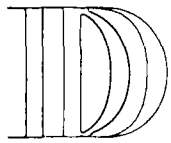
October 28, 2005



DORIS DUKE
CHARITABLE FOUNDATION

Mr. Edward Henry
Chief Financial Officer
Duke Farms Foundation
80 Route 206 South
Hillsborough, New Jersey 08844

Dear Mr. Henry:

The Doris Duke Charitable Foundation has made grants to Duke Farms Foundation in 2004 totaling $11,632,652 to be used for Duke Farms Foundation's general operating expenses (referred to collectively as the "Grant"). Because Duke Farms Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from Duke Farms Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of Duke Farms Foundation to the following specific obligations with respect to this Grant:

(1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;

(2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);

(3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.

(4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully appraised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

650 Fifth Avenue 19th Floor New York NY 10019
tel 212 974 7000 fax 212 974 7590 www.ddcf.org

(5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and

(6) Not to use any of the Grant or income arising therefrom,

(a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),

(b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),

(c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or

(d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

Duke Farms Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, Duke Farms Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the

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preceding five years which Duke Farms Foundation elects pursuant to Treas Reg. 53.4942(A) 3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if Duke Farms Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Joan Spero
Joan Spero, President

Agreed to and accepted on behalf of
Duke Farms Foundation this 27th
day of October, 2005

By: Edward P. Henry 10-31-05

Edward Henry, Chief Financial Officer

13-7043679

October 31, 2005

Mrs. Joan Spero
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

DUKE FARMS

Dear Mrs. Spero:

In 2004, the Doris Duke Charitable Foundation ("DDCF") made grants totaling $11,632,652 to Duke Farms Foundation ("DFF"). By a letter dated October 28, 2005, I agreed on behalf of DFF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2004 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Duke Farms Foundation
80 Route 206 South
Hillsborough, NJ 08844

Purpose of Grants:

To be used for DFF's general operating expenses. Property owned by DFF is used for a charitable purpose since DFF maintains and operates a botanical garden, which is open to the public, and it protects endangered species of all kinds, both flora and fauna.

80 Route 206 South Hillsborough NJ 08844
tel 908 722 3700 fax 908 722 2872 www ddcf org

An operating foundation supported by the
Doris Duke Charitable Foundation

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The following table contains the date of payment of each part of the grant that was spent in 2004 and a description of how the grant was spent by DFF:

Date of Payment	Amount of Grant	Purpose for which Grant was Used
1/6/04	$1,131,000	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Investment Expenses; Capital Expenditures.
1/20/04	$715,000	" "
2/3/04	$270,000	" "
2/13/04	$530,000	" "
3/1/04	$240,000	" "
3/15/04	$438,000	" "
3/29/04	$412,000	" "
4/30/04	$105,021	" "
5/30/04	$598,399	" "
6/30/04	$463,741	" "
7/31/04	$790,266	" "
8/31/04	$1,033,641	" "
9/30/04	$696,333	" "
10/31/04	$552,762	" "
11/30/04	$745,226	" "
12/31/04	$2,911,263	" "

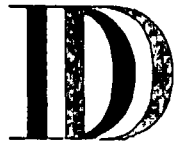
All of the grant funds have been spent for DFF's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated October 28, 2005, I represented that before the end of 2004, DFF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of $11,632,652 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made. As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DFF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2004.

Since DFF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DFF were not a private operating foundation.

Very truly yours,
Duke Farms Foundation

By: Edward P. Henry
Edward Henry, Chief Financial Officer



October 31, 2005

Mrs. Joan Spero
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

DORIS UKE
FOUNDATION FOR ISLAMIC ART

Dear Mrs. Spero:

In 2004, the Doris Duke Charitable Foundation ("DDCF") made grants totaling $3,562,157 to the Doris Duke Foundation for Islamic Art ("DDFIA"). By a letter dated October 28, 2005, I agreed on behalf of DDFIA to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent Since all of the grant funds were spent during 2004 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Doris Duke Foundation for Islamic Art
4055 Papu Circle
Honolulu, HI 96816

Purpose of Grants:

To be used for DDFIA's general operating expenses. Property owned by DDFIA is used for a charitable purpose since DDFIA facilitates the study and understanding of Middle Eastern Art and Culture.

The following table contains the date of payment of each part of the grant that was spent in 2004, and a description of how the grant was spent by DDFIA:

Date of Payment	Amount of Grant	Purpose for which Grant was Used
1/6/04	$254,000	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Investment Expenses; Capital Expenditures.
1/20/04	$96,000	" "
2/3/04	$50,000	" "
2/13/04	$74,000	" "
3/1/04	$178,000	" "
3/15/04	$78,000	" "
3/29/04	$68,000	" "
4/14/04	$38,000	" "
4/28/04	$43,000	" "
4/30/04	$31,605	
5/30/04	$156,077	" "
6/30/04	$141,318	" "
7/31/04	$221,974	" "
8/31/04	$183,842	" "
9/30/04	$253,993	" "
10/30/04-11/30/04	$228,694	" "
12/31/04	$1,465,654	" "

All of the grant funds have been spent for DDFIA's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated October 28, 2005, I represented that before the end of 2004, DDFIA would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of $3,562,157 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DDFIA's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2004.

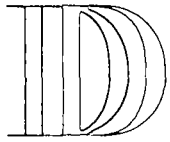
Since DDFIA has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DDFIA were not a private operating foundation.

Very truly yours,
Doris Duke Foundation for Islamic Art

By: Edward P. Henry
Edward Henry, Chief Financial Officer

13-7043679

October 28, 2005



Mr Edward Henry
Chief Financial Officer
Doris Duke Foundation for Islamic Art
650 Fifth Avenue, 19th Floor
New York, New York 10019

DORIS DUKE
CHARITABLE FOUNDATION

Dear Mr. Henry:

The Doris Duke Charitable Foundation has made grants to the Doris Duke Foundation for Islamic Art in 2004 totaling $3,562,157 to be used for the Doris Duke Foundation for Islamic Art's general operating expenses (referred to collectively as the "Grant"). Because the Doris Duke Foundation for Islamic Art is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Doris Duke Foundation for Islamic Art before making payment of the Grant funds. By signing this letter, you agree on behalf of the Doris Duke Foundation for Islamic Art to the following specific obligations with respect to this Grant:

(1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above,

(2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);

(3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.

(4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully appraised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

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tel 212 974 7000 fax 212 974 7590 www.ddcf.org

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(5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and

(6) Not to use any of the Grant or income arising therefrom,

(a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),

(b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),

(c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or

(d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Doris Duke Foundation for Islamic Art also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Doris Duke Foundation for Islamic Art agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the

amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which the Doris Duke Foundation for Islamic Art elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Doris Duke Foundation for Islamic Art were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Joan E Spero
Joan Spero, President

Agreed to and accepted on behalf of the
Doris Duke Foundation for Islamic Art this 27th
day of October, 2005

By: Edward P. Henry
Edward Henry, Chief Financial Officer

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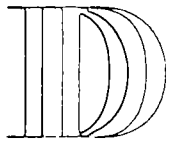

DORIS DUKE
CHARITABLE FOUNDATION

October 20, 2005

Mrs. Marion Oates Charles
President
Newport Restoration Foundation
51 Touro Street
Newport, Rhode Island 02840

Dear Mrs. Charles.

The Doris Duke Charitable Foundation has made grants to the Newport Restoration Foundation in 2004 totaling $2,267,160 to be used for Rough Point's general operating expenses (referred to collectively as the "Grant"). Because the Newport Restoration Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Newport Restoration Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of the Newport Restoration Foundation to the following specific obligations with respect to this Grant:

(1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;

(2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant),

(3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.

(4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully appraised of the status or use of the Grant or income arising therefrom, including any information regarding the

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tel 212 974 7000 fax 212 974 7590 www.ddcf.org

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ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code"),

(5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and

(6) Not to use any of the Grant or income arising therefrom,

(a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d) (1) of the Code),

(b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945 (d)(2) of the Code),

(c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or

(d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, all as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of

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any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Newport Restoration Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Newport Restoration Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years, which the Newport Restoration Foundation elects pursuant to Treas. Reg. 53.4942(A)-3(c) (2) (iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Newport Restoration Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Joan E Spero
Joan Spero, President

Agreed to and accepted on behalf of the
Newport Restoration Foundation this 25th day
of October, 2005

By: Marion O Charles
Marion Oates Charles, President

13-7043679

NEWPORT RESTORATION FOUNDATION

51 TOURO STREET
NEWPORT, RHODE ISLAND 02840-2932
Telephone 401.849.7300
Facsimile 401 849 0125

October 20, 2005

Mrs. Joan Spero
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, NY 10019

Dear Mrs. Spero:

In 2004, the Doris Duke Charitable Foundation ("DDCF") made grants totaling $2,267,160 to the Newport Restoration Foundation ("NRF") in support of Rough Point. By letter dated October 19, 2005, I agreed on behalf of NRF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. This is a report covering the portion of the grant funds spent in 2004 An additional and final report will be submitted showing the expenditure of the remaining grant funds in 2005.

Name and address of grantee:

Newport Restoration Foundation
51 Touro Street
Newport, RI 02840

Purpose of Grants:

To be used for Rough Point's general operating expenses. The Rough Point property owned by NRF is used for a charitable purpose since it is a historic house, which is open to the public.

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Mrs. Joan Spero
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October 20, 2005

The following table contains the date of the payment of each grant, the amount of the grant, an a description of how the grant was spent by NRF:

Date of Payment	Amount of Grant	Purpose for which Grant was Used
1/20/04	$566,790	Employee Related Expenses, General Operating Expenses; General Administrative Expenses and Capital Expenses
3/15/04	$566,790	" "
6/14/04	$566,790	" "
9/20/04	$566,790	" "

All of the grant funds have been spent for Rough Point's general operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated October 19, 2005, I represented that before the end of 2004, NRF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of $2,267,160 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, $2,006,046.00 of the grant funds were spent for reasonable and necessary administration expenses to accomplish NRF charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of $2,006,046.00 in 2004.

Since NRF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if NRF were not a private operating foundation. NRF will make a qualifying distribution out of corpus of the balance of the grant funds in 2005.

Very truly yours,
Newport Restoration Foundation

By Marion O. Charles
Marion Oates Charles, President

DORIS DUKE CHARITABLE FOUNDATION 13-7043679

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION (AVERAGE)	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCOUNT AND OTHER ALLOWANCES
JOAN E SPERO DORIS DUKE MANAGEMENT FOUNDATION	PRESIDENT 16 HR PER WEEK	(1)	(1)	NONE
ALAN ALTSCHULER DORIS DUKE MANAGEMENT FOUNDATION	CFO 20 HR PER WEEK	(1)	(1)	NONE
BETSY FADER DORIS DUKE MANAGEMENT FOUNDATION	SECRETARY 29 HR PER WEEK	(1)	(1)	NONE
MARION OATES CHARLES DORIS DUKE MANAGEMENT FOUNDATION	TRUSTEE 39 HRS PER YEAR	126,078	NONE	NONE
HARRY DEMOPOULOS DORIS DUKE MANAGEMENT FOUNDATION	TRUSTEE 46 HRS PER YEAR	126,078	NONE	NONE
ANTHONY S FAUCI DORIS DUKE MANAGEMENT FOUNDATION	TRUSTEE 58 HRS PER YEAR	(3)	NONE	NONE
JAMES F GILL DORIS DUKE MANAGEMENT FOUNDATION	TRUSTEE 73 HRS PER YEAR	126 078	NONE	NONE
ANNE HAWLEY DORIS DUKE MANAGEMENT FOUNDATION	TRUSTEE 45 HRS PER YEAR	126 078	NONE	NONE
NANERL O KEOHANE DORIS DUKE MANAGEMENT FOUNDATION	TRUSTEE 58 HRS PER YEAR	126,078	NONE	NONE
JOHN J MACK DORIS DUKE MANAGEMENT FOUNDATION	TRUSTEE 54 HRS PER YEAR	126,078	NONE	NONE
JOHN H.T WILSON DORIS DUKE MANAGEMENT FOUNDATION	TRUSTEE 65 HRS PER YEAR	(3)	NONE	NONE
		756,470		

NOTES.

(1) The Officers are paid compensation by the Doris Duke Management Foundation (DDMF) The hours shown are based on an allocation of time spent on matters related to the Doris Duke Charitable Foundation and are for informational purposes only. These Officers provide services to other related entities (Doris Duke Management Foundation (DDMF), Doris Duke Foundation (DDF), Duke Farms Foundation (DFF) and Doris Duke Foundation For Islamic Art (DDFIA) and in the aggregate hours worked on matters related to these other entities equal or exceed 40 hours per week.

Officers	Total Compensation	Allocation to Related Entities				
		DDCF	DDMF	DFF	DDF	DDFIA
Joan E. Spero	627,681	257,349	-	244,796		125,536
Alan Altschuler	359,689	183,441	133,085	35,969		7,194
Betsy Fader	177,470	131,328	-	42,593		3,549
	1,164,840	572,118	133,085	323,358	-	136,279

	Total Benefits	DDCF	DDMF	DFF	DDF	DDFIA
Joan E. Spero	48,114	19,727	-	18,764		9,623
Alan Altschuler	53,308	27,186	19,723	5,331		1,066
Betsy Fader	33,024	24,437	-	7,926		661
	134,444	71,350	19,723	32,021	-	11,350

(2) The hours shown are based on an allocation of time spent on matters related to the Doris Duke Charitable Foundation and are for informational purposes only. These Trustees provide services to the other related entities noted above in Note 1 and in the aggregate hours worked on matters related to these other entities equal or exceed 60 hours per year. The amount of each individual's compensation was established as part of a settlement agreement in connection with the probate of Doris Duke's will and the Surrogate Court order The Surrogate's May 15, 1996 order capped compensation at $900,000

(3) Mr Fauci and Mr Wilson serve without pay from the Foundation.

STATEMENT 15

DORIS DUKE CHARITABLE FOUNDATION

13-7043679

FORM 990PF, PART IX-A - SUMMARY OF DIRECT CHARITABLE ACTIVITIES

DESCRIPTION	EXPENSES
ARTS PROGRAM - Annual meetings are held with experts in the field to accomplish the goals of the program Annual meetings were held in the following areas. Jazz, Leading Presenting Institutions, and Talented Student initiative. Jazz initiative supports the creation and presentation of jazz through JazzNet and the Doris Duke Jazz Ensembles Project, as well as a media component that increases opportunities for the creation, presentation and distribution of jazz nationwide	$ 104,762
MEDICAL RESEARCH PROGRAM - seeks to further the prevention and cure of disease by supporting clinical research. Program grants focus on strengthening clinical research and narrowing the gap between basic biomedical discoveries and their translation into new treatment, preventions and cures for human diseases Annual meetings are held with experts in the field to accomplish the goals of the program.	$ 125,044
ENVIRONMENT PROGRAMS - seek to preserve wildlife in selected regions with significant flora and fauna, to develop and translate scientific knowledge that can guide conservation action, and to identify and support future conservation leaders. Annual meetings are held with experts in the field to accomplish these goals.	$ 20,312
TOTAL	**$ 250,118**

DORIS DUKE CHARITABLE FOUNDATION

13-7043679

FORM 990PF, PART XII - EXPENSES, CONTRIBUTIONS, GIFTS, ETC.

It should be noted that, of the $ 68,230,118 paid in cash distributions in 2004, only $63,213,603 is being considered a qualified distribution under section 4942. This is because $3,881,962 is being distributed out of corpus in order to satisfy the Foundation's expenditure responsibility relating to the cash portion of a grant received in 2002 from the J.B Duke 1917 Trust and $1,134,553 is being distributed to satisfy prior years' set-asides.

In order to satisfy the requirements of the cash distribution test, as set forth in Treasury Regulation section 53.4942(A)-3(b)(7)(ii), for prior years, the following statements are being made.

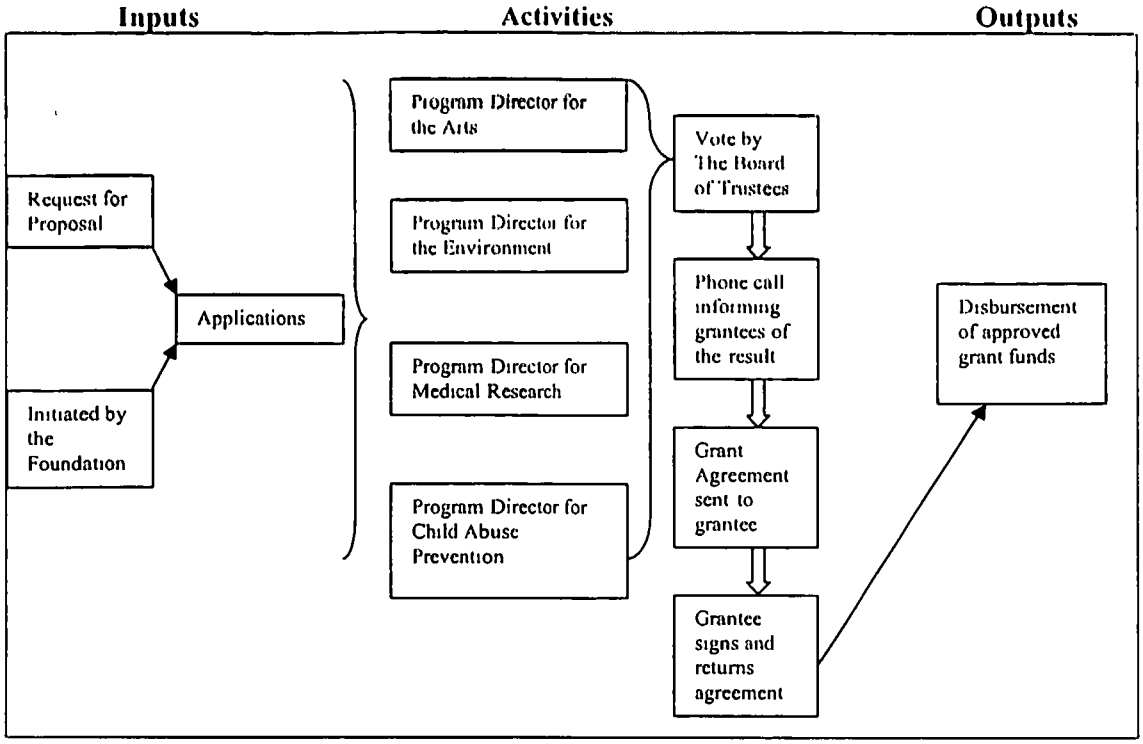
DISTRIBUTABLE AMOUNTS

1996 (initial year)	$	15,361,891
1997	$	48,761,838
1998	$	55,151,285
1999	$	59,815,407
2000	$	64,438,090
2001	$	60,588,644
2002	$	65,716,092
2003		$64,827,884

ACTUAL PAYMENTS

1996 (initial year)	$	790,004
1997	$	12,050,453
1998	$	21,211,181
1999	$	33,702,124
2000	$	96,688,320
2001	$	101,532,400
2002	$	57,000,408
2003	$	68,690,979

Doris Duke Charitable Foundation
Grants Process
December 31, 2004



The mission of the Doris Duke Charitable Foundation (DDCF) is to improve the quality of people's lives through grants supporting the performing arts, wildlife conservation, medical research and prevention of child maltreatment, and through preservation of the cultural and environmental legacy of Doris Duke's properties.

Grants are either competitively awarded through Request for Proposal (RFP) processes or are initiated by the Foundation. All proposed grants are presented to the Board of Trustees at one of the four meetings that take place during the year All grants must be approved by the Board of Trustees with the exception of the President's Planning Fund and grants to be selected through competitive, peer-reviewed program initiatives. In the latter case, the Board approves a total amount for the program initiative and delegates to DDCF's President Joan Spero the authority to approve grants recommended through the peer-review selection process

Joan Spero (President) is actively involved in the entire grants cycle Prior to performing all the research on a potential grantee, the Program Director will discuss the organization with Mrs. Spero. Based on this discussion, the research will begin or the project will be set aside

Once a completed application packet is returned to DDCF, the appropriate Program Director or their designated staff members will review it to ensure that the organization meets DDCF requirements and that the program description is in line with DDCF's mission and program objectives The application packet is also reviewed by the Grants Manager and Program Associate for Grants Administration to ensure that all the proper documentation has been

received and the organization is a qualified not-for-profit Each proposed grant is entered into GIFTS for Windows, the Foundation's grants management database.

Once all the submitted application information has been reviewed and approved, the Program Director will compile a docket for presentation to the Board of Directors that describes the potential grantee organization and its proposed use of funds. The Program Director then presents the information to a Program Review Committee composed of senior management and program staff. This presentation also serves as a "practice" session for the Board meeting. During the meeting the senior staff will critique the written summary and the presentation, and the appropriate changes will be made.

At the next Board meeting, the information will be presented to the Board for their approval. The Board's decisions regarding proposed grants are documented in the Board of Director Minutes. After a grant has been approved by the board, Grants Administration staff will record the grant as "Approved" in GIFTS and the GIFTS system assigns a grant number. Grants Administration then sends a report detailing the grants approved to Finance and the Senior Accountant/Assisstant Treasurer prepares a journal entry for to record the liability.

Once the Board approves the grant, the appropriate DDCF staff member will notify the organization by telephone. The Program Director and his or her staff then use GIFTS for Windows to generate a grant agreement, using the appropriate grant agreement template. The Program Director and Grants Manager will review and refine the document until they are satisfied that it is complete. Once the Grants Manager has approved the grant agreement and award letter and verified that all grant documentation is in order, the agreement and award letter is forwarded to the President for signature.

After the President signs the award letter and the grant agreement, the award package is sent to the grantee organization. The grant agreement specifies the total funds awarded, payment schedule, use of the funds, performance and financial reporting requirements, and various other disclosures regarding DDCF's rights (for example, to publicly announce the grant). If the grantee organization agrees to the terms of the agreement they will sign and return the agreement to the DDCF (two copies of the agreement are sent and the grantee organization retains one copy for their records). When the fully executed grant agreement is received by the Foundation, the first grant payment is initiated by Grants Administration and forwarded to Finance for processing.

During 2004, DDCF implemented an automated grant payment process using a web interface to the DDCF custodian bank to transfer funds to grantee institutions through ACH transfers. When a grant payment has been approved for payment by the Grants Manager, a data file containing payment and bank information is downloaded from GIFTS and sent to the Senior Accountant/ Assistant Treasurer for review and upload to the on-line banking system. When the data has been successfully uploaded, the Grants Manager sends an e-mail to the payment signatories to notify them that the payments have been uploaded and are awaiting approval. The e-mail contains a link to a report detailing each payment that has been approved for payment and links to PDF files containing scanned copies of the fully executed grant agreement for each grant that is recommended for payment The Chief Financial Officer and the Director of Finance then gain secure access to the online banking system and electronically approve the payment for processing by the bank. All grant disbursements are processed through accounts payable and are authorized according to DDCF's signatory policy. Once the ACH transfer is executed, a payment confirmation report is placed in the grant file maintained by the Office of Grants Administration.

Within each grant agreement the grantee agrees to provide DDCF with certain interim and final financial and program performance information The time period for this submission varies from

grant to grant. To ensure that the DDCF funds are being used in accordance with the grant agreement, the grantee is required to submit the following information:

- A narrative describing program accomplishments, challenges, and future plans
- An assessment of the project to date (have the original goals been achieved, were any program refinements necessary?)
- Copies of any literature, reports, or media coverage that was generated as a result of the grant
- A copy of the most recent audited financial statement
- A budget to actual comparison of the grant funds (indicating any budget modifications and explanations for budget overages)
- If a multi-year grant, a revised budget and budget narrative for the upcoming year (if warranted)
- The grantee is also required to notify DDCF of any changes to their board, senior staff, or tax-exempt status.

This information is required whether the grant period is one year or multi-year If the grant is multi-year, the subsequent disbursements will not be made until this information has been received, reviewed, and approved by DDCF.

This information will be submitted to DDCF and reviewed by the Program Director and the Grants Manager to ensure that the grantee has been using DDCF's funds for the purpose outlined in their grant agreement. This includes determining if certain project milestones have been met, whether funds have been spent reasonably and within program guidelines, and whether the project has benefited the items/individuals targeted in the agreement. Additionally, the financial information will be reviewed by the Grants Manager or Program Associate for Grants Management, and either the Program Director or Program Officer – and, as necessary, by a member of the Finance Department – to ensure that the grantee is not experiencing any financial difficulties.

Once this information has been evaluated and approved by DDCF, the payment request will be prepared for multi-year grants or, for single year grants, the file will be closed.

Computer information systems

Until March 2002, the Foundation used a grants management database in Microsoft Access that had been developed for the Foundation in 1999. In March 2002, the Foundation implemented GIFTS for Windows, a grants management database system purchased from MicroEdge, Inc. This database is used to track the different aspects of the grant process. All applications received are entered into the database and their status is tracked according to their progress through the review, approval or declination, and disbursement process The database is also used to generate grant related documentation, including (but not limited to) grant award letters and grant agreements, payment request memoranda, and wire transfer letters GIFTS also provides reports that enable the user to project the upcoming disbursements, determine when financial or other reporting information is required, track grant expiration, and track activities related to each grant

DORIS DUKE CHARITABLE FOUNDATION 13-7043679

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEE STATEMENT 19A	SEE STATEMENT 19A	SEE STATEMENT 19A	$ 41,706,010
NEWPORT RESTORATION FOUNDATION (NRF) FOR ROUGH POINT	SEE NOTE (1)	GENERAL SUPPORT	$ 2,267,160
DUKE FARMS FOUNDATION	RELATED ENTITY	GENERAL SUPPORT	$ 11,632,652
DORIS DUKE FOUNDATION FOR ISLAMIC ART	RELATED ENTITY	GENERAL SUPPORT	$ 3,562,157
			$ 59,167,979

NOTE (1) MS MARION OATES CHARELS IS A COMMON TRUSTEE, SHE IS A MEMBER OF THE NRF BOARD

2004 GRANT PAYMENTS AND FUTURE LIABILITY

	Beginning Balance 2004	Approvals 2004	Amended 2004	Amount Paid 2004	Approved for Future Payment	
ARTS						
Grants NOT Set-Aside	15,648,933	10,462,500	(762,500)	9,266,941	14,437,992	
Designated for Cash Payment of 2002 1917 Trust Distribution				1,644,000		
Grants Set-Aside						
TOTAL ARTS	15,648,933	10,462,500	(762,500)	10,910,941	14,437,992	
ENVIRONMENT						
Grants NOT Set-Aside	9,215,618	12,968,005	-	10,549,161	9,396,500	
Designated for Cash Payment of 2001 1917 Trust Distribution				2,237,962		
Grants Set-Aside	559,648	-	-	559,648	-	
TOTAL ENVIRONMENT	9,775,266	12,968,005	-	13,346,771	9,396,500	
MEDICAL RESEARCH						
Grants NOT Set-Aside	23,207,920	5,668,440	(432,000)	13,188,351	15,256,009	
Designated for Cash Payment of 2002 1917 Trust Distribution						
Grants Set-Aside	574,905	-	-	574,905	0	
Grants Set-Aside in Prior Years for Payout of 1917 Trust						
TOTAL MEDICAL RESEARCH	23,782,825	5,668,440	(432,000)	13,763,256	15,256,009	
CHILD ABUSE PREVENTION						
Grants NOT Set-Aside	2,569,771	4,494,144	-	3,546,042	3,517,873	
Designated for Cash Payment of 2002 1917 Trust Distribution						
TOTAL CHILD ABUSE PREVENTION	2,569,771	4,494,144	-	3,546,042	3,517,873	
STRATEGY & PLANNING						
Grants NOT Set-Aside	-	139,000	-	139,000	-	
TOTAL CHILD ABUSE PREVENTION	-	139,000	-	139,000	-	
GRAND TOTAL						
Grants NOT Set-Aside	50,642,242	33,732,089	(1,194,500)	36,689,495	42,608,374	STMT 19B
Designated for Cash Payment of 2003 1917 Trust Distribution	-	-	-	3,881,962	-	STMT 19C
Grants Set-Aside	1,134,553	-	-	1,134,553	0	STMT 19D
Grants Set-Aside in Prior Years for Payout of 1917 Trust	-	-	-	-	-	
GRAND TOTAL	51,776,795	33,732,089	(1,194,500)	41,706,010	42,608,374	

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
ARTS							
Kings Majestic Corporation 651 Fulton Street Brooklyn, NY 11217 *Programming and Endowment support through the Leadership Presenting Institutions* $1,500,000 00 1999	501c(3)	305,000	0	0	0	305,000	Not applicable
American Repertory Theatre Company, Inc. 64 Brattle Street Cambridge, MA 02138 *Leading National Theatres Program* $700,000 00 2004	501c(3)	0	700,000	0	150,000	550,000	Not applicable
Actors Theatre of Louisville, Inc. 316 West Main Street Louisville, KY 40202 *Leading National Theatres Program* $400,000 00 2004	501c(3)	0	400,000	0	0	400,000	Not applicable
Advance Project 285 West Broadway, Suite 520 New York, NY 10013 *To disseminate the findings of a research project that studies career transitions for dancers who are no longer able to dance* $10,000 00 2004	501c(3)	0	10,000	0	10,000	0	Not applicable
Alumni and Friends of LaGuardia High School PO Box 231485 New York, NY 10023 *To provide matching endowment support under the 2000 Talented Students in the Arts Initiative Leading Arts High Schools* $750,000 00 2001	501c(3)	116,506	0	0	0	116,506	Not applicable
Alvin Ailey Dance Foundation 211 West 61st Street New York, NY 10023 *To provide matching endowment support under the 2000 Talented Students in the Arts Initiative Leading National Arts Training Institutions* $750,000 00 200	501c(3)	250,000	0	0	250,000	0	Not applicable
American Dance Festival, Inc. PO Box 90772 Durham, NC 27708-0772 *Annual Doris Duke Awards for New Work* $600,000 00	501c(3)	0	600,000	0	127,266	472,734	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
2004							
Washington Drama Society, Inc. 1101 6th Street SW Washington, DC 20024 *Leading National Theatres Program To support the American Playwrights Project, a program for emerging American artists* $500,000 00 2002	501c(3)	500,000	0	0	150,000	350,000	Not applicable
Arts International, Inc. 526 West 26th Street New York, NY 10001 *Presentation of American performing artists in new venues throughout the world and to increase the presentation of international performing arts in the U.S* $1,100,000 00 2002	501c(3)	1,300,000	0	(700,000)	600,000	0	Not applicable
Asia Society 725 Park Avenue New York, NY 10021 *Support for programming and endowment funding through the Mid-Sized Presenting Organizations* $1,000,000 00 2001	501c(3)	587,500	0	0	125,000	462,500	Not applicable
Asian Americans/Pacific Islanders in Philanthropy 88 Kearny, Ste 1850 San Francisco, CA 94108 *Membership* $1,000 00 2004	501c(3)	0	1,000	0	1,000	0	Not applicable
Association of Performing Arts Presenters, Inc. 1112 16th Street NW Washington, DC 20036 *To support the planning process of a regranting program designed to encourage the creation and touring ensemble theatre work* $200,000 00 2004	501c(3)	0	200,000	0	200,000	0	Not applicable
Association of Performing Arts Presenters, Inc. 1112 16th Street NW Washington, DC 20036 *Ensemble Theatre Regranting Program* $1,335,000 00 2004	501c(3)	0	1,335,000	0	825,000	510,000	Not applicable
Ballet Hispanico of New York 167 West 89th Street New York, NY 10024	501c(3)	150,000	0	0	150,000	0	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
Provide matching endowment support under the 2000 Talented Students in the Arts Initiative $450,000 00 2002							
Baltimore School for the Arts Foundation 712 Cathedral Street Baltimore, MD 21218 *To provide matching endowment support under the 2000 Talented Students in the Arts Initiative Leading Arts High Schools* $750,000 00 2001	501c(3)	300,000	0	0	150,000	150,000	Not applicable
Berkeley Repertory Theatre 2025 Addision Street Berkeley, CA 94704 *Leading National Theatres Program* $500,000 00 2002	501c(3)	500,000	0	0	150,000	350,000	Not applicable
California Institute of the Arts 24700 McBean Parkway Valencia, CA 91355-2397 *To provide matching endowment support under the 2000 Talented Students in the Arts Initiative Leading National Arts Training Institutions* $1,250,000 00 2001	501c(3)	416,666	0	0	416,666	0	Not applicable
Center Stage Associates, Inc. 700 North Calvert Street Baltimore, MD 21202 *Leading National Theatres Program* $600,000 00 2004	501c(3)	0	600,000	0	150,000	450,000	Not applicable
Center Theatre Group of Los Angeles 601 W Temple Street Los Angeles, CA 90012 *Leading National Theatres Program* $750,000 00 2002	501c(3)	750,000	0	0	250,000	500,000	Not applicable
Chamber Music America 305 Seventh Avenue New York, NY 10001 *Doris Duke Jazz Ensembles* $2,075,000 00 2001	501c(3)	0	2,075,000	0	631,250	1,443,750	Not applicable
Chamber Music America 305 Seventh Avenue New York, NY 10001 *Jazz Ensembles*	501c(3)	0	140,000	0	140,000	0	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
$ 40,000 00 2004							
Creative Capital Foundation 65 Bleecker Street New York, NY 10012 *Performing Artist/State Based Research Project* $350,000 00 2004	501c(3)	0	350,000	0	250,000	100,000	Not applicable
Dance Theater Workshop 219 West 19th Street New York, NY 10011-4079 *Planning grant for DTW/NYU* *Post-Graduate Fellowship Residency* $30,000 00 2004	501c(3)	0	30,000	0	30,000	0	Not applicable
Leading Ensembles Theatre Program $300,000 00 2004		0	300,000	0	0	300,000	Not applicable
Friends of the Cleveland School of the Arts 2064 Stearns Road Cleveland, OH 44106 *To provide matching endowment support under the 2000 Talented Students in the Arts Initiative Leading Arts High Schools,* $750,000 00 2001	501c(3)	150,000	0	0	0	150,000	Not applicable
Chicago Theatre Group, dba Goodman Theatre 170 North Dearborn Street Chicago, IL 60601 *Endowment support through the Leading National Theatres Program* $1,000,000 00 2001	501c(3)	500,000	0	0	0	500,000	Not applicable
Grantmakers in the Arts 604 West Galer Street Seattle, WA 98119-3253 *Membership* $20 000 00 2004	501c(3)	0	20,000	0	20,000	0	Not applicable
Hispanics in Philanthropy 200 Pine Street San Francisco, CA 94104 *Membership* $1,500 00 2004	501c(3)	0	1,500	0	1,500	0	Not applicable
Intiman Theatre 201 Mercer Street Seattle, WA 98109	501c(3)	0	400,000	0	0	400,000	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
Leading National Theatres Program $400,000 00 2004							
Jacob's Pillow Dance Festival, Inc. PO Box 287 Lee, MA 02138 *Provide matching endowment support under the 2000 Talented Students in the Arts Initiative* $760,000 00 2002	501c(3)	253,334	0	0	0	253,334	Not applicable
Japan Society, Inc. 333 East 47th Street New York, NY 10017 *Support for Japan Society's performing arts season, a new commissioning program, and an endowment for the performing arts* $1,000,000 00 2001	501c(3)	300,000	0	0	75,000	225,000	Not applicable
Juilliard School 60 Lincoln Center Plaza New York, NY 10023 *Provide matching endowment support under the 2000 Talented Students in the Arts Initiative* $760,000 00 2002	501c(3)	253,334	0	0	0	253,334	Not applicable
Lincoln Center for the Performing Arts, Inc. 70 Lincoln Center Plaza New York, NY 10023 *Lincoln Center Festival 2001-2003* $2,000,000 00 2000	501c(3)	375,000	0	0	0	375,000	Not applicable
Vivian Beaumont Theater, Inc., The 150 West 65th Street New York, NY 10023 *Leading National Theatres Program To support the presentation of large-scale works in the Vivian Beaumont Theater* $500,000 00 2002	501c(3)	500,000	0	0	300,000	200,000	Not applicable
Los Angeles County High School for the Arts Foundation Library North, Room 1034 5151 State University Drive Los Angeles, CA 90032 *To provide matching endowment support under the 2000 Talented Students in the Arts Initiative Leading Arts High Schools* $750,000 00 2001	501c(3)	300,000	0	0	150,000	150 000	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
Miami-Dade Community College Foundation, Inc. 300 NE 2nd Avenue Miami, FL 33132 *To provide matching endowment support under the 2000 Talented Students in the Arts Initiative Leading Arts High Schools* $375,000 00 2001	501c(3)	150,000	0	0	75,000	75,000	Not applicable
Music Associates of Aspen, Inc. 2 Music School Road Aspen, CO 81611 *Planning a 2005 international symposium to address the gap between institutions of music education and music performance* $25,000 00 2004	501c(3)	0	25,000	0	25,000	0	Not applicable
National Association of Latino Arts and Culture 1204 Buena Vista St San Antonio, TX 78207 *Membership* $1,500 00 2004	501c(3)	0	1,500	0	1,500	0	Not applicable
National Performance Network 225 Baronne Street New Orleans, LA 70112 *Core Programs Support* $2,250,000 00 2002	501c(3)	750,000	0	0	750,000	0	Not applicable
National Public Radio 635 Massachusetts Avenue NW Washington, DC 20001-3753 *Jazz Initiative - Sustaining core radio jazz programming and web site to target young people* $2,200,000 00 1999	501c(3)	200,000	0	0	200,000	0	Not applicable
Network of Cultural Centers of Color 1000 Richmond Terrace Box 14 Staten Island, NY 10301 *Membership* $2,500 00 2004	501c(3)	0	2,500	0	2,500	0	Not applicable
New England Foundation for the Arts 266 Summer Street Boston, MA 02210 *National Dance Project* $2,500,000 00 2003	501c(3)	1,235,226	0	0	317,726	917,500	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
New World Symphony, Inc. 541 Lincoln Road Miami Beach, FL 33139 *Provide matching endowment support under the 2000 Talented Students in the Arts Initiative* $510,000 00 2002	501c(3)	340,000	0	0	170,000	170,000	Not applicable
Nonprofit Finance Fund 70 West 36th Street New York, NY 10018 *JazzNet* $4,932,000 00 2002	501c(3)	1,644,000	0	0	0	0	Not applicable
North Carolina School of the Arts Foundation, Inc. 1533 South Main Street Winston-Salem, NC 27127 *To provide matching endowment support under the 2000 Talented Students in the Arts Initiative Leading National Arts Training Institutions* $1,250,000 00 2001	501c(3)	416,666	0	0	0	416,666	Not applicable
Northwestern University 710 North Lake Shore Drive Chicago, IL 60611-W133 *Support for an international symposium on French and American contemporary theater* $6,000 00 2004	501c(3)	0	6,000	0	6,000	0	Not applicable
Pacific Northwest Ballet Association 301 Mercer Street Seattle, WA 98109 *Matching endowment support under the 2000 Talented Students in the Arts Initiative* $760,000 00 2002	501c(3)	253,334	0	0	0	253,334	Not applicable
Pentacle/DanceWorks, Inc. 246 West 38th Street New York, NY 10018 *Participation of U S dance presenters, dance managers, and dance artists at the Internationale Tanzmesse 2004* $10,000 00 2004	501c(3)	0	10,000	0	10,000	0	Not applicable
Performance Space 122 150 First Avenue New York, NY 10009 *Artistic Programming Support* $1,000,000 00 2000	501c(3)	80,000	0	0	60,000	20,000	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
Perseverance Theatre Incorporated 914 Third Street Douglas, AK 99824 *Leading National Theatres Program* $500,000 00 2002	501c(3)	500,000	0	0	150,000	350,000	Not applicable
Portland Institute for Contemporary Art 224 NW 13th Ave #305 Portland, OR 97209 *Support to deepen the scope of PICA's performance program by strengthening PICA's commissioning, residency development and presentation efforts* $1,000,000 00 2001	501c(3)	75,000	255,000	0	220,000	110,000	Not applicable
New York Shakespeare Festival 425 Lafayette Street New York, NY 10003 *Leading National Theatres Program* $700,000 00 2001	501c(3)	0	700,000	0	150,000	550,000	Not applicable
Spanish Theatre Repertory Company, Ltd 138 East 27th Street New York, NY 10016 *Endowment support through the Leading National Theatres Program* $750,000 00 2000	501c(3)	124,867	0	0	124,867	0	Not applicable
Roundabout Theatre Company, Inc. 231 West 39th Street New York, NY 10018 *Leading National Theatres Program* $500,000 00 2002	501c(3)	500,000	0	0	200,000	300,000	Not applicable
South Coast Repertory, Inc. 655 Town Center Drive Costa Mesa, CA 92626 *Leading National Theatres Program To support commissions, residencies, readings and workshops that stimulate the creation of new works by emerging artists* $750 000 00 2002	501c(3)	500,000	0	0	296,666	203,334	Not applicable
Steppenwolf Theatre Company 758 W North Avenue Chicago, IL 60610 *Leading National Theatres Program* $700,000 00 2004	501c(3)	0	700,000	0	150,000	550,000	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
Theatre Communications Group, Inc. 520 8th Avenue New York, NY 10018-4156 *rounds five and six of the New Generations Program, a re-granting program that provides support to nonprofit theatres for mentoring new theatre leaders and for building younger and/or culturally specific audiences* $1,600,000 00 2004	501c(3)	0	1,600,000	0	800,000	800,000	Not applicable
Regents of the University of California Los Angeles, CA 90095 *To support artistic programming, matching endowment and a digital library* $2,054,858.43 2001	501c(3)	500,000	0	0	0	500,000	Not applicable
University of Rochester Eastman School of Music Rochester, NY 14642 *Talented Students in the Arts Initiative* $760,000 00 2002	501c(3)	510,000	0	0	255,000	255,000	Not applicable
Visual Arts Research and Resource Center Relating to the Caribbean, Inc. 408 West 58th Street New York, NY 10019 *Programming and endowment support* $425,000 00 2000	501c(3)	62,500	0	(62,500)	0	0	Not applicable
Total Arts		$15,648,933	$10,462,500	($762,500)	$9,266,941	$14,437,992	
CHILD ABUSE PREVENTION							
American Academy of Pediatrics 141 Northwest Point Blvd Elk Grove Village, IL 60007-1098 *The Role of the Pediatrician in the Prevention of Child Maltreatment through expansion of prevention and early intervention strategies in pediatric practices* $1,500,000 00 2002	501c(3)	492,000	0	0	492,000	0	Not applicable
Center for the Study of Social Policy 1575 Eye Street NW Washington, DC 20005 *the Preventing Child Abuse and Neglect Through Early Care and Education Program*	501c(3)	0	1,306,000	0	623,838	682,162	Not applicable

