, HI 96817-0483	Leadership Grants for Arts Organizations 2018	150,000 2018	PC	100,000
<b>Penumbra Theatre</b> 270 Kent St Saint Paul, MN 55102-1744	To support expansion of artEquity's Facilitator Training Program focused on diversity training in the arts	82,150 2018	PC	50,200
<b>Perseverance Theatre Incorporated</b> 914 Third Street Douglas, AK 99824-5414	To provide support toward strategies for sustainability	100,000 2018	PC	100,000
<b>Public Theater</b> 425 Lafayette Street New York, NY 10003-7021	To support Shakepeare Festival activites, including internship stipends for underrepresented individuals, a tour of Lynn Nottage's Sweat to rural Midwestern communities, and to provide general and capacity building support.	250,000 2018	PC	250,000
<b>Ragamala Dance</b> 711 West Lake Street, Suite 309 Minneapolis, MN 55408	Leadership Grants Program for Dance	250,000 2015	PC	37,500
<b>South Arts, Inc.</b> 1800 Peachtree Street NW Suite 808 Atlanta, GA 30309-0000	To support the Jazz Road regranting program	3,500,000 2018	PC	1,500,000
<b>Stephen Petronio Company</b> 140 Second Avenue, Suite 504 New York, NY 10003	Leadership Grants Program for Dance	250,000 2015	PC	50,000
<b>Theatre Communications Group Inc.</b> 520 8th Ave, 24th floor New York, NY 10018-6507	Core Support for National Arts Service 2018	350,000 2018	PC	300,000
<b>Urban Bush Women</b> 138 South Oxford Street, 4B Brooklyn, NY 11217-1694	Leadership Grants Program for Dance	250,000 2015	PC	50,000
<b>Wesleyan University</b> 212 College St Middletown, CT 06459-3401	To support development of a series of artist case studies by ICPP that examine different models for artist career development; and to build a new performing arts consulting model that helps artists focus on their process while creating new work	200,000 2018	PC	200,000
<b>Wesleyan University</b> 212 College St Middletown, CT 06459-3401	To defray the cost of publication and distribution of a Wesleyan University Press book documenting dancer Eiko Otake's collaboration with historian-photographer William Johnston (working title: A Body in Places)	45,000 2018	PC	45,000
<b>Western Alliance of Arts Adminstrators Foundation</b> 715 SW Morrison Street, Suite 600 Portland, OR 97205-3102	To support the Advancing Indigenous Performance initiative	50,000 2018	PC	50,000
<b>White Bird</b> 5620 SW Edgemont Place Portland, OR 97239 Portland, OR 97239	Leadership Grants Program for Dance	250,000 2015	PC	50,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
World Trade Center Performing Arts Center Inc One Liberty Plaza 29th Floor New York, NY 10006-1404	The World Trade Center Performing Arts Center Capital Project	10,000,000 2018	PC	10,000,000
Yard Inc 1 The Yard Chilmark, MA 02535-0405	Leadership Grants for Arts Organizations	350,000 2018	PC	154,000
			<i>Total Arts</i>	<u>25,762,500</u>
<b>Arts Initiative</b>				
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2018	1,650,000 2017	PF	375,000
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2013	5,500,000 2013	PF	261,509
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2014	5,500,000 2013	PF	452,855
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Impact Awards 2014	1,600,000 2013	PF	3,000
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2015	5,225,000 2014	PF	716,960
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Impact Awards 2015	1,600,000 2014	PF	369,818
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2016	5,500,000 2015	PF	1,806,784
New Group Inc 240 West 35th Street, Suite 1200 New York, NY 10036	A playwright residency to imagine and explore new approaches to building demand for theater.	115,000 2016	PC	18,370
United States Artists Inc 980 N. Michigan Ave, Suite 1300 Chicago, IL 60611	USA Fellowships	3,600,000 2015	PC	1,440,000
			<i>Total Arts Initiative</i>	<u>5,444,296</u>
<b>Child Well-being</b>				
Alaska Childrens Trust 3201 C Street, Ste 110 Anchorage, AK 99503-3961	To support the Alaska Resilience Initiative	50,000 2018	PC	50,000
The Aspen Institute, Inc. 2300 N Street NW, Suite 700 Washington, DC 20037-1122	To support and strengthen the third cohort of the Ascend fellowship program and to provide general operating support for Ascend.	800,000 2018	PC	300,000
Bluehub Capital, Inc. Malcom X Boulevard Boston, MA 02119-1775	To support expansion of the Working Cities Challenge model to small- and mid-sized cities in New England and other Federal Reserve districts	1,500,000 2015	PC	500,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>Bluehub Capital, Inc. Malcom X Boulevard Boston, MA 02119-1775</b>	To expand the Federal Reserve Bank of Boston's Working Cities Challenge Rhode Island program through deeper implementation of action plans in Newport, Providence, and Cranston as well as opportunities for cross-city alignment of efforts and learning	600,000 2018	PC	600,000
<b>Boston Medical Center Corporation 85 E Concord Street Room 2212 Boston, MA 02118-2335</b>	To support and expand the Vital Village Community Engagement Network	1,000,000 2015	PC	279,500
<b>The Brotherhood/Sister Sol Inc 512 West 143rd Street New York, NY 10031-6501</b>	To expand place-based programming activities for youth ages 8-22 as well as partnerships with other nonprofit organizations and the New York City school system.	1,000,000 2018	PC	250,000
<b>Chapin Hall Center for Children 1313 E. 60th Street Chicago, IL 60637-2830</b>	To support an additional two cohorts of the Doris Duke Fellowships for the Promotion of Child Well-being	3,410,325 2014	PC	694,825
<b>Chapin Hall Center for Children 1313 E. 60th Street Chicago, IL 60637-2830</b>	To support the 2017 and 2018 cohorts of the Doris Duke Fellowships for the Promotion of Child Well-being	2,500,000 2016	PC	926,145
<b>Chapin Hall Center for Children 1313 E. 60th Street Chicago, IL 60637-2830</b>	To strengthen the Doris Duke Fellows for the Promotion of Child Well-being early career researchers network and support research partnerships among current and past fellows and policy or practice partners	700,000 2018	PC	77,597
<b>Child Trends, Inc. 7315 Wisconsin Avenue, Suite 1200W Bethesda, MD 20814</b>	To support the project, "Promoting Child Well-Being through Improved Communication of Research to State and Local Policymakers, Administrators, and Advocacy Organizations."	400,000 2015	PC	150,000
<b>Children and Family Futures, Inc. 25371 Commercentre Drive, Suite 140 Lake Forest, CA 92630</b>	To support Prevention and Family Recovery (PFR) -- Phase 2 Continuation and Expansion	3,000,000 2016	PC	706,220
<b>Children's Bureau of Southern California 1910 Magnolia Ave. Los Angeles, CA 90007-1220</b>	To support and expand the Magnolia Community Initiative (MCI)	1,000,000 2015	PC	337,700
<b>Children's Bureau of Southern California 1910 Magnolia Ave. Los Angeles, CA 90007-1220</b>	To support the Population Change Learning Community, strengthening the measurement capacity of place-based organizations	460,000 2018	PC	160,000
<b>Children's Outing Assn 909 E North Ave Milwaukee, WI 53212-3447</b>	To support a widespread community effort to improve health and well-being for children and families in two Milwaukee neighborhoods	950,000 2015	PC	315,000
<b>Community Solutions International, Inc. 125 Maiden Lane, Ste 16C New York, NY 10038</b>	To support and expand the Brownsville Partnership	1,000,000 2015	PC	339,000
<b>First Focus 1400 Eye Street NW, Suite 650 Washington, DC 20005-6506</b>	To support the End Child Poverty - US Campaign	50,000 2018	PC	50,000
<b>Fund for Public Health in New York 22 Cortlandt Street, Suite 802 New York, NY 10007-3107</b>	To increase access to comprehensive reproductive health services at NYC public high schools and foster care agencies to reduce unintended pregnancies among at-risk teens	750,000 2017	PC	150,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>The Mayor's Fund to Advance New York City</b> 253 Broadway 6th Floor New York, NY 10007-2300	To support implementation of a cross-agency planning process to identify strategies to improve health and well-being outcomes for pregnant women and families with children under age two living under the poverty line	250,000 2018	PC	250,000
<b>Mount Sinai Hospital</b> One Gustave L Levy Place New York, NY 10029-6574	To support Mount Sinai Adolescent Health Center's provision of free, high quality comprehensive medical services for 300 low-income adolescents with foster care experience and pilot an assessment tool to better target high need clients to relevant services	1,500,000 2016	PC	400,000
<b>Mount Sinai Hospital</b> One Gustave L Levy Place New York, NY 10029-6574	To support the conference organized by The Mount Sinai Adolescent Health Center (MSAHC) entitled: "Ending Violence Against Children: Developing a Roadmap to a Health Childhood and Adolescence."	25,000 2018	PC	25,000
<b>National Academy of Sciences</b> 2101 Constitution Ave Nw Washington, DC 20418-0007	To support the Collaborative on Vital Signs for the Health and Well-being of Children and Families	50,000 2018	PC	50,000
<b>National Black Child Development Institute, Inc.</b> 8455 Colesville Rd Suite 910 Silver Spring, MD 20910	To provide general operating support to National Black Child Development Institute (NBCDI) and launch the NBCDI Fellowship Program	800,000 2018	PC	100,000
<b>National Center for Youth Law</b> 405 14th St # 1500 Oakland, CA 94612-2715	To support Building Strong Youth and Family Voice into District Policy and Practice Design	30,000 2018	PC	30,000
<b>National Center for Youth Law</b> 405 14th St # 1500 Oakland, CA 94612-2715	To support the FosterEd initiative to improve education outcomes of youth in foster care, the juvenile justice system, or homeless in two areas of California, and develop a California state policy agenda for these vulnerable youth	600,000 2017	PC	150,000
<b>National Governors Association</b> Center For Best Practices 444 North Capitol Street, Suite 267 Washington, DC 20001 Washington DC, DC 20001-1512	To support the Two-Generation State Policy Learning and Action Network, currently under development in partnership with the Center for Law and Social Policy	600,000 2015	PC	149,600
<b>National League of Cities Institute Inc.</b> 660 North Capitol Street NW Suite 450 Washington, DC 20001-1644	To support NLCI's Strong Southern Communities Initiative: Promoting Municipal Leadership to Improve Outcomes for Children and Their Families in Southern Cities and Towns	100,000 2018	SO I	100,000
<b>National League of Cities Institute Inc.</b> 660 North Capitol Street NW Suite 450 Washington, DC 20001-1644	To provide municipal leaders in Mississippi, Arkansas, and Louisiana with technical assistance and peer learning opportunities to develop and implement city-led strategies that will improve outcomes for children and families	600,000 2018	SO I	55,000
<b>NDN Collective, Inc.</b> 317 Main Street Rapid City, SD 57772-0459	To support and strengthen Native-led community development organizations and develop and launch the NDN Collective Changemakers Fellowship	2,500,000 2018	PC	300,000
<b>Nemours Foundation</b> 10140 Centurion Parkway North Jacksonville, FL 32256	To support the Building Community Resilience (BCR) collaborative; fostering synergies across child health systems, community-based agencies and cross-sector partners to address the root causes of toxic stress and build community resilience	800,000 2015	PC	133,340
<b>New Venture Fund</b> 1201 Connecticut Avenue NW Washington, DC 20036	To support the 2020 Census Project	225,000 2018	PC	225,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>New York Academy of Medicine</b> 1216 Fifth Avenue New York, NY 10029-5202	To support an East Harlem action collaborative for child health and well-being	100,000 2018	PC	100,000
<b>New Yorkers For Children Inc</b> 450 Seventh Ave Suite 403 New York, NY 10123	To create and launch the Nicholas Scoppetta Scholarship Fund (Nick's Scholars)	1,187,000 2016	PC	251,073
<b>Society for Research in Child Development</b> 1825 K St NW Ste 325 Washington, DC 20006	To support the "Hidden Figures in Developmental Science" video series	15,000 2018	PC	15,000
<b>Thunder Valley Community Development Corporation</b> 290 Empowerment Drive Porcupine, SD 57772-0290	To support Ecosystem of Opportunity: Creating a Model for Pathways to Resilience; enhancing and evaluating the Thunder Valley CDC model for replication in other communities	1,700,000 2015	PC	375,000
<b>Tides Center</b> 1014 Torney Avenue San Francisco, CA 94129-1755	To test Project Evident, a technical assistance platform that helps organizations serving vulnerable populations prove their effectiveness and build evidence for further funding for replication and scale	500,000 2017	PC	100,000
<b>Tides Center</b> 1014 Torney Avenue San Francisco, CA 94129-1755	To expand the Foster America Fellowship Program to improve outcomes for children and families at risk of entering, or presently engaged with the foster care system	1,000,000 2018	PC	200,000
<b>Valley Hospital Association dba Mat-Su Health Foundation</b> 950 E. Bogard Rd. Ste 218 Wasilla, AK 99654-7172	To strengthen and expand the prevention and support services available to children and families in the Mat-Su region of Southcentral Alaska	1,000,000 2018	PC	415,000
<i>Total Child Well-being</i>				9,310,000
<b>Cross Program</b>				
<b>CDC Foundation</b> 600 Peachtree Street NE, Suite 1000 Atlanta, GA 30308-2219	To support the continuation of the Sickle Cell Data Collection program - a longitudinal population-based data system for sickle cell disease	100,000 2018	PC	100,000
<b>Integrate Health</b> Po Box 605 Medway, MA 02053-0605	To support the expansion of community outreach in primary care centers in the South Bronx, through the Community Linkage to Care Program	100,000 2018	PC	100,000
<b>International Society for the Performing Arts Foundation</b> 630 9th Avenue, Suite 213 New York, NY 10036	To support Global Voices in Dialogue, through expansion of the diversity of voices at the ISPA Congresses in 2019, 2020, and 2021	37,500 2018	PC	37,500
<b>Social Finance, Inc.</b> 10 Milk St Ste 1010 Boston, MA 02108-4600	To support a feasibility study for outcomes-based financing for environmental and social impact	100,000 2018	PC	100,000
<b>Society for Research in Child Development</b> 1825 K St NW Ste 325 Washington, DC 20006	To support a second year pilot of the project: Preparing Future Leaders of Color in the Developmental Sciences: Research Funding and Professional Development Boot Camp	100,000 2018	PC	100,000
<b>Spoletto Festival USA</b> 14 George Street Charleston, SC 29401-1524	To support the creation of an opera highlighting the life of an enslaved African American Muslim, Omar ibn Said	75,000 2018	PC	75,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
University of Southern California University Park Los Angeles, CA 90089-0001	To support the 2018-2019 DDCF Center for Health Journalism Data Fellowship	100,000 2018	PC	100,000
<i>Total Cross Program</i>				612,500
<b>Environment</b>				
Adirondack Council, Inc. 103 Hand Avenue, Suite 3 Elizabethtown, NY 12932-0640	To support phase 1 of the Adirondack Vision project	75,000 2018	PC	75,000
American Farmland Trust (AFT) 1150 Connecticut Avenue, Suite 600 Washington, DC 20036-4104	To renew support for the Hudson Valley Farmlink Network	450,000 2017	PC	125,000
American Forests 1220 L St NW Washington, DC 20005-4079	To expand U.S. forest sector interest in natural climate solutions (NCS), accelerate NCS uptake and by U.S. states, and improve federal policies that would help unlock NCS potential in federal spending and land stewardship	300,000 2018	PC	200,000
American Forests 1220 L St NW Washington, DC 20005-4079	To support The Natural and Working Lands Learning Lab	78,400 2018	PC	78,400
American Museum of Natural History 200 Central Park West New York, NY 10024-5192	To build a diverse pipeline for the conservation workforce through the enhanced Science Research Mentoring Program for high school students	892,000 2016	PC	225,000
Climate Central, Inc. One Palmer Square, Suite 330 Princeton, NJ 08540	To support narrative, tools and analysis for coastal wetlands conservation in the face of sea level rise	400,000 2017	PC	175,000
Climate Central, Inc. One Palmer Square, Suite 330 Princeton, NJ 08540	To raise public awareness of climate change and build support for climate action, through local television weather and news reportage	200,000 2017	PC	100,000
Climate Conservation Po Box 1587 Bozeman, MT 59771-1587	To support preservation and restoration of wildlife corridors through the Campaign for State-based Wildlife Corridor Protection	75,000 2018	PC	75,000
Climate Conservation Po Box 1587 Bozeman, MT 59771-1587	To support the creation of the Landscape Conservation Catalyst Fund, a regrant and capacity building program to advance the effective practice of place-based, collaborative landscape conservation across the United States	1,100,000 2018	PC	800,000
Climateworks Foundation 235 Montgomery Street San Francisco, CA 94104-2902	To launch a research coordination effort to overcome the quantitative and technical challenges in estimating greenhouse gas (GHG) emissions from land use, land use change and forestry in the U.S	300,000 2018	PC	300,000
Consensus Building Institute 100 Cambridgepark Dr Ste 302 Suite 302 Cambridge, MA 02140-2330	To support the Climigration Network	90,000 2018	PC	90,000
Consultative Group On Biological Diversity, Dba Biodiversity Funders Group 1016 TORNEY AVE Suite 206 San Francisco, CA 94129-0361	To renew membership and support the Climate and Energy Funders Group	40,000 2018	PC	40,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>Council on the Environment Inc, aka GrowNYC 100 Gold St. Suite 3300 New York, NY 10038-1617</b>	To renew support for FARMroots	300,000 2017	PC	150,000
<b>Defenders of Wildlife 1130 17th Street NW Washington, DC 20036-4604</b>	To renew support for a project that expedites the sensitive siting of renewable energy projects through the development of federal and state energy and land management policies	4,000,000 2018	PC	1,450,000
<b>Endangered Species Coalition 1411 K St., NW Washington, DC 20035-5195</b>	General Operating Support	100,000 2018	PC	100,000
<b>Environmental Grantmakers Association 475 Riverside Drive, Suite 960 New York, NY 10115-0066</b>	Membership 2018	23,000 2018	PC	23,000
<b>Georgetown University 37th and O Streets NW Washington, DC 20057-0001</b>	To support the Georgetown Climate Center's work to develop land-use strategies for addressing "coastal squeeze."	200,000 2017	PC	90,000
<b>Glynwood Center, Inc. 362 Glynwood Rd Cold Spring, NY 10516-0157</b>	To support the Hudson Valley Farmer Training Collaborative	100,000 2018	PC	100,000
<b>Green Diversity Initiative 1341 G St, NW 5th Floor Washington, DC 20005-3105</b>	To support Identifying Effective DEI Capacity Building Practices in Environmental Non-Profits and Foundations	88,000 2018	PC	88,000
<b>GreenLatinos 801 Pennsylvania Avenue, N.W., Suite 1010 Washington, DC 20024</b>	To support the 2018 GreenLatinos National Summit	15,000 2018	PC	15,000
<b>Institute for Strategic and Equitable Development 600 West 116th Street, #7D New York, NY 10027</b>	To support InDEEP: Inclusion, Diversity, and Racial Equity in Environmental Philanthropy	50,000 2018	PC	50,000
<b>Keystone Center 1628 Saint John Rd Keystone, CO 80435-7714</b>	To support the Next 100 Coalition National Conference	15,000 2018	PC	15,000
<b>Keystone Center 1628 Saint John Rd Keystone, CO 80435-7714</b>	To support The Next 100 Coalition: Building Today's Network for Tomorrow's Public Lands Agenda	100,000 2018	PC	100,000
<b>National Audubon Society Inc 225 Varick St., 7th Floor New York, NY 10014-4396</b>	To support building a foundation for coastal resiliency policy	200,000 2017	PC	50,000
<b>National Wildlife Federation 11100 Wildlife Center Dr Reston, VA 20190-5361</b>	To significantly scale up the use of "living shorelines" that provide habitat and wildlife benefits in coastal protection and adaptation efforts	400,000 2017	PC	131,341
<b>National Wildlife Federation 11100 Wildlife Center Dr Reston, VA 20190-5361</b>	To renew support for a project focused on reducing the impacts of bioenergy development on wildlife, wildlife habitat and climate emissions	1,162,000 2017	PC	362,000
<b>Natural Areas Conservancy 1234 Fifth Avenue New York, NY 10029-4418</b>	To support restoration and conservation of New York City's forests and wetlands	400,000 2017	PC	100,000



## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>Natural Resources Defense Council</b> 40 W20th St., 11th Fl. New York, NY 10011-4211	To support legal defense of bedrock environmental laws and to promote government transparency	200,000 2018	PC	200,000
<b>The Nature Conservancy</b> 4245 Fairfax Dr Ste 100 Arlington, VA 22203-1637	To support the application of resilience science to the Rocky Mountains, Southwest Deserts and Mississippi Valley regions of the US, and pilot new resilience science approaches to coastal and freshwater realms in the Eastern US	750,000 2017	PC	325,000
<b>The Nature Conservancy</b> 4245 Fairfax Dr Ste 100 Arlington, VA 22203-1637	To generate measurable growth in global natural climate solutions (NCS) implementation and policy support, and build a larger coalition of public, private and nonprofit organizations promoting NCS pathways in the U.S and internationally	3,521,000 2018	PC	2,100,000
<b>North Star Fund, Inc.</b> 520 8th Ave, Suite 1800 New York, NY 10018-4170	To support the Community Food Funders network and launch its new fellowship program	50,000 2018	PC	50,000
<b>Northern Arizona University Foundation</b> 624 S. Knoles Flagstaff, AZ 86011-0103	To support the Doris Duke Conservation Scholars Program at Northern Arizona University	677,000 2017	PC	177,000
<b>Oregon Community Foundation</b> 440 E BROADWAY SUITE 160 Eugene, OR 97401	Advancing Climate-Smart Land Conservation in the Pacific Northwest – a partnership between The Oregon Community Foundation, Seattle Foundation, Idaho Community Foundation, and the Land Trust Alliance	5,323,000 2018	PC	525,000
<b>Pivot Point A Nonprofit Corporation</b> 690 E Colonel Wright Rd. Shelton, WA 98584-8424	To mobilize civil society organizations to elevate the profile of natural climate solutions within the United Nations Framework Convention on Climate Change (UNFCCC)	175,000 2018	PC	175,000
<b>Public Laboratory For Open Technology And Science Inc</b> 577 Somerville Ave Somerville, MA 02143	To support the Environmental Data and Governance Initiative's efforts to improve federal environmental data stewardship and governance in support of evidence-based environmental policy and justice	200,000 2018	PC	200,000
<b>Research Foundation of the City University of New York</b> 230 W 41St St 7Th Fl New York, NY 10036-7207	To support the Science and Resilience Institute at Jamaica Bay's research and communications efforts to turn climate risks into adaptation opportunities in Jamaica Bay, NYC	25,000 2018	PC	25,000
<b>Riverkeeper Inc</b> 20 Secor Road Ossining, NY 10562-4645	To support the development and installation of living bulkheads that will restore habitat and improve water quality in Newtown Creek	25,000 2018	PC	25,000
<b>Scenic Hudson</b> One Civic Center Plaza, Suite 200 Poughkeepsie, NY 12601-3157	To support implementation of the Hudson Valley Conservation Strategy ("HVCS"): a landscape-scale framework to conserve climate-resilient sites, connectivity, and biodiversity	2,300,000 2016	PC	1,000,000
<b>Society For Conservation Biology North America Inc</b> 5 Old Town Square, Suite 205 Fort Collins, CO 80524	To provide general operating support associated with implementation of the 2018 North American Congress for Conservation Biology	25,000 2018	PC	25,000
<b>Southern Environmental Law Center</b> 201 W Main St, Suite 14 Charlottesville, VA 22902-5033	To support legal defense of the Clean Water Act	200,000 2018	PC	200,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Theodore Roosevelt Conservation Partnership 529 14th St NW Ste 500 Washington, DC 20045-1501	To mobilize sportspeople and amplify their voices in support of public lands, wildlife habitats and landscape conservation	150,000 2018	PC	150,000
The Trust for Public Land 101 Montgomery Street Suite 900 San Francisco, CA 94104-4148	To renew support for the Conservation Finance Initiative, a joint effort of The Trust for Public Land and The Nature Conservancy that aims to increase public funding for wildlife habitat conservation in the United States	3,500,000 2017	PC	1,180,000
United Nations Foundation 1750 Pennsylvania Ave NW, Suite 300 Washington, DC 20006-4500	To support the U.S. Climate Alliance Natural and Working Lands Learning Labs	42,350 2018	PC	42,350
United Nations Foundation 1750 Pennsylvania Ave NW, Suite 300 Washington, DC 20006-4500	To support the U.S. Climate Alliance's Natural and Working Lands Initiative to strengthen state capacity to mitigate and sequester carbon through natural and working lands	100,000 2018	PC	100,000
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460-1068	To support the Climate Adaptation Fund	6,752,500 2016	PC	1,787,540
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460-1068	To renew support for the Climate Adaptation Fund, a competitive re-grant program that funds conservation projects that promote wildlife adaptation to climate change	6,050,000 2018	PC	4,295,000
Windward Fund 1201 Connecticut Avenue NW, Suite 300 Washington, DC 20036-2656	To provide support for the Climate Resilience Fund to continue its coordination and collaboration in the Resilience Ecosystem regranting program	306,250 2018	PC	306,250
World Resources Institute 10 G St. NE Washington, DC 20002-4213	To increase the inclusion of Natural Climate Solutions strategies within countries' Nationally Determined Contributions to the Paris Agreement	700,000 2018	PC	300,000
World Resources Institute 10 G St. NE Washington, DC 20002-4213	To support the US Climate Alliance Natural & Working Lands Initiative	100,000 2018	PC	100,000
World Resources Institute 10 G St. NE Washington, DC 20002-4213	To support the development of a greenhouse gas inventory protocol for assessing forest, urban tree and land use carbon emissions and/or biosequestration at subnational scales	190,000 2018	PC	190,000
Yale University Po Box 208356 New Haven, CT 06520-8356	To build the capacity of the U.S. climate action community to conduct strategic communication campaigns, using cutting-edge communication research, tactics and tools	200,000 2018	PC	200,000
			<i>Total Environment</i>	<b>18,785,881</b>
<b>Media Fund</b>				
East-West Center 1601 East West Road Honolulu, HI 96848-1601	To support the 2016-18 Senior Journalists Seminar	150,000 2016	PC	37,500
Greater Washington Educational Telecommunications Association, Inc. 3939 Campbell Ave Arlington, VA 22206-3440	To support PBS NewsHour programming related to DDCF fields of interest and expand its production of high-quality journalism across platforms	150,000 2017	PC	100,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>National Public Radio</b> 1111 North Capitol St Nw Washington, DC 20002-0000	To provide general operating support for NPR programming that advances DDCF programmatic goals and supports credible, high-quality professional journalism about issues important to DDCF's work	1,000,000 2017	PC	412,500
<i>Total Media Fund</i>				550,000
<b>Medical Research</b>				
<b>Albert Einstein College Of Medicine</b> 1300 Morris Park Ave Bronx, NY 10461-1900	Characterization of the Stem and Progenitor Cell Compartment in Sickle Cell Disease and Optimization of Gene Transfer at AAVS1 Site in CD49f+ LT-HSCs	986,050 2017	PC	39,378
<b>American Physician Scientists Association Inc</b> 4 Lan Drive, Suite 310 Westford, MA 01886-3576	To support the American Physician Scientists Association 2018 Annual Meeting	10,000 2018	PC	10,000
<b>American Society Of Hematology Inc</b> 2021 L St NW #900 Washington, DC 20036-4929	To support a public workshop focused on clinical endpoints for Sickle Cell Disease	50,000 2018	PC	50,000
<b>Arthur Ashe Institute For Urban Health Inc</b> 450 Clarkson Avenue, 1232 Brooklyn, NY 11203	To support the Arthur Ashe Institute for Urban Health Clinical Research Continuum: High School to College	557,000 2016	PC	208,720
<b>Baylor College of Medicine</b> One Baylor Plaza Houston, TX 77030-3411	Systematic search for targeted therapeutic entry points for SYNGAP1 haploinsufficiency - implications for neurodevelopmental disorder therapies	495,000 2017	PC	165,000
<b>Beth Israel Deaconess Medical Center</b> 330 Brookline Ave Boston, MA 02215-5400	A new approach to patient safety: identifying risky clinical states to reduce preventable harms for hospitalized patients.	495,000 2017	PC	165,000
<b>Bradley University</b> 1501 W Bradley Ave Peoria, IL 61625	To support the Clinical Research (CREST) Internships for High School Students and Undergraduate Mentors	367,000 2016	PC	138,130
<b>Charles Drew University of Medicine &amp; Science</b> 1731 E. 120th St Los Angeles, CA 90059	To support Project STRIDE (Students Training in Research Involving Disparity Elimination)	497,500 2016	PC	186,450
<b>Children's Hospital &amp; Research Center at Oakland</b> 747 52nd St Oakland, CA 94609-1809	To support the Children's Hospital Oakland Research Institute (CHORI) Summer Student Research Program	405,000 2016	PC	151,800
<b>Children's Hospital Boston</b> 1295 Boylston St Boston, MA 02215-3407	High-resolution and high-throughput genome editing to determine minimal repressive sequences within the beta-globin gene cluster and establish lead compounds for therapeutic re-induction of fetal hemoglobin in SCD.	486,000 2015	PC	81,000
<b>Childrens Hospital Los Angeles</b> 4650 Sunset Blvd Los Angeles, CA 90027	To support The Samuels Family LA-HIP Clinical Research Continuum:High School to College	588,500 2016	PC	222,715
<b>Children's Hospital of Philadelphia</b> 3401 Civic Center Blvd Philadelphia, PA 19104	Expanding genomic approaches to transfusion therapy for patients with sickle cell disease	486,000 2015	PC	81,000
<b>Children's Hospital of Philadelphia</b> 3401 Civic Center Blvd Philadelphia, PA 19104	Producing highly active lymphocytes for adoptive immunotherapy	495,000 2016	PC	82,500