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$1 306,000 00 2004							
Children's Hospital Foundation 111 Michigan Avenue NW Washington, DC 20010 *Development of a pediatric residency training curriculum that will build residents' skills in the primary prevention of child abuse and neglect* $49,144 00 2004	501c(3)	0	49,144	0	49,144	0	Not applicable
Erikson Institute 420 N Wabash Avenue Chicago, IL 60611 *Fussy Baby Initiative* $750,000 00 2002	501c(3)	210,000	0	0	210,000	0	Not applicable
National Association for the Education of Young Children 1509 16th Street NW Washington, DC 20036 *Supporting Teachers, Strengthening Families Capacity Building and Leadership in the Early Childhood Community* $961,000 00 2004	501c(3)	0	961,000	0	487,709	473,291	Not applicable
Nurse Family Partnership 1900 Grant Street Denver, CO 80203-4307 *Accelerate the expansion of Nurse-Family Partnership across the United States* $978,000 00 2004	501c(3)	0	978,000	0	400,000	578,000	Not applicable
Prevent Child Abuse America 200 S Michigan Avenue Chicago, IL 60604-2404 *Strengthen the quality and sustainability of Healthy Families America* $1,000,000 00 2002	501c(3)	300,000	0	0	300,000	0	Not applicable
Trustees of Princeton University Princeton, NJ 08544 *To support Coverage of Parenting and Prevention of Child Abuse and Neglect issues in the journal, The Future of Children* $793,390 00 2003	501c(3)	393,390	0	0	0	393,390	Not applicable

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University of Washington Seattle, WA *Program to Prevent Shaken Baby Syndrome and Infant Abuse the Period of PURPLE Crying Program* $1,200,000 00 2004	501c(3)	0	1,200,000	0	561,178	638,822	Not applicable
Board of Regents of the University of Wisconsin System Madison, WI 53706 *Preventing Child Maltreatment through Early Educational Intervention* $873,037 00 2003	509a(1)	273,037	0	0	0	273,037	Not applicable
Zero to Three: National Center for Infants, Toddlers and Families 2000 M Street NW Washington, DC 20037 *Child Care as Family Support, Strengthening Families to Prevent Abuse and Neglect* $1,482,600 00 200	501c(3)	901,344	0	0	422,173	479,171	Not applicable
Total Child Abuse Prevention Prevention		$2,569,771	$4,494,144	$0	$3,546,042	$3,517,873	
ENVIRONMENT							
1000 Friends of Florida PO Box 5948 Tallahassee, FL 32314 *Identify, analyze and promote policies that protect flora and fauna while facilitating ecologically sound growth and development in the Florida Panhandle* $500 000 00 2000	501c(3)	100,000	0	0	100,000	0	Not applicable
America's Wildlife Association for Resources Education 444 North Capitol Street NW Washington, DC 20001 *State comprehensive wildlife conservation strategies implementation campaign* $600,000 00 2004	501c(3)	0	600,000	0	255,000	345,000	Not applicable
Center for Resource Economics 1718 Connecticut Avenue NW Washington, DC 20009 *Support for preparation and dissemination of "From Walden to Wall Street," a book of*	501c(3)	0	15,750	0	15,750	0	Not applicable

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essays on innovative mechanisms for financing land conservation $15,750 00 2004							
Conservation Fund 1800 N Kent Street Arlington, VA 22209 *To protect 10,000 acres in Idaho, Wyoming and Montana, in partnership with the Henry's Fork Foundation, Teton Balley Land Trust, Jackson Hole Land Trust and the Nature Conservancy* $2,140,000 00 200	501c(3)	18,604	0	0	18,604	0	Not applicable
Conservation Fund 1800 N Kent Street Arlington, VA 22209 *East Gulf Coastal Plain Initiative and statewide wildlife conservation efforts in Georgia and Florida* $750,000 00 2004	501c(3)	0	750,000	0	625,000	125,000	Not applicable
Conservation Fund 1800 N Kent Street Arlington, VA 22209 *to provide continued support under the Southern Appalachians Wildlife Conservation Initiative* $1,500,000 00 2004	501c(3)	0	1,500,000	0	1,000,000	500,000	Not applicable
Conservation Fund 1800 N Kent Street Arlington, VA 22209 *Coordinate the Doris Duke Conservation Fellowship Program* $200 750 00 2002	501c(3)	82,932	0	0	82,932	0	Not applicable
Defenders of Wildlife 1130 Seventeenth Street NW Washington, DC 20036-4604 *Research on the use of conservation resources in the United States during 199_-2002* $24,929 00 2004	501c(3)	0	24,929	0	24,929	0	Not applicable
Defenders of Wildlife 1130 Seventeenth Street NW Washington, DC 20036-4604 *To assist states in developing and*	501c(3)	0	375,000	0	137,000	238,000	Not applicable

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Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
implementing their wildlife conservation strategies $375,000 00 2004							
Defenders of Wildlife 1130 Seventeenth Street NW Washington, DC 20036-4604 *Aligning Policies with State Wildlife Strategies* $450,000 00 2004	501c(3)	0	450,000	0	138,000	312,000	Not applicable
Duke University Box 90329 Durham, NC 27708-0329 *Doris Duke Conservation Fellowships* $275,000 00 2004	501c(3)	0	275,000	0	137,500	137,500	Nanerl O. Keohane President-Duke Univ.
Duke University Box 90329 Durham, NC 27708-0329 *Doris Duke Conservation Fellowship Program* $550,000 00 2004	501c(3)	63,863	0	0	63,863	0	Nanerl O Keohane President-Duke Univ
Environmental Defense, Incorporated 257 Park Avenue South New York, NY 10010 *Center for Conservation Incentives* $5,000,000 00 2002	501c(3)	3,400,000	0	0	62,038	2,500,000	Not applicable
Rockefeller Family Fund Inc. 437 Madison Avenue New York, NY 10022-7001 *Membership in Environmental Grantmakers Association* $5,500 00 2004	501c(3)	0	5,500	0	5,500	0	Not applicable
Environmental Law Institute 2000 L Street NW, Suite 620 Washington, DC 20036 *Leveraging Wildlife planning to Protect America's Biological Heritage* $450 000 00 2004	501c(3)	0	450,000	0	141,000	309,000	Not applicable
Forest Society of Maine PO Box 775 Bangor, ME 04402 *Increase capacity and effectiveness on conservation transactions, and increase and diversify funding base* $225,000 00 2000	501c(3)	30,000	0	0	30,000	0	Not applicable
Georgia Conservancy	501c(3)	206,600	0	0	206,600	0	Not applicable

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817 W Peachtree Street NW Atlanta, GA 30306-1196 *Identify, analyze and promote policies that protect flora and fauna while facilitating ecologically sound growth and development in southwest Georgia* $581,600 00 2003							
Institute of Ecosystem Studies Inc. 65 Sharon Turnpike Millbrook, NY 12545 *Cary Conference XI Disease Ecology Effects of Disease on Ecosystems and of Ecosystems on Disease* $25 000 00 2004	501c(3)	0	25,000	0	25,000	0	Not applicable
Land Trust Alliance, Inc. 1331 H Street NW Washington, DC 20005 *Protecting the future of private land conservation in America* $1,000,000 00 2004	501c(3)	0	1,000,000	0	350,000	650,000	Not applicable
National Council for Science and the Environment 1725 K Street NW Washington, DC 20006-1401 *Support for the National Commission of Science for Sustainable Forestry* $3,000,000 00 2001	501c(3)	1,200,000	0	0	600,000	600,000	Not applicable
National Fish and Wildlife Foundation 1120 Connecticut Avenue NW Washington, DC 20036 *To assist states in developing and implementing their wildlife conservation strategies* $1,500,000 00 2004	501c(3)	0	1,500,000	0	500,000	1,000,000	Not applicable
Nature Conservancy, Inc. 4245 North Fairfax Drive Arlington, VA 22203-1606 *Support for acquisition and conservation planning in Tennessee and North Carolina* $2,500,000 00 2001	501c(3)	492,752	0	0	492,752	0	Not applicable
Nature Conservancy, Inc. 4245 North Fairfax Drive Arlington, VA 22203-1606 *Development of the New Jersey Comprehensive Wildlife Conservation Plan through a partnership between the New*	501c(3)	0	50,020	0	50,020	0	Not applicable

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Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
Jersey Chapter of TNC and the New Jersey Heritage Program $50,020 00 2004							
Nature Conservancy, Inc. 4245 North Fairfax Drive Arlington, VA 22203-1606 *Development of a statewide wildlife plan in Rhode Island* $49,616 00 2004	501c(3)	0	49,616	0	49,616	0	Not applicable
Nature Conservancy, Inc. 4245 North Fairfax Drive Arlington, VA 22203-1606 *Conservation of the Greater Yellowstone ecosystem* $1,500,000 00 2004	501c(3)	0	1,500,000	0	1,250,000	250,000	Not applicable
Nature Conservancy, Inc 4245 North Fairfax Drive Arlington, VA 22203-1606 *To sustain the biological diversity of the coastal forests of South Carolina* $4,000,000 00 2003	501c(3)	2,400,000	0	0	0	1,600,000	Not applicable
Nature Conservancy, Inc. 4245 North Fairfax Drive Arlington, VA 22203-1606 *Conservation in the East Gulf Coastal Plain* $750,000 00 2004	501c(3)	0	750,000	0	625,000	125,000	Not applicable
Nature Conservancy, Inc. 4245 North Fairfax Drive Arlington, VA 22203-1606 *Rhode Island chapter's land protection efforts in the Sakonnet Landscape* $50,000 00 2002	501c(3)	50,000	0	0	50,000	0	Not applicable
NatureServe 1101 Wilson Boulevard Arlington, VA 22209 *Develop, test and market new and improved computer tools that integrate information about biological diversity into land use decision making* $2,200,000 00 2000	501c(3)	200,000	0	0	200,000	0	Not applicable
NatureServe 1101 Wilson Boulevard Arlington, VA 22209	501c(3)	0	342,000	0	127,000	215,000	Not applicable

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Provide technical support for the ongoing implementation and adaptation of state wildlife strategies $342,000 00 2004							
NatureServe 1101 Wilson Boulevard Arlington, VA 22209 *Support for dissemination of the Vista conservation planning system to state and local organizations to facilitate land use planning* $450,000 00 2004	501c(3)	0	450,000	0	200,000	250,000	Not applicable
New Jersey Conservation Foundation 170 Longview Road Far Hills, NJ 07931 *Implementation of NJCF's Garden State Greenways initiative, a strategy for preservation of New Jersey's wildlife and biodiversity* $50,000 00 2004	501c(3)	0	50,000	0	50,000	0	Not applicable
New Jersey Future 13 ' West Hanover Street Trenton, NJ 08618 *An overall communications/advocacy strategy for incorporating best practices into Comprehensive Wildlife Strategy* $50 190 00 2004	501c(3)	0	50,190	0	50,190	0	Not applicable
Open Space Conservancy, Inc. 1350 Broadway New York, NY 10018 *Northern Forest Protection Fund* $1,500,000 00 2004	501c(3)	0	1,500,000	0	1,250,000	250,000	Not applicable
Trustees of Princeton University Princeton, NJ 08544 *An Integrated Approach to Environmental Research and Education* $100,000 00 2004	501c(3)	0	100,000	0	100,000	0	Not applicable
Sustainable Northwest 620 SW Main Street Portland, OR 97205 *Planning for a web-based information tool that assists conservation philanthropists in identifying worthy projects* $25,000 00	501c(3)	0	25,000	0	25,000	0	Not applicable

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2004							
Theodore Roosevelt Conservation Partnership 555 11th Street NW Washington, DC 20004 *Educating the public about the state wildlife planning process and to generate support for those plans* $300,000 00 2004	501c(3)	0	300,000	0	100,000	200,000	Not applicable
Trust for Public Land 116 New Montgomery St San Francisco, CA 94105 *Support to protect 12,000 acres in Montana and Idaho in partnership with the Gallatin County Open Lands Board* $1,300,000 00 2001	501c(3)	262,500	0	0	262,500	0	Not applicable
Trust for Public Land 116 New Montgomery St San Francisco, CA 94105 *The development of a statewide comprehensive wildlife management strategy by the New Jersey Dept of Environmental Protection* $50 000 00 2004	501c(3)	0	50,000	0	50,000	0	Not applicable
Regents of the University of Michigan Ann Arbor, MI 48109-1115 *Conservation Fellowships II* $62[illegible],000 00 1999	501c(3)	128,367	0	0	128,367	0	Not applicable
Regents of the University of Michigan Ann Arbor, MI 48109-1115 *Doris Duke Conservation Fellowship Program* $27[illegible],000 00 2004	501c(3)	0	275,000	0	137,500	137,500	Not applicable
Regents of the University of Michigan Ann Arbor, MI 48109-1115 *Doris Duke Conservation Fellowship Program* $550,000 00 2002	501c(3)	192,500	0	0	192,500	0	Not applicable
University of Montana Missoula, MT 59812 *Doris Duke Conservation Fellowship Program* $115,000 00 2004	501c(3)	0	115,000	0	57,500	57,500	Not applicable

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University of Montana Missoula, MT 59812 *Doris Duke Conservation Fellowship Program* $210,000 00 2002	501c(3)	78,750	0	0	78,750	0	Not applicable
Board of Regents of the University of Wisconsin System Madison, WI 53706 *Doris Duke Conservation Fellowship Program* $115,000 00 2004	509a(1)	0	115,000	0	57,500	57,500	Not applicable
Board of Regents of the University of Wisconsin System Madison, WI 53706 *Doris Duke Conservation Fellowship Program* $220,000 00 2002	509a(1)	78,750	0	0	78,750	0	Not applicable
University of Wyoming Foundation 1200 East Ivinson Avenue Laramie, WY 82070 *Support to condct research and disseminate info on trends in land use, best practices in financing for open space acquisition and growth management* $200,000 00 2001	501c(3)	37,500	0	0	37,500	0	Not applicable
Yale University New Haven, CT 06511 *Doris Duke Conservation Fellowship Program* $275,000 00 2004	501c(3)	0	275,000	0	137,500	137,500	Not applicable
Yale University New Haven, CT 06511 *Doris Duke Conservation Fellowship Program* $550,000 00 2002	501c(3)	192,500	0	0	192,500	0	Not applicable
Total Environment		$9,215,618	$12,968,005	$0	$10,549,161	$9,396,500	
MEDICAL RESEARCH							
Association of American Medical Colleges 2450 N Street NW Washington, DC 20037 *Support for convening the AAMC's Task Force II on Clinical Research to identify new models for creating and sustaining clinical research* $26,000 00	501c(3)	0	26,000	0	26,000	0	Not applicable

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2004							
Baylor College of Medicine Houston, TX 77030 *Genetic Etiology of CHARGE syndrome* $497,000 00 2002	501c(3)	108,000	0	0	108,000	0	Not applicable
Baylor College of Medicine Houston, TX 77030 *The Molecular Basis of Rothmund-Thomson Syndrome* $542,500 00 2002	501c(3)	108,000	0	0	108,000	0	Not applicable
Baylor College of Medicine Houston, TX 77030 *Therapy of Hodgkin Disease with LMP1 Specific CTL* $54[illegible],000 00 200	501c(3)	0	0	216,000	108,000	108,000	Not applicable
Beth Israel Deaconess Medical Center Boston, MA 02215 *Prophylactic and Therapeutic DNA Vaccines for HIV* $540,000 00 2002	501c(3)	108,000	0	0	108,000	0	Not applicable
Beth Israel Deaconess Medical Center Boston, MA 02215 *Using MRI to Guide Thrombolysis Decisions* $550,000 00 2001	501c(3)	0	0	216,000	108,000	108,000	Not applicable
Trustees of Boston University 715 Albany Street Boston, MA 02118 *Tobacco, Cancer and Epithelial Gene Expression* $507 000 00 2002	501c(3)	108,000	0	0	108,000	0	Not applicable
Brigham & Women's Hospital 75 Francis Street Boston, MA 02115 *Hormonal Predictors of CVD in Women* $540,000 00 2000	501c(3)	108,000	0	0	108,000	0	Not applicable
Children's Hospital Boston 300 Longwood Avenue Boston, MA 02115 *Novel strategies for treatment and prevention of melanoma*	501c(3)	0	0	1,500,000	1,000,000	500,000	Not applicable

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$1,500,000 00 2004							
Children's Hospital Boston 300 Longwood Avenue Boston, MA 02115 *Type 3 Iodothyronine Deiodinase in Infantile Hemangiomas* $543,900 00 2001	501c(3)	0	0	216,000	108,000	108,000	Not applicable
Trustees of Columbia University 630 W 168th Street New York, NY 10032 *Clinical Research Fellowship 2004* $500,000 00 2004	501c(3)	0	0	500,000	500,000	0	Joan Spero is a Trustee of Columbia Univ
Trustees of Columbia University 630 W 168th Street New York, NY 10032 *Clinical and Transgenic Models for Cancer Vaccines* $53),734 94 1993	501c(3)	0	0	0	0	0	Joan Spero is a Trustee of Columbia Univ
Dana Farber Cancer Institute 44 Binney Street Boston, MA 02115 *Non-ablative Transplantation for Hemoglobinopathies* $540,000 00 200	501c(3)	108,000	0	0	108,000	0	Joan Spero is a Trustee of Columbia Univ
Dana-Farber Cancer Institute 44 Binney Street Boston, MA 02115 *New Models of Human Cancer* $540,000 00 2000	501c(3)	57,095	0	0	57,095	0	Not applicable
Trustees of Dartmouth College Hanover, NH 03755 *Health Outcomes of Hypoplastic Left Heart Syndrome* $547,667 00 2000	501c(3)	108,000	0	0	108,000	0	Not applicable
Doris Duke Clinical Interfaces Award Program $6,976,351 00 2002		6,976,351	0	0	0	6,976,351	Not applicable
2004 Distinguished Clinical Scientist Award Program $0 00 2003		6,000,000	0	(6,000,000)	0	0	Not applicable
DDCF Clinical Research Fellowship Research		0	5,000,000	(5,000,000)	0	0	Not applicable

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$0 00 2004							
DDCF Clinical Scientist Development Award Program $0 00 2000		2,592,000	0	(2,592,000)	0	0	Not applicable
DDCF Clinical Scientist Development Award Program $399,500 00 2001		2,084,500	0	(65,000)	0	2,019,500	Not applicable
DDCF Innovations in Clinical Research Awards Program $523,804 00 2000		523,804	0	0	0	523,804	Not applicable
DDCF Innovations in Clinical Research Awards Program $200,000 00 1999		200,000	0	(100,000)	0	100,000	Not applicable
Duke University Medical Center 103 Seeley G Mudd Building Durham, NC 27710 *Improving Pain Management in Palliative Care A Randomized Controlled Trial of Academic Detailing for General Practitioners vs Patients* $461,000 00 2001	501c(3)	216,000	0	0	108,000	108,000	Not applicable
Funders Concerned About AIDS 50 East 42nd Street New York, NY 10017 *Institutional membership* $2,000 00 2004	501c(3)	0	2,000	0	2,000	0	Not applicable
George Washington University 2021 K Street NW Washington, DC 20006 *Workshops on Point of Care Monitoring for HIV* $36,812 00 2004	501c(3)	0	36,812	0	36,812	0	Not applicable
Harvard Medical School Boston, MA 02115 *Clinical Research Fellowship 2004* $500,000 00 2004	501c(3)	0	0	500,000	500,000	0	Not applicable
Institut Pasteur 25-28, Rue du Docteur Roux Paris Cedex 15 75724 France	501c(3)	108,000	0	0	108,000	0	Not applicable

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Tumor Immunity versus Tumor Mediated Immunosuppression $497,000 00 2002							
Johns Hopkins University Baltimore, MD 21205 *Infrastructure, research training support for the Rakai Research Collaboration* $742,000 00 2003	501c(3)	50,000	0	0	50,000	0	Not applicable
Johns Hopkins University Baltimore, MD 21205 *Identification and Significance of Microvascular Flow in Post-Ischemic Myocardial Injury* $374,000 00 2002	501c(3)	216,000	0	0	108,000	108,000	Not applicable
Johns Hopkins University Baltimore, MD 21205 *Small Grants Program on AIDS Care Research in Africa (ACRIA)* $527,000 00 2002	501c(3)	274,640	0	0	0	274,640	Not applicable
General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 *Development of Affordable HIV Diagnostics Using Microchips* $200,000 00 2003	501c(3)	100,000	0	0	0	100,000	Not applicable
General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 *HIV Pathogenesis Program* $2,250,000 00 2001	501c(3)	1,365,000	0	0	757,516	607,484	Not applicable
General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 *CTL Responses against Regulatory and Accessory HIV-1 Proteins* $540,000 00 2001	501c(3)	0	0	216,000	216,000	0	Not applicable

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General Hospital Corporation, dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 *Gene-Environment Factors in Barrett's Adenocarcinoma* $506,825 00 2001	501c(3)	0	0	216,000	108,000	108,000	Not applicable
Mayo Clinic Rochester 200 First Street SW Rochester, MN 55905 *Molecular & Functional Basis of Cardiac Channelopathies* $540,000 00 2000	501c(3)	108,000	0	0	108,000	0	Not applicable
Miriam Hospital 164 Summit Avenue Providence, RI 02906 *Impact of Multi-Drug Resistant Proteins on HIV Treatment* $572,000 00 2001	501c(3)	0	0	216,000	108,000	108,000	Not applicable
Mount Sinai School of Medicine One Gustave L Levy Place New York, NY 10029 *Clinical Research Fellowship 2004* $500,000 00 2004	501c(3)	0	0	500,000	500,000	0	Not applicable
National Academy of Sciences Institute of Medicine 2101 Constitution Avenue NW Washington, DC 20418 *Forum on Drug Discovery, Development and Translation* $15,000 00 2004	501c(3)	0	15,000	0	15,000	0	Not applicable
New York University 462 First Avenue New York, NY 10016 *The Mechanisms and Clinical Importance of the Viral Disconnect Phenomenon in HIV-Infected Children Treated with HAART* $540,000 00 2001	501c(3)	0	0	216,000	108,000	108,000	Not applicable
Northwestern University 710 North Lake Shore Drive Chicago, IL 60611-W133 *Electrical Detection of HIV DNA and RNA*	501c(3)	100,000	0	0	100,000	0	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
with Nanoparticle Probes $350,000 00 2003							
Program for Appropriate Technology in Health 1455 NW Leary Way Seattle, WA 98107 *Rapid and Simple Semi-Quantitative Test Method for Monitoring CD4+ and Total Lymphocytes in Blood* $344,687 00 2003	501c(3)	100,000	0	0	100,000	0	Not applicable
Public Library of Science 185 Berry Street San Francisco, CA 94107 *Expand open-access publishing of scientific research publications* $20,000 00 2004	501c(3)	0	20,000	0	20,000	0	Not applicable
Rush University Medical Center 600 South Paulina St Suite 440 Chicago, IL 60612 *Novel and Improved Manual Low-Cost CD4 Tests* $400,000 00 2003	501c(3)	100,000	0	0	100,000	0	Not applicable
St Luke's Hospital of Kansas City 4401 Wornall Road Kansas City, MO 64111 *Optimizing the Choice of Coronary Revascularization Creating the Patient-Refined Expectations for Deciding Invasive Cardiac Treatments (PREDICT) Tool* $300,000 00 2002	501c(3)	0	0	100,000	100,000	0	Not applicable
Stanford University School of Medicine Stanford, CA *Regulatory T cells in Bone Marrow Transplantation* $1,500,000 00 2004	501c(3)	0	0	1,500,000	1,000,000	500,000	Not applicable
Stanford University School of Medicine Stanford, CA *Molecular Imaging of Cancer with a Voltage Sensor* $1,500,000 00 2004	501c(3)	0	0	1,500,000	1,000,000	500,000	Not applicable
Uniformed Services University of the Health Sciences Bethesda, MD 20814	170(c)	0	0	216,000	216,000	0	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
Tumorigenesis in Multiple Endocrine Neoplasia Type I $540,000 00 2001							
Regents of the University of California Los Angeles, CA 90095 *ImmunoSensor for HIV Infections* $200,000 00 2003	501c(3)	100,000	0	0	100,000	0	Not applicable
Regents of the University of California San Francisco, CA 94118 *Genomics-based Approaches to New Pathogen Discovery in Chronic Human Diseases* $1,943,649 00 2003	501c(3)	872,530	0	0	0	872,530	Not applicable
Regents of the University of California San Francisco, CA 94118 *Translational studies of antimalarial drug resistance* $1,500,000 00 2004	501c(3)	0	0	1,500,000	1,000,000	500,000	Not applicable
Regents of the University of California San Francisco, CA 94118 *Clinical Research Fellowship 2004* $500,000 00 2004	501c(3)	0	0	500,000	500,000	0	Not applicable
Regents of the University of California San Francisco, CA 94118 *Effect of Antiretrovirals on Atherosclerosis in HIV Patients* $498,400 00 2001	501c(3)	0	0	216,000	108,000	108,000	Not applicable
University of Cape Town Fund, Inc. 51 West 52nd Street New York, NY 10019 *Support for the Desmond Tutu HIV Centre* $312,428 00 2004	501c(3)	0	312,428	0	166,928	145,500	Not applicable
University of Iowa Iowa City, IA 52242 *Clinical Research Fellowship 2004* $500,000 00 2004	501c(3)	0	0	500,000	500,000	0	Not applicable
University of Maryland, Baltimore	501c(3)	100,000	0	0	100,000	0	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
Baltimore, MD 21201 *A Portable, Visually-Read, Amplification-Boosted Test to Monitor HIV Viral Load* $200,000 00 2003							
Regents of the University of Michigan Ann Arbor, MI 48109-1115 *Aberrant NF-kB Activation in MALT Lymphoma Pathogenesis* $303,000 00 2002	501c(3)	0	0	65,000	65,000	0	Not applicable
University of North Carolina at Chapel Hill Chapel Hill, NC 27599 *Monitoring CD4 and HIV Viral Load with a Unique Low-Cost Mobile Flow Cytometer in AIDS Patients in Kinshasa, Democratic Republic of Congo* $200,000 00 2003	501c(3)	100,000	0	0	100,000	0	Not applicable
University of North Carolina at Chapel Hill Chapel Hill, NC 27599 *Clinical Research Fellowship 2004* $500,000 00 2004	501c(3)	0	0	500,000	500,000	0	Not applicable
Trustees of the University of Pennsylvania Philadelphia, PA 19104-6082 *Financial Stress and Hospital Quality of Care* $540,000 00 2003	501c(3)	108,000	0	0	108,000	0	Not applicable
Trustees of the University of Pennsylvania Philadelphia, PA 19104-6082 *Clinical Research Fellowship 2004* $500,000 00 2004	501c(3)	0	0	500,000	500,000	0	Not applicable
University of Pittsburgh Pittsburgh, PA 15260 *Isolation of Glioma Antigens from IL-4 Vaccine Patients* $540 000 00 2001	501c(3)	0	0	216,000	108,000	108,000	Not applicable
University of Texas Southwestern Medical	501c(3)	0	0	500,000	500,000	0	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
Center at Dallas Dallas, TX 75390 *Clinical Research Fellowship 2004* $500,000 00 2004							
Washington University St Louis, MO 63110 *Clinical Research Fellowship 2004* $500,000 00 2004	501c(3)	0	0	500,000	0	500,000	Not applicable
Yale University New Haven, CT 06511 *Provision of HIV care, antiretroviral therapy and prevention through a community based program in South Africa* $256,200 00 2004	501c(3)	0	256,200	0	200,000	56,200	Not applicable
Yale University New Haven, CT 06511 *Clinical Research Fellowship 2004* $500,000 00 2004	501c(3)	0	0	500,000	500,000	0	Not applicable
Total Medical Research		$23,207,920	$5,668,440	($432,000)	$13,188,351	$15,256,009	
STRATEGY AND PLANNING							
Council on Foundations, Inc. 1828 L Street NW Washington, DC 20036-5168 *Membership Renewal for 2004* $45,000 00 2004	501c(3)	0	45,000	0	45 000	0	Not applicable
Foundation Center 79 Fifth Avenue New York, NY 10003 *2004 general support* $25,000 00 2004	501c(3)	0	25,000	0	25,000	0	Not applicable
Grantmakers for Effective Organizations 1413 K Street NW, 2nd floor Washington, DC 20005 *Membership* $8,000 00 2004	501c(3)	0	8,000	0	8,000	0	Not applicable
Independent Sector 1200 18th Street NW Washington, DC 20036	501c(3)	0	25,000	0	25,000	0	Not applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Recipient and/or Purpose		Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
National Panel on the Nonprofit Sector $25,000 00 2004								
Independent Sector 1200 18th Street NW *2005 membership* $12,500 00 2004	Washington, DC 20036	501c(3)	0	12,500	0	12,500	0	Not applicable
New York Regional Association of Grantmakers 79 Fifth Avenue, Fourth Floor *Membership* $ 6,000 00 2004	New York, NY 10003-3076	501c(3)	0	16,000	0	16,000	0	Not applicable
Nonprofit Coordinating Committee of New York 1350 Broadway *Membership* $2,500 00 2004	New York, NY 10018	501c(3)	0	2,500	0	2,500	0	Not applicable
Rockefeller Family Fund Inc. 437 Madison Avenue *Support activities of the Grants Managers Network in 2005* $5 000 00 2004	New York, NY 10022-7001	501c(3)	0	5,000	0	5,000	0	Not applicable
	Total Strategy and Planning		$0	$139,000	$0	$139,000	$0	
Grand Total			$50,642,242	$33,732,089	($1,194,500)	$36,689,495	$42,608,374	

DESIGNATED FOR CASH PAYMENT OF 2003 1917 TRUST DISTRIBUTION

Recipient and/or Purpose		PAID 2004	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
ARTS			
Nonprofit Finance Fund 70 West 36th Street New York, NY 10018 *JazzNet* $4,932,000.00 2002	501c(3)	1,644,000	Not Applicable
TOTAL ARTS PAYMENTS - 1917 Trust Distribution		$1,644,000	
ENVIRONMENT			
Environmental Defense, Incorporated 257 Park Avenue South New York, NY 10010 *Center for Conservation Incentives* $5,000,000 00 2002	501c(3)	837,962	Not Applicable
Nature Conservancy, Inc. 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 *To sustain the biological diversity of the coastal forests of South Carolina* $4,000,000.00 2003	501c(3)	1,400,000	Not Applicable
TOTAL ENVIRONMENT PAYMENTS - 1917 Trust Distribution		$2,237,962.00	
TOTAL PAYMENTS DESIGNATED FOR 1917 TRUST DISTRIBUTION		$3,881,962	

Payments in 2004 of Grants Set-Aside in Previous Years

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
ENVIRONMENT - Set Aside							
Cornell University Ithaca, NY *Conservation Fellowships II* $245,000 00 1999	501c(3)	60,401	0	0	60,401	0	Not Applicable
Duke University Box 90329 Durham, NC 27708-0329 *Conservation Fellowships II* $625,000 00 1999	501c(3)	135,180	0	0	135,180	0	Naneri O. Keohane President, Duke Univ
Duke University Box 90329 Durham, NC 27708-0329 *Doris Duke Conservation Fellowship Program* $550,000 00 2002	501c(3)	128,637	0	0	128,637	0	Naneri O Keohane President, Duke Univ.
University of Montana Missoula, MT 59812 *Conservation Fellowships* $245,000 00 1999	501c(3)	50,250	0	0	50,250	0	Not Applicable
Board of Regents of the University of Wisconsin System Madison, WI 53706 *Conservation Fellowships* $245,000 00 1999	509a(1)	50,000	0	0	50,000	0	Not Applicable
Yale University New Haven, CT 06511 *Conservation Fellowships II* $625,000 00 1999	501c(3)	135,180	0	0	135,180	0	Not Applicable
Total Environment		$ 559,648.00	$ 0.00	$ 0.00	$ 559,648.00	$ 0.00	
MEDICAL RESEARCH - Set Aside							
Blood Systems, Inc. 270 Masonic Avenue San Francisco, CA 94118 *Analysis of HIV-1 Specific T Helper Cell*	501c(3)	108,000	0	0	108,000	0	Not applicable

Payments in 2004 of Grants Set-Aside in Previous Years

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
Clones $481,750 00 2000							
Children's Hospital of Philadelphia 34th and Civic Center Bl Philadelphia, PA 19104 *Relapse Risk & Phase II Genotypes in Pediatric ALL* $463,564 00 2000	501c(3)	108,000	0	0	108,000	0	Not applicable
Dana-Farber Cancer Institute 44 Binney Street Boston, MA 02115 *New Models of Human Cancer* $540,000 00 2000	501c(3)	50,905	0	0	50,905	0	Not applicable
Emory University Atlanta, GA 30322 *HIV RNA and CD4 Assays for Resource-Poor Countries* $200,000 00 2003	501c(3)	100,000	0	0	100,000	0	Not applicable
General Hospital Corporation dba Massachusetts General Hospital 55 Fruit Street Boston, MA 02114 *Tumor Suppressor Genes in Acute Myeloid Leukemia* $454,000 00 2000	501c(3)	108,000	0	0	108,000	0	Not applicable
University of North Carolina at Chapel Hill Chapel Hill, NC 27599 *Virologic Monitoring for the Malawian Antiretroviral Program* $200 000 00 2003	501c(3)	100,000	0	0	100,000	0	Not applicable
Total Medical Research - Set Aside		$574,905	$0	$0	$574,905	$0	
Grand Total - Set Asside		$1,134,553	$0	$0	$1,134,553	$0	

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT
12/31/04

Recipient and/or Purpose	Tax Status	Beginning Balance 2004	APPROVALS 2004	Amended 2004	Amount Paid 2004	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER OR SUBSTANTIAL CONTRIBUTOR
ARTS							
Arts International, Inc. 526 West 26th Street New York, NY 10001 *Development and implementation of a new initiative fostering cultural interchange between the U S and the Islamic world* $461,297 00 2002	501c(3)	300,000	0	(300,000)	0	0	Not Applicable
Total Arts		$300,000	$0	($300,000)	$0	$0	

DORIS DUKE CHARITABLE FOUNDATION

13-7043679

FORM 990PF, PART I - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
REALIZED GAINS(LOSS)	73,750,786	-	-	-
AUCTION SALE	18,585,696			
COMMISSION INCOME-AUCTION	1,762,103			
TOTALS	94,098,585	-	-	-

SEE STATEMENT 20A FOR DETAILS OF AUCTION SALE.

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
8	1908	Odilon Redon	N/A	01/01/99	NOTE 1	MAY 4-5, 2004	1,400,000	3,400,000	2,000,000	NOTE 2	NOTE 1
11	761	Odilon Redon (Vase de Fleurs avec branches .)	N/A	01/01/99	NOTE 1	MAY 4-5, 2004	800,000	500,000	(300,000)	NOTE 2	NOTE 1
201	755	Eugene Boudin (LeHavre bassin de L'Eure)	N/A	01/01/99	NOTE 1	MAY 4-5, 2004	140,000	220,000	80,000	NOTE 2	NOTE 1
202	759	Pierre Bonnard (Nu a L'arrosoir ou Toilette)	N/A	01/01/99	NOTE 1	MAY 4-5, 2004	90,000	260,000	170,000	NOTE 2	NOTE 1
103	1	Graduated emerald bead necklace of 53 beads	N/A	01/01/99	NOTE 1	June 2, 2004	250,000	520,000	270,000	NOTE 2	NOTE 1
104	2	Graduated two row emerald bead necklace	N/A	01/01/99	NOTE 1	June 2, 2004	350,000	1,000,000	650,000	NOTE 2	NOTE 1
105	3	Indian diamond necklace	N/A	01/01/99	NOTE 1	June 2, 2004	200,000	620,000	420,000	NOTE 2	NOTE 1
36	4	Turquoise sapphire & diamond fringe necklace	N/A	01/01/99	NOTE 1	June 2, 2004	12,000	30,000	18,000	NOTE 2	NOTE 1
35	5	Turquoise & sapphire bracelet	N/A	01/01/99	NOTE 1	June 2, 2004	6,000	16,000	10,000	NOTE 2	NOTE 1
38	6	Pair sapphire turquoise & diamond clips	N/A	01/01/99	NOTE 1	June 2, 2004	8,000	23,000	15,000	NOTE 2	NOTE 1
101	7	Art deco diamond & enamel evening bag	N/A	01/01/99	NOTE 1	June 2, 2004	12,000	85,000	73,000	NOTE 2	NOTE 1
26	10	Cabochon sapphire & ruby circular diamond & sapphir	N/A	01/01/99	NOTE 1	June 2, 2004	20,000	26,000	6,000	NOTE 2	NOTE 1
79	12	Ruby bead cultured pearl and gold necklace	N/A	01/01/99	NOTE 1	June 2, 2004	90,000	78,000	(12,000)	NOTE 2	NOTE 1
73	13	Pair of ruby bead drops	N/A	01/01/99	NOTE 1	June 2, 2004	5,000	15,000	10,000	NOTE 2	NOTE 1
60	14	Belle epoque enamel & diamond pendant watch	N/A	01/01/99	NOTE 1	June 2, 2004	2,000	18,000	16,000	NOTE 2	NOTE 1
5	16	Gold & blue enamel fob watch	N/A	01/01/99	NOTE 1	June 2, 2004	1,000	3,000	2,000	NOTE 2	NOTE 1
21	17	Group of ten various gold coins	N/A	01/01/99	NOTE 1	June 2, 2004	1,500	2,500	1,000	NOTE 2	NOTE 1
42	19	Graduated opal bead necklace	N/A	01/01/99	NOTE 1	June 2, 2004	3,000	18,000	15,000	NOTE 2	NOTE 1
41	20	Pair of opal and crystal pendant earrings	N/A	01/01/99	NOTE 1	June 2, 2004	2,000	9,500	7,500	NOTE 2	NOTE 1
108	23	Pear and marquise cut diamond tassle pin	N/A	01/01/99	NOTE 1	June 2, 2004	180,000	300,000	120,000	NOTE 2	NOTE 1
109	24	Art deco diamond bracelet	N/A	01/01/99	NOTE 1	June 2, 2004	275,000	1,080,000	805,000	NOTE 2	NOTE 1
92	26	Pair of diamond foliate scroll clips	N/A	01/01/99	NOTE 1	June 2, 2004	35,000	32,000	(3,000)	NOTE 2	NOTE 1
98	27	Art deco pearl and diamond tiara	N/A	01/01/99	NOTE 1	June 2, 2004	130,000	260,000	130,000	NOTE 2	NOTE 1
02	28	Pair of art deco diamond bracelets	N/A	01/01/99	NOTE 1	June 2, 2004	90,000	380,000	290,000	NOTE 2	NOTE 1
07	29	Art deco cabochon emerald, pearl, diamond bracelet	N/A	01/01/99	NOTE 1	June 2, 2004	200,000	180,000	(20,000)	NOTE 2	NOTE 1
106	30	Cabochon emerald, pearl diamond clip brooch	N/A	01/01/99	NOTE 1	June 2, 2004	35,000	70,000	35,000	NOTE 2	NOTE 1
96	31	Pair of diamond chandelier ear pendants	N/A	01/01/99	NOTE 1	June 2, 2004	25,000	90,000	65,000	NOTE 2	NOTE 1
81	32	Pair of circular & baguette cut diamond clip brooches	N/A	01/01/99	NOTE 1	June 2, 2004	15,000	72,000	57,000	NOTE 2	NOTE 1
97	33	Art deco pearl and diamond bracelet	N/A	01/01/99	NOTE 1	June 2, 2004	12,000	48,000	36,000	NOTE 2	NOTE 1
85	34	Art deco diamond wristwatch	N/A	01/01/99	NOTE 1	June 2, 2004	8,000	52,000	44,000	NOTE 2	NOTE 1
67	35	Pair of circular & baguette cut diamond crescent hair s	N/A	01/01/99	NOTE 1	June 2, 2004	4,000	45,000	41,000	NOTE 2	NOTE 1
69	36	Cushion cut sapphire single stone ring	N/A	01/01/99	NOTE 1	June 2, 2004	22,000	32,000	10,000	NOTE 2	NOTE 1
66	37	Pair of pearl & diamond circular cluster earclips	N/A	01/01/99	NOTE 1	June 2, 2004	2,000	14,000	12,000	NOTE 2	NOTE 1
72	38	Carved sapphire, ruby & circular cut diamond gold bra	N/A	01/01/99	NOTE 1	June 2, 2004	18,000	20,000	2,000	NOTE 2	NOTE 1
62	39	Circular oriental pearl ring	N/A	01/01/99	NOTE 1	June 2, 2004	3,000	16,000	13,000	NOTE 2	NOTE 1
63	40	Square cut sapphire single stone ring	N/A	01/01/99	NOTE 1	June 2, 2004	3,500	8,500	5,000	NOTE 2	NOTE 1
40	42	Lady's diamond wristwatch	N/A	01/01/99	NOTE 1	June 2, 2004	8,000	26,000	18,000	NOTE 2	NOTE 1
57	43	Calibre cut ruby eternity ring & flexible baguette cut dia	N/A	01/01/99	NOTE 1	June 2, 2004	1,500	10,000	8,500	NOTE 2	NOTE 1
61	45	Belle epoque calibre cut sapphire & pave set diamond	N/A	01/01/99	NOTE 1	June 2, 2004	2,500	7,500	5,000	NOTE 2	NOTE 1
100	46	Square cut sapphire, diamond & pearl bracelet	N/A	01/01/99	NOTE 1	June 2, 2004	80,000	290,000	210,000	NOTE 2	NOTE 1
29	47	Diamond, emerald & sapphire "bunch of grapes" clip	N/A	01/01/99	NOTE 1	June 2, 2004	20,000	37,000	17,000	NOTE 2	NOTE 1
30	48	Pair of sapphire & diamond flower pins	N/A	01/01/99	NOTE 1	June 2, 2004	28,000	30,000	2,000	NOTE 2	NOTE 1
31	49	Pair of cabochon sapphire & circular cut diamond trefo	N/A	01/01/99	NOTE 1	June 2, 2004	1,200	8,000	6,800	NOTE 2	NOTE 1
8	50	Oval cabochon jade single stone ring	N/A	01/01/99	NOTE 1	June 2, 2004	9,000	14,000	5,000	NOTE 2	NOTE 1
30	51	Sapphire, diamond & gemset bracelet	N/A	01/01/99	NOTE 1	June 2, 2004	17,500	38 000	20,500	NOTE 2	NOTE 1
7	52	Rectangular gold powder compact	N/A	01/01/99	NOTE 1	June 2, 2004	2,000	8,000	6,000	NOTE 2	NOTE 1
50	53	Gemset & gold vanity case	N/A	01/01/99	NOTE 1	June 2, 2004	15,000	28,000	13,000	NOTE 2	NOTE 1
7	99	Graduated single row jade bead necklace	N/A	01/01/99	NOTE 1	June 2, 2004	25,000	32,000	7,000	NOTE 2	NOTE 1
32	100	Graduated sapphire bead single row necklace	N/A	01/01/99	NOTE 1	June 2, 2004	3,500	12,000	8,500	NOTE 2	NOTE 1
83	101	Pair of emerald leaf earclips	N/A	01/01/99	NOTE 1	June 2, 2004	35,000	40,000	5,000	NOTE 2	NOTE 1
94	102	Pair of diamond snowflake earclips	N/A	01/01/99	NOTE 1	June 2, 2004	17,500	52,000	34,500	NOTE 2	NOTE 1
87	103	Pair of diamond scroll earclips	N/A	01/01/99	NOTE 1	June 2, 2004	13,500	35,000	21,500	NOTE 2	NOTE 1
99	104	Pair of diamoind & 5-strand cultured pearl bracelets	N/A	01/01/99	NOTE 1	June 2, 2004	7,000	70,000	63,000	NOTE 2	NOTE 1
88	105	Pair of aquamarine & diamond scroll earclips	N/A	01/01/99	NOTE 1	June 2, 2004	3,500	20,000	16,500	NOTE 2	NOTE 1
95	106	Pair of diamond & pearl ear pendants	N/A	01/01/99	NOTE 1	June 2, 2004	27,500	70,000	42,500	NOTE 2	NOTE 1
28	108	Cultured pearl, diamond & 18K gold brooch	N/A	01/01/99	NOTE 1	June 2, 2004	12,500	6,200	(6,300)	NOTE 2	NOTE 1
27	109	Cultured pearl, diamond & 18K gold bangle	N/A	01/01/99	NOTE 1	June 2, 2004	7,000	8,500	1,500	NOTE 2	NOTE 1
74	110	Diamond & gold twin feather brooch	N/A	01/01/99	NOTE 1	June 2, 2004	7,000	20,000	13,000	NOTE 2	NOTE 1
75	111	Diamond, turquoise, freshwater, pearl & gold indian he	N/A	01/01/99	NOTE 1	June 2, 2004	4,000	19,000	15,000	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
25	112	Multi-strand seed pearl necklace	N/A	01/01/99	NOTE 1	June 2, 2004	9,000	40,000	31,000	NOTE 2	NOTE 1
70	113	Diamond & yellow gold choker	N/A	01/01/99	NOTE 1	June 2, 2004	4,500	19,000	14,500	NOTE 2	NOTE 1
34	114	Pair of circular cut diamond & 18K gold wirework hoop	N/A	01/01/99	NOTE 1	June 2, 2004	3,000	6,200	3,200	NOTE 2	NOTE 1
49	115	Multi-strand green chalcedony bead & similated ruby b	N/A	01/01/99	NOTE 1	June 2, 2004	3,000	5,500	2,500	NOTE 2	NOTE 1
13	116	Group of jewelry	N/A	01/01/99	NOTE 1	June 2, 2004	7,000	12,000	5,000	NOTE 2	NOTE 1
9	117	Group of jewelry	N/A	01/01/99	NOTE 1	June 2, 2004	6,000	8,000	2,000	NOTE 2	NOTE 1
20	118	Diamond & 18K gold mesh evening purse	N/A	01/01/99	NOTE 1	June 2, 2004	9,000	13,000	4,000	NOTE 2	NOTE 1
24	119	Reeded silver minaudiere	N/A	01/01/99	NOTE 1	June 2, 2004	2,250	4,800	2,550	NOTE 2	NOTE 1
33	121	Cabochon turquoise lapis lazuli & circ cut diamond clu	N/A	01/01/99	NOTE 1	June 2, 2004	550	4,800	4,250	NOTE 2	NOTE 1
53	122	Lg pear shaped, oval & fancy cut citrine & 14k gold fr	N/A	01/01/99	NOTE 1	June 2, 2004	450	2,200	1,750	NOTE 2	NOTE 1
52	123	Lg cabochon citrine & gold bracelet	N/A	01/01/99	NOTE 1	June 2, 2004	900	48,000	47,100	NOTE 2	NOTE 1
11	124	Pear, half moon, triangular & circ.cut amethyst & gold	N/A	01/01/99	NOTE 1	June 2, 2004	250	3,800	3,550	NOTE 2	NOTE 1
59	125	Calibre cut sapphire, circ.cut diamond, platinum & gold	N/A	01/01/99	NOTE 1	June 2, 2004	550	1,900	1,350	NOTE 2	NOTE 1
68	127	Diamond & platinum necklace mount	N/A	01/01/99	NOTE 1	June 2, 2004	2,000	45,000	43,000	NOTE 2	NOTE 1
55	128	Citrine & gold crossover necklace	N/A	01/01/99	NOTE 1	June 2, 2004	2,500	32,000	29,500	NOTE 2	NOTE 1
3	130	Diamond & gold mesh evening bag	N/A	01/01/99	NOTE 1	June 2, 2004	2,500	7,500	5,000	NOTE 2	NOTE 1
14	131	Tumbled semi-precious gemset & gold necklace	N/A	01/01/99	NOTE 1	June 2, 2004	700	5,800	5,100	NOTE 2	NOTE 1
19	132	Group of antique jewelry	N/A	01/01/99	NOTE 1	June 2, 2004	1,250	11,000	9,750	NOTE 2	NOTE 1
2	133	19th century swiss cloisonne enamel	N/A	01/01/99	NOTE 1	June 2, 2004	1,500	3,200	1,700	NOTE 2	NOTE 1
23	135	Calibre cut sapphire & engine-turned silver cigarette c	N/A	01/01/99	NOTE 1	June 2, 2004	900	3,000	2,100	NOTE 2	NOTE 1
22	137	Silver desk clock	N/A	01/01/99	NOTE 1	June 2, 2004	450	2,200	1,750	NOTE 2	NOTE 1
54	138	Antique portuguese foil backed topaz, chrysolite & silv	N/A	01/01/99	NOTE 1	June 2, 2004	450	14,000	13,550	NOTE 2	NOTE 1
16	140	Group of costume jewelry	N/A	01/01/99	NOTE 1	June 2, 2004	100	2,200	2,100	NOTE 2	NOTE 1
17	141	Group of gold, platinum & diamond set mounts	N/A	01/01/99	NOTE 1	June 2, 2004	4,000	11,000	7,000	NOTE 2	NOTE 1
5	211	American indian bracelet	N/A	01/01/99	NOTE 1	June 2, 2004	300	4,000	3,700	NOTE 2	NOTE 1
6	212	Group of jewelry	N/A	01/01/99	NOTE 1	June 2, 2004	250	5,200	4,950	NOTE 2	NOTE 1
93	107 & 9	Pair of diamond scroll earclips&Pair of fluted bead em	N/A	01/01/99	NOTE 1	June 2, 2004	18,500	38,000	19,500	NOTE 2	NOTE 1
84	8	Graduated fluted emerald bead two row necklace	N/A	01/01/99	NOTE 1	June 2, 2004	40,000	200,000	160,000	NOTE 2	NOTE 1
110	22	Emerald cut diamond single stone ring	N/A	01/01/99	NOTE 1	June 2, 2004	650,000	1,120,000	470,000	NOTE 2	NOTE 1
111	25	Belle epoque diamond necklace	N/A	01/01/99	NOTE 1	June 2, 2004	300,000	2,100,000	1,800,000	NOTE 2	NOTE 1
82	41	Sapphire & diamond gold bangle bracelet	N/A	01/01/99	NOTE 1	June 2, 2004	14,000	60,000	46,000	NOTE 2	NOTE 1
61	44	Rectangular cut emerald & baguette cut diamond 3-st	N/A	01/01/99	NOTE 1	June 2, 2004	1,000	8,200	7,200	NOTE 2	NOTE 1
201	859	2 turquoise,aubergine/ocre budhistic lions on stands	N/A	01/01/99	NOTE 1	June 3, 2004	65,000	70,000	5,000	NOTE 2	NOTE 1
202	854	2 Chinese red/black cabinets	N/A	01/01/99	NOTE 1	June 3, 2004	7,000	6,000	(1,000)	NOTE 2	NOTE 1
203	860	2 bronze tripod jardinieres qing dynasty	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	950	(1,550)	NOTE 2	NOTE 1
204	715	1 Fereghan rug	N/A	01/01/99	NOTE 1	June 3, 2004	50	450	400	NOTE 2	NOTE 1
205	714	1 south caucasian long rug	N/A	01/01/99	NOTE 1	June 3, 2004	700	1,600	900	NOTE 2	NOTE 1
206	856	4 Chinese reverse paintings on glass	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	2,900	400	NOTE 2	NOTE 1
207	2	4 red & green side chairs	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	8,000	(2,000)	NOTE 2	NOTE 1
208	3	Pair of black lamps	N/A	01/01/99	NOTE 1	June 3, 2004	800	5,000	4,200	NOTE 2	NOTE 1
209	4	etched mirror	N/A	01/01/99	NOTE 1	June 3, 2004	7,500	13,000	5,500	NOTE 2	NOTE 1
210	5	fruitwood side table	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	11,000	1,000	NOTE 2	NOTE 1
211	855	2 Chinese famille verte jardinieres	N/A	01/01/99	NOTE 1	June 3, 2004	700	1,400	700	NOTE 2	NOTE 1
212	9	remaining contents	N/A	01/01/99	NOTE 1	June 3, 2004	600	2,600	2,000	NOTE 2	NOTE 1
213	713	1 Lavar Kirman carpet	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	6,500	(1,500)	NOTE 2	NOTE 1
214	858	2 Chinese cloisonne/hardstone ornaments	N/A	01/01/99	NOTE 1	June 3, 2004	350	1,200	850	NOTE 2	NOTE 1
215	853	2 Korean Cabinets	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	6,000	(4,000)	NOTE 2	NOTE 1
216	15	pair of bronze horses	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	6,500	3,500	NOTE 2	NOTE 1
217	861	2 Chinese doncai jardinieres qianlong style	N/A	01/01/99	NOTE 1	June 3, 2004	600	900	300	NOTE 2	NOTE 1
218	864	2 Chinese hardwood reclining chairs	N/A	01/01/99	NOTE 1	June 3, 2004	500	2,600	2,100	NOTE 2	NOTE 1
219	18	4 terracotta jardinieres	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	9,000	7,500	NOTE 2	NOTE 1
220	868	2 large sancai tilemakers figures of parrots	N/A	01/01/99	NOTE 1	June 3 2004	10,000	24,000	14,000	NOTE 2	NOTE 1
221	862	2 Chinese white soft wood altar tables	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	16,000	12,000	NOTE 2	NOTE 1
222	1641	1 etruscan bronze griffin head protome	N/A	01/01/99	NOTE 1	June 3, 2004	450	1,200	750	NOTE 2	NOTE 1
223	1640	1 bronze figure of a ram	N/A	01/01/99	NOTE 1	June 3, 2004	100	3,000	2,900	NOTE 2	NOTE 1
224	890	1 bronze figure of a ram	N/A	01/01/99	NOTE 1	June 3, 2004	400	350	(50)	NOTE 2	NOTE 1
225	1652	1 greek bronze applique	N/A	01/01/99	NOTE 1	June 3, 2004	17,500	26,000	8,500	NOTE 2	NOTE 1
226	1651	1 greek bronze bowl	N/A	01/01/99	NOTE 1	June 3, 2004	250	500	250	NOTE 2	NOTE 1
227	1633	1 roman bronze vessel	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	1,600	600	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
228	1654	2 roman bronze chest pulls	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	1,300	300	NOTE 2	NOTE 1
229	1646 & 1648	2 roman bronze chest pulls,2 roman bronze chest pull	N/A	01/01/99	NOTE 1	June 3, 2004	2,250	1,500	(750)	NOTE 2	NOTE 1
230	1638	1 bronze figure aphrodite	N/A	01/01/99	NOTE 1	June 3, 2004	1,250	4,000	2,750	NOTE 2	NOTE 1
231	1653, 1647, 1649	1 etruscan bronze thymiaterion,1 egyptian bronze mirror	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	2,600	(5,400)	NOTE 2	NOTE 1
232	1632	1 etruscan bronze mirror	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	20,000	5,000	NOTE 2	NOTE 1
233	1657	1 egyptian stucco mummy mask of woman	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	3,500	500	NOTE 2	NOTE 1
234	1655	1 egyptian stucco mummy mask of woman	N/A	01/01/99	NOTE 1	June 3, 2004	1,250	2,800	1,550	NOTE 2	NOTE 1
235	1634 1635, 1636, 1637, 1644, 1645	1 egyptian bronze figure-goddess (sekhmet?),1 egyptian bronze figure-striding anhour;1 egyptian bronze figure-striding ne heb ka,1 egyptian bronze figure-bast;1 egyptian bronze figure apis bull	N/A	01/01/99	NOTE 1	June 3, 2004	2,820	3,000	180	NOTE 2	NOTE 1
236	1642	2 egyptian style bronze cats	N/A	01/01/99	NOTE 1	June 3, 2004	30	3,500	3,470	NOTE 2	NOTE 1
237	1656 & 1669	1 egyptian veined alabaster bowl,1 egyptian alabaster jar	N/A	01/01/99	NOTE 1	June 3, 2004	1,520	4,800	3,280	NOTE 2	NOTE 1
238	1650	1 large egyptian bronze figure - cat	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	260,000	245,000	NOTE 2	NOTE 1
239	920	2 onental gilt/black earthenware jardinieres	N/A	01/01/99	NOTE 1	June 3, 2004	400	650	250	NOTE 2	NOTE 1
240	921	1 chinese hardwood cabinet	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	6,500	2,500	NOTE 2	NOTE 1
241	718	1 Kula medallion carpet	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	8,000	6,500	NOTE 2	NOTE 1
242	925	1 celadon glazed archaistic pear-shaped vase, Hu	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	7,000	4,500	NOTE 2	NOTE 1
243	924	2 opague white glass bowls	N/A	01/01/99	NOTE 1	June 3, 2004	500	700	200	NOTE 2	NOTE 1
244	923	2 opague white glass bowls	N/A	01/01/99	NOTE 1	June 3, 2004	650	3,700	3,050	NOTE 2	NOTE 1
245	919	1 Japanese woven silk view of Mt. Fuji	N/A	01/01/99	NOTE 1	June 3, 2004	100	1,400	1,300	NOTE 2	NOTE 1
246	922	2 chinese cloisonne enamel/jade tree ornaments	N/A	01/01/99	NOTE 1	June 3, 2004	500	700	200	NOTE 2	NOTE 1
247	918	1 Japanese Vitrine	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	7,000	(1,000)	NOTE 2	NOTE 1
248	926	5 bronze figures of animals and dinosaur	N/A	01/01/99	NOTE 1	June 3, 2004	50	600	550	NOTE 2	NOTE 1
249	927 935	1 chinese famille rose octagonal bowl,1 apple green glazed jar	N/A	01/01/99	NOTE 1	June 3, 2004	175	850	675	NOTE 2	NOTE 1
250	899	1 green hu-shaped vase, Han	N/A	01/01/99	NOTE 1	June 3, 2004	2,750	3,000	250	NOTE 2	NOTE 1
251	901	1 green-glazed ovriform jar and cover	N/A	01/01/99	NOTE 1	June 3, 2004	4,500	3,200	(1,300)	NOTE 2	NOTE 1
252	36	louis XVI beechwood foot stool	N/A	01/01/99	NOTE 1	June 3, 2004	100	300	200	NOTE 2	NOTE 1
253	719	1 English needlepoint carpet	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	15,500	7,500	NOTE 2	NOTE 1
254	31	1 regency mahogany library globe	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	9,500	(500)	NOTE 2	NOTE 1
255	27	1 george III prembroke table	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	6,000	(2,000)	NOTE 2	NOTE 1
256	39	2 famille rose procelain vessels	N/A	01/01/99	NOTE 1	June 3, 2004	1,800	4,000	2,200	NOTE 2	NOTE 1
257	40 & 38	1 George III inlaid tea caddy;Group of decorative items	N/A	01/01/99	NOTE 1	June 3, 2004	550	550	-	NOTE 2	NOTE 1
258	26	1 black/gilt papier mache tea tray	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	7,500	6,000	NOTE 2	NOTE 1
259	22	walnut library steps	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	8,500	4,500	NOTE 2	NOTE 1
260	37	1 Louis XVI kidney shaped writing table	N/A	01/01/99	NOTE 1	June 3, 2004	600	10,000	9,400	NOTE 2	NOTE 1
261	23	george III table satinwood table	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	26,000	11,000	NOTE 2	NOTE 1
262	30	1 queen anne slant lid walnut bureau	N/A	01/01/99	NOTE 1	June 3, 2004	3,500	5,500	2,000	NOTE 2	NOTE 1
263	25	5 queen anne bergeres (chairs?)	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	21,000	18,500	NOTE 2	NOTE 1
264	28	1 blue-john three-part mantel garniture	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	18,000	13,000	NOTE 2	NOTE 1
265	29	1 empire bronze inkstand	N/A	01/01/99	NOTE 1	June 3, 2004	500	2,000	1,500	NOTE 2	NOTE 1
266	756	School of Sir Thomas Lawrence (profile of young girl)	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	24,000	22,500	NOTE 2	NOTE 1
267	1680	4 vols The Newgate Calendar Ed A. Knapp, W Baldwin	N/A	01/01/99	NOTE 1	June 3, 2004	600	950	350	NOTE 2	NOTE 1
268	1681	8 vols Tipping, H.A., English Homes	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	3,800	2,800	NOTE 2	NOTE 1
269	1683	5 vols The Wrightsman Collection	N/A	01/01/99	NOTE 1	June 3, 2004	800	3,500	2,700	NOTE 2	NOTE 1
270	1685	Daniell, Thomas and William, A Picturesque Tour	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	2,800	(1,200)	NOTE 2	NOTE 1
271	1695	8 vols (Binding) Recueil des Comedies et Ballets	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	1,400	400	NOTE 2	NOTE 1
272	1697	4 vols (Cruikshank, George) Thackery, W M , Essay	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	2,000	(1,000)	NOTE 2	NOTE 1
274	2316	Kipling Rudyard (1865-1936) Writing in Prose and Ve	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	2,800	1,800	NOTE 2	NOTE 1
275	1682	2 portfolios botanical prints by Guillol	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	11,000	10,000	NOTE 2	NOTE 1
276	2315	Hardy, Thomas The Writings in Prose and Verse (189	N/A	01/01/99	NOTE 1	June 3, 2004	1,200	2,800	1,600	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
277	2314	Emerson,Ralph Waldo, Works Cambridge River Pres	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	3,200	200	NOTE 2	NOTE 1
278	1679	4 vols Bebbe, William, Monograph of the Pheasants	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	5,500	2,500	NOTE 2	NOTE 1
279	2319	Tagore, Rabindranath (1861-1941) Works 16 vols	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	3,200	200	NOTE 2	NOTE 1
280	2317 & 2318	Scott, Sir Walter, (1771-1832) Waverley Novels Edinb	N/A	01/01/99	NOTE 1	June 3, 2004	1,400	3,500	2,100	NOTE 2	NOTE 1
282	1678	3 vols M'Kenney, T and J Hall, History of Indian Tribe	N/A	01/01/99	NOTE 1	June 3, 2004	30,000	80,000	50,000	NOTE 2	NOTE 1
283	1677	2 vols Curtis William, Flora Londinensis .	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	18,000	3,000	NOTE 2	NOTE 1
284	1694	7 vols Audubon, John James, The Birds of America	N/A	01/01/99	NOTE 1	June 3, 2004	25,000	55,000	30,000	NOTE 2	NOTE 1
285	95	2 Louis XVI ormolu candelsticks	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	7,000	5,500	NOTE 2	NOTE 1
286	96	1 black/gold bonheur de jour	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	24,000	21,000	NOTE 2	NOTE 1
287	73	6 rococo scarlet/gilt side chairs	N/A	01/01/99	NOTE 1	June 3, 2004	20,000	17,000	(3,000)	NOTE 2	NOTE 1
288	74	1 Queen anne scarlet/gilt bureau cabinet	N/A	01/01/99	NOTE 1	June 3, 2004	20,000	47,000	27,000	NOTE 2	NOTE 1
289	75	1 Louis XV Ormolu Scarlet/blanc ink stand	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	2,400	1,400	NOTE 2	NOTE 1
290	78	1 queen anne black/gilt cabinet on later stand	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	7,500	5,500	NOTE 2	NOTE 1
291	79	1 black/gold 8-panel screen	N/A	01/01/99	NOTE 1	June 3, 2004	25,000	65,000	40,000	NOTE 2	NOTE 1
292	76	8 Louis XV walnut seat furniture	N/A	01/01/99	NOTE 1	June 3, 2004	150,000	55,000	(95,000)	NOTE 2	NOTE 1
294	92	1 Louis XVI tulipwood/amaranth bureau plat	N/A	01/01/99	NOTE 1	June 3, 2004	85,000	45,000	(40,000)	NOTE 2	NOTE 1
295	81	1 ital baroque verre giltwood mirror	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	22,000	12,000	NOTE 2	NOTE 1
296	82	2 rock crystal man5tel ornaments	N/A	01/01/99	NOTE 1	June 3, 2004	800	11,000	10,200	NOTE 2	NOTE 1
297	83	1 Louis XVI ormolu/white marble mantel clock	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	12,000	9,500	NOTE 2	NOTE 1
298	950, 951	Miscellaneous group of oriental items,1 molded white glazed vase	N/A	01/01/99	NOTE 1	June 3, 2004	125	1,500	1,375	NOTE 2	NOTE 1
299	80	1 black/gilt tole chafing dish	N/A	01/01/99	NOTE 1	June 3, 2004	300	400	100	NOTE 2	NOTE 1
300	87	1 ital rococo/scarlet/green/gilt box	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	7,500	6,500	NOTE 2	NOTE 1
301	97	1 Louis philippe gilt ruby glass jewelry casket	N/A	01/01/99	NOTE 1	June 3, 2004	500	3,200	2,700	NOTE 2	NOTE 1
302	98	2 victorian tole vases	N/A	01/01/99	NOTE 1	June 3, 2004	100	550	450	NOTE 2	NOTE 1
303	946	1 chinese white soft wood Kang table	N/A	01/01/99	NOTE 1	June 3, 2004	700	950	250	NOTE 2	NOTE 1
304	90	1 Louis XVI white settee	N/A	01/01/99	NOTE 1	June 3, 2004	300	6,000	5,700	NOTE 2	NOTE 1
305	91	2 baroque scarlet/gilt table caskets	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	8,500	3,500	NOTE 2	NOTE 1
306	760	French School, 19th cent (2 pastoral scenes w/figures	N/A	01/01/99	NOTE 1	June 3, 2004	800	2,200	1,400	NOTE 2	NOTE 1
307	721	1 Aubusson carpet	N/A	01/01/99	NOTE 1	June 3, 2004	20,000	26,000	6,000	NOTE 2	NOTE 1
308	1323	2 pans compana vases	N/A	01/01/99	NOTE 1	June 3, 2004	1,250	2,400	1,150	NOTE 2	NOTE 1
309	1330	2 french tapring square cache pots	N/A	01/01/99	NOTE 1	June 3, 2004	600	2,200	1,600	NOTE 2	NOTE 1
310	1332	1 pans porcelain faux bois 2-handled ecuelle cover &	N/A	01/01/99	NOTE 1	June 3, 2004	1,750	1,800	50	NOTE 2	NOTE 1
312	1159	1 nidervilier blue porcelain tete-a-tete	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	2,000	(2,000)	NOTE 2	NOTE 1
313	1279	2 contl 2-handled vases	N/A	01/01/99	NOTE 1	June 3, 2004	400	1,700	1,300	NOTE 2	NOTE 1
314	1228	38 sevres porcelain dinner plates	N/A	01/01/99	NOTE 1	June 3, 2004	3,500	4,000	500	NOTE 2	NOTE 1
315	1219	Set (25) contl Porcelain plates-24 & 1 compote	N/A	01/01/99	NOTE 1	June 3, 2004	500	3,200	2,700	NOTE 2	NOTE 1
317	1227	21 sevres porcelain dinner plates	N/A	01/01/99	NOTE 1	June 3, 2004	2,250	2,400	150	NOTE 2	NOTE 1
318	1203 & 1214	Set (26) contl porcelain dessrt plates(24) & 2 compotes,1 pans porcelain part dessert service	N/A	01/01/99	NOTE 1	June 3, 2004	900	3,500	2,600	NOTE 2	NOTE 1
319	1216	Set (12) samson porcelain plates	N/A	01/01/99	NOTE 1	June 3, 2004	500	850	350	NOTE 2	NOTE 1
320	1276 & 1304	2 french faience baluster vases & covers,1 czechoslovakian porcelain mantel garniture (4 pcs)	N/A	01/01/99	NOTE 1	June 3, 2004	525	1,000	475	NOTE 2	NOTE 1
321	942	2 chinese champleve enamel jade flower arrangement	N/A	01/01/99	NOTE 1	June 3, 2004	800	1,600	800	NOTE 2	NOTE 1
322	20	pair of irish 4 light candelabras	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	3,800	800	NOTE 2	NOTE 1
323	21	pair of black side tables	N/A	01/01/99	NOTE 1	June 3, 2004	7,500	11,000	3,500	NOTE 2	NOTE 1
324	941	2 chinese canton enamel hardstone flower arrangeme	N/A	01/01/99	NOTE 1	June 3, 2004	300	950	650	NOTE 2	NOTE 1
325	70	4 wall brackets polychromed blackamoor	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	2,800	1,300	NOTE 2	NOTE 1
327	940	2 chinese famille rose porcelain fish bowls	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	15,000	10,000	NOTE 2	NOTE 1
328	57	1 Louis XVI tulipwood bureau plat	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	4,000	1,500	NOTE 2	NOTE 1
329	58	1 Charles X black/gilt tole hot water urn	N/A	01/01/99	NOTE 1	June 3, 2004	500	3,000	2,500	NOTE 2	NOTE 1
330	59	1 Louis XV ormolu chinese inkstand	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	4,200	3,200	NOTE 2	NOTE 1
331	60	1 bronze bust napoleon	N/A	01/01/99	NOTE 1	June 3, 2004	500	1,700	1,200	NOTE 2	NOTE 1
332	43	2 Napoleon III black/gilt tole oil lamps	N/A	01/01/99	NOTE 1	June 3, 2004	1,200	1,400	200	NOTE 2	NOTE 1
333	42	2 empire mahogany/ebonized guerdons	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	3,500	1,000	NOTE 2	NOTE 1
334	44	1 biedermeier rosewood upright piano	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	11,000	(4,000)	NOTE 2	NOTE 1
336	51	1 George III mahogany 3-tier dumbwaiter	N/A	01/01/99	NOTE 1	June 3, 2004	500	2,200	1,700	NOTE 2	NOTE 1
337	52	1 George III mahogany urn stand	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	7,500	5,500	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
338	46	1 regency ebonized/parcel gilt table	N/A	01/01/99	NOTE 1	June 3, 2004	3,500	1,400	(2,100)	NOTE 2	NOTE 1
339	64	1 regency mahogany drum table	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	9,000	6,000	NOTE 2	NOTE 1
340	933	2 chinese famille rose porcelain figures of pigeons	N/A	01/01/99	NOTE 1	June 3, 2004	300	1,400	1,100	NOTE 2	NOTE 1
341	69	1 vase chinese gilt black	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	5,500	3,500	NOTE 2	NOTE 1
342	65	1 victorian black/chrome 4-panel screen	N/A	01/01/99	NOTE 1	June 3, 2004	800	1,200	400	NOTE 2	NOTE 1
343	55	2 Louis XV ormolu wall brackets	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	19,000	14,000	NOTE 2	NOTE 1
344	53	4 rococo walnut armchairs	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	25,000	17,000	NOTE 2	NOTE 1
345	41	4 empire brass/mahogany chaises	N/A	01/01/99	NOTE 1	June 3, 2004	20,000	21,000	1,000	NOTE 2	NOTE 1
346	56	2 empire mahogany/fumed guerdons	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	5,500	4,000	NOTE 2	NOTE 1
347	50	1 regency rosewood drop-leaf table	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	7,500	3,500	NOTE 2	NOTE 1
348	930	2 chinese straw glazed equestrian figures	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	12,000	9,000	NOTE 2	NOTE 1
349	61	1 Chinese black/gold game table	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	24,000	16,000	NOTE 2	NOTE 1
350	928	1 chinese black low table	N/A	01/01/99	NOTE 1	June 3, 2004	300	1,200	900	NOTE 2	NOTE 1
351	720	1 English pile-woven carpet	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	85,000	70,000	NOTE 2	NOTE 1
352	62	1 George III giltwood mirror c 1760	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	20,000	5,000	NOTE 2	NOTE 1
353	48	2 Louis XV gilt/metal chenets	N/A	01/01/99	NOTE 1	June 3, 2004	500	1,700	1,200	NOTE 2	NOTE 1
354	758	Conti School (Pair of Landscapes)	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	1,200	200	NOTE 2	NOTE 1
355	934, 936	Group (5) aubergine glazed vessels, Qing,2 aubergine glazed bowls	N/A	01/01/99	NOTE 1	June 3, 2004	850	2,800	1,950	NOTE 2	NOTE 1
356	929, 931	1 chinese agate brushwasher;1 chinese soapstone 2-handled vessel	N/A	01/01/99	NOTE 1	June 3, 2004	190	1,100	910	NOTE 2	NOTE 1
357	939	Miscellaneous group of oriental items	N/A	01/01/99	NOTE 1	June 3, 2004	150	750	600	NOTE 2	NOTE 1
358	938	1 black glazed globular bottle vase	N/A	01/01/99	NOTE 1	June 3, 2004	450	1,400	950	NOTE 2	NOTE 1
359	1327	1 royal worcester biscuit jar & cover	N/A	01/01/99	NOTE 1	June 3, 2004	350	1,100	750	NOTE 2	NOTE 1
360	1145	2 derby iman smal pot pourri jars	N/A	01/01/99	NOTE 1	June 3, 2004	350	750	400	NOTE 2	NOTE 1
361	1146	1 english demi-lune bough pot	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	2,400	900	NOTE 2	NOTE 1
362	1147	1 derby partridge tureen & cover	N/A	01/01/99	NOTE 1	June 3, 2004	1,750	4,000	2,250	NOTE 2	NOTE 1
363	1148	1 chelsea box formed as a hare	N/A	01/01/99	NOTE 1	June 3, 2004	17,500	40,000	22,500	NOTE 2	NOTE 1
364	1155, 1153 & 1311	2 derby porcelain figures of a gardener and shepherd	N/A	01/01/99	NOTE 1	June 3, 2004	2,050	1,300	(750)	NOTE 2	NOTE 1
365	1162	2 derby figural candelabra	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	1,400	(1,100)	NOTE 2	NOTE 1
366	1150	2 derby bocage figures	N/A	01/01/99	NOTE 1	June 3, 2004	700	750	50	NOTE 2	NOTE 1
368	1165	2 bow figural sweet meat dishes	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	8,000	4,000	NOTE 2	NOTE 1
369	1160, 1161 & 1156	3 bow porcelain bocage figures	N/A	01/01/99	NOTE 1	June 3, 2004	3,100	3,200	100	NOTE 2	NOTE 1
370	1154	2 english spill vases	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	2,600	600	NOTE 2	NOTE 1
371	1151 & 1163	2 english porcelain spill vases,2 english spill vases	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	3,000	1,000	NOTE 2	NOTE 1
372	1157	4 staffordshire spill vases	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	1,600	600	NOTE 2	NOTE 1