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>Children's Hospital of Philadelphia</b> 3401 Civic Center Blvd Philadelphia, PA 19104	A randomized clinical trial of intranasal oxytocin to promote weight loss in children and adolescents with hypothalamic obesity syndrome.	495,000 2016	PC	82,500
<b>Children's Research Institute</b> 111 Michigan Ave NW Washington, DC 20010-2916	Determining the fertility preservation and immunobiology of non-myeloablative transplant for sickle cell disease	275,000 2018	PC	275,000
<b>Cincinnati Children's Hospital</b> 3333 Burnet Ave MI 4900 Cincinnati, OH 45229-3026	Genetic variants influencing the phenotypic expression of sickle cell anemia	486,000 2015	PC	81,000
<b>City Of Hope</b> 1500 East Duarte Road Duarte, CA 91010	Effect of DNA demethylation therapy on the Wnt pathway in lung cancer stem cells	495,000 2016	PC	82,500
<b>Cleveland Clinic Foundation</b> 6801 Brecksville Rd Rk1-85 Independence, OH 44131-5032	Oral Microbiomic and Mycobiomic Profiles and their Interactions in Head and Neck Squamous Cell Carcinoma (HNSCC)	70,400 2018	PC	70,400
<b>Columbia University</b> 615 W 131 St Mc8741 New York, NY 10027-7922	Developing novel clinical approaches to reduce childhood obesity risk factors during the first 1,000 days	495,000 2018	PC	247,500
<b>Conquer Cancer Foundation of the American Society of Clinical Oncology</b> 2318 Mill Rd, Ste 800 Alexandria, VA 22314-6834	To support building consensus for clinical trial data sharing policy among cancer journals	25,000 2018	PC	25,000
<b>Joan &amp; Sanford I. Weill Medical College of Cornell University</b> 377 Pine Tree Rd Ithaca, NY 14850-2820	Longitudinal Study of the Effect of Praziquantel Treatment of Schistosoma mansoni on the Female Genital Mucosal Immune System and Microbiome	495,000 2017	PC	165,000
<b>Joan &amp; Sanford I. Weill Medical College of Cornell University</b> 377 Pine Tree Rd Ithaca, NY 14850-2820	Epigenetic landscapes of rearranged driver-negative cancers	495,000 2017	PC	165,000
<b>Critical Path Institute</b> 1730 E River Rd Ste 200 Tucson, AZ 85718-5893	To support pre-consortium planning for advancing the development of therapies for sickle cell disease	100,000 2018	PC	100,000
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Therapeutic targeting of the acute myeloid leukemia stem cell	495,000 2017	PC	165,000
<b>Dana-Farber Cancer Institute</b> 450 Brookline Ave Boston, MA 02215-5418	Understanding Therapeutic Efficacy and Resistance in Patients with Metastatic Pancreatic Ductal Adenocarcinoma	495,000 2017	PC	165,000
<b>Duke University</b> 324 Blackwell St Ste 850 Durham, NC 27701-3659	High-throughput Metabolite Profiling and Genetic Analyses to Identify Novel Predictive Biomarkers of SCD-related Complications	486,000 2015	PC	81,000
<b>Duke University</b> 324 Blackwell St Ste 850 Durham, NC 27701-3659	Fund to Retain Clinical Scientists at Duke University	583,200 2015	PC	108,000
<b>Duke University</b> 324 Blackwell St Ste 850 Durham, NC 27701-3659	Healthcare Disparities and Variation in Care of Patients with Peripheral Artery Disease At Risk for Lower Extremity Amputation and Poor Cardiovascular Outcomes	495,000 2016	PC	82,500
<b>Duke University</b> 324 Blackwell St Ste 850 Durham, NC 27701-3659	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>Duke University</b> 324 Blackwell St Ste 850 Durham, NC 27701-3659	Development of broadly neutralizing antibodies in HIV-infected children	70,400 2018	PC	70,400
<b>Foundation for the NIH</b> 11400 Rockville Pike, Suite 600 Bethesda, MD 20852-3004	To renew support for the National Institutes of Health (NIH) Medical Research Scholars Program, to foster biomedical research careers	675,000 2018	PC	675,000
<b>Harvard University</b> 1033 Massachusetts Ave Ste 3 Cambridge, MA 02138-5366	Using pathogen genomics and patient data to define determinants of persistent MRSA colonization and of success of decolonization	495,000 2016	PC	82,500
<b>Harvard University</b> 1033 Massachusetts Ave Ste 3 Cambridge, MA 02138-5366	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
<b>Health Research Alliance Inc.</b> 65 TW Alexander Drive Unit 13605 Rtp, NC 27709	2018 Membership and general operating support	10,000 2018	PC	10,000
<b>Health Research Alliance Inc.</b> 65 TW Alexander Drive Unit 13605 Rtp, NC 27709	To support additional programming to make HRA Reporter data publicly accessible	27,500 2018	PC	27,500
<b>Henry M Jackson Foundation For The Advancement Of Military Medicine</b> 6720-A Rockledge Drive, Suite 100 Bethesda, MD 20817-1891	Genetic and Cutaneous Indicators of Disease Severity in Tuberculous Sclerosis Complex	70,400 2018	PC	70,400
<b>Indiana University</b> 400 E 7Th St Rm 101 Bloomington, IN 47405-3004	Systems analysis to understand protective immunity induced by an attenuated sporozoite malaria vaccine in African infants	495,000 2018	PC	247,500
<b>Indiana University</b> 400 E 7Th St Rm 501 Bloomington, IN 47405-3004	To support a study to optimize hydroxyurea therapy in children with sickle cell anemia in Uganda	300,000 2016	PC	100,000
<b>Johns Hopkins University</b> 300 East Joppa Road, 5th floor Baltimore, MD 21286	Quantifying the risk and survival benefit of incompatible live donor kidney transplantation in the modern era	495,000 2018	PC	247,500
<b>Johns Hopkins University</b> 300 East Joppa Road, 5th floor Baltimore, MD 21286	Johns Hopkins School of Medicine Doris Duke Clinical Investigator Support Program	583,200 2015	PC	108,000
<b>Johns Hopkins University</b> 300 East Joppa Road, 5th floor Baltimore, MD 21286	Defining mechanisms of innate immune programming and dysfunction in HIV disease	495,000 2018	PC	247,500
<b>Massachusetts General Hospital</b> 399 Revolution Dr Ste 645 Somerville, MA 02145-1465	Skeletal Fragility and Fracture Risk after Bariatric Surgery	495,000 2016	PC	82,500
<b>Massachusetts General Hospital</b> 399 Revolution Dr Ste 645 Somerville, MA 02145-1465	Preventing Perioperative Medication Errors Through the Reduction of Clinical Decision Support Alert Overrides	495,000 2018	PC	247,500
<b>Massachusetts General Hospital</b> 399 Revolution Dr Ste 645 Somerville, MA 02145-1465	Comprehensive assessment of the evolution of acquired drug resistance in EGFR mutant non-small cell lung cancer	495,000 2017	PC	165,000
<b>Medical University Hospital Authority</b> 169 Ashley Avenue Suite 203 Charleston, SC 29425-8905	Program to Enhance the Retention of Clinicians at the Medical University of South Carolina	583,200 2015	PC	108,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Memorial Sloan Kettering Cancer Center 1275 York Avenue New York, NY 10065-6007	Identifying Cross-Reactive Binding Targets for a Therapeutic TCRm for HPV Induced Cancers	70,400 2018	PC	70,400
Memorial Sloan Kettering Cancer Center 1275 York Avenue New York, NY 10065-6007	Optimizing CAR T cell design to address antigen heterogeneity	70,400 2018	PC	70,400
New York University 105 E 17Th Street - 2Nd Floor 53 Washington Square South, room 308 New York, NY 10003-2170	Fund to Retain Clinical Scientists at NYU Langone Medical Center	583,200 2015	PC	108,000
New York University 105 E 17Th Street - 2Nd Floor 53 Washington Square South, room 308 New York, NY 10003-2170	To convene Fund to Retain Clinical Scientists (FRCS) program directors, awardees and outside experts in discussion and activities that will benefit the early-career physician-scientist community at large	45,000 2018	PC	45,000
Northwestern University 1800 Sherman Ave, 3rd Floor Evanston, IL 60201	Identification of the genetic basis of altered T cell receptor signaling in cutaneous T cell lymphoma	270,000 2016	PC	45,000
Northwestern University 1800 Sherman Ave, 3rd Floor Evanston, IL 60201	Identifying NF-kB Targets in Cutaneous T Cell Lymphoma	70,400 2018	PC	70,400
Physician Scientist Support Foundation 5520 Alta Vista Road Bethesda, MD 20814-1610	To support the activities of the Physician Scientist Support Foundation	25,000 2018	PC	25,000
Research Foundation for Mental Hygiene Inc 722 W 168th St #310 New York, NY 10032	Dopamine Transmission in the Psychosis Prodrome: a [11C]--(+)--PHNO PET Study	495,000 2016	PC	82,500
St. Jude Children's Research Hospital 262 Danny Thomas Pl Memphis, TN 38105-3678	Genome Editing of Bone Marrow and Plerixafor-mobilized CD34+ Cells to Raise Fetal Hemoglobin Levels in Sickle Cell Disease	990,000 2017	PC	217,412
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	Immune Sensors of Preterm Labor: A Systems Immunology Analysis with Mass Cytometry	495,000 2018	PC	247,500
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	Regulators of Skin Cancer Progression	495,000 2018	PC	247,500
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	Statin-associated diabetes: Identifying risk factors and physiologic mechanisms	495,000 2016	PC	82,500
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	Functional genetic characterization of a novel host determinant of Plasmodium falciparum malaria	495,000 2016	PC	82,500
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	To support the Stanford SIMR Program- Clinical Research Experiences	304,000 2016	PC	113,850

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>Stanford University</b> 3145 Porter Drive Palo Alto, CA 94304-1234	Epigenetic Modulation of Chromatin Architecture in Human Fibroblasts as a Novel Therapeutic Approach for Wound Healing and Regeneration	495,000 2018	PC	247,500
<b>Stanford University</b> 3145 Porter Drive Palo Alto, CA 94304-1234	Pulmonary neuroendocrine cell signaling in the developing lung and in disease	495,000 2018	PC	247,500
<b>Tufts University</b> 62R Talbot Ave Medford, MA 02155-5813	Finding and retaining India's "missing" tuberculosis patients: informing large-scale active case-finding strategies through analyses of the care cascade and geospatial mapping	495,000 2018	PC	247,500
<b>Union Of Concerned Scientists Inc.</b> 2 Brattle Sq Ste 6 Cambridge, MA 02138-3756	To support Building the Enduring Capacity to Promote Science for the Public Good	300,000 2018	PC	225,000
<b>Universities Allied for Essential Medicines</b> 641 S Street NW Washington, DC 20001-5196	To support the UAEM North America Annual Conference	10,000 2018	PC	10,000
<b>University of California, Los Angeles</b> 10920 Wilshire Blvd Ste 620 Los Angeles, CA 90024-6505	Cas9 Fusion Proteins for Improved Sickle Cell Disease Gene Correction	275,000 2018	PC	275,000
<b>University of California, San Francisco</b> Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Genomic determinants and biomarkers of Barrett's esophagus progression	495,000 2018	PC	247,500
<b>University of California, San Francisco</b> Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Fund to Retain Clinical Scientists at University of California, San Francisco	583,200 2015	PC	108,000
<b>University of California, San Francisco</b> Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Mechanisms of incomplete response and primary resistance to osimertinib in EGFR-mutant lung cancer	330,000 2018	PC	165,000
<b>University of California, San Francisco</b> Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Measuring and Modeling Effects of Inter-Individual Protein-Level Buffering on Phenotypic Penetrance of DNA Copy Number Variants	495,000 2016	PC	82,500
<b>University of California, San Francisco</b> Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
<b>University of California, San Francisco</b> Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Elucidating the role of commensals in atopic dermatitis	495,000 2017	PC	165,000
<b>University of Colorado</b> 1800 N Grant St Ste 600 Denver, CO 80203-1148	Use of essential amino acid supplementation to decrease metabolic disease in high risk obese girls with polycystic ovarian syndrome	495,000 2018	PC	247,500
<b>University of Colorado</b> 1800 N Grant St Ste 600 Denver, CO 80203-1148	Fund to Retain Clinical Scientists at the University of Colorado Anschutz Medical Campus School of Medicine	583,200 2015	PC	108,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>University of Colorado</b> 1800 N Grant St Ste 600 Denver, CO 80203-1148	Precursor ribosomal RNA as a novel marker of treatment efficacy in tuberculosis	495,000 2017	PC	165,000
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	Defining the epigenetic landscape of pediatric posterior fossa ependymomas.	495,000 2016	PC	82,500
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	A new paradigm in cutaneous lupus: dissecting the interplay between interferons and Staphylococcus aureus	495,000 2017	PC	165,000
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	Epigenetic Regulation of Diabetic Wounds Promotes Chronic Inflammation and Prevents Healing	485,000 2017	PC	165,000
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	To renew support for an independent prospective evaluation of the Fund to Retain Clinical Scientists program	694,000 2018	PC	694,000
<b>University of Minnesota Foundation</b> 210 Oak Street, SE. Suite 500 Minneapolis, MN 55455-2010	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
<b>University of North Carolina at Chapel Hill</b> 104 Airport Dr Cb 1270 Chapel Hill, NC 27599-5023	Fund to Retain Clinical Scientists at the University of North Carolina at Chapel Hill School of Medicine	583,200 2015	PC	108,000
<b>University of North Carolina at Chapel Hill</b> 104 Airport Dr Cb 1270 Chapel Hill, NC 27599-5023	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
<b>University of Pennsylvania</b> 3451 Walnut Street Philadelphia, PA 19104-6205	Using Social Incentives and Patient-Generated Health Data to Change Health Behaviors and Improve Glycemic Control Among Type 2 Diabetics	495,000 2016	PC	35,239
<b>University of Pennsylvania</b> 3451 Walnut Street Philadelphia, PA 19104-6205	Identifying biomarkers of treatment response in insomnia and depression with a metabolomics platform	495,000 2017	PC	165,000
<b>University of Pittsburgh</b> 116 Atwood St Ste 201 Scaife Hall Pittsburgh, PA 15213-3402	To support the University of Pittsburgh Cancer Institute and Doris Duke Charitable Foundation Academy	506,000 2016	PC	189,750
<b>University of Pittsburgh</b> 116 Atwood St Ste 201 Scaife Hall Pittsburgh, PA 15213-3402	To support the Clinical Research Continuum: High School to College Annual Directors Meeting	23,568 2018	PC	36,600
<b>University of Texas Foundation</b> Po Box 250 Austin, TX 78767-0250	Cancer-specific RNA Editing as a Novel Mechanism for Chemoresistance in Pancreatic Cancer	486,000 2015	PC	81,000
<b>University of Texas Foundation</b> Po Box 250 Austin, TX 78767-0250	A biomarker-based, combination therapy with immune checkpoint blockade and pemetrexed for MTAP-deficient metastatic bladder cancer	495,000 2018	PC	247,500
<b>University of Utah</b> 1395 East Presidents Circle Room 190 Salt Lake City, UT 84112	Protein Kinase C as a Target for Reactivation of Latent HIV-1	495,000 2016	PC	82,500



## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
University of Washington 407 Gerberding Hall Seattle, WA 98195-0001	T Cell Memory to Mycobacterial Lipids	495,000 2016	PC	82,500
University of Washington 407 Gerberding Hall Seattle, WA 98195-0001	Genomic and quorum sensing adaptation of Pseudomonas aeruginosa in cystic fibrosis	495,000 2017	PC	165,000
University of Wisconsin Foundation 1848 University Ave Madison, WI 53726-4090	To support the University of Wisconsin Surgery Clinical Research Experiences for Underrepresented Students	551,500 2016	PC	206,780
University of Wisconsin Foundation 1848 University Ave Madison, WI 53726-4090	Impairment of Neutrophil Function by Biofilms on Medical Devices	495,000 2017	PC	165,000
Vanderbilt University Medical Center 1161 21St Ave S Suite D3300 Mcn Nashville, TN 37232-0011	Mechanisms of disease initiation and propagation in pulmonary fibrosis	495,000 2018	PC	247,500
Vanderbilt University Medical Center 1161 21St Ave S Suite D3300 Mcn Nashville, TN 37232-0011	Fund to Retain Clinical Scientists at Vanderbilt University Medical School	583,200 2015	PC	108,000
Vanderbilt University Medical Center 1161 21St Ave S Suite D3300 Mcn Nashville, TN 37232-0011	New Approaches to Precision Dosing for Special Populations	495,000 2017	PC	165,000
Vanderbilt University Medical Center 1161 21St Ave S Suite D3300 Mcn Nashville, TN 37232-0011	Phase 2 study of theophylline for the treatment of pseudohypoparathyroidism	495,000 2018	PC	247,500
Vanderbilt University Medical Center 1161 21St Ave S Suite D3300 Mcn Nashville, TN 37232-0011	Low baseline BMI is associated with a Longitudinal decline in FEV1% predicted in patients with Sickle Cell Anemia	70,400 2018	PC	70,400
Vivli Inc 14 Story St Ste 4 Cambridge, MA 02138-4955	To support implementation of the Global Platform for Open Reproducible Clinical Research	750,000 2018	PC	750,000
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Fund to Retain Clinical Scientists at Washington University School of Medicine	583,200 2015	PC	108,000
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Immune Regulation of Atopic Dermatitis and Itch	495,000 2016	PC	82,500
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Transmission and development of the human infant virome	495,000 2017	PC	165,000
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Identifying epigenetic drivers in acute myeloid leukemia	495,000 2018	PC	247,500
Yale University Po Box 208356 New Haven, CT 06520-8356	Fund to Retain Clinical Scientists at Yale School of Medicine	583,200 2015	PC	108,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Yale University Po Box 208356 New Haven, CT 06520-8356	Dissecting the epithelial-mesenchymal interactions at the interface of human skin regeneration and cancer	495,000 2018	PC	247,500
Yale University Po Box 208356 New Haven, CT 06520-8356	International Clinical Research Fellowships for Medical Students	462,000 2016	PC	231,000
Yale University Po Box 208356 New Haven, CT 06520-8356	Developing and Testing the Effect of an Integrated Patient-Centered HIV Prevention Decision Aid on PrEP Uptake among Women who use Drugs Entering Treatment	495,000 2017	PC	165,000
			<i>Total Medical Research</i>	<u>16,784,624</u>
<b>Philanthropic Sector</b>				
Center for Effective Philanthropy 675 Massachusetts Ave., 7th fl Cambridge, MA 02139-3393	Philanthropic Sector Support 2018	10,000 2018	PC	10,000
Communications Network 1717 N Naper Blvd Ste 102 Naperville, IL 60563-8837	Philanthropic Sector Support 2018	1,500 2018	PC	1,500
Council on Foundations 1255 23rd St NW, Suite 200 Washington, DC 20037	Philanthropic Sector Support 2018	25,000 2018	PC	25,000
Foundation Center dba Candid 32 Old Slip 24th Floor New York, NY 10005-0000	Philanthropic Sector Support 2018	25,000 2018	PC	25,000
Guidestar USA Inc 4801 Courthouse St Ste 220 Williamsburg, VA 23188-2678	Philanthropic Sector Support 2018	5,000 2018	PC	5,000
Independent Sector 1602 L St NW Ste 900 Washington, DC 20036-5682	Philanthropic Sector Support 2018	17,500 2018	PC	17,500
PEAK Grantmaking 1666 K St Nw Ste 440 Washington, DC 20006-1242	Philanthropic Sector Support 2018	7,000 2018	PC	7,000
Philanthropy New York 320 East 43rd St New York, NY 10017	Philanthropic Sector Support 2018	28,000 2018	PC	28,000
Technology Affinity Group 23 Briar Rd Wayne, PA 19087-2602	Philanthropic Sector Support 2018	2,000 2018	PC	2,000
			<i>Total Philanthropic Sector</i>	<u>121,000</u>
<b>Other</b>				
AFS Intercultural Programs Inc 71 W 23rd St 6th Floor New York, NY 10010-4102	General support	20,000 2018	PC	20,000
American National Red Cross 2025 E St Nw Washington, DC 20006-5009	General support	20,000 2018	PC	20,000
Asia Society 725 Park Avenue New York, NY 10021-5025	To support performing arts programs	5,000 2018	PC	5,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
Barnard College 3009 Broadway New York, NY 10027-6909	General support	5,000 2018	PC	5,000
The Broadway Dance Lab, Inc. 433 West 34th Street, Suite 19A New York, NY 10013-1410	General support	1,250 2018	PC	1,250
Carnegie Hall 881 Seventh Avenue New York, NY 10019-3210	To support NYO Jazz	100,000 2018	PC	100,000
Columbia University 615 W 131 St Mc8741 New York, NY 10027-7922	To support Columbia University Press	2,500 2018	PC	2,500
Columbia University 615 W 131 St Mc8741 New York, NY 10027-7922	To support the Committee on Global Thought	5,000 2018	PC	5,000
Conductors Retreat At Medomak 8 Westbury Ct Ann Arbor, MI 48105-1411	General support	2,500 2018	PC	2,500
Council on Foreign Relations Inc 58 E 68th St New York, NY 10065-5953	General support	50,000 2018	PC	50,000
Eco Art Project Inc. 325 West 45th Street New York, NY 10036-4191	General support	1,250 2018	PC	1,250
Environmental Defense Fund, Incorporated 257 Park Avenue South New York, NY 10010-7304	General support	12,500 2018	PC	12,500
Grassroot Soccer Inc Po Box 712 Norwich, VT 05055-0712	General Support	20,000 2018	PC	20,000
An Infinite Mind 7413 Wynnewood Sq Winter Park, FL 32792-6559	General support	2,500 2018	PC	2,500
KIPP Texas Public Schools 8509 Fm 969 Ste 513 Austin, TX 78724-5708	To support KIPP Houston Public Schools	15,000 2018	PC	15,000
Medecins Sans Frontiers USA, Inc. 40 Rector Street 16Th Floor New York, NY 10006-1751	General support	12,500 2018	PC	12,500
Metropolitan Museum of Art 1000 Fifth Avenue New York, NY 10028-0113	To support "Songs from the Spirit," a MetLiveArts new work choreographed by Silas Farley	15,000 2018	PC	15,000
The Nature Conservancy 4245 Fairfax Dr Ste 100 Arlington, VA 22203-1637	General support	40,000 2018	PC	40,000
Nest Inc 501 5Th Ave Rm 1608 New York, NY 10017-7856	General support	10,000 2018	PC	10,000
New York City Ballet, Inc. 20 Lincoln Center Plaza New York, NY 10023-6913	To support the new ballet choreographed by Kyle Abraham	25,000 2018	PC	25,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
<b>New York Public Library</b> 445 Fifth Avenue 8th Floor New York, NY 10016-0133	To support the Dance Research Fellows of the New York Public Library for the Performing Arts Jerome Robbins Dance Division	7,500 2018	PC	7,500
<b>New York-Presbyterian Fund Inc</b> 525 E 68th St Box 156 New York, NY 10065-4870	General support	50,000 2018	PC	50,000
<b>Partners In Health a Nonprofit Corporation</b> 800 Boylston St Ste 300 Boston, MA 02199-7032	General support	12,500 2018	PC	12,500
<b>Peconic Land Trust Incorporated</b> 296 Hampton Road Southampton, NY 11968-5030	General support	2,500 2018	PC	2,500
<b>Public Broadcasting Service</b> 2100 Crystal Drive Arlington, VA 22202-3784	General support	20,000 2018	PC	20,000
<b>Robert W Woodruff Arts Center Inc</b> 1280 Peachtree St NE Atlanta, GA 30309	To support guest artists at the High Museum of Art	10,000 2018	PC	10,000
<b>Salk Institute For Biological Studies</b> 10010 N Torrey Pines Rd La Jolla, CA 92037-1002	General support	5,000 2018	PC	5,000
<b>Smithsonian Institution</b> 1000 Jefferson Drive Southwest Washington, DC 20560-0008	To support the Asia Pacific American Center	10,000 2018	PC	10,000
<b>Spelman College</b>	To support the guest artist program	25,000 2018	PC	25,000
<b>Studio Museum In Harlem Inc</b> 144 W 125th St New York, NY 10027-4423	To support the artist-in-residence program	15,000 2018	PC	15,000
<b>Triangle Land Conservancy Inc</b> 514 S Duke St Durham, NC 27701-3116	General Support	12,500 2018	PC	12,500
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	To support the School for Environment and Sustainability's Center for Sustainable Systems	10,000 2018	PC	10,000
<b>University of Michigan</b> 3003 S. State Street Ann Arbor, MI 48109-0000	To support pediatric cancer research at CS Mott Children's Hospital	5,000 2018	PC	5,000
<b>Urban Institute</b> 2100 M Street NW Washington, DC 20037-1207	General support	10,000 2018	PC	10,000
<b>WACO Theater Center</b> 5144 Lankershim Blvd N Hollywood, CA 91601-3719	General support	10,000 2018	PC	10,000
<b>Whole Child International</b> 2444 Wilshire Boulevard, Suite 285 Santa Monica, CA 90403	General support	10,000 2018	PC	10,000

## GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Organization	Purpose	Amount/Year	Tax Status	Paid 2018
William Marsh Rice University Po Box 1892 Houston, TX 77251-1892	To support the Rice Emerging Scholars Program	40,000 2018	PC	40,000
William Marsh Rice University Po Box 1892 Houston, TX 77251-1892	To support graduate education at the Department of Civil and Environmental Engineering	5,000 2018	PC	5,000
			<i>Total Other</i>	625,000
Eureka Foundation Inc. 12 east 12th Street, #10 New York, NY 10003	To support educational programming in connection with GREAT MUSEUMS: MASTERWORKS of Islamic Art	75,000 2016	PF	0
			<b>Grand Totals</b>	<b>81,245,707</b>

## **PART XV - GRANTS APPROVED FOR FUTURE PAYMENT**

### **SUMMARY BY PROGRAM AREA**

<b>Recipient and/or Purpose</b>	<b>Approved for Future Payment</b>
<b>TOTAL ARTS</b>	<b>15,000,937</b>
<b>TOTAL ARTS INITIATIVE</b>	<b>1,650,000</b>
<b>TOTAL CHILD WELL-BEING</b>	<b>7,002,403</b>
<b>TOTAL ENVIRONMENT</b>	<b>11,399,000</b>
<b>TOTAL MEDICAL RESEARCH</b>	<b>4,372,500</b>
<b>GRAND TOTAL</b>	<b>39,424,840</b>