373	1158	1 chelsea peony dish	N/A	01/01/99	NOTE 1	June 3, 2004	1,750	3,800	2,050	NOTE 2	NOTE 1
375	1149	1 english pearlware model of a ram	N/A	01/01/99	NOTE 1	June 3, 2004	800	2,000	1,200	NOTE 2	NOTE 1
377	149	2 red/blue celadon vases	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	6,500	4,000	NOTE 2	NOTE 1
378	152	2 samson procelain baluster vases	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	6,000	4,500	NOTE 2	NOTE 1
379	110	1 George II walnut bench	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	14,000	11,000	NOTE 2	NOTE 1
380	954	1 octagonal korean box and cover	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	3,500	1,500	NOTE 2	NOTE 1
381	963	1 annamese pale celadon glazed lime pot	N/A	01/01/99	NOTE 1	June 3, 2004	750	650	(100)	NOTE 2	NOTE 1
382	118	1 Charles II mother-pearl inlaid oak coffer	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	6,000	4,500	NOTE 2	NOTE 1
383	1660	1 greek marble relief head of youth	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	22,000	14,000	NOTE 2	NOTE 1
384	116	4 Wm/Mary walnut/gilt armchairs	N/A	01/01/99	NOTE 1	June 3, 2004	20,000	27,000	7,000	NOTE 2	NOTE 1
385	117	6 Wm/Mary walnut open armchairs	N/A	01/01/99	NOTE 1	June 3, 2004	6,000	19,000	13,000	NOTE 2	NOTE 1
386	114	1 Queen anne walnut drop-leaf table	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	13,000	9,000	NOTE 2	NOTE 1
387	113	1 large round malachite shallow dish	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	6,500	5,500	NOTE 2	NOTE 1
388	147	2 Wucai baluster jars	N/A	01/01/99	NOTE 1	June 3, 2004	3,500	9,500	6,000	NOTE 2	NOTE 1
389	148	1 oriental elephant	N/A	01/01/99	NOTE 1	June 3, 2004	250	1,200	950	NOTE 2	NOTE 1
390	1661 & 1670	2 roman marble hands	N/A	01/01/99	NOTE 1	June 3, 2004	165	950	785	NOTE 2	NOTE 1
391	1659	1 egyptian limestone figure reclining lion	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	1,100	100	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
392	126	1 Geo III mahogany tripod table	N/A	01/01/99	NOTE 1	June 3, 2004	800	2,300	1,500	NOTE 2	NOTE 1
393	124	1 Geo III mahogany tripod table	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	4,200	2,200	NOTE 2	NOTE 1
394	127	1 oak refectory table	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	36,000	26,000	NOTE 2	NOTE 1
395	1306	Group (4) bohemian stag cranberry flash glass	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	2,800	800	NOTE 2	NOTE 1
397	128	2 regency oak stools	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	9,000	7,500	NOTE 2	NOTE 1
398	145	1 Geo II limed mahogany side table	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	30,000	20,000	NOTE 2	NOTE 1
399	722	1 Chinese carpet	N/A	01/01/99	NOTE 1	June 3, 2004	30,000	8,000	(22,000)	NOTE 2	NOTE 1
400	144	1 chin black/gold cabinet on wm/mary stand	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	22,000	12,000	NOTE 2	NOTE 1
401	111	1 George II rosewood silver table	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	6,200	3,200	NOTE 2	NOTE 1
402	953	1 chinese scarlet/black low table	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	4,800	2,300	NOTE 2	NOTE 1
403	184	1 wm/mary black/gold longcase clock	N/A	01/01/99	NOTE 1	June 3, 2004	18,000	48,000	30,000	NOTE 2	NOTE 1
404	119	1 Geo III mahogany pole screen	N/A	01/01/99	NOTE 1	June 3, 2004	750	300	(450)	NOTE 2	NOTE 1
405	122	1 brass trivet	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	950	(550)	NOTE 2	NOTE 1
406	129	1 Regency brass mahogany peat bucket	N/A	01/01/99	NOTE 1	June 3, 2004	800	850	50	NOTE 2	NOTE 1
407	132	1 wm/mary oyster walnut side table	N/A	01/01/99	NOTE 1	June 3, 2004	3,500	9,000	5,500	NOTE 2	NOTE 1
408	120	1 wm/mary oyster/walnut side table	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	4,800	800	NOTE 2	NOTE 1
410	112	1 screen 6-panel	N/A	01/01/99	NOTE 1	June 3, 2004	7,500	14,000	6,500	NOTE 2	NOTE 1
411	115	1 Queen anne walnut foldover tea table	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	3,000	2,000	NOTE 2	NOTE 1
412	139	1 George II yewwood drop-leaf table	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	11,000	7,000	NOTE 2	NOTE 1
413	123	1 rococo scagliola table top	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	21,000	6,000	NOTE 2	NOTE 1
414	142	1 George II mahogany tripod table	N/A	01/01/99	NOTE 1	June 3, 2004	7,500	9,500	2,000	NOTE 2	NOTE 1
415	143	1 dutch painted leather 6-panel screen	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	16,000	11,000	NOTE 2	NOTE 1
416	960	2 famille rose yanyan vases	N/A	01/01/99	NOTE 1	June 3, 2004	8,500	15,000	6,500	NOTE 2	NOTE 1
417	955	Set (4) chinese famille rose porcelain plaques	N/A	01/01/99	NOTE 1	June 3, 2004	250	1,800	1,550	NOTE 2	NOTE 1
418	956	1 japanese patinated bronze figure	N/A	01/01/99	NOTE 1	June 3, 2004	100	3,800	3,700	NOTE 2	NOTE 1
419	141	2 George II library armchairs	N/A	01/01/99	NOTE 1	June 3, 2004	18,000	55,000	37,000	NOTE 2	NOTE 1
420	140	2 George II mahogany armchairs	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	65,000	50,000	NOTE 2	NOTE 1
421	965	2 blue blazed conical bowls	N/A	01/01/99	NOTE 1	June 3 2004	5,000	4,200	(800)	NOTE 2	NOTE 1
422	964	2 blue/white jardinieres	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	3,000	1,500	NOTE 2	NOTE 1
423	133	1 queen anne walnut open armchair	N/A	01/01/99	NOTE 1	June 3, 2004	7,500	2,800	(4,700)	NOTE 2	NOTE 1
424	134	1 queen anne burr walnut pedestal desk	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	14,000	(1,000)	NOTE 2	NOTE 1
425	150	1 chinese procelain mallet-form vase	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	3,200	1,700	NOTE 2	NOTE 1
426	135	1 george I walnut box	N/A	01/01/99	NOTE 1	June 3, 2004	300	1,200	900	NOTE 2	NOTE 1
427	137	1 gilt embossed leather stationery box	N/A	01/01/99	NOTE 1	June 3, 2004	300	1,300	1,000	NOTE 2	NOTE 1
428	766	School of Sir G Kneller (Portrait of a Lady)	N/A	01/01/99	NOTE 1	June 3, 2004	3,500	22,000	18,500	NOTE 2	NOTE 1
429	770	School of Sir Anthony Van Dyke (Portrait of a Lady)	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	32,000	29,000	NOTE 2	NOTE 1
430	768	Philip Reinagle (Full Cry)	N/A	01/01/99	NOTE 1	June 3, 2004	40,000	16,000	(24,000)	NOTE 2	NOTE 1
432	785	John Most Sartorius (fox hunting)	N/A	01/01/99	NOTE 1	June 3, 2004	25,000	11,000	(14,000)	NOTE 2	NOTE 1
433	767	G Morland/P Reinagle (Mr F Fane's Hounds)	N/A	01/01/99	NOTE 1	June 3, 2004	70,000	80,000	10,000	NOTE 2	NOTE 1
436	780	Henry Alken (The Kill)	N/A	01/01/99	NOTE 1	June 3, 2004	25,000	15,000	(10,000)	NOTE 2	NOTE 1
437	769	John Most Sartorius (Going out in the morning) etc (6)	N/A	01/01/99	NOTE 1	June 3, 2004	125,000	90,000	(35,000)	NOTE 2	NOTE 1
438	164	2 Geo III cutlery boxes	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	24,000	19,000	NOTE 2	NOTE 1
439	165	2 Geo III mahogany dining room pedestals	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	8,000	3,000	NOTE 2	NOTE 1
440	166	1 Geo III mahogany serving table	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	24,000	9,000	NOTE 2	NOTE 1
441	168	1 Regency brass/mahogany wine cooler	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	5,500	3,000	NOTE 2	NOTE 1
442	167	1 Geo III giltwood overmantle	N/A	01/01/99	NOTE 1	June 3, 2004	175,000	1,400,000	1,225,000	NOTE 2	NOTE 1
443	159	1 Lou XVI ormolu/white marble mantle clock	N/A	01/01/99	NOTE 1	June 3 2004	12,000	8,000	(4,000)	NOTE 2	NOTE 1
444	966	1 white jade figure of a female immortal	N/A	01/01/99	NOTE 1	June 3, 2004	6,000	8,500	2,500	NOTE 2	NOTE 1
445	172	(?) 26 (set) Geo III dining chairs	N/A	01/01/99	NOTE 1	June 3, 2004	20,000	20,000	-	NOTE 2	NOTE 1
447	173	1 regency mahogany 3-pedestal dining table	N/A	01/01/99	NOTE 1	June 3, 2004	18,000	19,000	1,000	NOTE 2	NOTE 1
448	157	2 cut-glass 2-light candelabra	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	6,000	4,000	NOTE 2	NOTE 1
449	158	2 Geo III mahogany torcheres	N/A	01/01/99	NOTE 1	June 3, 2004	12,000	13,000	1,000	NOTE 2	NOTE 1
450	162	1 regency mahogany breakfast table	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	13,000	8,000	NOTE 2	NOTE 1
451	154	1 Geo III mahogany side table	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	7,000	4,500	NOTE 2	NOTE 1
452	155	1 regency mahogany wine cooler	N/A	01/01/99	NOTE 1	June 3, 2004	7,000	20,000	13,000	NOTE 2	NOTE 1
453	2197	A Louis XVI Abbussion Carpet laste qtr 18th century	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	28,000	18,000	NOTE 2	NOTE 1
454	174	5 (set) Lou XIV silk/wool embroid petmets	N/A	01/01/99	NOTE 1	June 3, 2004	30,000	38,000	8,000	NOTE 2	NOTE 1
456	176	1 Geo II mahogany display cabinet	N/A	01/01/99	NOTE 1	June 3, 2004	500	5,500	5,000	NOTE 2	NOTE 1
45[illegible]	967	2 famille rose jardinieres	N/A	01/01/99	NOTE 1	June 3, 2004	40,000	100,000	60,000	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
458	163	2 Lou XV giltwood urn stands	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	16,000	11,000	NOTE 2	NOTE 1
459	1174	Part dinner service (15) chinese porcelain initialed	N/A	01/01/99	NOTE 1	June 3, 2004	14,000	17,000	3,000	NOTE 2	NOTE 1
460	1271	Large chinese porcelain armorial dinner service (101)	N/A	01/01/99	NOTE 1	June 3, 2004	150,000	125,000	(25,000)	NOTE 2	NOTE 1
461	1210	Chinese porcelain blue,white part dinner service (36)	N/A	01/01/99	NOTE 1	June 3, 2004	13,300	7,000	(6,300)	NOTE 2	NOTE 1
463	1211	Chinese porcelain blue/gilt dinner service (65)	N/A	01/01/99	NOTE 1	June 3, 2004	12,000	19,000	7,000	NOTE 2	NOTE 1
464	1274	2 chinese porcelain mandarin palette hunting bowls	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	8,500	500	NOTE 2	NOTE 1
465	1275	2 chinese porcelain famille rose/blue bowls	N/A	01/01/99	NOTE 1	June 3, 2004	800	2,200	1,400	NOTE 2	NOTE 1
466	72, 1273 12	Three Chinese export porcelain mandarin palette bowl	N/A	01/01/99	NOTE 1	June 3, 2004	2,050	2,200	150	NOTE 2	NOTE 1
467	1280	Chinese mandarin palette child's part tea service (11)	N/A	01/01/99	NOTE 1	June 3, 2004	900	1,700	800	NOTE 2	NOTE 1
468	1328	1 chinese porcelain mandarin palette mug	N/A	01/01/99	NOTE 1	June 3, 2004	350	1,700	1,350	NOTE 2	NOTE 1
469	169	1 regency black/gilt tole tray	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	6,000	3,000	NOTE 2	NOTE 1
470	170	1 regency black/gilt papier mache tray	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	1,000	-	NOTE 2	NOTE 1
471	1215	Set (18) chinese iman porcelain dinner plates	N/A	01/01/99	NOTE 1	June 3, 2004	1,800	6,000	4,200	NOTE 2	NOTE 1
472	1281	Chinese assembled green fitzhugh part dinner service	N/A	01/01/99	NOTE 1	June 3, 2004	12,000	16,000	4,000	NOTE 2	NOTE 1
473	1143	2 chinese famille verte porcelain jardinieres	N/A	01/01/99	NOTE 1	June 3, 2004	900	5,000	4,100	NOTE 2	NOTE 1
474	937	1 famille verte deep dish, Kangxi	N/A	01/01/99	NOTE 1	June 3, 2004	2,150	3,800	1,650	NOTE 2	NOTE 1
475	1168	2 famille rose porcelain jardenieres	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	6,500	5,000	NOTE 2	NOTE 1
477	1169	2 chinese porcelain rectangular jardinieres	N/A	01/01/99	NOTE 1	June 3, 2004	500	3,200	2,700	NOTE 2	NOTE 1
478	1144	1 rare chinese "pronk" porcelain oval basin	N/A	01/01/99	NOTE 1	June 3, 2004	50,000	22,000	(28,000)	NOTE 2	NOTE 1
479	1191	1 irish cut glass footed urn & cover	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	2,200	1,200	NOTE 2	NOTE 1
480	1190	2 irish cut glass jars & covers	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	5,500	3,000	NOTE 2	NOTE 1
481	1189	2 irish oval 2-handled butter tubs, covers, stands	N/A	01/01/99	NOTE 1	June 3, 2004	1,250	2,800	1,550	NOTE 2	NOTE 1
482	1185	1 irish oval 2-handled sugar bowl & cover	N/A	01/01/99	NOTE 1	June 3, 2004	600	800	200	NOTE 2	NOTE 1
483	1194	4 large irish cut glass candlesticks	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	24 000	20,000	NOTE 2	NOTE 1
484	1175	4 irish cut glass candlesticks	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	5,500	1,500	NOTE 2	NOTE 1
485	1172	2 irish circular butter coolers,covers,stands	N/A	01/01/99	NOTE 1	June 3, 2004	1,750	4,200	2,450	NOTE 2	NOTE 1
486	1178 & 1175	A cut glass bowl and a stand	N/A	01/01/99	NOTE 1	June 3, 2004	875	850	(25)	NOTE 2	NOTE 1
487	1199	2 irish boat shaped 2-handled bowls & stands	N/A	01/01/99	NOTE 1	June 3, 2004	1,250	1,400	150	NOTE 2	NOTE 1
488	1186	1 irish oval centerpiece bowl and base	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	4,200	3,200	NOTE 2	NOTE 1
489	1192	1 irish oval cut glass centerpiece bowl	N/A	01/01/99	NOTE 1	June 3, 2004	1,250	3 500	2,250	NOTE 2	NOTE 1
490	1170	2 irish large covered glass bowls	N/A	01/01/99	NOTE 1	June 3, 2004	2,250	7 000	4,750	NOTE 2	NOTE 1
491	1177	2 irish decanters & stoppers	N/A	01/01/99	NOTE 1	June 3 2004	1 750	6,500	4,750	NOTE 2	NOTE 1
492	1196	1 irish cut glass circular turnover bowl	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	1,600	600	NOTE 2	NOTE 1
493	1171	1 irish glass wine fountain	N/A	01/01/99	NOTE 1	June 3 2004	3 250	9,500	6,250	NOTE 2	NOTE 1
494	1183	2 irish large cut glass bowls	N/A	01/01/99	NOTE 1	June 3, 2004	800	2,400	1,600	NOTE 2	NOTE 1
495	82, 1187, 11	Twelve cut glass sweetmeat bowls	N/A	01/01/99	NOTE 1	June 3, 2004	1,285	1,900	615	NOTE 2	NOTE 1
496	1176	2 irish cut glass cruet bottles & stoppers	N/A	01/01/99	NOTE 1	June 3 2004	600	850	250	NOTE 2	NOTE 1
497	1255	4 english fluted goblets	N/A	01/01/99	NOTE 1	June 3, 2004	350	1 000	650	NOTE 2	NOTE 1
498	1201	1 irish oval turnover bowl	N/A	01/01/99	NOTE 1	June 3, 2004	1,250	3,000	1,750	NOTE 2	NOTE 1
499	1184	1 irish oval turnover fruit bowl	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	2,800	1,800	NOTE 2	NOTE 1
500	1200	2 irish cut glass jars & covers	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	3,200	1,200	NOTE 2	NOTE 1
501	1193	2 irish cut glass circular bowls, covers, stands	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	700	(300)	NOTE 2	NOTE 1
503	1250 & 1249	Ten englishe opaque twist wine glasses	N/A	01/01/99	NOTE 1	June 3, 2004	1,700	2,400	700	NOTE 2	NOTE 1
506	1257	Assorted group of anglo irish cut colorless glass	N/A	01/01/99	NOTE 1	June 3, 2004	300	1,100	800	NOTE 2	NOTE 1
507	1254	8 english crested cordial glasses	N/A	01/01/99	NOTE 1	June 3, 2004	400	2,800	2,400	NOTE 2	NOTE 1
509	1251	Part stemware service engraved (224)	N/A	01/01/99	NOTE 1	June 3, 2004	4,500	45,000	40,500	NOTE 2	NOTE 1
512	1252	English part stemware service (63)	N/A	01/01/99	NOTE 1	June 3, 2004	1,200	3,500	2,300	NOTE 2	NOTE 1
513	1253	15 english engraved wine glasses	N/A	01/01/99	NOTE 1	June 3, 2004	300	1,200	900	NOTE 2	NOTE 1
514	1247	15 irish goblets	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	2,200	1,200	NOTE 2	NOTE 1
515	1246	Engraved glassware part service (36)	N/A	01/01/99	NOTE 1	June 3, 2004	1,250	4,200	2,950	NOTE 2	NOTE 1
522	1530	1 plated revolving silver supper dish	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	8,000	5,500	NOTE 2	NOTE 1
523	1386, 1387	A group of silver plated items	N/A	01/01/99	NOTE 1	June 3, 2004	215	1,600	1,385	NOTE 2	NOTE 1
524	1465	2 silver small flowerpots	N/A	01/01/99	NOTE 1	June 3, 2004	600	2,000	1,400	NOTE 2	NOTE 1
525	26 1519, 15	A group of silver and silver plated items	N/A	01/01/99	NOTE 1	June 3, 2004	545	750	205	NOTE 2	NOTE 1
526	1532	1 french plated mirror plateau	N/A	01/01/99	NOTE 1	June 3, 2004	1,200	3,800	2,600	NOTE 2	NOTE 1
527	1622	1 Geo III sheffield plate epergne	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	3,800	2,800	NOTE 2	NOTE 1
528	1413	2 plated 5-light candelabra	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	2,400	1,400	NOTE 2	NOTE 1
529	38, 1439 14	A group of silver and silver plated items	N/A	01/01/99	NOTE 1	June 3, 2004	1,420	3,800	2,380	NOTE 2	NOTE 1
530	2286	Four George II silver shell form dishes	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	2,800	600	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
531	2308	a pair of George II sheffield plante meat dishes	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	1,400	400	NOTE 2	NOTE 1
532	2307	a pair of George III sheffield plated entrée dishes and	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	1,300	300	NOTE 2	NOTE 1
533	1405	1 Geo V punch bowl	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	10,000	5,000	NOTE 2	NOTE 1
534	1404	2 Geo V punch bowls	N/A	01/01/99	NOTE 1	June 3, 2004	7,500	19,000	11,500	NOTE 2	NOTE 1
535	95, 2303 & 2	A silver plated entrée dish on stand	N/A	01/01/99	NOTE 1	June 3, 2004	650	1,300	650	NOTE 2	NOTE 1
536	2287 & 2306	Two silver toothpick holders	N/A	01/01/99	NOTE 1	June 3, 2004	1,700	11,000	9,300	NOTE 2	NOTE 1
537	2288	An Italian silver oil lamp	N/A	01/01/99	NOTE 1	June 3, 2004	6,000	8,500	2,500	NOTE 2	NOTE 1
538	1388	2 Geo V silver punch bowls	N/A	01/01/99	NOTE 1	June 3, 2004	7,500	20,000	12,500	NOTE 2	NOTE 1
539	1408	1 Geo VI silver cup and cover	N/A	01/01/99	NOTE 1	June 3, 2004	1,800	1,200	(600)	NOTE 2	NOTE 1
540	1372	1 Geo V silver cake basket	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	6,500	5,000	NOTE 2	NOTE 1
541	1459	1 Geo V silver coffee pot	N/A	01/01/99	NOTE 1	June 3, 2004	1,200	2,000	800	NOTE 2	NOTE 1
542	1373	1 gadrooned american silver 6-piece tea & coffee serv	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	4,200	1,700	NOTE 2	NOTE 1
543	1403 1492	A group of silver serving items	N/A	01/01/99	NOTE 1	June 3, 2004	1,580	2,400	820	NOTE 2	NOTE 1
544	1520	1 victorian silver salver	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	3,800	1,800	NOTE 2	NOTE 1
545	1521	1 Edw VII silver salver	N/A	01/01/99	NOTE 1	June 3, 2004	1,800	3,500	1,700	NOTE 2	NOTE 1
546	1491	1 Geo III silver salver	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	3,000	1,000	NOTE 2	NOTE 1
547	1504	1 Geo IV silver cake basket	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	900	(1,100)	NOTE 2	NOTE 1
548	1422	2 Geo III silver sauce tureens & covers	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	20,000	15,000	NOTE 2	NOTE 1
549	1419	2 Wm IV silver serving dishes & covers	N/A	01/01/99	NOTE 1	June 3, 2004	9,000	9,500	500	NOTE 2	NOTE 1
550	1517	2 Geo III silver entrée dishes & covers	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	3,800	(1,200)	NOTE 2	NOTE 1
551	1416	4 silver sauce tureens and covers	N/A	01/01/99	NOTE 1	June 3, 2004	12,000	17,000	5,000	NOTE 2	NOTE 1
552	1379	2 Geo III silver meat dishes	N/A	01/01/99	NOTE 1	June 3, 2004	9,000	12,000	3,000	NOTE 2	NOTE 1
553	1515	1 Geo III silver meat dish	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	8,500	3,500	NOTE 2	NOTE 1
554	1378	2 Geo III silver meat dishes	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	15,000	5,000	NOTE 2	NOTE 1
555	1462	1 Geo II silver coffee pot	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	4,800	2,300	NOTE 2	NOTE 1
556	1427 & 1506	A George III silver saucepan	N/A	01/01/99	NOTE 1	June 3, 2004	1,700	2,800	1,100	NOTE 2	NOTE 1
557	1498	1 Geo III silver salver	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	3,000	1,000	NOTE 2	NOTE 1
558	1516	1 Geo III silver cake basket	N/A	01/01/99	NOTE 1	June 3, 2004	6,000	18,000	12,000	NOTE 2	NOTE 1
559	1411	Set (6) 4-George III silver candlesticks, 2candelabrum	N/A	01/01/99	NOTE 1	June 3, 2004	12,000	26,000	14,000	NOTE 2	NOTE 1
560	1502	1 Geo III silver salver	N/A	01/01/99	NOTE 1	June 3, 2004	3,500	4,200	700	NOTE 2	NOTE 1
561	1418	2 Geo III silver serving dishes & covers	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	3,500	(1,500)	NOTE 2	NOTE 1
562	1448	1 Geo III silver cow creamer	N/A	01/01/99	NOTE 1	June 3, 2004	7,500	16,000	8,500	NOTE 2	NOTE 1
563	1380	1 Geo III silver deep dish	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	950	(550)	NOTE 2	NOTE 1
564	1420	1 Geo III sheffield plate sauce tureen & cover	N/A	01/01/99	NOTE 1	June 3, 2004	600	2,000	1,400	NOTE 2	NOTE 1
565	1511, 1391	A pair of George II silver salt cellars	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	2,800	1,300	NOTE 2	NOTE 1
566	1514	1 Regency silver 2-handled tea tray	N/A	01/01/99	NOTE 1	June 3 2004	8,000	6,500	(1,500)	NOTE 2	NOTE 1
567	1469	2 Geo III silver entrée dishes & covers	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	4,800	(200)	NOTE 2	NOTE 1
568	1464	1 Geo III silver toasted cheese dish	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	1,900	(1,100)	NOTE 2	NOTE 1
569	1463	1 Geo III silver toasted cheese dish	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	1,600	(900)	NOTE 2	NOTE 1
570	1518	2 Geo III silver entrée dishes & covers	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	7,000	(1,000)	NOTE 2	NOTE 1
571	1509	Set (4) Geo II large silver salt cellars	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	3,000	(1,000)	NOTE 2	NOTE 1
572	49, 1450, 14	A group of Georgian silver mugs	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	4,800	1,800	NOTE 2	NOTE 1
573	52 1429 14	A George II silver cake basket	N/A	01/01/99	NOTE 1	June 3, 2004	2,250	5,500	3,250	NOTE 2	NOTE 1
574	1417	1 Geo II silver cake basket	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	4,800	2,800	NOTE 2	NOTE 1
575	1588	1 Geo III/Wm IV pearl handled dessert set	N/A	01/01/99	NOTE 1	June 3, 2004	400	2,200	1,800	NOTE 2	NOTE 1
576	1593 & 1594	A group of Georgian silver knives and forks	N/A	01/01/99	NOTE 1	June 3, 2004	4,100	1,700	(2,400)	NOTE 2	NOTE 1
577	33, 1551, 15	Twelve Edward VIII silver gilt teaspoons	N/A	01/01/99	NOTE 1	June 3, 2004	270	700	430	NOTE 2	NOTE 1
578	1527 & 1579	A Queen Anne silver basting spoon	N/A	01/01/99	NOTE 1	June 3, 2004	3,300	5,500	2,200	NOTE 2	NOTE 1
579	1592	Composite georgian silver table service (97)	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	8,500	(1,500)	NOTE 2	NOTE 1
580	1590	Composite georgian silver table service (132)	N/A	01/01/99	NOTE 1	June 3, 2004	12,000	22,000	10,000	NOTE 2	NOTE 1
581	1591	Composite georgian silver table service (169)	N/A	01/01/99	NOTE 1	June 3, 2004	4,800	16,000	11,200	NOTE 2	NOTE 1
582	1589	Composite georgian silver table service (77)	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	18,000	14,000	NOTE 2	NOTE 1
583	1458	1 Geo III silver teapot	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	1,500	(500)	NOTE 2	NOTE 1
584	35, 1436, 14	Agroup of Georgian Silver Cream Jugs	N/A	01/01/99	NOTE 1	June 3, 2004	3,080	2,600	(480)	NOTE 2	NOTE 1
585	1425 & 1430	A George III silver salver	N/A	01/01/99	NOTE 1	June 3, 2004	3,250	1,100	(2,150)	NOTE 2	NOTE 1
586	1501	1 Geo III silver salver	N/A	01/01/99	NOTE 1	June 3, 2004	1,800	1,100	(700)	NOTE 2	NOTE 1
587	1424	Set (4) George III silver sauce tureens & covers	N/A	01/01/99	NOTE 1	June 3, 2004	12,000	11,000	(1,000)	NOTE 2	NOTE 1
588	1468	2 Regency silver entrée dishes & covers	N/A	01/01/99	NOTE 1	June 3, 2004	6,000	5,000	(1,000)	NOTE 2	NOTE 1
589	1365	2 Geo II silver fluted strawberry dishes	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	10,000	-	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
590	1415	Set (4) Geo II silver sauceboats	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	22,000	14,000	NOTE 2	NOTE 1
591	1414	1 Geo II silver salver	N/A	01/01/99	NOTE 1	June 3, 2004	12,000	24,000	12,000	NOTE 2	NOTE 1
592	1367	1 Geo II silver salver	N/A	01/01/99	NOTE 1	June 3, 2004	20,000	22,000	2,000	NOTE 2	NOTE 1
593	1478, 1479	A group of silver caster	N/A	01/01/99	NOTE 1	June 3, 2004	4,100	5,500	1,400	NOTE 2	NOTE 1
594	1482, 1483	A group of silver casters	N/A	01/01/99	NOTE 1	June 3, 2004	5,200	6,000	800	NOTE 2	NOTE 1
595	1474, 1475	A goup of silver casters	N/A	01/01/99	NOTE 1	June 3, 2004	4,100	7,000	2,900	NOTE 2	NOTE 1
596	1496	1 queen anne silver tazza	N/A	01/01/99	NOTE 1	June 3, 2004	2,000	3,800	1,800	NOTE 2	NOTE 1
597	1485, 1487	A group of silver itmes	N/A	01/01/99	NOTE 1	June 3, 2004	2,730	1,600	(1,130)	NOTE 2	NOTE 1
598	1412	2 Geo I irish silver candlesticks	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	22,000	12,000	NOTE 2	NOTE 1
599	1444	1 Geo II irish silver sugar bowl	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	5,000	2,000	NOTE 2	NOTE 1
600	1500	2 queen anne silver tazza	N/A	01/01/99	NOTE 1	June 3, 2004	6,000	8,500	2,500	NOTE 2	NOTE 1
601	1421	1 queen anne silver tea caddy	N/A	01/01/99	NOTE 1	June 3, 2004	3,500	4,500	1,000	NOTE 2	NOTE 1
602	1456	1 Charles II silver 2-handled cup	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	4,800	1,800	NOTE 2	NOTE 1
603	1472	2 Geo I scottish silver trencher salts	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	3,500	1,000	NOTE 2	NOTE 1
604	1445	1 Geo I silver porringer or bleeding bowl	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	1,300	(1,200)	NOTE 2	NOTE 1
605	1446	1 Wm III silver porringer or bleedingbowl	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	3,500	1,000	NOTE 2	NOTE 1
607	1441	1 Geo I silver table bell	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	5,000	1,000	NOTE 2	NOTE 1
608	1440	1 Geo I silver table bell	N/A	01/01/99	NOTE 1	June 3, 2004	4,000	7,500	3,500	NOTE 2	NOTE 1
609	1409	1 queen anne silver cup and cover	N/A	01/01/99	NOTE 1	June 3, 2004	24,000	26,000	2,000	NOTE 2	NOTE 1
610	1460	1 queen anne silver chocolate pot	N/A	01/01/99	NOTE 1	June 3, 2004	12,000	10,000	(2,000)	NOTE 2	NOTE 1
611	1461	1 queen anne silver choclate pot	N/A	01/01/99	NOTE 1	June 3, 2004	14,000	7,500	(6,500)	NOTE 2	NOTE 1
612	1457 & 1507	A Queen Ann Silver Teapot and burner stand	N/A	01/01/99	NOTE 1	June 3, 2004	9,000	22,000	13,000	NOTE 2	NOTE 1
613	1505	1 queen anne silver kettle, stand & lamp	N/A	01/01/99	NOTE 1	June 3, 2004	15,000	9,500	(5,500)	NOTE 2	NOTE 1
614	1629	2 dutch enamelled plaques	N/A	01/01/99	NOTE 1	June 3, 2004	750	900	150	NOTE 2	NOTE 1
615	1630	1 queen anne silver taperstick	N/A	01/01/99	NOTE 1	June 3, 2004	3,500	5,500	2,000	NOTE 2	NOTE 1
617	1499	2 queen anne silver salvers	N/A	01/01/99	NOTE 1	June 3, 2004	7,000	13,000	6,000	NOTE 2	NOTE 1
618	1497	1 Wm III & 1 queen anne silver salver	N/A	01/01/99	NOTE 1	June 3, 2004	6,000	11 000	5,000	NOTE 2	NOTE 1
619	1470 & 1397	A set of four Georgel silver trencher salts	N/A	01/01/99	NOTE 1	June 3, 2004	6,080	11,000	4,920	NOTE 2	NOTE 1
620	1471	2 Geo I silver trencher salts	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	3,800	1,300	NOTE 2	NOTE 1
621	1490	2 Geo III silver bacon dishes & covers	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	3,500	(4,500)	NOTE 2	NOTE 1
622	1512	1 Geo III silver warwick cruet frame	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	1,100	(400)	NOTE 2	NOTE 1
623	1529	1 Geo III silver salad server	N/A	01/01/99	NOTE 1	June 3, 2004	1,000	1,300	300	NOTE 2	NOTE 1
625	1402, 1494	A group of silver and silver plated items	N/A	01/01/99	NOTE 1	June 3, 2004	340	550	210	NOTE 2	NOTE 1
626	1205	Spode imari part dessert service (42)	N/A	01/01/99	NOTE 1	June 3, 2004	10,000	26,000	16,000	NOTE 2	NOTE 1
627	1241	Royal worcester gilt and white part dinner service (279	N/A	01/01/99	NOTE 1	June 3, 2004	3,950	4,500	550	NOTE 2	NOTE 1
628	1212	Worcester peach-ground crested part dinner service (	N/A	01/01/99	NOTE 1	June 3, 2004	6,000	5,000	(1,000)	NOTE 2	NOTE 1
629	1242	Wedgewood "Edme" part dinner service (94)	N/A	01/01/99	NOTE 1	June 4, 2004	940	1,400	460	NOTE 2	NOTE 1
630	1243	Mintons earthenware part dinner service (84)	N/A	01/01/99	NOTE 1	June 4, 2004	820	2,200	1,380	NOTE 2	NOTE 1
631	1204	Derby imari pattern dinner service (241)	N/A	01/01/99	NOTE 1	June 4, 2004	25,000	50,000	25,000	NOTE 2	NOTE 1
632	1207	Davenport stone china part dinner serevice (102)	N/A	01/01/99	NOTE 1	June 4, 2004	10,000	15,000	5,000	NOTE 2	NOTE 1
633	1324 & 1334	Two english earthenware silver lustre bough pots	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	4,000	1,500	NOTE 2	NOTE 1
634	1220 & 1250	a wedgwookd earthenware part dinner service	N/A	01/01/99	NOTE 1	June 4, 2004	3,220	5,500	2,280	NOTE 2	NOTE 1
635	1225	11 staffordshire pink earthenware side plates	N/A	01/01/99	NOTE 1	June 4, 2004	250	850	600	NOTE 2	NOTE 1
636	1217, 1222	Four sets of limoges porcelain plates	N/A	01/01/99	NOTE 1	June 4, 2004	1,170	7,000	5,830	NOTE 2	NOTE 1
637	1261	2 worcester cauliflower taureens & covers	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	9,500	5,500	NOTE 2	NOTE 1
638	1264	2 chelsea small artichoke tureens & covers	N/A	01/01/99	NOTE 1	June 4, 2004	12,500	22,000	9,500	NOTE 2	NOTE 1
639	1263	2 chelsea lettuce tureens & covers	N/A	01/01/99	NOTE 1	June 4, 2004	7,000	8,000	1,000	NOTE 2	NOTE 1
640	1268	2 longton hall lettuce tureens and covers	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	10,000	6,000	NOTE 2	NOTE 1
641	1265	1 chelsea cabbage tureen and cover	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	2,800	(1,200)	NOTE 2	NOTE 1
642	1260 & 1269	A pair of faience lettuce tureens and covers	N/A	01/01/99	NOTE 1	June 4, 2004	4,700	14,000	9,300	NOTE 2	NOTE 1
643	1173	1 large french faience cabbage tureen & cover	N/A	01/01/99	NOTE 1	June 4, 2004	6,000	30,000	24,000	NOTE 2	NOTE 1
644	1267	2 frankenthal pierced flower bowls	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	28,000	25,500	NOTE 2	NOTE 1
645	1266 & 1270	A meissen porcelain melon form box and cover	N/A	01/01/99	NOTE 1	June 4, 2004	2,375	1,200	(1,175)	NOTE 2	NOTE 1
646	1262	1 meissen fig-shape caster	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	6,500	4,000	NOTE 2	NOTE 1
647	1337	1 contl faience white melon tureen & cover	N/A	01/01/99	NOTE 1	June 4, 2004	800	4,500	3,700	NOTE 2	NOTE 1
648	6, 1237, 12	An assembled english majolica part dessert service	N/A	01/01/99	NOTE 1	June 4, 2004	4,050	10,000	5,950	NOTE 2	NOTE 1
649	1295, 1296	A group of French faience fine tablewares	N/A	01/01/99	NOTE 1	June 4, 2004	2,000	1,800	(200)	NOTE 2	NOTE 1
650	1285	2 french faience bough pots	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	750	(250)	NOTE 2	NOTE 1
65	92, 1293, 12	A french faience fine part service	N/A	01/01/99	NOTE 1	June 4, 2004	2,550	2,200	(350)	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