## PART XV, 3b - GRANTS APPROVED IN 2018 FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
<b>Arts</b>			
<b>651 Arts</b> 1000 Dean Street #232 Brooklyn, NY 11238-3381	To develop and operate the Jazz Accelerator program, a pilot project designed to support the career advancement of women jazz musicians	PC	\$93,750
<b>Afro Latin Jazz Alliance of New York Inc</b> Minisink Townhouse, 646 Malcolm X Blvd, Suite 205 New York, NY 10037	Leadership Grants for Arts Organizations 2018	PC	\$200,000
<b>Appalshop, Inc.</b> 91 Madison Ave Whitesburg, KY 41858-9317	To support Appalshop's programmatic capacity and financial stability by providing lead support for establishment of a Working Capital Reserve Fund and an Artistic Reserve Fund	PC	\$100,000
<b>Association of Performing Arts Professionals Inc.</b> 919 18th St. NW, Suite 650 Washington, DC 20036-2716	Core Support for National Arts Service 2018	PC	\$50,000
<b>Brooklyn Academy of Music, Inc.</b> 30 Lafayette Avenue Brooklyn, NY 11217-1430	To support the presentation of national and international dance in the BAM Fisher and BAM Harvey Theaters in the 2018 and 2019 seasons	PC	\$250,000
<b>CapitalBop</b> 2101 Connecticut Ave Nw Washington, DC 20008-1728	Leadership Grants for Arts Organizations	PC	\$50,000
<b>Chamber Music America</b> 12 West 32nd Street 7th Floor New York, NY 10001	To support jazz musicians and presenters through project grants that fund new works, touring collaborations, and performing and recording opportunities	PC	\$1,700,000
<b>Community Funds, Inc.</b> 909 Third Avenue New York, NY 10022-4731	To support The Mosaic Fund, promoting an arts ecosystem that values racial equity; a donor collaborative of The New York Community Trust	PC	\$250,000
<b>Creative Capital Foundation</b> 15 Maiden Lane 18th Floor New York, NY 10038-4003	Core Support for National Arts Service 2018	PC	\$62,500
<b>Dance/USA</b> 1029 Vermont Avenue, NW Suite 400 Washington, DC 20005-3517	Core Support for National Arts Service 2018	PC	\$50,000
<b>Dance/USA</b> 1029 Vermont Avenue, NW Suite 400 Washington, DC 20005-3517	To support the Dance Artists and Social Practice regranting Initiative	PC	\$600,000
<b>DC Jazz Festival</b> 515 M Street, SE, Suite 102-B Washington, DC 20003	Leadership Grants for Arts Organizations	PC	\$75,000
<b>First Peoples Fund</b> 706 West Boulevard Rapid City, SD 57709-2977	To support funding and professional development opportunities for Native performing artists to strengthen their ability to advance their artistic expression, contribute to local economies, and serve as strong leaders within their communities	PC	\$100,000
<b>Fractured Atlas Inc</b> 248 West 35th Street, 10th Floor New York, NY 10001-2505	Core Support for National Arts Service 2018	PC	\$50,000

## GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
<b>Fresh Meat Productions</b> 375 27th Street #A San Francisco, CA 94146-0670	Leadership Grants for Arts Organizations 2018	PC	\$150,000
<b>H.T. Dance Company, Inc.</b> 70 Mulberry St 2Nd Fl New York, NY 10013-4477	Leadership Grants for Arts Organizations 2018	PC	\$54,000
<b>Intersection for the Arts</b> 901 Mission St. Suite 306 San Francisco, CA 94103-3067	Leadership Grants for Arts Organizations support for Dancing Earth Creations, an indigenous contemporary dance ensemble	PC	\$87,500
<b>Jazz St. Louis</b> The Harold & Dorothy Steward Center for Jazz 3536 Washington Ave St. Louis, MO 63103-1019	Leadership Grants for Arts Organizations 2018	PC	\$122,050
<b>MAP Fund Inc</b> 577 Grand Street #1801 New York, NY 10002-3688	To support contemporary performance makers that propose original projects with a deep exploration of art form or content	PC	\$2,860,000
<b>National New Play Network</b> 641 D Street NW Washington, DC 20004-2904	Core Support for National Arts Service 2018	PC	\$40,000
<b>National Performance Network</b> 8121 Fig Street New Orleans, LA 70118	Core Support for National Arts Service 2018	PC	\$33,216
<b>National Performance Network</b> 8121 Fig Street New Orleans, LA 70118	To support cross-disciplinary performing artists and presenting organizations to partner on the creation and development of new works	PC	\$2,283,291
<b>Network of Ensemble Theaters</b> Po Box 83526 Portland, OR 97283-0526	To further develop the Connector program which empowers ensembles and collaborative artists in the creative marketplace	PC	\$30,080
<b>New England Foundation for the Arts</b> 145 Tremont Street 7th Floor Boston, MA 02111-1214	Core Support for National Arts Service 2018	PC	\$37,500
<b>New England Foundation for the Arts</b> 145 Tremont Street 7th Floor Boston, MA 02111-1214	To support the National Dance Project, which enables artists to create, present and tour new dance work	PC	\$3,344,100
<b>PA'I Foundation</b> 904 Kohou Street, Suite 102 Honolulu, HI 96817-0483	Leadership Grants for Arts Organizations 2018	PC	\$50,000
<b>Penumbra Theatre</b> 270 Kent St Saint Paul, MN 55102-1744	To support expansion of artEquity's Facilitator Training Program focused on diversity training in the arts	PC	\$31,950
<b>South Arts, Inc.</b> 1800 Peachtree Street NW Suite 808 Atlanta, GA 30309-0000	To support the Jazz Road regranteeing program	PC	\$2,000,000
<b>Theatre Communications Group Inc.</b> 520 8th Ave, 24th floor New York, NY 10018-6507	Core Support for National Arts Service 2018	PC	\$50,000



## GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
Yard Inc 1 The Yard Chilmark, MA 02535-0405	Leadership Grants for Arts Organizations	PC	\$196,000
		<i>Total Arts</i>	\$15,000,937
<b><u>Environment</u></b>			
American Forests 1220 L St NW Washington, DC 20005-4079	To expand U.S. forest sector interest in natural climate solutions (NCS), accelerate NCS uptake and by U.S. states, and improve federal policies that would help unlock NCS potential in federal spending and land stewardship	PC	\$100,000
Climate Conservation Po Box 1587 Bozeman, MT 59771-1587	To support the creation of the Landscape Conservation Catalyst Fund, a regrant and capacity building program to advance the effective practice of place-based, collaborative landscape conservation across the United States	PC	\$300,000
Defenders of Wildlife 1130 17th Street NW Washington, DC 20036-4604	To renew support for a project that expedites the sensitive siting of renewable energy projects through the development of federal and state energy and land management policies	PC	\$2,550,000
The Nature Conservancy 4245 Fairfax Dr Ste 100 Arlington, VA 22203-1637	To generate measurable growth in global natural climate solutions (NCS) implementation and policy support, and build a larger coalition of public, private and nonprofit organizations promoting NCS pathways in the U.S and internationally	PC	\$1,421,000
Oregon Community Foundation 440 E BROADWAY SUITE 160 Eugene, OR 97401	Advancing Climate-Smart Land Conservation in the Pacific Northwest – a partnership between The Oregon Community Foundation, Seattle Foundation, Idaho Community Foundation, and the Land Trust Alliance	PC	\$4,798,000
Union Of Concerned Scientists Inc. 2 Brattle Sq Ste 6 Cambridge, MA 02138-3756	To support Building the Enduring Capacity to Promote Science for the Public Good	PC	\$75,000
Wildlife Conservation Society 2300 Southern Boulevard Bronx, NY 10460-1068	To renew support for the Climate Adaptation Fund, a competitive re-grant program that funds conservation projects that promote wildlife adaptation to climate change	PC	\$1,755,000
World Resources Institute 10 G St. NE Washington, DC 20002-4213	To increase the inclusion of Natural Climate Solutions strategies within countries' Nationally Determined Contributions to the Paris Agreement	PC	\$400,000
		<i>Total Environment</i>	\$11,399,000
<b><u>Medical Research</u></b>			
Columbia University 615 W 131 St Mc8741 New York, NY 10027-7922	Developing novel clinical approaches to reduce childhood obesity risk factors during the first 1,000 days	PC	\$247,500
Indiana University 400 E 7Th St Rm 501 Bloomington, IN 47405-3004	Systems analysis to understand protective immunity induced by an attenuated sporozoite malaria vaccine in African infants	PC	\$247,500
Johns Hopkins University 300 East Joppa Road, 5th floor Baltimore, MD 21286	Quantifying the risk and survival benefit of incompatible live donor kidney transplantation in the modern era	PC	\$247,500
Johns Hopkins University 300 East Joppa Road, 5th floor Baltimore, MD 21286	Defining mechanisms of innate immune programming and dysfunction in HIV disease	PC	\$247,500
Massachusetts General Hospital 399 Revolution Dr Ste 645 Somerville, MA 02145-1465	Preventing Perioperative Medication Errors Through the Reduction of Clinical Decision Support Alert Overrides	PC	\$247,500

## GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT

Organization	Purpose	Tax Status	Amount
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	Immune Sensors of Preterm Labor: A Systems Immunology Analysis with Mass Cytometry	PC	\$247,500
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	Regulators of Skin Cancer Progression	PC	\$247,500
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	Epigenetic Modulation of Chromatin Architecture in Human Fibroblasts as a Novel Therapeutic Approach for Wound Healing and Regeneration	PC	\$247,500
Stanford University 3145 Porter Drive Palo Alto, CA 94304-1234	Pulmonary neuroendocrine cell signaling in the developing lung and in disease	PC	\$247,500
Tufts University 62R Talbot Ave Medford, MA 02155-5813	Finding and retaining India's "missing" tuberculosis patients: informing large-scale active case-finding strategies through analyses of the care cascade and geospatial mapping	PC	\$247,500
University of California, San Francisco Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Genomic determinants and biomarkers of Barrett's esophagus progression	PC	\$247,500
University of California, San Francisco Ucsf Box 0815 Suite 425 San Francisco, CA 94143-0000	Mechanisms of incomplete response and primary resistance to osimertinib in EGFR-mutant lung cancer	PC	\$165,000
University of Colorado 1800 N Grant St Ste 600 Denver, CO 80203-1148	Use of essential amino acid supplementation to decrease metabolic disease in high risk obese girls with polycystic ovarian syndrome	PC	\$247,500
University of Texas Foundation Po Box 250 Austin, TX 78767-0250	A biomarker-based, combination therapy with immune checkpoint blockade and pemetrexed for MTAP-deficient metastatic bladder cancer	PC	\$247,500
Vanderbilt University Medical Center 1161 21St Ave S Suite D3300 Mcn Nashville, TN 37232-0011	Mechanisms of disease initiation and propagation in pulmonary fibrosis	PC	\$247,500
Vanderbilt University Medical Center 1161 21St Ave S Suite D3300 Mcn Nashville, TN 37232-0011	Phase 2 study of theophylline for the treatment of pseudohypoparathyroidism	PC	\$247,500
Washington University 700 Rosedale Ave Saint Louis, MO 63112-1408	Identifying epigenetic drivers in acute myeloid leukemia	PC	\$247,500
Yale University Po Box 208356 New Haven, CT 06520-8356	Dissecting the epithelial-mesenchymal interactions at the interface of human skin regeneration and cancer	PC	\$247,500
<i>Total Medical Research</i>			\$4,372,500
<b>Child Well-being</b>			
The Aspen Institute, Inc. 2300 N Street NW, Suite 700 Washington, DC 20037-1122	To support and strengthen the third cohort of the Ascend fellowship program and to provide general operating support for Ascend.	PC	\$500,000
The Brotherhood/Sister Sol Inc 512 West 143rd Street New York, NY 10031-6501	To expand place-based programming activities for youth ages 8-22 as well as partnerships with other nonprofit organizations and the New York City school system.	PC	\$750,000
Chapin Hall Center for Children 1313 E. 60th Street Chicago, IL 60637-2830	To strengthen the Doris Duke Fellows for the Promotion of Child Well-being early career researchers network and support research partnerships among current and past fellows and policy or practice partners	PC	\$622,403

**GRANTS AND CONTRIBUTIONS APPROVED DURING THE YEAR FOR FUTURE PAYMENT**

<b>Organization</b>	<b>Purpose</b>	<b>Tax Status</b>	<b>Amount</b>
Children's Bureau of Southern California 1910 Magnolia Ave. Los Angeles, CA 90007-1220	To support the Population Change Learning Community, strengthening the measurement capacity of place-based organizations	PC	\$300,000
National Black Child Development Institute, Inc. 8455 Colesville Rd Suite 910 Silver Spring, MD 20910	To provide general operating support to National Black Child Development Institute (NBCDI) and launch the NBCDI Fellowship Program	PC	\$700,000
National League of Cities Institute Inc. 660 North Capitol Street NW Suite 450 Washington, DC 20001-1644	To provide municipal leaders in Mississippi, Arkansas, and Louisiana with technical assistance and peer learning opportunities to develop and implement city-led strategies that will improve outcomes for children and families	SO I	\$545,000
NDN Collective, Inc. 317 Main Street Rapid City, SD 57772-0459	To support and strengthen Native-led community development organizations and develop and launch the NDN Collective Changemakers Fellowship	PC	\$2,200,000
Tides Center 1014 Torney Avenue San Francisco, CA 94129-1755	To expand the Foster America Fellowship Program to improve outcomes for children and families at risk of entering, or presently engaged with the foster care system	PC	\$800,000
Valley Hospital Association dba Mat-Su Health Foundation 950 E. Bogard Rd. Ste 218 Wasilla, AK 99654-7172	To strengthen and expand the prevention and support services available to children and families in the Mat-Su region of Southcentral Alaska	PC	\$585,000
		<i>Total Child Well-being</i>	<u>\$7,002,403</u>
<b>Arts Initiative</b>			
Doris Duke Foundation Inc. 650 5th Ave fl 19 New York, NY 10019-6108	Doris Duke Artist Awards 2019	PF	\$1,650,000
		<i>Total Arts Initiative</i>	<u>\$1,650,000</u>
		<b>Grand Totals</b>	<u><u>\$39,424,840</u></u>

**Doris Duke Charitable Foundation**  
**Form 990-PF - Return of Private Foundation**  
**FYE: 12/31/2018**  
**Direct Charitable Activities**  
**Attachment 17**

	<u>2018</u>
SERIES OF 3/4 VIDEOS HIGHLIGHT GOALS OF DDCF'S	\$51,810
LEAP FORUM-LEARNING ENGAGING & ADVOCATING POLICY	\$280,530
DANCE PANEL NYC	\$2,000
JAZZ PANEL	\$5,000
THEATER PANEL	\$5,000
CORE SUPPORT PANEL	\$2,695
DORIS DUKE ARTIST AWARD FINAL PANEL	\$16,136
JAZZ FUNDERS MEETING	\$10,000
NATIONAL SERVICE ORGANIZATIONS MEETING	\$11,570
5TH GLOBAL SYMPOSIUM HEALTH SYSTEM RESEARCH	\$10,130
AHI2-2nd ANNUAL GRANTEE MEETING 4/16-19	\$12,956
AFRICAN HEALTH INITIATIVE SITE VISITS	\$422
BUILDING DEMANDS CONVENING 10/18-21 NEW ORLEANS	\$8,800
CWB- ADVISORS MEETING	\$7,424
CWB GRANTEE SUMMIT 6/4-6/5	\$128,887
CHILD WELL BEING SITE VISITS	\$3,419

DD CONSERVATION SCHOLAR PLANNING & LEADERSHIP MTGS	\$13,453
DORIS DUKE CONSERVATION SCHOLARS PROGRAM	\$296,332
ENVIRONMENT PROGRAM SITE VISITS	\$2,502
CRM REVIEW	\$6,000
CLINICAL RESEARCH MENTORSHIP MEETING 5/21-5/22/18	\$7,234
CSDA STAGE 1 REVIEWERS	\$34,007
MRP-CLINICAL SCIENTIST MTG-NYC 10/17-10/18/18	\$61,365
2018 INTL CLINICAL RESEARCH FELLOWSHIP 2402-JUNE	\$31,025
2018 SCIENTIFIC ADVISORY COUNCIL	\$29,193
SCD-AC GRANTEE MTG NYC 11/5/18	\$4,554
MEDICAL RESEARCH PROGRAM SITE VISITS	\$558
<b>Total</b>	<b><u><u>\$1,043,002</u></u></b>

All expenditures associated with the Foundation's direct charitable activities are paid by the Foundation's related party, Doris Duke Management Foundation. These expenses are reimbursed by the Foundation and are included as part of Programmatic and Administrative Expenses on Part I, Line 23.

**Doris Duke Charitable Foundation**  
**Form 990-PF**  
**Part IX-A: Summary of Direct Charitable Activities**  
**FYE: 12/31/2018**

***Environment Activities***

Doris Duke was a lifelong environmentalist with a keen interest in conservation. In her will, she expressed her interest in "the preservation of wildlife, both flora and fauna" and in supporting "ecological endeavors."

The mission of the Environment Program is *to ensure a thriving resilient environment for wildlife and people and foster an inclusive, effective conservation movement*. It supports environmental initiatives across the nation, and strives to accomplish its mission through the following strategies:

- Accelerate land conservation in an era of climate change through the protection of the most climate-resilient landscapes and stewardship strategies that help wildlife adapt to the impacts of climate change.
- Reduce impacts on the landscape from increased energy development and energy demand by facilitating the sensitive siting of energy infrastructure and deploying urban forests to reduce energy demand in the built environment.
- Encourage land stewardship and sustainability in the Tri-State area by supporting local efforts to manage wildlife habitat and create efficient, healthy, and vibrant built and natural environments in the greater New York City area.
- Strengthen the conservation field by increasing diversity in the conservation workforce and inclusion of underrepresented communities in the conservation movement. Grow the capacity of the community focused on the permanent protection of high-priority wildlife habitat through support of science, public funding and collaborative conservation.

***Medical Research Activities***

Doris Duke was a significant supporter of medical research, making contributions to hospitals and research centers throughout her life. In her will, she expressed her interest in supporting "medical research designed to effectuate cures of major diseases."

The mission of the Medical Research Program is to support the prevention, diagnosis and treatment of human disease. The Medical Research Program strives to accomplish its mission through the following strategies:

- Encourage and develop clinical research careers that build the clinical research career ladder by supporting physician scientists in the United States at different stages of their career.
- Advance biomedical research and innovation through the support of innovative approaches to clinical research in targeted disease areas.

***African Health Activities***

The foundation seeks to spur significant advances in strengthening health systems in sub-Saharan Africa through a special grant-making initiative spanning from 2008 - 2022. The African Health Initiative (AHI)

supports partnerships based at U.S. institutions that design, implement and evaluate large-scale models of care that link implementation research and workforce training directly to the delivery of primary healthcare in sub-Saharan Africa.

Now in its second phase, the African Health Initiative is currently supporting three programs:

- The Ghana Community-based Health Planning and Services (CHPS+) Program expands the work supported through AHI Phase 1 from three pilot districts to three regions and includes training in district and facility health systems leadership and management; routine use of data for decision-making; and the use of implementation science to improve the quality of health service delivery.
- The Mozambique Integrated Data and Evidence Action (IDEA) Program replicates and expands from one province in AHI Phase 1 to two provinces and includes conducting research that both improves implementation of national health policy and strategy development and increasing the capacity of district and facility management to better use data for decision-making and improved service delivery.
- The Ethiopia Data Use Partnership (DUP) improves the collection and use of high-quality routine health information at all levels of the health system, including the rollout of a new electronic health system, to improve the quality, efficiency, and availability of primary healthcare and nutrition services in the four most populous regions in Ethiopia.

### ***Child Well-Being Activities***

Doris Duke took a special interest in the needs of children, supporting nearly 85 child welfare organizations during her life. Her support included contributions to orphanages, camps, mentorship programs, little league teams, hospitals, and boys and girls clubs. In her will, Doris Duke expressed her interest in "the prevention of cruelty to children."

The mission of the Child Well-being Program is to promote children's healthy development and protect them from abuse and neglect. To accomplish this mission, the program favors a public health approach and is especially interested in place-based, whole-community approaches that seek to engage a range of participants from various disciplines to ensure that family well-being is supported by strong communities. It uses the following strategies to do so:

- Build a more robust repertoire of prevention strategies through the support of place-based interventions that provide services at the neighborhood level to ensure that a community, not only a parent, provides a setting that promotes child well-being.
- Strengthen and expand the capacity of existing systems by supporting coordination within and across social service systems that engage with groups characterized as high risk for child abuse and neglect, particularly children from Native American/Alaska Native communities, youth in or transitioning out of foster care, and low-income families, to more effectively and efficiently meet their needs.
- Develop and disseminate knowledge by building a broader audience for the ongoing research and implementation of best-practices for child well-being, as well as supporting the training and career development of diverse leaders engaged in the multidisciplinary work of child well-being.

### ***Performing Arts Activities***

Doris Duke, in her will, expressed an interest in assisting “actors, dancers, singers, musicians and other artists of the entertainment world in fulfilling their ambitions and providing opportunities for the public presentation of their arts and talents.” The Arts Program supports this interest by focusing its support on contemporary dance, jazz and theater artists, and the organizations that nurture, present and produce them.

The mission of the Arts Program is to support performing artists with the creation and public performance of their work. The Arts Program accomplishes this mission through the following strategies:

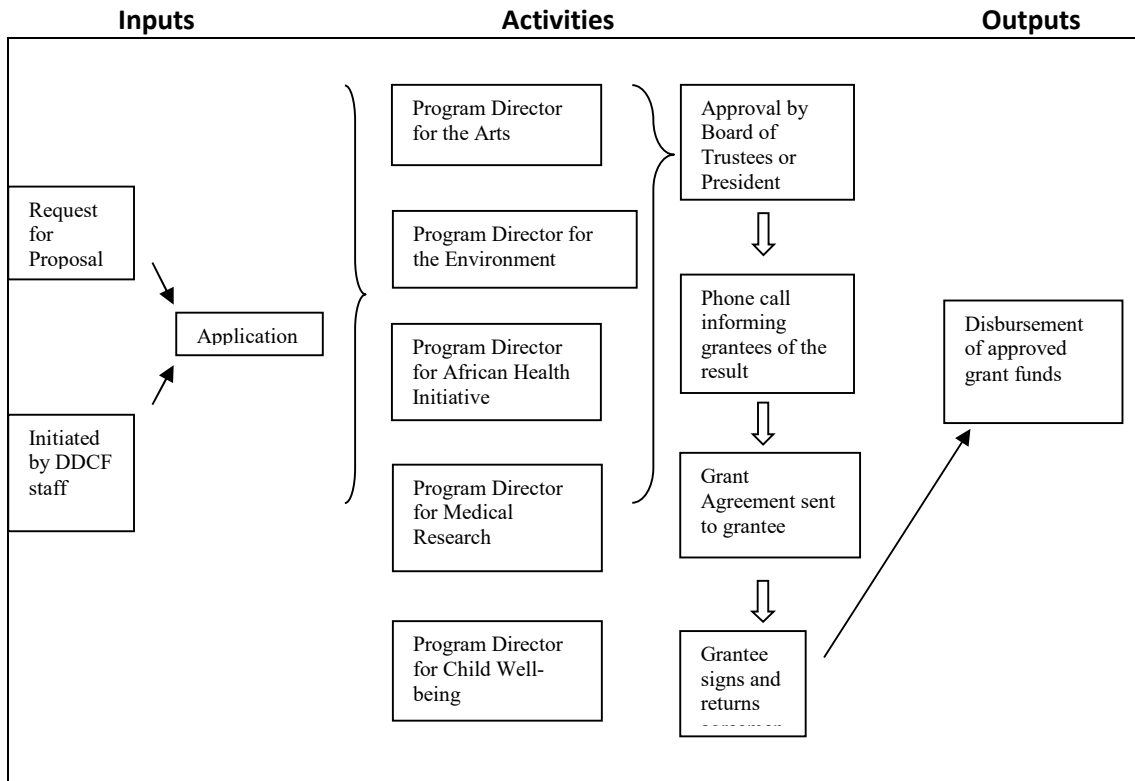
- Support artistic creation and distribution through national competitive initiatives, mainly administered by intermediary organizations that support the commissioning, production, and presentation of new work in dance, jazz and theater. Typically, these re-granting programs offer additional benefits to supported artists, including professional development, networking opportunities, and participation in conferences and other meetings.
- Strengthen organizations that serve performing artists through grants that support their planning, capacity building, sustainability, technical assistance and implementation of bold, innovative approaches addressing current issues affecting the performing arts.
- Build the national sector by supporting activities that strengthen organizations critical to the health of the national dance, jazz, presenting and/or theater fields, as well as projects that have the potential to improve the stability and vitality of those fields.
- Empower, invest in and celebrate artists by offering flexible, multi-year and unrestricted funding for artists in contemporary dance, theater, jazz and related interdisciplinary work.