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652	1244	Diudefit pottery part dinner service (48)	N/A	01/01/99	NOTE 1	June 4, 2004	480	3,000	2,520	NOTE 2	NOTE 1
653	1223 & 1220	A japanese porcelain part dinner service	N/A	01/01/99	NOTE 1	June 4, 2004	500	1,000	500	NOTE 2	NOTE 1
654	1289	1 english salt glaze stoneware sauceboat	N/A	01/01/99	NOTE 1	June 4, 2004	1,750	4,800	3,050	NOTE 2	NOTE 1
655	1297& 1299	Two pewter mounted french faience pear shaped jugs	N/A	01/01/99	NOTE 1	June 4, 2004	1,200	3,500	2,300	NOTE 2	NOTE 1
656	1230	Staffordshire salt glaze stoneware dinner service (36)	N/A	01/01/99	NOTE 1	June 4, 2004	6,000	4,200	(1,800)	NOTE 2	NOTE 1
657	1286, 1288,	An assembled french faience fine part service	N/A	01/01/99	NOTE 1	June 4, 2004	3,525	7,500	3,975	NOTE 2	NOTE 1
658	775	Leon B Delachoux (The Broken Doll)	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	10,000	7,500	NOTE 2	NOTE 1
659	192	1 Geo III mahogany bookcase	N/A	01/01/99	NOTE 1	June 4, 2004	7,500	19,000	11,500	NOTE 2	NOTE 1
660	1004	2 chinese hardwood display cabinets	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	5,500	3,000	NOTE 2	NOTE 1
661	1006	7 assorted oriental porcelain horse figures	N/A	01/01/99	NOTE 1	June 4, 2004	100	1,000	900	NOTE 2	NOTE 1
662	185	1 Geo III satinwood console table	N/A	01/01/99	NOTE 1	June 4, 2004	8,000	5,500	(2,500)	NOTE 2	NOTE 1
664	190	1 Geo II giltwood wall mirror	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	7,000	4,500	NOTE 2	NOTE 1
665	189	1 Geo III mahogany bow-front side table	N/A	01/01/99	NOTE 1	June 4, 2004	2,000	2,400	400	NOTE 2	NOTE 1
666	1008	A set of six chinese famille rose porcelain chrysanthemum shaped bowles ,covers and saucers	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	1,900	400	NOTE 2	NOTE 1
667	188	1 Geo III giltwood mirror	N/A	01/01/99	NOTE 1	June 4, 2004	800	950	150	NOTE 2	NOTE 1
668	186	1 regency inlaid mahogany chest	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	3,500	2,000	NOTE 2	NOTE 1
669	196	1 Geo II walnut corner cupboard	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	5,500	500	NOTE 2	NOTE 1
670	787	Maud Earl (German Shepherd and Parrot)	N/A	01/01/99	NOTE 1	June 4, 2004	35,000	30,000	(5,000)	NOTE 2	NOTE 1
671	786	Dunkaster after Reinagle (Night Blooming Cereus)	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	3,800	(200)	NOTE 2	NOTE 1
672	788	Earlem after Reinagle (Superb Lily)	N/A	01/01/99	NOTE 1	June 4, 2004	8,500	3,000	(5,500)	NOTE 2	NOTE 1
673	790	Stadler after Henderson (White Lily w/variegated leav	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	2,600	(400)	NOTE 2	NOTE 1
675	795	Cornelius Westerbeek (Shepherd and his Flock)	N/A	01/01/99	NOTE 1	June 4 2004	5,000	9,500	4,500	NOTE 2	NOTE 1
676	791, 792 79	Like Sullivan Five Plates	N/A	01/01/99	NOTE 1	June 4, 2004	5,200	5,000	(200)	NOTE 2	NOTE 1
677	1329	1 italian pottery black attic vase	N/A	01/01/99	NOTE 1	June 4, 2004	50	850	800	NOTE 2	NOTE 1
678	391	2 empire ormolu/bronze urns	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	9,000	7,500	NOTE 2	NOTE 1
679	735	1 Baku rug	N/A	01/01/99	NOTE 1	June 4, 2004	100	950	850	NOTE 2	NOTE 1
680	398	2 empire ormolu/bronze urns	N/A	01/01/99	NOTE 1	June 4, 2004	750	3,200	2,450	NOTE 2	NOTE 1
681	393	1 Fr prov ormolu/walnut commode	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	7,000	4,000	NOTE 2	NOTE 1
682	387	2 regency brass/rosewood side cabinets	N/A	01/01/99	NOTE 1	June 4, 2004	25,000	80,000	55,000	NOTE 2	NOTE 1
683	734	1 Ghiordes rug	N/A	01/01/99	NOTE 1	June 4, 2004	600	4,200	3,600	NOTE 2	NOTE 1
684	395	1 Lou XVI white fauteuil de bureau	N/A	01/01/99	NOTE 1	June 4, 2004	3,500	3,200	(300)	NOTE 2	NOTE 1
685	396	1 Lou XVI ormolu parq mahog bureau plat	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	5,500	500	NOTE 2	NOTE 1
686	406	1 empire ormolu/black metal inkstand	N/A	01/01/99	NOTE 1	June 4, 2004	200	300	100	NOTE 2	NOTE 1
687	405	1 Fr eglomise Louis XVI portrait	N/A	01/01/99	NOTE 1	June 4, 2004	100	750	650	NOTE 2	NOTE 1
688	394	1 empire ormolu mahogany gueridon	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	3,800	1,300	NOTE 2	NOTE 1
689	228	1 venetian cut-glass mirror	N/A	01/01/99	NOTE 1	June 4, 2004	500	3,500	3,000	NOTE 2	NOTE 1
690	725	1 Fereghan corridor carpet	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	7,000	3,000	NOTE 2	NOTE 1
691	724	1 Fereghan corridor carpet	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	7,500	2,500	NOTE 2	NOTE 1
692	310	1 regency mahog bow-front chest	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	3,200	2,200	NOTE 2	NOTE 1
693	309	1 satinwood mahogany dressing mirror	N/A	01/01/99	NOTE 1	June 4, 2004	800	1,500	700	NOTE 2	NOTE 1
694	1028	4 chinese famille verte porcelain plaques	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	6,000	3,000	NOTE 2	NOTE 1
695	297	1 Fr Fruitwood kidney writing table	N/A	01/01/99	NOTE 1	June 4, 2004	1,200	3,800	2,600	NOTE 2	NOTE 1
696	296	1 Regency ivory/ebony mahogany tea caddy	N/A	01/01/99	NOTE 1	June 4, 2004	800	900	100	NOTE 2	NOTE 1
697	298	1 Lou XVI ormolu blue john inkstand	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	5,500	4,000	NOTE 2	NOTE 1
698	304	1 regency mahogany sofa table	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	4,000	3,000	NOTE 2	NOTE 1
699	306	1 victorian fan-form dressing mirror	N/A	01/01/99	NOTE 1	June 4, 2004	800	3,000	2,200	NOTE 2	NOTE 1
70	339 & 334	A chinese blue and white porcelain baluster form vase mounted as a lamp together with tow chinese blue and white porcelain mallet form jars and covers	N/A	01/01/99	NOTE 1	June 4, 2004	2,600	850	(1,750)	NOTE 2	NOTE 1
706	333 & 328	A George III style mahogany mantel clock	N/A	01/01/99	NOTE 1	June 4, 2004	1,900	3,500	1,600	NOTE 2	NOTE 1
70	818	Edward Moran (when flowing tide comes in)	N/A	01/01/99	NOTE 1	June 4, 2004	25,000	65,000	40,000	NOTE 2	NOTE 1
708	1029	3 chinese ivory & stone erotic pictures	N/A	01/01/99	NOTE 1	June 4 2004	500	6,000	5,500	NOTE 2	NOTE 1
709	327	1 queen anne walnut open armchair	N/A	01/01/99	NOTE 1	June 4, 2004	6,000	9,000	3,000	NOTE 2	NOTE 1
710	342	2 regency giltwood wall brackets	N/A	01/01/99	NOTE 1	June 4, 2004	400	14,000	13,600	NOTE 2	NOTE 1
71	340 & 419	A group of desk accessories	N/A	01/01/99	NOTE 1	June 4, 2004	400	800	400	NOTE 2	NOTE 1
71	822	Artist Unknown (American girl)	N/A	01/01/99	NOTE 1	June 4, 2004	500	1,700	1,200	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
713	323	1 Geo III mahogany silver table	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	3,000	1,500	NOTE 2	NOTE 1
714	338	1 victorian mahogany canterbury	N/A	01/01/99	NOTE 1	June 4, 2004	200	3,000	2,800	NOTE 2	NOTE 1
715	324	1 wm/mary gilt/black eglomise mirror	N/A	01/01/99	NOTE 1	June 4, 2004	600	5,500	4,900	NOTE 2	NOTE 1
716	820	James Gale Tyler (sailing ship in moonlight)	N/A	01/01/99	NOTE 1	June 4, 2004	2,000	6,500	4,500	NOTE 2	NOTE 1
719	731	1 Lavar Kirman carpet	N/A	01/01/99	NOTE 1	June 4, 2004	17,500	19,000	1,500	NOTE 2	NOTE 1
720	355	2 chinese grey display cabinets	N/A	01/01/99	NOTE 1	June 4, 2004	800	800	-	NOTE 2	NOTE 1
721	1044	1 chinese inlaid black side cabinet	N/A	01/01/99	NOTE 1	June 4, 2004	300	1,400	1,100	NOTE 2	NOTE 1
722	354	1 chinese gilt/black lap desk	N/A	01/01/99	NOTE 1	June 4, 2004	200	1,300	1,100	NOTE 2	NOTE 1
723	357	1 chinese turquoise earthenware double vase	N/A	01/01/99	NOTE 1	June 4, 2004	1,200	1,300	100	NOTE 2	NOTE 1
724	952, 1061	A small chiness cizhou painted stoneware equestrain t	N/A	01/01/99	NOTE 1	June 4, 2004	70	1,100	1,030	NOTE 2	NOTE 1
725	1045	1 chinese inlaid black side storage chest	N/A	01/01/99	NOTE 1	June 4, 2004	200	850	650	NOTE 2	NOTE 1
726	360	A miscellaneous group of items	N/A	01/01/99	NOTE 1	June 4, 2004	100	1,000	900	NOTE 2	NOTE 1
727	1042	1 japanese 4-case inro	N/A	01/01/99	NOTE 1	June 4, 2004	200	400	200	NOTE 2	NOTE 1
728	1049	1 japanese somada school 3-case inro	N/A	01/01/99	NOTE 1	June 4, 2004	500	800	300	NOTE 2	NOTE 1
729	825	E Gihara (Sleeping fox)	N/A	01/01/99	NOTE 1	June 4, 2004	150	3,000	2,850	NOTE 2	NOTE 1
730	1059	1 white cylindrical vase	N/A	01/01/99	NOTE 1	June 4, 2004	250	1,300	1,050	NOTE 2	NOTE 1
731	53, 1058, 10	A group of five small chinese turquoise matriz table ar	N/A	01/01/99	NOTE 1	June 4, 2004	800	1,000	200	NOTE 2	NOTE 1
732	1057	2 turquoise animals	N/A	01/01/99	NOTE 1	June 4, 2004	250	1,800	1,550	NOTE 2	NOTE 1
733	1048	1 langquan celadon pot and cover	N/A	01/01/99	NOTE 1	June 4, 2004	1,650	5,500	3,850	NOTE 2	NOTE 1
734	1672	1 roman bronze lion's head applique	N/A	01/01/99	NOTE 1	June 4, 2004	400	4,200	3,800	NOTE 2	NOTE 1
735	352	1 regency gilt/black side cabinet	N/A	01/01/99	NOTE 1	June 4, 2004	600	3,200	2,600	NOTE 2	NOTE 1
736	1675	1 apulian red figure pelike	N/A	01/01/99	NOTE 1	June 4, 2004	500	1,600	1,100	NOTE 2	NOTE 1
737	1674	1 egyptian stucco mummy mask of woman	N/A	01/01/99	NOTE 1	June 4, 2004	1,650	3,800	2,150	NOTE 2	NOTE 1
738	62, 1665, 16	A greek terracotta head of appollo	N/A	01/01/99	NOTE 1	June 4, 2004	380	2,800	2,420	NOTE 2	NOTE 1
739	1664	1 hellenistic terracotta bust draped goddess	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	3,500	2,500	NOTE 2	NOTE 1
740	1663	1 marble head of child (eros?)	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	5,500	3,000	NOTE 2	NOTE 1
741	1667	1 roman marble head of woman	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	2,800	300	NOTE 2	NOTE 1
742	1671	1 graeco-roman silver vase	N/A	01/01/99	NOTE 1	June 4, 2004	500	14,000	13,500	NOTE 2	NOTE 1
743	371	1 Geo III mahogany bed	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	24,000	21,500	NOTE 2	NOTE 1
744	826	Barye (Charging elephant)	N/A	01/01/99	NOTE 1	June 4, 2004	500	9,000	8,500	NOTE 2	NOTE 1
745	1079	2 six-panel chinese screens	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	16 000	15,000	NOTE 2	NOTE 1
746	372	1 regency gilt/black recamier	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	8,500	5,500	NOTE 2	NOTE 1
747	362	1 vinetian mirror	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	22,000	19,500	NOTE 2	NOTE 1
748	1076	1 white vase, meiping	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	3,200	1,700	NOTE 2	NOTE 1
749	1067	2 chinese inlaid gilt/black side cabinets	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	11,000	8,500	NOTE 2	NOTE 1
750	1068	2 chinese black cabinets	N/A	01/01/99	NOTE 1	June 4, 2004	7,500	5,500	(2,000)	NOTE 2	NOTE 1
751	373	1 english leather fire bucket	N/A	01/01/99	NOTE 1	June 4, 2004	800	3,000	2,200	NOTE 2	NOTE 1
752	361	1 victorian gilt/black chiffonier	N/A	01/01/99	NOTE 1	June 4, 2004	1,200	11,000	9,800	NOTE 2	NOTE 1
753	374	2 chinese gilt/black armchairs	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	26,000	21,000	NOTE 2	NOTE 1
754	378	1 victorian gilt/cut glass oil lamp	N/A	01/01/99	NOTE 1	June 4, 2004	800	5,500	4,700	NOTE 2	NOTE 1
755	364	1 Geo III gilt/black book table	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	13,000	10,000	NOTE 2	NOTE 1
756	1078	Group (3) silver filigree baskets & covers	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	3,500	1,000	NOTE 2	NOTE 1
757	383	1 Geo III tole circular wine coaster	N/A	01/01/99	NOTE 1	June 4, 2004	300	500	200	NOTE 2	NOTE 1
758	366	2 italian gilt/black side chairs	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	22,000	20,500	NOTE 2	NOTE 1
759	368	1 victorian gilt/black papier mache side chair	N/A	01/01/99	NOTE 1	June 4, 2004	300	200	(100)	NOTE 2	NOTE 1
760	1063	2 japanese lacquer chests	N/A	01/01/99	NOTE 1	June 4, 2004	8,000	13,000	5,000	NOTE 2	NOTE 1
761	1065	1 japanese footed tray	N/A	01/01/99	NOTE 1	June 4, 2004	300	100	(200)	NOTE 2	NOTE 1
762	1066	1 japanese pure-water basin	N/A	01/01/99	NOTE 1	June 4, 2004	200	100	(100)	NOTE 2	NOTE 1
763	1062	2 japanese 6-panel screens	N/A	01/01/99	NOTE 1	June 4, 2004	8,000	22,000	14,000	NOTE 2	NOTE 1
764	1064	1 japanese lacquer chest	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	8,000	3,000	NOTE 2	NOTE 1
765	828	French School (Low Tide)	N/A	01/01/99	NOTE 1	June 4, 2004	1,250	1,100	(150)	NOTE 2	NOTE 1
766	82, 377 & 37	A miscellaneous group of decorative objects	N/A	01/01/99	NOTE 1	June 4, 2004	1,225	2,400	1,175	NOTE 2	NOTE 1
767	1071	1 chinese huang hauli low table	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	7,500	3,500	NOTE 2	NOTE 1
768	733	1 Kula rug	N/A	01/01/99	NOTE 1	June 4, 2004	300	1,600	1,300	NOTE 2	NOTE 1
769	385	1 art deco chrome/glass 3-tier etegere	N/A	01/01/99	NOTE 1	June 4, 2004	100	2,000	1,900	NOTE 2	NOTE 1
770	384	1 art deco mirrored vanity	N/A	01/01/99	NOTE 1	June 4, 2004	600	7,000	6,400	NOTE 2	NOTE 1
771	386	1 art deco chrome plastic stool	N/A	01/01/99	NOTE 1	June 4, 2004	100	1,900	1,800	NOTE 2	NOTE 1
772	1018	8 lac burguate dishes	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	3,200	(1,800)	NOTE 2	NOTE 1
773	738	1 Ghiordes rug	N/A	01/01/99	NOTE 1	June 4, 2004	200	3,500	3,300	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
774	1007	2 chinese famille rose porcelain bowls	N/A	01/01/99	NOTE 1	June 4, 2004	200	350	150	NOTE 2	NOTE 1
775	198	2 Geo III painted display cabinets	N/A	01/01/99	NOTE 1	June 4, 2004	7,500	15,000	7,500	NOTE 2	NOTE 1
776	748	1 Bessarabian kilim rug	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	6,000	2,000	NOTE 2	NOTE 1
778	1017	1 white soft wood kang table	N/A	01/01/99	NOTE 1	June 4, 2004	700	4,800	4,100	NOTE 2	NOTE 1
779	1016	1 chinese white soft wood altar table	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	19,000	16,500	NOTE 2	NOTE 1
780	1005	1 chinese hardstone inlaid jadeite table screen	N/A	01/01/99	NOTE 1	June 4, 2004	700	450	(250)	NOTE 2	NOTE 1
781	1015	2 chinese white soft wood side chairs	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	4,200	2,700	NOTE 2	NOTE 1
782	1014	1 chinese white soft wood low table	N/A	01/01/99	NOTE 1	June 4, 2004	700	11,000	10,300	NOTE 2	NOTE 1
783	1012	2 chinese painted paper figure groups	N/A	01/01/99	NOTE 1	June 4, 2004	500	1,900	1,400	NOTE 2	NOTE 1
784	1019	1 han bronze vase	N/A	01/01/99	NOTE 1	June 4, 2004	150	400	250	NOTE 2	NOTE 1
785	1020	1 unusual green glazed red potter jar & cover	N/A	01/01/99	NOTE 1	June 4, 2004	3,250	5,500	2,250	NOTE 2	NOTE 1
786	1013	1 japanese 6-panel screen	N/A	01/01/99	NOTE 1	June 4, 2004	6,000	48,000	42,000	NOTE 2	NOTE 1
787	726	1 Lavar Kirman carpet	N/A	01/01/99	NOTE 1	June 4, 2004	30,000	17,000	(13,000)	NOTE 2	NOTE 1
788	240	1 regency gilt ebonized/painted tripod table	N/A	01/01/99	NOTE 1	June 4, 2004	7,500	12,000	4,500	NOTE 2	NOTE 1
789	810	Paul-Louis Renaud	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	1,300	(1,700)	NOTE 2	NOTE 1
790	239	2 contl Porcelain jade trees & jardinieres	N/A	01/01/99	NOTE 1	June 4, 2004	200	350	150	NOTE 2	NOTE 1
791	244	1 Geo III mahogany tea caddy	N/A	01/01/99	NOTE 1	June 4, 2004	800	450	(350)	NOTE 2	NOTE 1
792	278	1 George III satinwood writing table	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	8,500	6,000	NOTE 2	NOTE 1
793	292	2 english silk needlework pictures	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	1,600	600	NOTE 2	NOTE 1
794	1027	1 chinese yellow turquoise ground porcelain rect. Vese	N/A	01/01/99	NOTE 1	June 4, 2004	500	1,000	500	NOTE 2	NOTE 1
795	285	1 italian walnut commode	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	3,200	1,700	NOTE 2	NOTE 1
796	294	1 Charles X cut-glass table clock	N/A	01/01/99	NOTE 1	June 4, 2004	200	650	450	NOTE 2	NOTE 1
797	282	1 italian rococo dressing table	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	14,000	11,000	NOTE 2	NOTE 1
799	276	1 Lou XVI white bergere d'enfant	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	1,500	(1,000)	NOTE 2	NOTE 1
800	1314 & 1313	A wedgewood white porcelain three vase garniture and a pair of wedgewood blue and white jasper vases an covers	N/A	01/01/99	NOTE 1	June 4, 2004	2,750	2,200	(550)	NOTE 2	NOTE 1
801	6, 1317, 13	A group of wedgewood blue jasepr dip wares	N/A	01/01/99	NOTE 1	June 4, 2004	800	800	-	NOTE 2	NOTE 1
802	1322	3 wedgewood pearlware vases	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	2,600	1,100	NOTE 2	NOTE 1
803	737	1 Lavar Kirman carpet	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	8,000	3,000	NOTE 2	NOTE 1
804	347	1 Fr silk needlework picture	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	2,000	1,000	NOTE 2	NOTE 1
805	408	1 empire mahogany tabouret	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	1,100	(400)	NOTE 2	NOTE 1
806	411	2 staffordshire enamel candlesticks	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	2,000	(500)	NOTE 2	NOTE 1
807	365	1 Lou XVI beechwood bergere	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	2,200	700	NOTE 2	NOTE 1
808	422	1 austrian silver/enamel desk clock	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	5,500	500	NOTE 2	NOTE 1
810	832	Martha Cahoon (Seashells)	N/A	01/01/99	NOTE 1	June 4, 2004	2,000	2,200	200	NOTE 2	NOTE 1
811	1115	2 chinese famille rose porcelain flower pots on stands	N/A	01/01/99	NOTE 1	June 4, 2004	300	400	100	NOTE 2	NOTE 1
813	727	1 Savonnene carpet	N/A	01/01/99	NOTE 1	June 4, 2004	8,500	16,000	7,500	NOTE 2	NOTE 1
814	728	1 Savonnene carpet	N/A	01/01/99	NOTE 1	June 4, 2004	8,500	16,000	7,500	NOTE 2	NOTE 1
815	267	2 chinese famille rose porcelain wig stands	N/A	01/01/99	NOTE 1	June 4, 2004	500	3,200	2,700	NOTE 2	NOTE 1
816	249	1 contl Inlaid walnut commode	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	8,000	4,000	NOTE 2	NOTE 1
817	265	1 Chinese sang de beouf glazed bottle vase	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	1,900	(600)	NOTE 2	NOTE 1
818	248	1 Napoleon III papier peint 4-panel screen	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	2,000	(500)	NOTE 2	NOTE 1
819	807	Austrian School (Portrait of gentlemen (Hapsburg?)	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	6,500	5,500	NOTE 2	NOTE 1
820	729	1 Aubusson carpet	N/A	01/01/99	NOTE 1	June 4, 2004	7,000	7,000		NOTE 2	NOTE 1
821	808	Austrian School (Portrait of gentlemen (Hapsburg?)	N/A	01/01/99	NOTE 1	June 4, 2004	1 250	1,900	650	NOTE 2	NOTE 1
822	270	1 victorian armchair	N/A	01/01/99	NOTE 1	June 4, 2004	400	1,600	1,200	NOTE 2	NOTE 1
823	256	1 Fr prov Fruitwood slant front desk	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	2,600	1,100	NOTE 2	NOTE 1
824	1278	Meissen part dinner service (118)	N/A	01/01/99	NOTE 1	June 4, 2004	50,000	170,000	120,000	NOTE 2	NOTE 1
825	1333	1 meissen green scale ground tete-a-tete	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	3,800	(1,200)	NOTE 2	NOTE 1
826	1335 & 1336	A meissen porcelain tureen and cover	N/A	01/01/99	NOTE 1	June 4, 2004	3,500	6,500	3,000	NOTE 2	NOTE 1
827	1277	1 meissen oval 2-handled monteith	N/A	01/01/99	NOTE 1	June 4, 2004	600	200	(400)	NOTE 2	NOTE 1
828	1164	2 meissen models of parrots	N/A	01/01/99	NOTE 1	June 4, 2004	40,000	130,000	90,000	NOTE 2	NOTE 1
829	1282	2 pair (4) contl white biscuit groups	N/A	01/01/99	NOTE 1	June 4, 2004	1,250	4,200	2,950	NOTE 2	NOTE 1
830	1283	1 contl white biscuit group	N/A	01/01/99	NOTE 1	June 4 2004	700	850	150	NOTE 2	NOTE 1
83	1166	1 meissen model of mother hen and checks	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	11,000	7,000	NOTE 2	NOTE 1
83	1209	Meissen porcelain part dinner service (66)	N/A	01/01/99	NOTE 1	June 4, 2004	17,500	50,000	32,500	NOTE 2	NOTE 1
83	1208	Modern vienna porcelain dinner service (127)	N/A	01/01/99	NOTE 1	June 4, 2004	1,250	12,000	10,750	NOTE 2	NOTE 1
834	1336	2 samson figures of jays	N/A	01/01/99	NOTE 1	June 4 2004	1,500	3,800	2,300	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
835	1202	22 meissen assembled part service	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	9,500	8,500	NOTE 2	NOTE 1
836	1245 & 1303	A german porcelain surtout de table	N/A	01/01/99	NOTE 1	June 4, 2004	390	4,000	3,610	NOTE 2	NOTE 1
837	1308 & 1309	A german precelain mantel clock, stand and dresden procelain pedestal	N/A	01/01/99	NOTE 1	June 4, 2004	4,250	5,000	750	NOTE 2	NOTE 1
838	200	1 painted wallpaper 6-panel floor screen	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	8,500	5,500	NOTE 2	NOTE 1
840	798	James Moran (Cows on lane in landscape)	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	7,000	6,000	NOTE 2	NOTE 1
841	1024	12 chinese bowenitge teacups,saucers,spoons	N/A	01/01/99	NOTE 1	June 4, 2004	250	1,100	850	NOTE 2	NOTE 1
842	202	2 victorian green painted side cabinets	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	4,000	-	NOTE 2	NOTE 1
843	199	1 Fr prov Walnut commode	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	1,500	(1,000)	NOTE 2	NOTE 1
844	796	Caldwell after Henderson (Nodding Reneatonia)	N/A	01/01/99	NOTE 1	June 4, 2004	1,200	2,600	1,400	NOTE 2	NOTE 1
845	204	1 queen anne gilt/black painted cabinet	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	2,000	1,000	NOTE 2	NOTE 1
846	850 & 851	After John James Audubon-birds of america	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	350	(2,650)	NOTE 2	NOTE 1
847	540	1 Regency mahogany center table	N/A	01/01/99	NOTE 1	June 4, 2004	450	1,200	750	NOTE 2	NOTE 1
849	741	1 Lou XVI aubusson carpet	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	6,500	2,500	NOTE 2	NOTE 1
850	1125	1 chinese ming bronze foliated dish	N/A	01/01/99	NOTE 1	June 4, 2004	400	1,800	1,400	NOTE 2	NOTE 1
851	1129	Group (3) oriental items	N/A	01/01/99	NOTE 1	June 4, 2004	200	2,400	2,200	NOTE 2	NOTE 1
852	428	4 regency painted/bamboo side chairs	N/A	01/01/99	NOTE 1	June 4, 2004	1,200	4,800	3,600	NOTE 2	NOTE 1
853	739	1 Spanish rug	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	8,000	5,000	NOTE 2	NOTE 1
854	1122	1 chinese hardwood side table	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	2,800	1,300	NOTE 2	NOTE 1
855	1124	1 chinese famille verte jardiniere	N/A	01/01/99	NOTE 1	June 4, 2004	2,000	2,800	800	NOTE 2	NOTE 1
856	1118	1 soft metal japan cigarette box	N/A	01/01/99	NOTE 1	June 4, 2004	50	450	400	NOTE 2	NOTE 1
857	1121	1 japan bronze bowl	N/A	01/01/99	NOTE 1	June 4, 2004	50	750	700	NOTE 2	NOTE 1
858	1120	1 japan bronze boat w/thatched roof	N/A	01/01/99	NOTE 1	June 4, 2004	300	850	550	NOTE 2	NOTE 1
859	1341	2 italian majolica garden seats	N/A	01/01/99	NOTE 1	June 4, 2004	400	2,000	1,600	NOTE 2	NOTE 1
860	1339 & 1340	1 contl majolica stool	N/A	01/01/99	NOTE 1	June 4, 2004	550	5,500	4,950	NOTE 2	NOTE 1
861	432	2 italian white/chrome side chairs	N/A	01/01/99	NOTE 1	June 4, 2004	600	2,200	1,600	NOTE 2	NOTE 1
862	433	2 italian cream/blue settees	N/A	01/01/99	NOTE 1	June 4, 2004	7,500	9,000	1,500	NOTE 2	NOTE 1
863	835	Niccola Lapiccola (Ten scenes from Life of Psyche)	N/A	01/01/99	NOTE 1	June 4, 2004	25,000	60,000	35,000	NOTE 2	NOTE 1
864	434	2 italian etched glass octagonal mirrors	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	4,200	200	NOTE 2	NOTE 1
866	452	1 austrian giltwood 6-light chandelier	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	6,500	1,500	NOTE 2	NOTE 1
867	450	2 regency black open armchairs	N/A	01/01/99	NOTE 1	June 4, 2004	800	5,500	4,700	NOTE 2	NOTE 1
868	484	2 Geo III satinwood-marquetry side tables	N/A	01/01/99	NOTE 1	June 4, 2004	15,000	11,000	(4,000)	NOTE 2	NOTE 1
869	454	2 contl green glass hurricane shades	N/A	01/01/99	NOTE 1	June 4, 2004	500	5,500	5,000	NOTE 2	NOTE 1
870	448	Set (4) italian black/buff corner console tables	N/A	01/01/99	NOTE 1	June 4, 2004	10,000	6,000	(4,000)	NOTE 2	NOTE 1
871	470	2 regency black open armchairs	N/A	01/01/99	NOTE 1	June 4, 2004	800	5,500	4,700	NOTE 2	NOTE 1
872	471	1 Geo IIII mahogany games table	N/A	01/01/99	NOTE 1	June 4, 2004	10,000	17,000	7,000	NOTE 2	NOTE 1
874	845	Dean Wolstenholme (Full Cry)	N/A	01/01/99	NOTE 1	June 4, 2004	50,000	19,000	(31,000)	NOTE 2	NOTE 1
875	464	Set (4) George III walnut wall lights	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	14,000	13,000	NOTE 2	NOTE 1
876	469	2 anglo-indian ebony gilt open armchairs	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	16,000	11,000	NOTE 2	NOTE 1
877	474	2 anglo-irish glass/gilt 3-light candelabra	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	1,400	(100)	NOTE 2	NOTE 1
878	466	1 George III satinwood pembroke table	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	5,500	500	NOTE 2	NOTE 1
879	465	1 George III satinwood work table	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	8,000	3,000	NOTE 2	NOTE 1
880	1133	2 chinese green earthenware bowls	N/A	01/01/99	NOTE 1	June 4, 2004	700	1,100	400	NOTE 2	NOTE 1
881	1131	1 chinese green buddhistic lion	N/A	01/01/99	NOTE 1	June 4, 2004	200	1,600	1,400	NOTE 2	NOTE 1
882	467	1 italian scagliola table top	N/A	01/01/99	NOTE 1	June 4, 2004	15,000	38,000	23,000	NOTE 2	NOTE 1
883	486	1 regency III giltwood/ebonized pier mirror	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	9,500	5,500	NOTE 2	NOTE 1
884	485	1 Geo III mahog serpentine chest of drawers	N/A	01/01/99	NOTE 1	June 4, 2004	6,000	6,000	-	NOTE 2	NOTE 1
885	473	1 Geo III rosewood occasional table	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	6,000	3,000	NOTE 2	NOTE 1
886	487	1 steinway mahogany grand piano	N/A	01/01/99	NOTE 1	June 4, 2004	6,000	45,000	39,000	NOTE 2	NOTE 1
887	478	1 Regency mahogany drum table	N/A	01/01/99	NOTE 1	June 4, 2004	3,500	7,000	3,500	NOTE 2	NOTE 1
889	472	1 Geo III mahogany bonheur du jour	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	7,500	2,500	NOTE 2	NOTE 1
890	740	1 Agra carpet	N/A	01/01/99	NOTE 1	June 4, 2004	12,500	90,000	77,500	NOTE 2	NOTE 1
891	498	2 italian chrome blackamoors	N/A	01/01/99	NOTE 1	June 4, 2004	6 000	20,000	14,000	NOTE 2	NOTE 1
892	493	Set (6) italian white/gilt side chairs	N/A	01/01/99	NOTE 1	June 4, 2004	15,000	20,000	5,000	NOTE 2	NOTE 1
893	1134	2 chinese jade hardstone/coral trees	N/A	01/01/99	NOTE 1	June 4, 2004	500	1,700	1,200	NOTE 2	NOTE 1
894	496	Set (4) Italianchrome blackamoor wall brackets	N/A	01/01/99	NOTE 1	June 4, 2004	2,000	15,000	13,000	NOTE 2	NOTE 1
895	1136	2 hardstone/enamel trees	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	2,600	(400)	NOTE 2	NOTE 1
896	501	Set of english brass/blown glass scales	N/A	01/01/99	NOTE 1	June 4, 2004	600	5,500	4,900	NOTE 2	NOTE 1
897	499	1 regency ebonized gilt/marble console table	N/A	01/01/99	NOTE 1	June 4, 2004	10,000	6,000	(4,000)	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
898	494	Set (4) gilt/cut glass 3-branch wall lights	N/A	01/01/99	NOTE 1	June 4, 2004	3,500	22,000	18,500	NOTE 2	NOTE 1
899	1135	2 chinese jade hardstone cloisonne trees	N/A	01/01/99	NOTE 1	June 4, 2004	2,000	2,600	600	NOTE 2	NOTE 1
900	497	2 art deco ebonized curule stools	N/A	01/01/99	NOTE 1	June 4, 2004	500	3,500	3,000	NOTE 2	NOTE 1
901	319	1 Lou XV gold/onyx marble center table	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	1,900	400	NOTE 2	NOTE 1
902	511	1 regency mahogany beau brummel chest	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	3,500	1,000	NOTE 2	NOTE 1
903	519	1 Goldschleder figure terracotta semiclad nymph	N/A	01/01/99	NOTE 1	June 4, 2004	600	2,000	1,400	NOTE 2	NOTE 1
904	520	1 Mont noye green 3-piece glass vase garniture	N/A	01/01/99	NOTE 1	June 4, 2004	800	2,800	2,000	NOTE 2	NOTE 1
905	532	2 victorian white plant stands	N/A	01/01/99	NOTE 1	June 4, 2004	100	850	750	NOTE 2	NOTE 1
906	529	1 polychrome lustre charger	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	15,000	12,000	NOTE 2	NOTE 1
907	530	1 polychrome lustre vase	N/A	01/01/99	NOTE 1	June 4, 2004	2,000	5,500	3,500	NOTE 2	NOTE 1
908	528	1 polychrome lustre vase	N/A	01/01/99	NOTE 1	June 4, 2004	3,000	9,000	6,000	NOTE 2	NOTE 1
909	535	1 Massier polychrome lustre jardiniere	N/A	01/01/99	NOTE 1	June 4, 2004	1,400	7,500	6,100	NOTE 2	NOTE 1
910	533	1 green pottery vase with lappets	N/A	01/01/99	NOTE 1	June 4, 2004	200	14,000	13,800	NOTE 2	NOTE 1
911	1137	2 japan massive patinated bronze urons on stands	N/A	01/01/99	NOTE 1	June 4, 2004	15,000	30,000	15,000	NOTE 2	NOTE 1
912	534	5 large mutlusk shells	N/A	01/01/99	NOTE 1	June 4, 2004	100	11,000	10,900	NOTE 2	NOTE 1
913	1362	White metal dancing female	N/A	01/01/99	NOTE 1	June 4, 2004	2,500	3,800	1,300	NOTE 2	NOTE 1
914	1138	1 japan cloissone flower urn	N/A	01/01/99	NOTE 1	June 4, 2004	25,000	17,000	(8,000)	NOTE 2	NOTE 1
915	1361	Bronze female figure	N/A	01/01/99	NOTE 1	June 4, 2004	4,000	4,200	200	NOTE 2	NOTE 1
916	1360	2 white metal female figures	N/A	01/01/99	NOTE 1	June 4, 2004	5,000	16,000	11,000	NOTE 2	NOTE 1
917	525	2 Geo III silver/wood wall mirrors	N/A	01/01/99	NOTE 1	June 4, 2004	10,000	48,000	38,000	NOTE 2	NOTE 1
918	1676	1 roman marble scrulpture of youthful male	N/A	01/01/99	NOTE 1	June 4, 2004	50,000	130,000	80,000	NOTE 2	NOTE 1
929	1002	4 SE asian gold plated hanging lanterns	N/A	01/01/99	NOTE 1	June 4, 2004	400	1,400	1,000	NOTE 2	NOTE 1
930	1931	1 s e asian patinated bronze figure, elephant	N/A	01/01/99	NOTE 1	June 4, 2004	450	950	500	NOTE 2	NOTE 1
932	1964	1 thai gilt bronze figure, female musician	N/A	01/01/99	NOTE 1	June 4, 2004	250	2,600	2,350	NOTE 2	NOTE 1
933	1925	1 s e asian gilt & red table	N/A	01/01/99	NOTE 1	June 4, 2004	300	1,000	700	NOTE 2	NOTE 1
944	863	1 Thai red/black cylindrical box w/cover	N/A	01/01/99	NOTE 1	June 4, 2004	1,500	1,500	-	NOTE 2	NOTE 1
948	1939	2 burmese gilt & black side table	N/A	01/01/99	NOTE 1	June 4, 2004	600	1,600	1,000	NOTE 2	NOTE 1
992	1943	1 s e asian gilt & red daybed	N/A	01/01/99	NOTE 1	June 4, 2004	800	2,600	1,800	NOTE 2	NOTE 1
997	1924	2 s e asian brass recumbent stags	N/A	01/01/99	NOTE 1	June 4, 2004	1,000	1,700	700	NOTE 2	NOTE 1
1000	1946	Group of s e asian pearl veneered figures	N/A	01/01/99	NOTE 1	June 4, 2004	400	3,000	2,600	NOTE 2	NOTE 1
1011	1944	2 burmese or thai bronze candlesticks	N/A	01/01/99	NOTE 1	June 4, 2004	600	850	250	NOTE 2	NOTE 1
1012	1929 & 2255	2 s e asian bronze figures of recumbent deer	N/A	01/01/99	NOTE 1	June 4, 2004	90	250	160	NOTE 2	NOTE 1
1014	1930	2 balinese polychromed figures of attendants	N/A	01/01/99	NOTE 1	June 4, 2004	300	1,600	1,300	NOTE 2	NOTE 1
1015	1928	1 thai bronze head of buddha	N/A	01/01/99	NOTE 1	June 4, 2004	350	800	450	NOTE 2	NOTE 1
1030	1940	1 chinese hardwood writing desk	N/A	01/01/99	NOTE 1	June 5, 2004	800	1,900	1,100	NOTE 2	NOTE 1
1031	1922	Chinese altar table	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	3,800	2,300	NOTE 2	NOTE 1
1032	1887	Kula Rug	N/A	01/01/99	NOTE 1	June 5, 2004	500	3,200	2,700	NOTE 2	NOTE 1
1033	1923	3 chinese wallpaper panels	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	12,000	10,500	NOTE 2	NOTE 1
1034	1888	Baku corridor carpet	N/A	01/01/99	NOTE 1	June 5, 2004	3,000	6,500	3,500	NOTE 2	NOTE 1
1035	1835	1 contemp red & black coffee table	N/A	01/01/99	NOTE 1	June 5, 2004	250	4,200	3,950	NOTE 2	NOTE 1
1036	1836	1 contemp black side table	N/A	01/01/99	NOTE 1	June 5, 2004	400	4,200	3,800	NOTE 2	NOTE 1
1037	1827	Group (4) floor screens	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	3,500	1,000	NOTE 2	NOTE 1
1038	1833	2 SE Asian figures of hands	N/A	01/01/99	NOTE 1	June 5, 2004	400	2,200	1,800	NOTE 2	NOTE 1
1039	1831	1 Geo III mahogany folio stand	N/A	01/01/99	NOTE 1	June 5, 2004	300	1,300	1,000	NOTE 2	NOTE 1
1010	1897	June Harrah, head of horse	N/A	01/01/99	NOTE 1	June 5, 2004	800	3,800	3,000	NOTE 2	NOTE 1
1041	1838	1 french metal atmos clock	N/A	01/01/99	NOTE 1	June 5, 2004	300	2,600	2,300	NOTE 2	NOTE 1
1042	1839	1 modern carved marble bust of horse	N/A	01/01/99	NOTE 1	June 5, 2004	300	2,400	2,100	NOTE 2	NOTE 1
1043	1889	1 Silk heriz carpet	N/A	01/01/99	NOTE 1	June 5, 2004	35,000	40,000	5,000	NOTE 2	NOTE 1
1044	1899	Artist unknown, figure 8	N/A	01/01/99	NOTE 1	June 5, 2004	300	900	600	NOTE 2	NOTE 1
1045	1901	Fritz Willis, horses in landscape	N/A	01/01/99	NOTE 1	June 5, 2004	4,000	4,500	500	NOTE 2	NOTE 1
1046	1898	Artist unknown, torso	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	4,200	2,200	NOTE 2	NOTE 1
1047	1973	1 egypt limestone head from anthropoid sarcoph	N/A	01/01/99	NOTE 1	June 5, 2004	4,000	9,000	5,000	NOTE 2	NOTE 1
1049	1956	Kano school	N/A	01/01/99	NOTE 1	June 5, 2004	250	500	250	NOTE 2	NOTE 1
1050	1830	2 contemp brass/chrome 7-tier bookshelves	N/A	01/01/99	NOTE 1	June 5, 2004	800	4,800	4,000	NOTE 2	NOTE 1
1051	1902	Hovsep Pushman, still life	N/A	01/01/99	NOTE 1	June 5, 2004	12,000	30,000	18,000	NOTE 2	NOTE 1
1052	1947	1 chinese green quartz head of buddha	N/A	01/01/99	NOTE 1	June 5, 2004	300	1,600	1,300	NOTE 2	NOTE 1
1053	1942	1 oriental marquetry side cabinet	N/A	01/01/99	NOTE 1	June 5, 2004	3,000	2,800	(200)	NOTE 2	NOTE 1
1055	1954	Anonymous, 4-panel screen	N/A	01/01/99	NOTE 1	June 5, 2004	6,000	6,500	500	NOTE 2	NOTE 1
1056	1941	1 chinese zitan armchair	N/A	01/01/99	NOTE 1	June 5, 2004	3,000	2,600	(400)	NOTE 2	NOTE 1

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1057	1938	1 chinese hardwood side table	N/A	01/01/99	NOTE 1	June 5, 2004	400	400	-	NOTE 2	NOTE 1
1058	1842	1 oriental gilt & black card table	N/A	01/01/99	NOTE 1	June 5, 2004	50	600	550	NOTE 2	NOTE 1
1059	1945	1 chinese mica & red painted low table	N/A	01/01/99	NOTE 1	June 5, 2004	300	1,100	800	NOTE 2	NOTE 1
1060	1890	1 chinese carpet	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	2,200	700	NOTE 2	NOTE 1
1061	1960	Framed set repros ancient japan &chin coinage	N/A	01/01/99	NOTE 1	June 5, 2004	300	600	300	NOTE 2	NOTE 1
1062	1957	H Katakawa, ducks	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	600	(400)	NOTE 2	NOTE 1
1063	1959	Anonymous, portraint of nobleman	N/A	01/01/99	NOTE 1	June 5, 2004	500	800	300	NOTE 2	NOTE 1
1064	1958	Aonymous, portrait of priest	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,100	600	NOTE 2	NOTE 1
1065	1967	1 kano school, pine tree	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	1,900	(600)	NOTE 2	NOTE.1
1066	1892	1 central or so amer rug	N/A	01/01/99	NOTE 1	June 5, 2004	500	700	200	NOTE 2	NOTE 1
1067	1968	Anonymous, horse in stable	N/A	01/01/99	NOTE 1	June 5, 2004	25,000	14,000	(11,000)	NOTE 2	NOTE 1
1068	1906	John Katz, kaorchi	N/A	01/01/99	NOTE 1	June 5, 2004	150	1,700	1,550	NOTE 2	NOTE 1
1069	1851	1 oriental bamboo & parchment table lamp	N/A	01/01/99	NOTE 1	June 5, 2004	100	200	100	NOTE 2	NOTE 1
1070	1850	2 oriental gilt & black decorative panels	N/A	01/01/99	NOTE 1	June 5, 2004	200	1,400	1,200	NOTE 2	NOTE 1
1071	1847	1 tunbridge tea caddy	N/A	01/01/99	NOTE 1	June 5, 2004	400	100	(300)	NOTE 2	NOTE 1
1072	1962	1 chinese gilt & red leather storage chest	N/A	01/01/99	NOTE 1	June 5, 2004	300	700	400	NOTE 2	NOTE 1
1073	1903	Group (4) oriental scenes	N/A	01/01/99	NOTE 1	June 5, 2004	1,200	600	(600)	NOTE 2	NOTE 1
1074	1846	1 victorian brass & black campaign chest	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	5,500	4,000	NOTE 2	NOTE 1
1075	1849	1 modern mahogany & parchement table lamp	N/A	01/01/99	NOTE 1	June 5, 2004	250	300	50	NOTE 2	NOTE 1
1076	1848	1 modern red painted wood & parchment lamp	N/A	01/01/99	NOTE 1	June 5, 2004	250	600	350	NOTE 2	NOTE 1
1077	1891	1 silk east turkestan carpet	N/A	01/01/99	NOTE 1	June 5, 2004	12,500	22,000	9,500	NOTE 2	NOTE 1
1078	1893	1 central or so amer rug	N/A	01/01/99	NOTE 1	June 5, 2004	500	700	200	NOTE 2	NOTE 1
1079	1972	1 chinese white glass snuff bottle	N/A	01/01/99	NOTE 1	June 5, 2004	600	500	(100)	NOTE 2	NOTE 1
1080	1905	R Lind, shells	N/A	01/01/99	NOTE 1	June 5, 2004	800	100	(700)	NOTE 2	NOTE 1
1081	1904	French school	N/A	01/01/99	NOTE 1	June 5, 2004	600	2,000	1,400	NOTE 2	NOTE 1
1082	1873	7 ass't english or french walking sticks	N/A	01/01/99	NOTE 1	June 5, 2004	500	900	400	NOTE 2	NOTE 1
1083	1866	2 Lou XVI grey tabourets	N/A	01/01/99	NOTE 1	June 5, 2004	150	3,200	3,050	NOTE 2	NOTE 1
1084	1860	1 italian limed oak open armchair	N/A	01/01/99	NOTE 1	June 5, 2004	150	1,400	1,250	NOTE 2	NOTE 1
1085	1969	Set (4) s e asian quarter columns	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	5,200	4,200	NOTE 2	NOTE 1
1086	1872	1 venetian cut glass wall mirror	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	7,500	5,500	NOTE 2	NOTE 1
1087	1877	2 carved painted wood figures of palm trees	N/A	01/01/99	NOTE 1	June 5, 2004	600	16,000	15,400	NOTE 2	NOTE 1
1088	1880	1 shell & wood tree ornament	N/A	01/01/99	NOTE 1	June 5, 2004	150	600	450	NOTE 2	NOTE 1
089	1879	1 shell 3-tier hanging wall light	N/A	01/01/99	NOTE 1	June 5, 2004	200	2 800	2,600	NOTE 2	NOTE 1
1090	1881	Collection various coral, shell & mineral specimens	N/A	01/01/99	NOTE 1	June 5, 2004	10,000	3,500	(6,500)	NOTE 2	NOTE 1
1092	1875	Set (6) italian white side chairs	N/A	01/01/99	NOTE 1	June 5, 2004	500	8,000	7,500	NOTE 2	NOTE 1
1093	1876	1 limed wood center table & 1 side table	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	7,500	6,000	NOTE 2	NOTE 1
1094	1869	Group of english cane decorated weights	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	1,600	100	NOTE 2	NOTE 1
1095	1870	Ass'd group victorian & later cut & pressed glass	N/A	01/01/99	NOTE 1	June 5, 2004	500	5,500	5,000	NOTE 2	NOTE 1
1096	1867	Group of art deco mirrored furniture	N/A	01/01/99	NOTE 1	June 5, 2004	500	2,800	2,300	NOTE 2	NOTE 1
1097	1874	1 Lou XV kidney shaped low table	N/A	01/01/99	NOTE 1	June 5, 2004	200	800	600	NOTE 2	NOTE 1
1098	1828	Set (6) chinese watercolor	N/A	01/01/99	NOTE 1	June 5, 2004	300	2,200	1,900	NOTE 2	NOTE 1
1099	1970	1 chinese pearl inlaid hardwood low table	N/A	01/01/99	NOTE 1	June 5, 2004	250	700	450	NOTE 2	NOTE 1
1100	1971	2 chinese rose quarts figures, birds	N/A	01/01/99	NOTE 1	June 5, 2004	200	3,500	3,300	NOTE 2	NOTE 1
1 01	1862	2 french glass & silver metal oil lamp bases	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,300	800	NOTE 2	NOTE 1
1 02	1864	1 venetian cut glass table mirror	N/A	01/01/99	NOTE 1	June 5, 2004	60	1,200	1,140	NOTE 2	NOTE 1
1103	1863	1 gilt mounted colorless quartz candlestick	N/A	01/01/99	NOTE 1	June 5, 2004	400	3,800	3,400	NOTE 2	NOTE 1
1104	1861	1 anglo-insh glass 12-light chandelier	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	5,000	-	NOTE 2	NOTE 1
1105	1907	Frederick Schiller Cozzens	N/A	01/01/99	NOTE 1	June 5, 2004	3,500	1,600	(1,900)	NOTE 2	NOTE 1
1106	1894	1 cotton agra carpet	N/A	01/01/99	NOTE 1	June 5, 2004	7,000	7,000	-	NOTE 2	NOTE 1
1107	1926	2 japanese brass temple mounted candlesticks	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	2,000	-	NOTE 2	NOTE 1
1108	1933	1 japanese table stand	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,000	500	NOTE 2	NOTE 1
1109	1934	2 japanese lacquired food containers	N/A	01/01/99	NOTE 1	June 5, 2004	4,000	3,000	(1,000)	NOTE 2	NOTE 1
1110	1961	1 japanese gilt & black lacquered chest	N/A	01/01/99	NOTE 1	June 5, 2004	800	2,400	1,600	NOTE 2	NOTE 1
1111	1951	1 japanese lacquer cabinet	N/A	01/01/99	NOTE 1	June 5, 2004	10,000	6,500	(3,500)	NOTE 2	NOTE 1
11 2	1936	1 japanese altar table	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	1,700	700	NOTE 2	NOTE 1
11 3	1952	1 japanese soft metal pear shaped vase	N/A	01/01/99	NOTE 1	June 5, 2004	200	100	(100)	NOTE 2	NOTE 1
11 4	1963	3 oriental hanging lanterns	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	600	(400)	NOTE 2	NOTE 1
1115	1935	2 japanese iron stirrups (abumi)	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	1,100	(900)	NOTE 2	NOTE 1
1116	1966	1 japanese black lacquer stand	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	6,000	3,500	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
1117	1911	Alberol Bazile, 2 women	N/A	01/01/99	NOTE 1	June 5, 2004	400	1,000	600	NOTE 2	NOTE 1
1118	1910	Benito Auguste, walking in square	N/A	01/01/99	NOTE 1	June 5, 2004	300	1,000	700	NOTE 2	NOTE 1
1119	1909	Benito Auguste, townscene	N/A	01/01/99	NOTE 1	June 5, 2004	300	1,000	700	NOTE 2	NOTE 1
1120	1913	Maxen Etienne, cows, peasants	N/A	01/01/99	NOTE 1	June 5, 2004	300	1,600	1,300	NOTE 2	NOTE 1
1121	1915	P Isaac, peasants	N/A	01/01/99	NOTE 1	June 5, 2004	300	1,500	1,200	NOTE 2	NOTE 1
1122	1914	F Galloth, 2 pheasants	N/A	01/01/99	NOTE 1	June 5, 2004	350	200	(150)	NOTE 2	NOTE 1
1123	1912	Maxen Etienne,appel au loa	N/A	01/01/99	NOTE 1	June 5, 2004	300	400	100	NOTE 2	NOTE 1
1124	1916	H C Lollygaint, 3 pheasants	N/A	01/01/99	NOTE 1	June 5, 2004	400	100	(300)	NOTE 2	NOTE 1
1125	1917	Rene J Louis, peasants outside cottages	N/A	01/01/99	NOTE 1	June 5, 2004	250	500	250	NOTE 2	NOTE 1
1127	1919	D Pluvios, peasants	N/A	01/01/99	NOTE 1	June 5, 2004	400	1,600	1,200	NOTE 2	NOTE 1
1128	1921	Lionel Vernet, haitian wedding	N/A	01/01/99	NOTE 1	June 5, 2004	300	900	600	NOTE 2	NOTE 1
1129	1920	R Souverain, horse drinking	N/A	01/01/99	NOTE 1	June 5, 2004	250	500	250	NOTE 2	NOTE 1
1130	1977	1 patinated bronze figure of narcissus	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	6,000	5,000	NOTE 2	NOTE 1
1131	2279	2 contl lead figures,peasant dancers	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	1,000	(4,000)	NOTE 2	NOTE 1
1132	2262	2 capodimonte ovoidal urns & covers	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	2,600	1,100	NOTE 2	NOTE 1
1133	2263	2 pairs porcelain bough pots	N/A	01/01/99	NOTE 1	June 5, 2004	400	3,500	3,100	NOTE 2	NOTE 1
1135	2261	2 contl biscuit figures	N/A	01/01/99	NOTE 1	June 5, 2004	500	13,000	12,500	NOTE 2	NOTE 1
1136	2212	Circle of Carlo Bonavia	N/A	01/01/99	NOTE 1	June 5, 2004	15,000	15,000	-	NOTE 2	NOTE 1
1137	2260	2 pairs porcelain beaker vases	N/A	01/01/99	NOTE 1	June 5, 2004	800	1,800	1,000	NOTE 2	NOTE 1
1138	1980	2 restauration ormolu & bronze ataterniennes	N/A	01/01/99	NOTE 1	June 5, 2004	20,000	28,000	8,000	NOTE 2	NOTE 1
1139	2285	1 russian champleve enamel & gilt silver bottle vase	N/A	01/01/99	NOTE 1	June 5, 2004	500	800	300	NOTE 2	NOTE 1
1140	1982	1 contl baroque red silk & metal pine coffer	N/A	01/01/99	NOTE 1	June 5, 2004	6,000	900	(5,100)	NOTE 2	NOTE 1
1141	1981	1 venetian rococo red lacquer settee	N/A	01/01/99	NOTE 1	June 5, 2004	3,500	3,500	-	NOTE 2	NOTE 1
1142	1978	1 italian giltwood composite column	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,200	700	NOTE 2	NOTE 1
143	2214 & 2215	Jean-Baptiste Marechal	N/A	01/01/99	NOTE 1	June 5, 2004	12,000	3,000	(9,000)	NOTE 2	NOTE 1
144	2222	English school	N/A	01/01/99	NOTE 1	June 5, 2004	300	500	200	NOTE 2	NOTE 1
1145	2220	Franz Nowak, 4 still lives	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	3,500	1,500	NOTE 2	NOTE 1
1146	1976	Set (3) venetian rococo blue & silver side chairs	N/A	01/01/99	NOTE 1	June 5, 2004	20,000	22,000	2,000	NOTE 2	NOTE 1
1147	1996	1 late Lou XVI mahogany small canape	N/A	01/01/99	NOTE 1	June 5, 2004	12,000	9,500	(2,500)	NOTE 2	NOTE 1
1148	2219	1 School of Jean-Marc Nattier	N/A	01/01/99	NOTE 1	June 5, 2004	12,500	4,800	(7,700)	NOTE 2	NOTE 1
1149	2008	2 delft blue & white baluster bottle vases	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	4,200	3,200	NOTE 2	NOTE 1
1150	2010	1 french gilt & tooled leather desk blotter	N/A	01/01/99	NOTE 1	June 5, 2004	150	60	(90)	NOTE 2	NOTE 1
1151	2009	1 Lou XVI terracotta oval relief bust of lady	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	400	(600)	NOTE 2	NOTE 1
1152	2011	1 Lou XVI gilt & patinated bronze mantel clock	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	1,600	(900)	NOTE 2	NOTE 1
1153	2216 & 2217	LeVeau after Vernet	N/A	01/01/99	NOTE 1	June 5, 2004	200	3,200	3,000	NOTE 2	NOTE 1
1154	2198	1 Charles X aubusson rug	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	5,500	3,000	NOTE 2	NOTE 1
1155	1992	1 italian walnutr writing table	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	6,500	1,500	NOTE 2	NOTE 1
1156	1994	1 Lou XVI gilt brass bouillotte lamp	N/A	01/01/99	NOTE 1	June 5, 2004	800	2,400	1,600	NOTE 2	NOTE 1
1157	1993	1 italian ormolu inkstand	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	1,600	100	NOTE 2	NOTE 1
1158	1987	1 Lou XVI beechwood fauteuil de bureau	N/A	01/01/99	NOTE 1	June 5, 2004	800	3,200	2,400	NOTE 2	NOTE 1
1159	2227	French school 2 landscapes	N/A	01/01/99	NOTE 1	June 5, 2004	200	600	400	NOTE 2	NOTE 1
1160	2224 & 2225	Chinese school, 2 figures on boat	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	500	(500)	NOTE 2	NOTE 1
1161	2223	French school	N/A	01/01/99	NOTE 1	June 5, 2004	200	300	100	NOTE 2	NOTE 1
1162	2218	German School, 1800	N/A	01/01/99	NOTE 1	June 5, 2004	250	1,100	850	NOTE 2	NOTE 1
1163	2226	Studio of Charles Francois Grenier de la Croix	N/A	01/01/99	NOTE 1	June 5, 2004	15,000	11,000	(4,000)	NOTE 2	NOTE 1
1164	1997	1 french provincial walnut refraichoissoir	N/A	01/01/99	NOTE 1	June 5, 2004	800	700	(100)	NOTE 2	NOTE 1
1165	1995	1 directoire brass mahogany tricoteuse	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	1,600	100	NOTE 2	NOTE 1
1166	1990	2 Louis XVI beechwood fauteuils	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	2,800	(2,200)	NOTE 2	NOTE 1
1167	2001	1 directoire mahogany guendon	N/A	01/01/99	NOTE 1	June 5, 2004	3,000	1,600	(1,400)	NOTE 2	NOTE 1
1168	1991	2 Lou XVI stained beechwood chaises	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	1,300	300	NOTE 2	NOTE 1
1169	2017	1 chinese black & gold sewing table	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	2,800	300	NOTE 2	NOTE 1
1170	2229	Circle of Piat Joseph Sauvage	N/A	01/01/99	NOTE 1	June 5, 2004	15,000	8,500	(6,500)	NOTE 2	NOTE 1
1171	2026	1 italian white & blue daybed	N/A	01/01/99	NOTE 1	June 5, 2004	20,000	3,500	(16,500)	NOTE 2	NOTE 1
1173	2051	Ass't. group of table ornaments	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,200	700	NOTE 2	NOTE 1
1174	2050 & 2048	1 contl carved tortoiseshell stagecoach musical cigare	N/A	01/01/99	NOTE 1	June 5, 2004	400	2,200	1,800	NOTE 2	NOTE 1
1175	2022	2 Lou XVI ormolu mounted cut glass urns	N/A	01/01/99	NOTE 1	June 5, 2004	15,000	12,000	(3,000)	NOTE 2	NOTE 1
1176	2030	1 italian scagliola table top	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	6,500	1,500	NOTE 2	NOTE 1
1177	2045	2 musical instruments or wall ornaments	N/A	01/01/99	NOTE 1	June 5, 2004	15,000	19,000	4,000	NOTE 2	NOTE 1
1178	2058	1 restauration ormolu & malachite guendon	N/A	01/01/99	NOTE 1	June 5, 2004	10,000	5,500	(4,500)	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
1179	2056	1 restauration ormolu & malachite guerldon	N/A	01/01/99	NOTE 1	June 5, 2004	12,000	7,500	(4,500)	NOTE 2	NOTE 1
1180	2055	1 dutch polychrome leather 6-panel screen	N/A	01/01/99	NOTE 1	June 5, 2004	7,500	16,000	8,500	NOTE 2	NOTE 1
1181	2043	1 contemporary upholstered sofa	N/A	01/01/99	NOTE 1	June 5, 2004	4,000	6,000	2,000	NOTE 2	NOTE 1
1182	2037	2 giltwood end tables	N/A	01/01/99	NOTE 1	June 5, 2004	7,500	11,000	3,500	NOTE 2	NOTE 1
1183	2044	2 empire bronze 2-handled urns	N/A	01/01/99	NOTE 1	June 5, 2004	800	1,300	500	NOTE 2	NOTE 1
1184	2034	2 italian rococo low tables	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	5,500	500	NOTE 2	NOTE 1
1185	2038	1 italian rococo giltwood mirror	N/A	01/01/99	NOTE 1	June 5, 2004	12,000	6,000	(6,000)	NOTE 2	NOTE 1
1186	2029	2 Lou XV I ormolu & white marble candlesticks	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	1,600	(900)	NOTE 2	NOTE 1
1187	2032	1 italian polychrome & giltwood mantel clock	N/A	01/01/99	NOTE 1	June 5, 2004	10,000	3,500	(6,500)	NOTE 2	NOTE 1
1188	2031	2 Charles X ormolu & cut glass jewelry boxes	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	4,500	2,500	NOTE 2	NOTE 1
1189	2035	2 Charles X ormolu & cut glass jewelry boxes	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	5,000	3,500	NOTE 2	NOTE 1
1190	2036	1 russian ormolu malachite jewelry box	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	8,500	3,500	NOTE 2	NOTE 1
191	2021	1 italian rococo blue & white japanned bureau cabinet	N/A	01/01/99	NOTE 1	June 5, 2004	50,000	35,000	(15,000)	NOTE 2	NOTE 1
192	2228	Follower of Francesco Guardi	N/A	01/01/99	NOTE 1	June 5, 2004	150	3,500	3,350	NOTE 2	NOTE 1
193	2057	1 italian white & parcel gilt hall settee	N/A	01/01/99	NOTE 1	June 5, 2004	8,000	2,400	(5,600)	NOTE 2	NOTE 1
1194	2033	2 Lou XVI beechwood bergeres	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	6,500	1,500	NOTE 2	NOTE 1
1195	2027	1 venetian rococo white & gilt marquise	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	4,000	2,500	NOTE 2	NOTE 1
1196	2025	1 Lou XVI beechwood bergere	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	3,000	1,000	NOTE 2	NOTE 1
1197	2041	1 Geo III cut-glass 16-light chandelier	N/A	01/01/99	NOTE 1	June 5, 2004	15,000	17,000	2,000	NOTE 2	NOTE 1
1198	2039	Set (4) Lou XVI printed silk pictures	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	1,800	(200)	NOTE 2	NOTE 1
1199	2252	2 chinese carved ivory equestrian figures	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	5,500	3,500	NOTE 2	NOTE 1
1200	2254	Group (4) oriental carved jade figures of animals	N/A	01/01/99	NOTE 1	June 5, 2004	200	950	750	NOTE 2	NOTE 1
1201	2054	Set (3) italian polychrome blackamoor wall brackets	N/A	01/01/99	NOTE 1	June 5, 2004	1,200	9,000	7,800	NOTE 2	NOTE 1
1202	2040	1 painted paper six panel screen	N/A	01/01/99	NOTE 1	June 5 2004	3,500	11,000	7,500	NOTE 2	NOTE 1
1203	2062	1 italian renaissnce ivory & ebony display cabinet	N/A	01/01/99	NOTE 1	June 5, 2004	3,500	1,800	(1,700)	NOTE 2	NOTE 1
1204	2063	2 italian polychrome & parcel gilt blackamoors	N/A	01/01/99	NOTE 1	June 5, 2004	7,500	4,200	(3,300)	NOTE 2	NOTE 1
1206	2059	Set of 6 contl fruitwood dining chairs	N/A	01/01/99	NOTE 1	June 5, 2004	10,000	2,800	(7,200)	NOTE 2	NOTE 1
1207	2065	2 restauration gilt, bronze candlesticks	N/A	01/01/99	NOTE 1	June 5, 2004	700	7 000	6,300	NOTE 2	NOTE 1
1208	2064 & 2067	1 italian patinated bronze figure 2 children on seesaw	N/A	01/01/99	NOTE 1	June 5, 2004	1,600	850	(750)	NOTE 2	NOTE 1
1209	2066	2 gilt bronze figures recumbent unicorns	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	5,500	500	NOTE 2	NOTE 1
1210	2230	Manner of Giacomo Guardi	N/A	01/01/99	NOTE 1	June 5, 2004	400	8,000	7,600	NOTE 2	NOTE 1
1211	2231	Circle of Giovanni Antonio Canale, Il Canaletto	N/A	01/01/99	NOTE 1	June 5, 2004	30,000	180,000	150,000	NOTE 2	NOTE 1
1212	2232	Circle of Alexander Runciman	N/A	01/01/99	NOTE 1	June 5, 2004	30,000	1,600	(28,400)	NOTE 2	NOTE 1
1213	2200	1 east turkestan carpet	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	19,000	17,000	NOTE 2	NOTE 1
1214	2292	Set (12) Russ slv/gilt/clois enamel teacups & saucers	N/A	01/01/99	NOTE 1	June 5, 2004	25,000	80,000	55,000	NOTE 2	NOTE 1
1215	2297	1 Russian silver gilt & cloisonne enamel oval tray	N/A	01/01/99	NOTE 1	June 5, 2004	7,500	5,000	(2,500)	NOTE 2	NOTE 1
1216	2293	12 ass't Russ silver/gilt/clois enamel kovshi & 12 spoo	N/A	01/01/99	NOTE 1	June 5, 2004	12,000	8,500	(3,500)	NOTE 2	NOTE 1
1217	2295	6 Russ slv/gilt/clois enamel fruit knives, 6 fruit forks	N/A	01/01/99	NOTE 1	June 5, 2004	3,000	2,200	(800)	NOTE 2	NOTE 1
1218	2294	12 Russ slv/gilt/clois enamel teacups, 11 saucers, 12	N/A	01/01/99	NOTE 1	June 5, 2004	15,000	14,000	(1,000)	NOTE 2	NOTE 1
1219	2298, 2299	2 Russian silver gilt & cloisonne enamel tongs	N/A	01/01/99	NOTE 1	June 5, 2004	2,100	2,600	500	NOTE 2	NOTE 1
1220	2289	Russian silver gilt & cloisonne enamel tea service	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	16,000	11,000	NOTE 2	NOTE 1
1221	2290	2 Russian silver gilt & cloisonne enamel candlesticks	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	7,500	2,500	NOTE 2	NOTE 1
1222	2291	12 Russ slv gilt/clois enamel cups, 12 fiddle pattern s	N/A	01/01/99	NOTE 1	June 5, 2004	25,000	65,000	40,000	NOTE 2	NOTE 1
1224	2091 & 2090	1 african food storage basket	N/A	01/01/99	NOTE 1	June 5, 2004	300	450	150	NOTE 2	NOTE 1
1226	2083	2 victorian bell-metal candlesticks	N/A	01/01/99	NOTE 1	June 5, 2004	300	500	200	NOTE 2	NOTE 1
1227	2082	2 victorian tole painted tea cannisters	N/A	01/01/99	NOTE 1	June 5, 2004	800	900	100	NOTE 2	NOTE 1
1223	2256	Chinese gilt and carved wood storage box	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,300	800	NOTE 2	NOTE 1
1229	2081	Ass't group of victorian brass & copper cooking utens	N/A	01/01/99	NOTE 1	June 5, 2004	3,000	800	(2,200)	NOTE 2	NOTE 1
1230	2233	48 ornithological prints	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	2,600	(2,400)	NOTE 2	NOTE 1
1231	2092 & 2084	1 venetian pewter colorless glass ewer	N/A	01/01/99	NOTE 1	June 5, 2004	450	400	(50)	NOTE 2	NOTE 1
1232	2085	1 english bronze mortar and pestle	N/A	01/01/99	NOTE 1	June 5, 2004	500	400	(100)	NOTE 2	NOTE 1
1233	2079	2 baroque walnut foot stools	N/A	01/01/99	NOTE 1	June 5, 2004	300	800	500	NOTE 2	NOTE 1
1234	2076	1 spanish baroque walnut chest	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	2,600	(2,400)	NOTE 2	NOTE 1
1235	2069	1 italian baroque & gilt gondola pole	N/A	01/01/99	NOTE 1	June 5, 2004	300	500	200	NOTE 2	NOTE 1
1236	2074	1 english oak bible box	N/A	01/01/99	NOTE 1	June 5, 2004	800	550	(250)	NOTE 2	NOTE 1
1237	2078	1 american mahogany calendar wall clock	N/A	01/01/99	NOTE 1	June 5, 2004	500	2,200	1,700	NOTE 2	NOTE 1
1238	2061	1 italian scagliola table top	N/A	01/01/99	NOTE 1	June 5, 2004	60,000	38,000	(22,000)	NOTE 2	NOTE 1
1239	2274	Group of english lusterware	N/A	01/01/99	NOTE 1	June 5, 2004	100	450	350	NOTE 2	NOTE 1
1240	2272	8 chinese famille rose porcelain dinner plates & 8 func	N/A	01/01/99	NOTE 1	June 5, 2004	800	1,900	1,100	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
1241	2269	9 japanese iman porcelain	N/A	01/01/99	NOTE 1	June 5, 2004	300	1,100	800	NOTE 2	NOTE 1
1242	2268	German opague pewter mounted tankard	N/A	01/01/99	NOTE 1	June 5, 2004	400	750	350	NOTE 2	NOTE 1
1244	2234	Pierre Bordeaux	N/A	01/01/99	NOTE 1	June 5, 2004	300	800	500	NOTE 2	NOTE 1
1245	2096	1 italian baroque red & parcel gilt longcase clock	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	4,800	2,800	NOTE 2	NOTE 1
1246	2097	1 rococo walnut slipper chair	N/A	01/01/99	NOTE 1	June 5, 2004	150	1,300	1,150	NOTE 2	NOTE 1
1247	2099	2 baroque limed wall brackets	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,000	500	NOTE 2	NOTE 1
1248	2104	1 french gilt & cut-glass jewel box	N/A	01/01/99	NOTE 1	June 5, 2004	200	2,000	1,800	NOTE 2	NOTE 1
1249	2101	1 italian walnut side table	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	1,600	100	NOTE 2	NOTE 1
1250	2103	1 black & parcel gilt bookcase	N/A	01/01/99	NOTE 1	June 5, 2004	300	400	100	NOTE 2	NOTE 1
1252	2235	J Nugent Fitch, 25 species of orchids	N/A	01/01/99	NOTE 1	June 5, 2004	3,000	7,500	4,500	NOTE 2	NOTE 1
1253	2098	2 italian baroque black & gold lacquered small commo	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	32,000	29,500	NOTE 2	NOTE 1
1254	2257	2 chinese cloisonne enamel figures, temple guardians	N/A	01/01/99	NOTE 1	June 5, 2004	1,250	3,000	1,750	NOTE 2	NOTE 1
1255	2207	1 tabriz silk carpet	N/A	01/01/99	NOTE 1	June 5, 2004	7,500	90,000	82,500	NOTE 2	NOTE 1
1256	2241	John Kelly, adapt. Horyoui frescoes	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	900	(1,100)	NOTE 2	NOTE 1
1257	2133	1 mexican polychrome 4-drawer chest	N/A	01/01/99	NOTE 1	June 5, 2004	300	1,200	900	NOTE 2	NOTE 1
1258	2130	1 italian embroidered silk panel	N/A	01/01/99	NOTE 1	June 5, 2004	500	600	100	NOTE 2	NOTE 1
1259	2131	2 painted tin birdcages	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	1,400	400	NOTE 2	NOTE 1
1260	2129	1 spanish baroque walnut armchair	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	300	(700)	NOTE 2	NOTE 1
1261	2123	2 italian green & parcel gilt side chairs	N/A	01/01/99	NOTE 1	June 5, 2004	8,000	17,000	9,000	NOTE 2	NOTE 1
1262	2119	1 italian rococo scarlet & gilt armoire	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	4,800	2,300	NOTE 2	NOTE 1
1263	2109	1 italian baroque walnut credenza	N/A	01/01/99	NOTE 1	June 5, 2004	4,000	3,500	(500)	NOTE 2	NOTE 1
1264	2112	2 bronze figure groups of billing birds	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	2,400	900	NOTE 2	NOTE 1
1265	2118	1 spanish baroque style walnut low table	N/A	01/01/99	NOTE 1	June 5, 2004	800	300	(500)	NOTE 2	NOTE 1
1266	2111	1 spanish baroque walnut hall settee	N/A	01/01/99	NOTE 1	June 5 2004	3,500	1,000	(2,500)	NOTE 2	NOTE 1
1267	2108	2 spanish baroque red & parcel gilt mirrors	N/A	01/01/99	NOTE 1	June 5 2004	200	7,000	6,800	NOTE 2	NOTE 1
1268	2236	Elizabeth Duquette, 2 women	N/A	01/01/99	NOTE 1	June 5, 2004	1,200	15,000	13,800	NOTE 2	NOTE 1
1270	2114	2 italian walnut bedside commodes	N/A	01/01/99	NOTE 1	June 5, 2004	4,000	2 000	(2,000)	NOTE 2	NOTE 1
1271	2115	1 italian rococo red & gilt daybed	N/A	01/01/99	NOTE 1	June 5, 2004	7,500	7,500	-	NOTE 2	NOTE 1
1272	2117	1 italian baroque walnut stool	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	500	(4,500)	NOTE 2	NOTE 1
1273	2124	1 italian baroque walnut credenza	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	1,500	(3,500)	NOTE 2	NOTE 1
1274	2126 & 2125	2 polychrome & wood figures of kneeling angels	N/A	01/01/99	NOTE 1	June 5, 2004	550	2,800	2,250	NOTE 2	NOTE 1
1275	2110	2 brass & patinated bronze candlesticks	N/A	01/01/99	NOTE 1	June 5, 2004	150	550	400	NOTE 2	NOTE 1
1276	2201	1 navajo rug	N/A	01/01/99	NOTE 1	June 5, 2004	300	900	600	NOTE 2	NOTE 1
278	2237	French school	N/A	01/01/99	NOTE 1	June 5, 2004	600	2,800	2,200	NOTE 2	NOTE 1
279	2128	1 italian baroque walnut hall bench	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	8,000	3,000	NOTE 2	NOTE 1
1280	2238	N Horacio Girl in red dress	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	8,500	3,500	NOTE 2	NOTE 1
1281	2023	2 italian white & gilt side tables	N/A	01/01/99	NOTE 1	June 5, 2004	18,000	11,000	(7,000)	NOTE 2	NOTE 1
1282	2136	1 french black & parcel gilt wrought-iron daybed	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	1,800	(700)	NOTE 2	NOTE 1
1283	2153	1 painted 3-panel screen	N/A	01/01/99	NOTE 1	June 5, 2004	1 500	10,000	8,500	NOTE 2	NOTE 1
1284	2141	1 Geo III mahogany tripod table	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	1,600	600	NOTE 2	NOTE 1
1285	2138 & 2139	1 chinese green glazed baluster jar	N/A	01/01/99	NOTE 1	June 5, 2004	250	2,200	1,950	NOTE 2	NOTE 1
1286	2206	1 french needlepoint carpet	N/A	01/01/99	NOTE 1	June 5, 2004	17,500	10,000	(7,500)	NOTE 2	NOTE 1
1287	2135	1 empire ormolu & patinated bronze guendon	N/A	01/01/99	NOTE 1	June 5, 2004	25,000	32,000	7,000	NOTE 2	NOTE 1
1288	2244	Pierre Lelong, 6 still lives	N/A	01/01/99	NOTE 1	June 5, 2004	30,000	8,500	(21,500)	NOTE 2	NOTE 1
1289	2246	Dutch School, fruit in urn	N/A	01/01/99	NOTE 1	June 5 2004	250	850	600	NOTE 2	NOTE 1
1290	2148	2 italian rococo white small settees	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	4,000	(1,000)	NOTE 2	NOTE 1
1291	2248	Joseph Francis Parroud, jupiter & io	N/A	01/01/99	NOTE 1	June 5, 2004	4,000	2,800	(1,200)	NOTE 2	NOTE 1
1292	2250	Follower of Jean Antoine Watteau	N/A	01/01/99	NOTE 1	June 5, 2004	150	1,700	1,550	NOTE 2	NOTE 1
1293	2042	4 wrought iron side chairs	N/A	01/01/99	NOTE 1	June 5, 2004	1,000	3 800	2,800	NOTE 2	NOTE 1
1294	2159	1 contl giltwood border	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,900	1,400	NOTE 2	NOTE 1
1295	2146	1 italian micro-mosaic panel	N/A	01/01/99	NOTE 1	June 5, 2004	800	20,000	19,200	NOTE 2	NOTE 1
1296	2144	2 italian white & parcel gilt side chairs	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	3,200	700	NOTE 2	NOTE 1
1297	2143	1 white circular low table	N/A	01/01/99	NOTE 1	June 5, 2004	200	500	300	NOTE 2	NOTE 1
1298	2245	Jan Bronkhorst, parrot	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	2,800	1,300	NOTE 2	NOTE 1
1299	2249	Circle of Aert Schouman, 2 birds	N/A	01/01/99	NOTE 1	June 5, 2004	150	700	550	NOTE 2	NOTE 1
1300	2247	John Koch, woman in chair	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,200	700	NOTE 2	NOTE 1
1301	2154	2 spanish polychrome & giltwood angels	N/A	01/01/99	NOTE 1	June 5, 2004	6,000	4,800	(1,200)	NOTE 2	NOTE 1
1302	2149	1 wrought iron foliate cast elaborate chimney piece	N/A	01/01/99	NOTE 1	June 5, 2004	3,000	5,000	2,000	NOTE 2	NOTE 1
1303	2156 & 2155	1 black wrought iron foot stool	N/A	01/01/99	NOTE 1	June 5, 2004	150	450	300	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
1304	2152	1 english brass mounted oak peat bucket	N/A	01/01/99	NOTE 1	June 5, 2004	500	500	-	NOTE 2	NOTE 1
1305	2142	1 spanish wrought-iron bedstead	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	6,000	1,000	NOTE 2	NOTE 1
1306	2189	1 mexican red & polychrome painted ladderback side	N/A	01/01/99	NOTE 1	June 5, 2004	100	100	-	NOTE 2	NOTE 1
1307	2188	1 italian walnut writing desk	N/A	01/01/99	NOTE 1	June 5, 2004	800	3,200	2,400	NOTE 2	NOTE 1
1308	2191	1 Lou XVI giltwood wall mirror	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,900	1,400	NOTE 2	NOTE 1
1309	2192	1 directoire patinated green bronze brule parfum	N/A	01/01/99	NOTE 1	June 5, 2004	300	750	450	NOTE 2	NOTE 1
1310	2265	2 contl creamware seau crenele	N/A	01/01/99	NOTE 1	June 5, 2004	300	1,300	1,000	NOTE 2	NOTE 1
1311	2211	1 alpujarra rug	N/A	01/01/99	NOTE 1	June 5, 2004	2,000	3,200	1,200	NOTE 2	NOTE 1
1312	1988	1 italian polychrome painted daybed	N/A	01/01/99	NOTE 1	June 5, 2004	7,500	2,000	(5,500)	NOTE 2	NOTE 1
1313	1999	1 italian rococo polychrome music stand	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	900	(1,600)	NOTE 2	NOTE 1
1314	1989	1 rococo silk covered occasional table	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,200	700	NOTE 2	NOTE 1
1315	2004	1 italian lacca povera box	N/A	01/01/99	NOTE 1	June 5, 2004	200	350	150	NOTE 2	NOTE 1
1316	2187	1 mexican painted pine blanket chest	N/A	01/01/99	NOTE 1	June 5, 2004	100	100	-	NOTE 2	NOTE 1
1317	2185	1 tyrolean painted bed	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	400	(2,100)	NOTE 2	NOTE 1
1318	2190	1 spanish colonial blue painted pine work table	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,500	1,000	NOTE 2	NOTE 1
1319	2184	1 tyrolean painted pine cupboard	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	900	(600)	NOTE 2	NOTE 1
1320	2186	1 mexican painted wood hall bench	N/A	01/01/99	NOTE 1	June 5, 2004	300	750	450	NOTE 2	NOTE 1
1321	2267	Group (6) italian tin glazed drug jars	N/A	01/01/99	NOTE 1	June 5, 2004	2,400	3,800	1,400	NOTE 2	NOTE 1
1322	2175	1 baroque walnut center table	N/A	01/01/99	NOTE 1	June 5, 2004	600	350	(250)	NOTE 2	NOTE 1
1323	2169	Group of musical instruments	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	600	(900)	NOTE 2	NOTE 1
1324	2176	1 spanish baroque walnut side table	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	2,200	(300)	NOTE 2	NOTE 1
1326	2164	1 mando cello	N/A	01/01/99	NOTE 1	June 5, 2004	600	700	100	NOTE 2	NOTE 1
1327	2181	1 painted musical drum mounted as table	N/A	01/01/99	NOTE 1	June 5, 2004	400	2,200	1,800	NOTE 2	NOTE 1
1328	2179	3 upholstered armchairs	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	3,200	1,700	NOTE 2	NOTE 1
1329	2177	1 spanish baroque walnut side table	N/A	01/01/99	NOTE 1	June 5, 2004	800	1,100	300	NOTE 2	NOTE 1
1330	2182	2 spanish 4-panel floor screens	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	4,200	2,700	NOTE 2	NOTE 1
1331	2166	1 spanish baroque walnut side table	N/A	01/01/99	NOTE 1	June 5, 2004	5,000	6,000	1,000	NOTE 2	NOTE 1
1332	2180	Group (3) chinese archaistic pottery oviform jars	N/A	01/01/99	NOTE 1	June 5, 2004	1,500	3,500	2,000	NOTE 2	NOTE 1
1333	2168	2 spanish baroque walnut child's chairs	N/A	01/01/99	NOTE 1	June 5, 2004	2,500	300	(2,200)	NOTE 2	NOTE 1
334	2170	1 iberian baroque gilt & scarlet lacquered linen press	N/A	01/01/99	NOTE 1	June 5, 2004	3,000	12,000	9,000	NOTE 2	NOTE 1
1335	2165	1 spanish baroque walnut side chair	N/A	01/01/99	NOTE 1	June 5, 2004	500	1,100	600	NOTE 2	NOTE 1
1336	2210	1 kilim mat	N/A	01/01/99	NOTE 1	June 5, 2004	150	600	450	NOTE 2	NOTE 1
1337	2172	1 steinway baby grand	N/A	01/01/99	NOTE 1	June 5, 2004	6,000	11,000	5,000	NOTE 2	NOTE 1
28	2213	DOMENICO FOSSATI, STAGE SET	N/A	01/01/99	NOTE 1	June 4, 2005	25,000	60,000	35,000	NOTE 2	NOTE 1
28	757	ANDREA APPIANI, PORTRAIT OF NAPOLEON	N/A	01/01/99	NOTE 1	June 4, 2005	35,000	680,000	645,000	NOTE 2	NOTE 1
15	194	DRAGONFLY LEADED LAMP	N/A	01/01/99	NOTE 1	June 4, 2005	150,000	800,000	650,000	NOTE 2	NOTE 1
2012	1719	8 double magnums GH Mumm Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	240	2,400	2,160	NOTE 2	NOTE 1
2039	1719	8 double magnums GH Mumm Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	240	12,000	11,760	NOTE 2	NOTE 1
2021	1722	76 half bottles L Roedereer Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	221	1,600	1,379	NOTE 2	NOTE 1
2022	1722	76 half bottles L Roedereer Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	221	1,500	1,279	NOTE 2	NOTE 1
2023	1722	76 half bottles L Roedereer Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	221	1,500	1,279	NOTE 2	NOTE 1
2024	1722	76 half bottles L Roedereer Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	221	1,400	1,179	NOTE 2	NOTE 1
2025	1722	76 half bottles L Roedereer Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	221	1,400	1,179	NOTE 2	NOTE 1
2026	1722	76 half bottles L Roedereer Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	221	1,400	1,179	NOTE 2	NOTE 1
2015	1724	20 half bottles 1921 Lanson Vintage Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	244	3,200	2,956	NOTE 2	NOTE 1
2037	1726	83 bottles 1929 Pommery Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	86	4,200	4,114	NOTE 2	NOTE 1
2038	1726	83 bottles 1929 Pommery Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	343	4,200	3,857	NOTE 2	NOTE 1
2016	1728	7 bottles Pommery Champagne 1926	N/A	01/01/99	NOTE 1	June 4, 2005	164	3,000	2,836	NOTE 2	NOTE 1
2017	1728	7 bottles Pommery Champagne 1926	N/A	01/01/99	NOTE 1	June 4, 2005	131	800	669	NOTE 2	NOTE 1
2018	1728	7 bottles Pommery Champagne 1926	N/A	01/01/99	NOTE 1	June 4, 2005	131	800	669	NOTE 2	NOTE 1
2010	1728	7 bottles Pommery Champagne 1926	N/A	01/01/99	NOTE 1	June 4, 2005	98	1,000	902	NOTE 2	NOTE 1
2011	1728	7 bottles Pommery Champagne 1926	N/A	01/01/99	NOTE 1	June 4, 2005	98	1,000	902	NOTE 2	NOTE 1
2013	1729	35 bottles GH Mumm Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	300	1,400	1,100	NOTE 2	NOTE 1
2014	1729	35 bottles GH Mumm Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	300	1,400	1,100	NOTE 2	NOTE 1
2032	1730	69 bottles Pommery Champagne 1929	N/A	01/01/99	NOTE 1	June 4, 2005	292	5,000	4,708	NOTE 2	NOTE 1
2033	1730	69 bottles Pommery Champagne 1929	N/A	01/01/99	NOTE 1	June 4, 2005	292	4,200	3,908	NOTE 2	NOTE 1
2034	1730	69 bottles Pommery Champagne 1929	N/A	01/01/99	NOTE 1	June 4, 2005	292	4,200	3,908	NOTE 2	NOTE 1
2035	1730	69 bottles Pommery Champagne 1929	N/A	01/01/99	NOTE 1	June 4, 2005	292	4,200	3,908	NOTE 2	NOTE 1
2036	1730	69 bottles Pommery Champagne 1929	N/A	01/01/99	NOTE 1	June 4, 2005	292	4,200	3,908	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