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The \$1,043,002 in direct charitable expenditures listed in Part IX-A represent amounts paid by the Doris Duke Charitable Foundation to support various meetings, conferences, seminars and other climate, conservation and/or medical research endeavours. Further information about each individual program listed in the attached schedule is available upon request.



**Doris Duke Charitable Foundation  
Grants Process  
December 31, 2018**



The mission of the Doris Duke Charitable Foundation (DDCF) is to improve the quality of people’s lives through grants supporting the performing arts, environmental conservation, medical research and child well-being, and through preservation of the cultural and environmental legacy of Doris Duke’s properties.

Grant Development and Approval

Grants are either competitively awarded through Request for Proposal (RFP) processes or are initiated by the DDCF staff. Grants and proposed grant competitions are presented to the Board of Trustees at one of the four meetings that take place during the year. All grants must be approved by the Board of Trustees with the exception of the Program Fund, Cross-Program Fund, Trustee recommended grants, and grants selected through competitive, peer-reviewed program initiatives. In these cases, the Board approves a total amount for the program initiative or fund and delegates to DDCF’s President Edward Henry authority to approve grants. Authority for Program Fund and Cross-Program Fund grants is sought from the Board each November for the following year. Program Fund and Cross-Program Fund grants must be for purposes that are in keeping with DDCF’s mission; the amount of these grants is limited both on a per-grant basis (\$100,000 or less) and cumulative annual basis for each program (up to 5% of each Program’s annual payout budget may be awarded as Program Fund grants). Additionally, the Board authorized the President to approve up to \$300,000 in Program Fund grants for the African Health Initiative (with individual grants totaling no more than \$100,000), with funding to come from the initiative’s annual grants budget. All Program Fund and Cross-Program Fund grants are developed or invited by staff, undergo routine due diligence review, and are approved by the

President. Cross-Program Fund grant and Program Fund grants that total more than \$50,000 are discussed at a Staff Program Review meeting prior to approval. Finally, in 2018, nine Trustees recommended grants totaling \$625,000; the grant purpose and organizations are vetted by staff and approved by the Board Chair prior to grant award.

**Grants solicited by DDCF Staff.** Edward Henry (President) is actively involved in the entire grants cycle. Prior to performing all the research on a potential grantee, the Program Director discusses the organization or proposed project with Mr. Henry. Based on this discussion, the research will begin or the project will be set aside.

All grant applications are submitted to DDCF via on-line forms, the links to which are emailed to invited applicants. Completed grant applications are retrieved electronically into DDCF's grants management system (which creates relevant organization, contact, and request records for each application).<sup>1,2</sup> Program staff then review the application to ensure that the organization meets DDCF requirements and that the program description is in line with DDCF's mission and program objectives. The application is also reviewed by the Program Associate for Grants Management to ensure that all the proper documentation has been received and the organization is a qualified not-for-profit.

Once the submitted application has been reviewed, the Program Director compiles a docket for presentation to the Board of Directors that describes the potential grantee organization and its proposed use of funds. Prior to the Board meeting, the Program Director presents the information to senior management and program staff at a Program Review session and at a "practice" session for the Board meeting. During these meetings, participating staff critique the written summary and the presentation, and appropriate changes are made, as necessary.

At the next Board meeting, the docket will be presented to the Board for their approval. The Board's decisions regarding proposed grants are documented in the Board of Director Minutes. After a grant has been approved by the board, Grants Management staff records the grant as "Approved" in DDCF's grants management system and assigns a grant number. Grants Management sends a monthly report detailing grants approved to Finance and the Accounting Manager prepares a journal entry to record the liability.

**Grants awarded through competitive Requests for Proposals.** Once the Board approves funds for a grant competition and delegates authority to approve specific grants that will be identified through that competition to the DDCF President, program staff release a Request for Proposals (RFP). The RFP specifies the purpose of the funding available through the award program, the timeline for application, the selection process, and expectations of projects selected. Competitions may involve one or two stages of application (i.e., letter of interest followed by a full proposal or just a full proposal) with staff and/or panel review at the first stage and panel review at the second stage. Applications are brought into the grants management system via on-line forms. Review panels score applications in accordance with the criteria specific to the grant program and recommend a roster of grants to DDCF. With authority delegated from the Board, the President approves grants to the projects selected via the competition. Grants Management staff conducts a standard pre-grant agreement due diligence of each institution approved for funding.

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<sup>1</sup> See the "Computer Information Systems" section on the last page of this document for additional details about the grants management system.

<sup>2</sup> DDCF's grant records are maintained electronically in the grants management system.

### Grant Award

Once the Board or President approves a grant, the respective DDCF program staff notify the organization by telephone. The Program Associate for Grants Management generates a draft grant agreement using the appropriate template (templates are stored in grants management system and merged with the grant data to create the grant agreement). The Program Director and Director of Grants Management review and refine the grant agreement until they are satisfied that it is complete and includes terms that are appropriate for the funded project. Once the Director of Grants Management has approved the grant agreement and award letter and verified that all grant documentation is in order, the Director of Grants Management notifies the President via e-mail that the grant is ready for approval and requests the President's approval to affix his signature (jpeg) to the agreement and award letter.

The President's approval email indicating that the grants are approved and his jpeg signature may be used is stored in the grant record and the agreement is sent to the grantee organization for electronic signature using Adobe's AdobeSign (formerly named EchoSign) product. The grant agreement specifies the total funds awarded, payment schedule, use of the funds, performance and financial reporting requirements, and various other disclosures regarding DDCF's rights (for example, to publicly announce the grant). If the grantee organization agrees to the terms of the agreement, an authorized representative of the organization will sign electronically and return the agreement to the DDCF via AdobeSign. When the fully executed grant agreement is received by DDCF, the first grant payment is initiated by Grants Management and forwarded to Finance for processing.

### Grant Payment Process

The grant payment process is initiated after Grants Management staff has determined that all requirements for a grant payment have been met.

The Grants Associate confirms that ACH instructions are still correct and updates the grant record if necessary. The grantee data from the grants management system is exported to an Excel spreadsheet (via an automated download) which is emailed to the Accounting Manager for upload to Great Plains (GP). The information is uploaded into GP where it is reviewed and approved as per the signing authority below. Once the approvals have been made, an ACH encrypted file is generated and transmitted to Bank of America via a secured transmission website. The transmission is then verified with the bank via a phone call to an ACH automated phone system. Once the payment has been sent, the grantee and appropriate program staff are alerted by email that the ACH has been executed.

#### *Grant signing authority requirements:*

<u>Grant Payment Amount</u>	<u>Requirements</u>	<u>Signatories</u>
between \$0 and \$9,999.99	Require any one signer listed	Peter Simmons Eileen Oberlander Edward Henry Jeffrey Heil
between \$10,000 and \$99,999.99	Require any two signers listed	Peter Simmons Eileen Oberlander Edward Henry Jeffrey Heil

Grant Payment Amount	Requirements	Signatories
Equal to or over \$100,000	Require two of the following individuals	Peter Simmons Edward Henry Eileen Oberlander

Grant Monitoring

Within each grant agreement, the grantee agrees to provide DDCF with certain interim and final financial and program performance information. The time period for this submission varies from grant to grant. To ensure that the DDCF funds are being used in accordance with the grant agreement, the grantee is required to submit the following information:

- A narrative describing program accomplishments, challenges, and future plans
- An assessment of the project to date (have the original goals been achieved, were any program refinements necessary?)
- Copies of any literature, reports, or media coverage that was generated as a result of the grant
- A copy of the most recent audited financial statements
- A budget to actual comparison of use of the grant funds (indicating any budget modifications and explanations for budget variances)
- If a multi-year grant, a revised budget and budget narrative for the upcoming year (if warranted)
- Notification to DDCF of any changes to the organization’s board, senior staff, or tax-exempt status.

This information is required whether the grant period is one year or multi-year. If the grant is multi-year, the subsequent disbursements will not be made until this information has been received, reviewed, and approved by DDCF staff.

The grantee submits the required information and documentation to DDCF via on-line reporting forms. Once received, Program staff and Grants Management staff review the reports to ensure that the grantee has been using DDCF’s funds for the purpose outlined in their grant agreement. This includes determining if certain project milestones have been met, whether funds have been spent reasonably and within program guidelines, and whether the project has benefited the items/population targeted in the agreement. Additionally, the financial information is reviewed by the Director of Grants Management or Program Associate for Grants Management, and either the Program Director or Program Officer – and, as necessary, by a member of the Finance Department – to ensure that the grantee is not experiencing any financial difficulties.

Once this information has been evaluated and approved by DDCF, the payment request is prepared for multi-year grants or, for single year grants, the file will be closed.

Computer Information Systems

In January 2017, DDCF transitioned from GIFTS, an on-site server-based grants management database system to Blackbaud Grantmaking (formerly named GIFTS Online), a cloud-based

system hosted by the same vendor.<sup>3</sup> The system is used track detailed information about each application for funding and each grant approved (payment schedules, reporting requirements, contacts, organizational information). Applications are received through an internet grants application module and retrieved into the system and their status is tracked according to their progress through the review, approval or declination, and disbursement and reporting process. The system is also used to generate grant-related documentation, including (but not limited to) grant award letters and grant agreements, payment request memoranda, and reporting review checklists, and internal grant processing emails. The system also provides reports that enable the user to project upcoming disbursements, determine when financial or other reporting information is required, track grant expiration, and track activities related to each grant.

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<sup>3</sup> The GIFTS and GIFTS Online systems were originally products of MicroEdge, Inc., which was subsequently acquired by BlackBaud, Inc. In 2018, the GIFTS Online product was re-branded as “Blackbaud Grantmaking.”

Doris Duke Charitable Foundation  
Form 990-PF  
FYE 12/31/2018

Form 990-PF, Part I, Line 6(a) & Part IV Statement  
Attachment 20

<b>Asset Class</b>	<b>Realized Gains</b>
Short Term Investments	10,501
Fixed Income Investments	(4,921,137)
Marketable Equity Alternative Investments	2,610,049
Marketable Commingled Equity Investments	10,640,387
Marketable Commingled Fixed Investments	(2,909,913)
Marketable Distressed/High Yield Alternatives	3,182,035
Marketable Long/Short Equity Alternatives	20,454,488
Marketable Multi-Strategy Alternatives	10,294,026
Non-Exchange traded Buyout/Growth Funds	19,794,885
Non-Exchange Traded Distressed Funds	541,508
Non-Exchange Traded Fund of Funds	4,847,931
Non-Exchange Traded Real Assets	5,070,402
Non-Exchange Traded Venture Capital Funds	12,749,008
Allocation to Doris Duke Foundation (DDF)	(188,668)
<b>Capital Gains/Losses Per Books - Part I, Line 6a</b>	<b>\$82,175,502</b>
Limited Partnership Investments	(13,299,432)
Less: Short-Term Capital Gain from UBTI	(101,870)
Less: Long-Term Capital Gain from UBTI	(300,653)
Less: 1231 Gain from UBTI	(671,293)
<b>Total Capital Gains - Part I, Line 7b</b>	<b>\$67,802,254</b>

A detailed schedule of the Foundation's capital gains and losses by specific funds held is available on request.