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2037	1730	69 bottles Pommery Champagne 1929	N/A	01/01/99	NOTE 1	June 4, 2005	219		(219)	NOTE 2	NOTE 1
2001	1733	110 bottles Dom Pengnon	N/A	01/01/99	NOTE 1	June 4, 2005	28	6,500	6,472	NOTE 2	NOTE 1
2002	1733	110 bottles Dom Pengnon	N/A	01/01/99	NOTE 1	June 4, 2005	28	6,500	6,472	NOTE 2	NOTE 1
2003	1733	110 bottles Dom Perignon	N/A	01/01/99	NOTE 1	June 4, 2005	28	6,500	6,472	NOTE 2	NOTE 1
2004	1733	110 bottles Dom Perignon	N/A	01/01/99	NOTE 1	June 4, 2005	28	7,000	6,972	NOTE 2	NOTE 1
2005	1733	110 bottles Dom Pengnon	N/A	01/01/99	NOTE 1	June 4, 2005	28	7,000	6,972	NOTE 2	NOTE 1
2006	1733	110 bottles Dom Perignon	N/A	01/01/99	NOTE 1	June 4, 2005	28	7,500	7,472	NOTE 2	NOTE 1
2007	1733	110 bottles Dom Perignon	N/A	01/01/99	NOTE 1	June 4, 2005	28	7,000	6,972	NOTE 2	NOTE 1
2008	1733	110 bottles Dom Perignon	N/A	01/01/99	NOTE 1	June 4, 2005	41	21,000	20,959	NOTE 2	NOTE 1
2101	1735	6 magnums Chateau Gazin	N/A	01/01/99	NOTE 1	June 4, 2005	300	5,500	5,200	NOTE 2	NOTE 1
2093	1736	6 magnums Chateau Ausone	N/A	01/01/99	NOTE 1	June 4, 2005	675	12,000	11,325	NOTE 2	NOTE 1
2009	1737	4 double magnums Moet et Chandon 1928 Champagr	N/A	01/01/99	NOTE 1	June 4, 2005	213	2,600	2,388	NOTE 2	NOTE 1
2010	1737	4 double magnums Moet et Chandon 1928 Champagr	N/A	01/01/99	NOTE 1	June 4, 2005	213	2,600	2,388	NOTE 2	NOTE 1
2011	1737	4 double magnums Moet et Chandon 1928 Champagr	N/A	01/01/99	NOTE 1	June 4, 2005	213	2,600	2,388	NOTE 2	NOTE 1
2027	1739	19 dbl mags Louis Roederer Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	275	2,400	2,125	NOTE 2	NOTE 1
2028	1739	19 dbl mags. Louis Roederer Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	275	2,400	2,125	NOTE 2	NOTE 1
2029	1739	19 dbl mags Louis Roederer Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	275	2,400	2,125	NOTE 2	NOTE 1
2019	1740	19 dbl mags Louis Roederer Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	211	3,500	3,289	NOTE 2	NOTE 1
2020	1740	19 dbl mags Louis Roederer Champagne	N/A	01/01/99	NOTE 1	June 4, 2005	211	3,500	3,289	NOTE 2	NOTE 1
2203	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	303	7,500	7,197	NOTE 2	NOTE 1
2204	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	303	7,500	7,197	NOTE 2	NOTE 1
2205	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	303	7,000	6,697	NOTE 2	NOTE 1
2206	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	303	7,000	6,697	NOTE 2	NOTE 1
2207	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	303	4,500	4,197	NOTE 2	NOTE 1
2208	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	303	4,500	4,197	NOTE 2	NOTE 1
2209	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	909	15,000	14,091	NOTE 2	NOTE 1
2210	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	909	15,000	14,091	NOTE 2	NOTE 1
2211	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	909	12,000	11,091	NOTE 2	NOTE 1
2212	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	909	9,500	8,591	NOTE 2	NOTE 1
2213	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	1,818	38,000	36,182	NOTE 2	NOTE 1
2214	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	1,818	38,000	36,182	NOTE 2	NOTE 1
2215	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	1,818	38,000	36,182	NOTE 2	NOTE 1
2216	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	1,818	38,000	36,182	NOTE 2	NOTE 1
2217	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	1,818	35,000	33 182	NOTE 2	NOTE 1
2218	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	1,818	38,000	36,182	NOTE 2	NOTE 1
2219	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	1 818	38,000	36,182	NOTE 2	NOTE 1
2220	1741	65 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	1,818	35,000	33,182	NOTE 2	NOTE 1
2221	1742	84 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	1,824	32,000	30,176	NOTE 2	NOTE 1
2222	1742	84 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	1,824	30,000	28,176	NOTE 2	NOTE 1
2223	1742	84 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	1,824	24,000	22,176	NOTE 2	NOTE 1
2224	1742	84 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	3,649	75,000	71,351	NOTE 2	NOTE 1
2225	1742	84 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	3,649	75,000	71,351	NOTE 2	NOTE 1
2226	1742	84 bottles Domaine de la Romanee-Conti	N/A	01/01/99	NOTE 1	June 4, 2005	3,649	65,000	61,351	NOTE 2	NOTE 1
2138	1744	56 bottles Musigny	N/A	01/01/99	NOTE 1	June 4, 2005	180	1,600	1,420	NOTE 2	NOTE 1
2146	1744	56 bottles Musigny	N/A	01/01/99	NOTE 1	June 4, 2005	720	9,000	8,280	NOTE 2	NOTE 1
2071	1745	20 bottles Richebourg 1929	N/A	01/01/99	NOTE 1	June 4, 2005	123	1,300	1,177	NOTE 2	NOTE 1
2191	1745	20 bottles Richebourg 1929	N/A	01/01/99	NOTE 1	June 4, 2005	430	3,000	2,570	NOTE 2	NOTE 1
2192	1745	20 bottles Richebourg 1929	N/A	01/01/99	NOTE 1	June 4, 2005	736	9,000	8,264	NOTE 2	NOTE 1
2153	1746	71 bottles Charmes-Chambertin Burgundy 1929	N/A	01/01/99	NOTE 1	June 4 2005	533	9,500	8,967	NOTE 2	NOTE 1
2154	1746	71 bottles Charmes-Chambertin Burgundy 1929	N/A	01/01/99	NOTE 1	June 4, 2005	533	9,000	8,467	NOTE 2	NOTE 1
2155	1746	71 bottles Charmes-Chambertin Burgundy 1929	N/A	01/01/99	NOTE 1	June 4, 2005	533	9,000	8,467	NOTE 2	NOTE 1
2156	1746	71 bottles Charmes-Chambertin Burgundy 1929	N/A	01/01/99	NOTE 1	June 4, 2005	533	7,500	6,967	NOTE 2	NOTE 1
2157	1746	71 bottles Charmes-Chambertin Burgundy 1929	N/A	01/01/99	NOTE 1	June 4, 2005	533	7,500	6,967	NOTE 2	NOTE 1
2041	1747	32 bottles Chateau Latour	N/A	01/01/99	NOTE 1	June 4, 2005	1,182	5,500	4,318	NOTE 2	NOTE 1
2042	1747	32 bottles Chateau Latour	N/A	01/01/99	NOTE 1	June 4, 2005	2,364	8,000	5,636	NOTE 2	NOTE 1
2043	1747	32 bottles Chateau Latour	N/A	01/01/99	NOTE 1	June 4, 2005	2,360	19,000	16,640	NOTE 2	NOTE 1
2044	1747	32 bottles Chateau Latour	N/A	01/01/99	NOTE 1	June 4, 2005	2 360	16,000	13,640	NOTE 2	NOTE 1
2045	1747	32 bottles Chateau Latour	N/A	01/01/99	NOTE 1	June 4, 2005	2,360	15,000	12,640	NOTE 2	NOTE 1
2046	1747	32 bottles Chateau Latour	N/A	01/01/99	NOTE 1	June 4, 2005	1,970	16,000	14,030	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
2186	1749	54 bottles Corton Bressandes	N/A	01/01/99	NOTE 1	June 4, 2005	273	3,000	2,727	NOTE 2	NOTE 1
2187	1749	54 bottles Corton Bressandes	N/A	01/01/99	NOTE 1	June 4, 2005	273	2,400	2,127	NOTE 2	NOTE 1
2188	1749	54 bottles Corton Bressandes	N/A	01/01/99	NOTE 1	June 4, 2005	273	2,800	2,527	NOTE 2	NOTE 1
2189	1749	54 bottles Corton Bressandes	N/A	01/01/99	NOTE 1	June 4, 2005	273	2,800	2,527	NOTE 2	NOTE 1
2190	1749	54 bottles Corton Bressandes	N/A	01/01/99	NOTE 1	June 4, 2005	273	2,200	1,927	NOTE 2	NOTE 1
2056	1750	35 bottles Chateau Haut-Brion Premier Grand Cru 192	N/A	01/01/99	NOTE 1	June 4, 2005	717	6,000	5,283	NOTE 2	NOTE 1
2057	1750	35 bottles Chateau Haut-Brion Premier Grand Cru 192	N/A	01/01/99	NOTE 1	June 4, 2005	717	6,200	5,483	NOTE 2	NOTE 1
2058	1750	35 bottles Chateau Haut-Brion Premier Grand Cru 192	N/A	01/01/99	NOTE 1	June 4, 2005	717	6,000	5,283	NOTE 2	NOTE 1
2059	1750	35 bottles Chateau Haut-Brion Premier Grand Cru 192	N/A	01/01/99	NOTE 1	June 4, 2005	717	6,000	5,283	NOTE 2	NOTE 1
2060	1751	41 bottles Chateau Haut-Brion Premier Grand Cru 192	N/A	01/01/99	NOTE 1	June 4, 2005	1,390	12,000	10,610	NOTE 2	NOTE 1
2061	1751	41 bottles Chateau Haut-Brion Premier Grand Cru 192	N/A	01/01/99	NOTE 1	June 4, 2005	1,390	13,000	11,610	NOTE 2	NOTE 1
2062	1751	41 bottles Chateau Haut-Brion Premier Grand Cru 192	N/A	01/01/99	NOTE 1	June 4, 2005	1,390	13,000	11,610	NOTE 2	NOTE 1
2063	1751	41 bottles Chateau Haut-Brion Premier Grand Cru 192	N/A	01/01/99	NOTE 1	June 4, 2005	1,390	12,000	10,610	NOTE 2	NOTE 1
2064	1751	41 bottles Chateau Haut-Brion Premier Grand Cru 192	N/A	01/01/99	NOTE 1	June 4, 2005	1,390	12,000	10,610	NOTE 2	NOTE 1
2065	1751	41 bottles Chateau Haut-Brion Premier Grand Cru 192	N/A	01/01/99	NOTE 1	June 4, 2005	1,390	10,000	8,610	NOTE 2	NOTE 1
2066	1752	21 bottles Chateau Pichon Longueville	N/A	01/01/99	NOTE 1	June 4, 2005	311	2,100	1,789	NOTE 2	NOTE 1
2067	1752	21 bottles Chateau Pichon Longueville	N/A	01/01/99	NOTE 1	June 4, 2005	622	3,800	3,178	NOTE 2	NOTE 1
2068	1752	21 bottles Chateau Pichon Longueville	N/A	01/01/99	NOTE 1	June 4, 2005	622	3,800	3,178	NOTE 2	NOTE 1
2069	1752	21 bottles Chateau Pichon Longueville	N/A	01/01/99	NOTE 1	June 4, 2005	622	3,500	2,878	NOTE 2	NOTE 1
2098	1753	41 bottles Chateau Canon St.Emilion 1934	N/A	01/01/99	NOTE 1	June 4, 2005	527	3,000	2,473	NOTE 2	NOTE 1
2099	1753	41 bottles Chateau Canon St.Emilion 1934	N/A	01/01/99	NOTE 1	June 4, 2005	527	2,800	2,273	NOTE 2	NOTE 1
2100	1753	41 bottles Chateau Canon St.Emilion 1934	N/A	01/01/99	NOTE 1	June 4, 2005	527	2,800	2,273	NOTE 2	NOTE 1
2164	1754	42 bottles Chambertin Cuvee Armand Rousseau	N/A	01/01/99	NOTE 1	June 4, 2005	264	19,000	18,736	NOTE 2	NOTE 1
2165	1754	42 bottles Chambertin Cuvee Armand Rousseau	N/A	01/01/99	NOTE 1	June 4, 2005	264	19,000	18,736	NOTE 2	NOTE 1
2166	1754	42 bottles Chambertin Cuvee Armand Rousseau	N/A	01/01/99	NOTE 1	June 4, 2005	264	19,000	18,736	NOTE 2	NOTE 1
2167	1754	42 bottles Chambertin Cuvee Armand Rousseau	N/A	01/01/99	NOTE 1	June 4, 2005	264	19,000	18,736	NOTE 2	NOTE 1
2168	1754	42 bottles Chambertin Cuvee Armand Rousseau	N/A	01/01/99	NOTE 1	June 4, 2005	264	19,000	18,736	NOTE 2	NOTE 1
2169	1754	42 bottles Chambertin Cuvee Armand Rousseau	N/A	01/01/99	NOTE 1	June 4, 2005	264	16,000	15,736	NOTE 2	NOTE 1
2170	1754	42 bottles Chambertin Cuvee Armand Rousseau	N/A	01/01/99	NOTE 1	June 4, 2005	132	4,200	4,068	NOTE 2	NOTE 1
2148	1755	43 bottles Bonnes Mares 1934	N/A	01/01/99	NOTE 1	June 4, 2005	271	9,000	8,729	NOTE 2	NOTE 1
2149	1755	43 bottles Bonnes Mares 1934	N/A	01/01/99	NOTE 1	June 4, 2005	271	7,500	7,229	NOTE 2	NOTE 1
2150	1755	43 bottles Bonnes Mares 1934	N/A	01/01/99	NOTE 1	June 4, 2005	271	7,500	7,229	NOTE 2	NOTE 1
2151	1755	43 bottles Bonnes Mares 1934	N/A	01/01/99	NOTE 1	June 4, 2005	271	6,500	6,229	NOTE 2	NOTE 1
2152	1755	43 bottles Bonnes Mares 1934	N/A	01/01/99	NOTE 1	June 4 2005	271	6,500	6,229	NOTE 2	NOTE 1
2159	1756	70 bottles of Charmes Chambertin Burgundy 1929	N/A	01/01/99	NOTE 1	June 4, 2005	720	6,500	5,780	NOTE 2	NOTE 1
2160	1756	70 bottles of Charmes Chambertin Burgundy 1929	N/A	01/01/99	NOTE 1	June 4, 2005	720	7,000	6,280	NOTE 2	NOTE 1
2161	1756	70 bottles of Charmes Chambertin Burgundy 1929	N/A	01/01/99	NOTE 1	June 4, 2005	720	6,000	5,280	NOTE 2	NOTE 1
2162	1756	70 bottles of Charmes Chambertin Burgundy 1929	N/A	01/01/99	NOTE 1	June 4, 2005	180	1,500	1,320	NOTE 2	NOTE 1
2227	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	373	12,000	11,627	NOTE 2	NOTE 1
2228	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	373	10,000	9,627	NOTE 2	NOTE 1
2229	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	373	9,500	9,127	NOTE 2	NOTE 1
2230	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	373	8,000	7,627	NOTE 2	NOTE 1
2231	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	373	8,000	7,627	NOTE 2	NOTE 1
2232	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	373	7,500	7,127	NOTE 2	NOTE 1
2233	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	1,120	24,000	22,880	NOTE 2	NOTE 1
2234	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	1,120	24,000	22,880	NOTE 2	NOTE 1
2235	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4 2005	1,120	24 000	22,880	NOTE 2	NOTE 1
2236	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	1,120	24,000	22,880	NOTE 2	NOTE 1
2237	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	1,120	20,000	18,880	NOTE 2	NOTE 1
2238	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	1,120	20,000	18,880	NOTE 2	NOTE 1
2239	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	2,240	48,000	45,760	NOTE 2	NOTE 1
2240	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	2,240	48,000	45,760	NOTE 2	NOTE 1
2241	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	2,240	48,000	45,760	NOTE 2	NOTE 1
2242	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	2,240	48,000	45,760	NOTE 2	NOTE 1
2243	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	2,240	48,000	45,760	NOTE 2	NOTE 1
2244	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	2,240	48,000	45,760	NOTE 2	NOTE 1
2246	1757	77 bottles of Romanee-Conti 1934	N/A	01/01/99	NOTE 1	June 4, 2005	4,480	95,000	90,520	NOTE 2	NOTE 1
2174	1758	67 bottles of Clos Vougeot Burgundy	N/A	01/01/99	NOTE 1	June 4, 2005	418	4,000	3,582	NOTE 2	NOTE 1
2175	1758	67 bottles of Clos Vougeot Burgundy	N/A	01/01/99	NOTE 1	June 4, 2005	716	4,200	3,484	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
2176	1758	67 bottles of Clos Vougeot Burgundy	N/A	01/01/99	NOTE 1	June 4, 2005	716	8,000	7,284	NOTE 2	NOTE 1
2177	1758	67 bottles of Clos Vougeot Burgundy	N/A	01/01/99	NOTE 1	June 4, 2005	716	9,500	8,784	NOTE 2	NOTE 1
2178	1758	67 bottles of Clos Vougeot Burgundy	N/A	01/01/99	NOTE 1	June 4, 2005	716	9,500	8,784	NOTE 2	NOTE 1
2179	1758	67 bottles of Clos Vougeot Burgundy	N/A	01/01/99	NOTE 1	June 4, 2005	716	9,000	8,284	NOTE 2	NOTE 1
2180	1759	78 bottles of Clos Vougeot	N/A	01/01/99	NOTE 1	June 4, 2005	69	8,500	8,431	NOTE 2	NOTE 1
2181	1759	78 bottles of Clos Vougeot	N/A	01/01/99	NOTE 1	June 4, 2005	69	5,500	5,431	NOTE 2	NOTE 1
2182	1759	78 bottles of Clos Vougeot	N/A	01/01/99	NOTE 1	June 4, 2005	69	4,800	4,731	NOTE 2	NOTE 1
2139	1761	83 bottles of Musigny 1929	N/A	01/01/99	NOTE 1	June 4, 2005	602	8,000	7,398	NOTE 2	NOTE 1
2140	1761	83 bottles of Musigny 1929	N/A	01/01/99	NOTE 1	June 4, 2005	723	11,000	10,277	NOTE 2	NOTE 1
2141	1761	83 bottles of Musigny 1929	N/A	01/01/99	NOTE 1	June 4, 2005	723	9,500	8,777	NOTE 2	NOTE 1
2142	1761	83 bottles of Musigny 1929	N/A	01/01/99	NOTE 1	June 4, 2005	723	9,000	8,277	NOTE 2	NOTE 1
2143	1761	83 bottles of Musigny 1929	N/A	01/01/99	NOTE 1	June 4, 2005	723	8,500	7,777	NOTE 2	NOTE 1
2144	1761	83 bottles of Musigny 1929	N/A	01/01/99	NOTE 1	June 4, 2005	723	8,500	7,777	NOTE 2	NOTE 1
2145	1761	83 bottles of Musigny 1929	N/A	01/01/99	NOTE 1	June 4, 2005	723	9,000	8,277	NOTE 2	NOTE 1
2088	1763	86 bottles Domaine de Chevalier	N/A	01/01/99	NOTE 1	June 4, 2005	916	7,000	6,084	NOTE 2	NOTE 1
2089	1763	86 bottles Domaine de Chevalier	N/A	01/01/99	NOTE 1	June 4, 2005	916	7,000	6,084	NOTE 2	NOTE 1
2090	1763	86 bottles Domaine de Chevalier	N/A	01/01/99	NOTE 1	June 4, 2005	916	7,000	6,084	NOTE 2	NOTE 1
2091	1763	86 bottles Domaine de Chevalier	N/A	01/01/99	NOTE 1	June 4, 2005	916	6,000	5,084	NOTE 2	NOTE 1
2092	1763	86 bottles Domaine de Chevalier	N/A	01/01/99	NOTE 1	June 4, 2005	382	2,600	2,218	NOTE 2	NOTE 1
2194	1764	42 bottles Domaine de la Romanee-conti	N/A	01/01/99	NOTE 1	June 4, 2005	464	7,500	7,036	NOTE 2	NOTE 1
2195	1764	42 bottles Domaine de la Romanee-conti	N/A	01/01/99	NOTE 1	June 4, 2005	464	7,500	7,036	NOTE 2	NOTE 1
2196	1764	42 bottles Domaine de la Romanee-conti	N/A	01/01/99	NOTE 1	June 4, 2005	464	7,500	7,036	NOTE 2	NOTE 1
2197	1764	42 bottles Domaine de la Romanee-conti	N/A	01/01/99	NOTE 1	June 4, 2005	464	5,000	4,536	NOTE 2	NOTE 1
2198	1764	42 bottles Domaine de la Romanee-conti	N/A	01/01/99	NOTE 1	June 4, 2005	464	4,000	3,536	NOTE 2	NOTE 1
2199	1764	42 bottles Domaine de la Romanee-conti	N/A	01/01/99	NOTE 1	June 4, 2005	929	42,000	41,071	NOTE 2	NOTE 1
2200	1764	42 bottles Domaine de la Romanee-conti	N/A	01/01/99	NOTE 1	June 4, 2005	929	28,000	27,071	NOTE 2	NOTE 1
2201	1764	42 bottles Domaine de la Romanee-conti	N/A	01/01/99	NOTE 1	June 4, 2005	929	29,000	28,071	NOTE 2	NOTE 1
2072	1765	10 bottles Alsace Gewurtztraminer	N/A	01/01/99	NOTE 1	June 4, 2005	869	14,000	13,131	NOTE 2	NOTE 1
2073	1765	10 bottles Alsace Gewurtztraminer	N/A	01/01/99	NOTE 1	June 4, 2005	869	14,000	13,131	NOTE 2	NOTE 1
2074	1765	10 bottles Alsace Gewurtztraminer	N/A	01/01/99	NOTE 1	June 4, 2005	869	14,000	13,131	NOTE 2	NOTE 1
2075	1765	10 bottles Alsace Gewurtztraminer	N/A	01/01/99	NOTE 1	June 4, 2005	869	13,000	12,131	NOTE 2	NOTE 1
2171	1772	31 bottles Clos Vougeot 1923	N/A	01/01/99	NOTE 1	June 4, 2005	179	1,000	821	NOTE 2	NOTE 1
2172	1772	31 bottles Clos Vougeot 1923	N/A	01/01/99	NOTE 1	June 4, 2005	179	1,900	1,721	NOTE 2	NOTE 1
2173	1772	31 bottles Clos Vougeot 1923	N/A	01/01/99	NOTE 1	June 4, 2005	179	1,600	1,421	NOTE 2	NOTE 1
2127	1773	7 bottles of 1908 Rebello Valente Port	N/A	01/01/99	NOTE 1	June 4, 2005	327	3,000	2,673	NOTE 2	NOTE 1
2130	1773	7 bottles of 1908 Rebello Valente Port	N/A	01/01/99	NOTE 1	June 4, 2005	245	2,800	2,555	NOTE 2	NOTE 1
2131	1773	7 bottles of 1908 Rebello Valente Port	N/A	01/01/99	NOTE 1	June 4, 2005	245	2,200	1,955	NOTE 2	NOTE 1
2128	1774	9 bottles 1904 Rebellow Valente Port	N/A	01/01/99	NOTE 1	June 4, 2005	245	1,500	1,255	NOTE 2	NOTE 1
2129	1774	9 bottles 1904 Rebellow Valente Port	N/A	01/01/99	NOTE 1	June 4, 2005	245	1,400	1,155	NOTE 2	NOTE 1
2050	1775	21 bottles Chateau Mouton Rothschild	N/A	01/01/99	NOTE 1	June 4, 2005	814	5,000	4,186	NOTE 2	NOTE 1
2051	1775	21 bottles Chateau Mouton Rothschild	N/A	01/01/99	NOTE 1	June 4, 2005	814	4,800	3,986	NOTE 2	NOTE 1
2052	1775	21 bottles Chateau Mouton Rothschild	N/A	01/01/99	NOTE 1	June 4, 2005	814	4,500	3,686	NOTE 2	NOTE 1
2053	1775	21 bottles Chateau Mouton Rothschild	N/A	01/01/99	NOTE 1	June 4, 2005	814	4,800	3,986	NOTE 2	NOTE 1
2054	1775	21 bottles Chateau Mouton Rothschild	N/A	01/01/99	NOTE 1	June 4, 2005	814	4,800	3,986	NOTE 2	NOTE 1
2112	1776	6 bottles Bellows Choicest Crown Speciale Madeira	N/A	01/01/99	NOTE 1	June 4, 2005	100	1,300	1,200	NOTE 2	NOTE 1
2102	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	554	2,600	2,046	NOTE 2	NOTE 1
2103	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	554	2,600	2,046	NOTE 2	NOTE 1
2104	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	554	2,600	2,046	NOTE 2	NOTE 1
2105	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	554	2,600	2,046	NOTE 2	NOTE 1
2106	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	554	2,600	2,046	NOTE 2	NOTE 1
2107	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	1,662	14,000	12,338	NOTE 2	NOTE 1
2108	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	1,662	13,000	11,338	NOTE 2	NOTE 1
2109	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	1,662	11,000	9,338	NOTE 2	NOTE 1
2110	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	1,662	11,000	9,338	NOTE 2	NOTE 1
2111	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	1,662	11,000	9,338	NOTE 2	NOTE 1
2112	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	1,662	12,000	10,338	NOTE 2	NOTE 1
2113	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	2,215	17,000	14,785	NOTE 2	NOTE 1
2114	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	3,323	28,000	24,677	NOTE 2	NOTE 1
2115	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	3,323	28,000	24,677	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
2116	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	3,323	27,000	23,677	NOTE 2	NOTE 1
2117	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	3,323	29,000	25,677	NOTE 2	NOTE 1
2118	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	3,323	29,000	25,677	NOTE 2	NOTE 1
2119	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	3,323	29,000	25,677	NOTE 2	NOTE 1
2120	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	3,323	29,000	25,677	NOTE 2	NOTE 1
2121	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	3,323	29,000	25,677	NOTE 2	NOTE 1
2122	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	3,323	28,000	24,677	NOTE 2	NOTE 1
2123	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	3,323	29,000	25,677	NOTE 2	NOTE 1
2124	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	3,323	29,000	25,677	NOTE 2	NOTE 1
2125	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	6,646	62,000	55,354	NOTE 2	NOTE 1
2126	1781	130 bottles Chateau D'Yquen Lur-Saluces 1929	N/A	01/01/99	NOTE 1	June 4, 2005	6,646	62,000	55,354	NOTE 2	NOTE 1
2158	1746 &1756	71 bottles Charmes-Chambertin Burgundy 1929 ,70 b	N/A	01/01/99	NOTE 1	June 4, 2005	549	6,500	5,951	NOTE 2	NOTE 1
116	1032	1 apple green censor and cover/fangding	N/A	01/01/99	NOTE 1	September 21, 2004	125,000	260,000	135,000	NOTE 2	NOTE 1
117	1034	2 jade flattened octagonal vases & covers	N/A	01/01/99	NOTE 1	September 21, 2004	60,000	150,000	90,000	NOTE 2	NOTE 1
125	957	1 rare bronze portrait figure -seated official	N/A	01/01/99	NOTE 1	September 21, 2004	6,500	12,000	5,500	NOTE 2	NOTE 1
144	874	1 archaic bronze tripod vessel, Ding	N/A	01/01/99	NOTE 1	September 21, 2004	55,000	80,000	25,000	NOTE 2	NOTE 1
145	880	1 rare archaic bronze vessel and cover, Zun	N/A	01/01/99	NOTE 1	September 21, 2004	215,000	450,000	235,000	NOTE 2	NOTE 1
148	871	1 archaic bronze gu-shaped beaker vase	N/A	01/01/99	NOTE 1	September 21, 2004	85,000	65,000	(20,000)	NOTE 2	NOTE 1
150	872	1 archaic bronze rectangular vessel, Ding	N/A	01/01/99	NOTE 1	September 21, 2004	300,000	280,000	(20,000)	NOTE 2	NOTE 1
232	869	2 black wine jars, guan	N/A	01/01/99	NOTE 1	September 21, 2004	10,000	22,000	12,000	NOTE 2	NOTE 1
267	959	2 famille verte rectangular biscuit jardinieres, Kangxi	N/A	01/01/99	NOTE 1	September 21, 2004	8,000	15,000	7,000	NOTE 2	NOTE 1
268	1073	2 famille verte shallow jardinieres	N/A	01/01/99	NOTE 1	September 21, 2004	5,000	150,000	145,000	NOTE 2	NOTE 1
289	1031	2 blue/white saucer dishes	N/A	01/01/99	NOTE 1	September 21, 2004	7,500	15,000	7,500	NOTE 2	NOTE 1
310	1075	2 celadon cylindrical jars/covers	N/A	01/01/99	NOTE 1	September 21, 2004	50,000	410,000	360,000	NOTE 2	NOTE 1
319	1030	2 Chinese powder blue glazed jardinieres/late 19thc	N/A	01/01/99	NOTE 1	September 21, 2004	100	3,500	3,400	NOTE 2	NOTE 1
330	1010	2 doucai saucer dishes	N/A	01/01/99	NOTE 1	September 21, 2004	6,000	15,000	9,000	NOTE 2	NOTE 1
335	1009	2 unusual imperial enamel saucer dishes	N/A	01/01/99	NOTE 1	September 21, 2004	8,500	11,000	2,500	NOTE 2	NOTE 1
77	215	Suite of hawaiian ruby, sapphire, diamond jewelry	N/A	01/01/99	NOTE 1	June 3, 2004	20,000	34,000	14,000	NOTE 2	NOTE 1
76	216	Ruby, sapphire, diamond large circular clip	N/A	01/01/99	NOTE 1	June 3, 2004	7,000	11,000	4,000	NOTE 2	NOTE 1
30	219	Gold, lapis lazuli & gem set rectangulr vanity case	N/A	01/01/99	NOTE 1	June 3, 2004	17,500	30 000	12,500	NOTE 2	NOTE 1
18	222	2 various gold bracelets	N/A	01/01/99	NOTE 1	June 3, 2004	250	4,200	3,950	NOTE 2	NOTE 1
17	252	Group of loose stones	N/A	01/01/99	NOTE 1	June 3, 2004	7,000	2,000	(5,000)	NOTE 2	NOTE 1
06	253	Single strand pearl necklace	N/A	01/01/99	NOTE 1	June 3, 2004	87,500	58,000	(29,500)	NOTE 2	NOTE 1
8	254	Bone, pearl, diamond chess piece brooch	N/A	01/01/99	NOTE 1	June 3, 2004	12,500	40,000	27,500	NOTE 2	NOTE 1
65	255	Art decon diamond "junk" pin	N/A	01/01/99	NOTE 1	June 3, 2004	5,500	21,000	15,500	NOTE 2	NOTE 1
58	256	Art deco ruby & diamond lapel watch	N/A	01/01/99	NOTE 1	June 3, 2004	5,000	7,500	2,500	NOTE 2	NOTE 1
10	257	Emerald & gold hair barrette	N/A	01/01/99	NOTE 1	June 3, 2004	4,500	6,200	1,700	NOTE 2	NOTE 1
50	260	Pair of diamond, pearl, 18K fluted gold ear clips	N/A	01/01/99	NOTE 1	June 3, 2004	3,500	8,000	4,500	NOTE 2	NOTE 1
12	266	Pair of moonstone cuff links	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	2,500	-	NOTE 2	NOTE 1
43	258, 21 & 26	A group of jewelry	N/A	01/01/99	NOTE 1	June 3, 2004	6,250	5,200	(1,050)	NOTE 2	NOTE 1
41	9, 262, 264,	A group of jewelry	N/A	01/01/99	NOTE 1	June 3, 2004	11,750	6,500	(5,250)	NOTE 2	NOTE 1
51	261 & 263	A set of multi gen jewelry	N/A	01/01/99	NOTE 1	June 3, 2004	6,000	6,500	500	NOTE 2	NOTE 1
18	5, 221, 269,	A set of gold jewelry	N/A	01/01/99	NOTE 1	June 3, 2004	3,250	2,200	(1,050)	NOTE 2	NOTE 1
4	277, 220, 27	A group of jewelry and costume jewelry	N/A	01/01/99	NOTE 1	June 3, 2004	1,750	2,500	750	NOTE 2	NOTE 1
9	11a	Pink topaz & diamond necklace	N/A	01/01/99	NOTE 1	June 3, 2004	40,000	180,000	140,000	NOTE 2	NOTE 1
89	11b	Pink topaz & diamond necklace	N/A	01/01/99	NOTE 1	June 3, 2004		75,000	75,000	NOTE 2	NOTE 1
90	11c	Pink topaz & diamond necklace	N/A	01/01/99	NOTE 1	June 3, 2004		50,000	50,000	NOTE 2	NOTE 1
4	18/part	Miscellaneous group of jewelry	N/A	01/01/99	NOTE 1	June 3, 2004	3,000	5,000	2,000	NOTE 2	NOTE 1
45	34 (part) & 2	A group of jewelry	N/A	01/01/99	NOTE 1	June 3, 2004	284	1,800	1,516	NOTE 2	NOTE 1
6	15/part	pair of bronze horses	N/A	01/01/99	NOTE 1	June 3, 2004	2,500	1,500	(1,000)	NOTE 2	NOTE 1
37	76b	A Louise XV walnut canape	N/A	01/01/99	NOTE 1	June 3, 2004	-	12,000	12,000	NOTE 2	NOTE 1
293	76a	A set of fourLouis XV walnut fauteuils a la reine	N/A	01/01/99	NOTE 1	June 3, 2004	-	42,000	42,000	NOTE 2	NOTE 1
326	70a	A pair of italian polychrome decorate blackmoor wall brackets	N/A	01/01/99	NOTE 1	June 3, 2004		3,800	3,800	NOTE 2	NOTE 1
888	90 & 492 (pa	A late Victorian quilwork, ebony and ivory box	N/A	01/01/99	NOTE 1	June 3, 2004	370	3,500	3,130	NOTE 2	NOTE 1
865	434a	An italian etched glass mirror	N/A	01/01/99	NOTE 1	June 3, 2004		3,800	3,800	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
812	420 & 1312	A group of objects	N/A	01/01/99	NOTE 1	June 3, 2004	200	800	600	NOTE 2	NOTE 1
718	348a (part)	A pair of black and white painted cast iron door stops	N/A	01/01/99	NOTE 1	June 3, 2004	500	900	400	NOTE 2	NOTE 1
717	348 (part)	a group of miscellaneous objects	N/A	01/01/99	NOTE 1	June 3, 2004		400	400	NOTE 2	NOTE 1
798	295 (part)	A group of objects	N/A	01/01/99	NOTE 1	June 3, 2004	300	2,200	1,900	NOTE 2	NOTE 1
281	2319a	Bible in english	N/A	01/01/99	NOTE 1	June 3, 2004		650	650	NOTE 2	NOTE 1
663	7& 1312 (pa	A pair of portuguese porcelain wine coolers	N/A	01/01/99	NOTE 1	June 3, 2004	100	650	550	NOTE 2	NOTE 1
1091	1881a	A collection of various hardstone, mineral and rock sp	N/A	01/01/99	NOTE 1	June 3, 2004	-	17,000	17,000	NOTE 2	NOTE 1
455	174a	A set of three early Louis XV silk and wook embroidered and applique petments	N/A	01/01/99	NOTE 1	June 3, 2004	-	42,000	42,000	NOTE 2	NOTE 1
446	172a	A set of fourteen George III style mahogany dining cha	N/A	01/01/99	NOTE 1	June 3, 2004		5,000	5,000	NOTE 2	NOTE 1
270	1684 (part)	The books of the thousand nights and a night	N/A	01/01/99	NOTE 1	June 3, 2004	1,500	3,200	1,700	NOTE 2	NOTE 1
624	1384, 1401	A group of silver and silver plated items	N/A	01/01/99	NOTE 1	June 3, 2004	90	350	260	NOTE 2	NOTE 1
396	1331 & 1248 (part)	An englishe porcelain punch bowl	N/A	01/01/99	NOTE 1	June 3, 2004	400	750	350	NOTE 2	NOTE 1
374	1312 (part)	Six staffordshire porcelain models of animals	N/A	01/01/99	NOTE 1	June 3, 2004	150	1,300	1,150	NOTE 2	NOTE 1
505	1257a	A assorted groupof english andirish glass table wares	N/A	01/01/99	NOTE 1	June 3, 2004		4,500	4,500	NOTE 2	NOTE 1
510	1251a	An english part stemware service	N/A	01/01/99	NOTE 1	June 3, 2004	-	8,500	8,500	NOTE 2	NOTE 1
516	1248c	An assortment of glass part stemware service	N/A	01/01/99	NOTE 1	June 3, 2004	410	1,300	890	NOTE 2	NOTE 1
511	1248b	An assembled continental galss part stemware service	N/A	01/01/99	NOTE 1	June 3, 2004	435	3,000	2,565	NOTE 2	NOTE 1
508	1248a	A continental glass part stemware service	N/A	01/01/99	NOTE 1	June 3, 2004		500	500	NOTE 2	NOTE 1
504	1248(part)	Twenty on e various english airtwist glasses	N/A	01/01/99	NOTE 1	June 3, 2004	105	7,000	6,695	NOTE 2	NOTE 1
476	1168a	A samson famille rose porcelain fishbowl	N/A	01/01/99	NOTE 1	June 3, 2004	-	7,500	7,500	NOTE 2	NOTE 1
1251	5186	A yellow silk damask upholstered four panel screen	N/A	01/01/99	NOTE 1	June 3, 2004		1,300	1,300	NOTE 2	NOTE 1
701	245	A mahogany and marquetry bed	N/A	01/01/99	NOTE 1	June 3, 2004	1,200	17,000	15,800	NOTE 2	NOTE 1
703	7,345 350,3	a group of mahogany and marquetry furniture	N/A	01/01/99	NOTE 1	June 3, 2004	1,100	7,000	5,900	NOTE 2	NOTE 1
704	608 645	A mahogany and marquetry desk	N/A	01/01/99	NOTE 1	June 3, 2004	1,250	7,000	5,750	NOTE 2	NOTE 1
700	325 326	A mahogany and marquetry tall chest of drawers	N/A	01/01/99	NOTE 1	June 3, 2004	2,900	3,200	300	NOTE 2	NOTE 1
702	242,301	a mahogany and marquetry dressing chest of drawers	N/A	01/01/99	NOTE 1	June 3, 2004	700	2,800	2,100	NOTE 2	NOTE 1
1269		A continental stained walnut stool	N/A	01/01/99	NOTE 1	June 3, 2004	-	300	300	NOTE 2	NOTE 1
371	1777		N/A	01/01/99	NOTE 1	June 3, 2004	4,400	1,200	(3,200)	NOTE 2	NOTE 1
372	1777		N/A	01/01/99	NOTE 1	June 3, 2004	-	1,900	1,900	NOTE 2	NOTE 1
373	1777		N/A	01/01/99	NOTE 1	June 3, 2004	-	1,900	1,900	NOTE 2	NOTE 1
374	1777		N/A	01/01/99	NOTE 1	June 3, 2004	-	1,900	1,900	NOTE 2	NOTE 1
375	1777		N/A	01/01/99	NOTE 1	June 3, 2004	-	1,900	1,900	NOTE 2	NOTE 1
376	1777		N/A	01/01/99	NOTE 1	June 3, 2004	-	1,900	1,900	NOTE 2	NOTE 1
1077	1407	1 QUEEN ANNE SIVER CUP AND COVER	N/A	01/01/99	NOTE 1	June 3, 2004	8,000	2,400	(5,600)	NOTE 2	NOTE 1
544	1714	Group of 23 bottles	N/A	01/01/99	NOTE 1	September 24, 2004	4,095	742	(3,353)	NOTE 2	NOTE 1
545	1714	Group of 23 bottles	N/A	01/01/99	NOTE 1	September 24, 2004	-	742	742	NOTE 2	NOTE 1
546	1714	Group of 23 bottles	N/A	01/01/99	NOTE 1	September 24, 2004	-	742	742	NOTE 2	NOTE 1
547	1714	Group of 23 bottles	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,397	1,397	NOTE 2	NOTE 1
548	1714	Group of 23 bottles	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,397	1,397	NOTE 2	NOTE 1
550	1715	75 bottles Pierre Chabanneau Cognac	N/A	01/01/99	NOTE 1	September 24, 2004	1,650	573	(1,077)	NOTE 2	NOTE 1
551	1715	75 bottles Pierre Chabanneau Cognac	N/A	01/01/99	NOTE 1	September 24, 2004	-	534	534	NOTE 2	NOTE 1
552	1715	75 bottles Pierre Chabanneau Cognac	N/A	01/01/99	NOTE 1	September 24, 2004	-	681	681	NOTE 2	NOTE 1
553	1715	75 bottles Pierre Chabanneau Cognac	N/A	01/01/99	NOTE 1	September 24, 2004	-	681	681	NOTE 2	NOTE 1
554	1715	75 bottles Pierre Chabanneau Cognac	N/A	01/01/99	NOTE 1	September 24, 2004	-	681	681	NOTE 2	NOTE 1
555	1715	75 bottles Pierre Chabanneau Cognac	N/A	01/01/99	NOTE 1	September 24, 2004	-	681	681	NOTE 2	NOTE 1
556	1715	75 bottles Pierre Chabanneau Cognac	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,315	1,315	NOTE 2	NOTE 1
557	1715	75 bottles Pierre Chabanneau Cognac	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,315	1,315	NOTE 2	NOTE 1
558	1715	75 bottles Pierre Chabanneau Cognac	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,494	1,494	NOTE 2	NOTE 1
560	1714	Group of 23 bottles	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,218	1,218	NOTE 2	NOTE 1
561	1714	Group of 23 bottles	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,218	1,218	NOTE 2	NOTE 1
562	1714	Group of 23 bottles	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,218	1,218	NOTE 2	NOTE 1
563	1711	41 bottles Mcbrayer bourbon	N/A	01/01/99	NOTE 1	September 24, 2004	600	1,469	869	NOTE 2	NOTE 1
564	1711	41 bottles Mcbrayer bourbon	N/A	01/01/99	NOTE 1	September 24, 2004	-	2,776	2,776	NOTE 2	NOTE 1
565	1711	41 bottles Mcbrayer bourbon	N/A	01/01/99	NOTE 1	September 24, 2004	-	2,776	2,776	NOTE 2	NOTE 1
566	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	233	233	NOTE 2	NOTE 1
567	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	233	233	NOTE 2	NOTE 1

FORM 990-PF, PART 1 - NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

Lot #	RL#	Description	PURCHASER	DATE ACQUIRED	ACQUISITION METHOD	DATE SOLD	APPRAISAL VALUE	SALE PRICE	GAIN/(LOSS)	EXPENSE OF SALE AND COST OF IMPROVEMENTS	DEPRECIATION
568	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	233	233	NOTE 2	NOTE 1
569	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	466	466	NOTE 2	NOTE 1
570	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	466	466	NOTE 2	NOTE 1
571	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	466	466	NOTE 2	NOTE 1
572	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	466	466	NOTE 2	NOTE 1
573	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	931	931	NOTE 2	NOTE 1
574	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,136	1,136	NOTE 2	NOTE 1
575	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,046	1,046	NOTE 2	NOTE 1
576	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,136	1,136	NOTE 2	NOTE 1
577	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,290	1,290	NOTE 2	NOTE 1
538	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	857	857	NOTE 2	NOTE 1
539	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	857	857	NOTE 2	NOTE 1
540	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	681	681	NOTE 2	NOTE 1
541	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	716	716	NOTE 2	NOTE 1
542	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,110	1,110	NOTE 2	NOTE 1
543	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	1,164	1,164	NOTE 2	NOTE 1
549	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	599	599	NOTE 2	NOTE 1
559	DDFIA	Wine	N/A	01/01/99	NOTE 1	September 24, 2004	-	304	304	NOTE 2	NOTE 1
1259 & 1258	311	1 Sevres oval 2-handled Seau Srenele & 2 Sevres Square Dishes	N/A	01/01/99	NOTE 1	June 3, 2004	2,070	100	(1,970)	NOTE 2	NOTE 1
1305	316	1 Paris Dubois Oval 2-Handled Seau Crenele	N/A	01/01/99	NOTE 1	June 3, 2004	1,250	500	(750)	NOTE 2	NOTE 1
1210a	462	2 Pairs of Hot Water Dishes	N/A	01/01/99	NOTE 1	June 3, 2004		1,025	1,025	NOTE 2	NOTE 1
1180, 1197 1198	502	1 Irish Cut Glass Oval Bowl & Cover & 2 Circular Bowls and Covers [3]	N/A	01/01/99	NOTE 1	June 3, 2004	1,700	100	(1,600)	NOTE 2	NOTE 1
794	674	Dr Thornton after Reinagle (Queen Flower)	N/A	01/01/99	NOTE 1	June 3, 2004	6,000	550	(5,450)	NOTE 2	NOTE 1
764	431	Henry Alken (The Meltonians)	N/A	01/01/99	NOTE 1	June 3, 2004	25,000	12,000	(13,000)	NOTE 2	NOTE 1
765	434	Henry Alken, Full Cry	N/A	01/01/99	NOTE 1	June 3, 2004	25,000	10,000	(15,000)	NOTE 2	NOTE 1
781	435	Henry Alken, Full Cry	N/A	01/01/99	NOTE 1	June 3, 2004	25,000	10,000	(15,000)	NOTE 2	NOTE 1
844	873	4 J Frederick Herring, Sr	N/A	01/01/99	NOTE 1	June 3, 2004	125,000	26,000	(99,000)	NOTE 2	NOTE 1
		TOTAL GAIN/(LOSS)					12,653,279	31,238,975	18,585,696		
		COMMISSION							1,762,103		
									20,347,799		

NOTE 1

THESE ASSETS WERE ORIGINALLY PART OF A COLLECTION AMASSED BY THE LATE DORIS DUKE DURING HER LIFE TIME UPON HER DEATH, HER ASSETS (INCLUDING A SUBSTANTIAL COLLECTION OF ART OBJECTS) WERE BEQUEATHED TO SEVERAL FOUNDATIONS THESE ASSETS WERE NOT CAPITALIZED AND ACCORDINGLY NO DEPRECIATION EXPENSE WAS RECORDED

NOTE 2

A TOTAL OF $97,808 OF EXPENSES WERE INCURRED IN PREPARING THESE ASSETS FOR THE AUCTION